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Kinetics and mechanism of intramolecular carboxylic acid participation in the hydrolysis of *N*-methoxyphthalamic acid

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The rate of formation and disappearance of phthalic anhydride (PAn) intermediate in the aqueous cleavage of *N*-methoxyphthalamic acid (NMPA) under acidic pH was studied spectrophotometrically in mixed CH_3CN-H_2O solvents. The rate of formation of PAn from NMPA is almost independent of the change in acetonitrile content from 20 to 70% v/v in mixed aqueous solvents. The rate constants for the formation of PAn from NMPA are ~10-fold smaller than the corresponding rate constants for the formation of PAn from *o*-carboxybenzohydroxamic acid (OCBA). These observations are ascribed to the consequence of the occurrence of slightly different mechanisms in these reactions.

Introduction

Intramolecular carboxylic acid participation in the hydrolytic cleavage of amide bonds has been the subject of much interest since the appearance of classic papers on the hydrolysis of phthalamic acid.¹ Major aspects of the mechanism of these reactions are already well understood.¹⁻¹¹ The brief general mechanism, involved in these reactions, is shown in Scheme 1. Pseudo-first-order rate constants (k_{obs}) for hydrolysis of N-substituted phthalamic and related acids gave negative ρ (Hammett reaction constant) and ρ^* (Taft reaction constant).⁶⁻⁹[†] The value of ρ or ρ^* for equilibrium constant K_f in Scheme 1 is expected to be positive. Since experimentally observed value of ρ or ρ^* is the sum of ρ or ρ^* for K_f and for k, $k_{\rm gb}$ or $k_{\rm ga}$ (Scheme 1) and therefore the observed negative value of ρ or ρ^* reveals that ρ or ρ^* value for k, k_{gb} or k_{ga} must be negative with sufficiently high absolute magnitude to result in an overall negative value for observed reaction constant. Based upon the reported values of ρ or ρ^* in all such studies, (at least to the knowledge of these authors), the value of k_{obs} for the hydrolytic cleavage of o-carboxybenzohydroxamic acid (OCBA) should be significantly smaller than that of phthalamic acid under similar experimental conditions. But, in

[†] A referee has pointed out that the substituent parameters relate to substituents on aryl and alkyl groups because ρ^* has been applied to *ortho* substituents

OBC www.rsc.org/obc

contrast to this prediction, the value of k_{obs} for the hydrolytic cleavage of OCBA turned out to be more than 10-fold larger than that of phthalamic acid.¹²

Unexpected and significantly high reactivity of OCBA compared to that of phthalamic acid may be attributed to the presence of internally hydrogen-bonded complex 2 (where the OH group of the -NHOH moiety provides intramolecular general acid catalysis for nucleophilic attack to form 1) or 3 (where the OH group of the -NHOH moiety provides intramolecular general base assistance to stabilize 1) in the formation of 1 (Scheme 1). It is apparent from the structural features of 2 and 3 that the presence of 2 is unlikely while that of 3 is likely in the hydrolytic cleavage of N-methoxyphthalamic acid (NMPA) under acidic pH. Thus, the question whether 2 or 3 is involved in the hydrolytic cleavage of OCBA may be resolved by studying the rate of hydrolysis of NMPA under conditions similar to those used for OCBA. Intramolecular reactions are considered to be the model reactions for many enzyme-mediated reactions and it is widely believed that enzyme-mediated reactions occur in a micro reaction environment of considerably low water activity compared to that of pure water solvent. Thus, the effects of mixed aqueous-organic solvents on the rates of intramolecular reactions could provide valuable information regarding the effects of less hydrated reaction medium of enzyme-mediated reactions. The present study was initiated with an aim to resolve whether 2 or 3 is responsible for the unexpected rate enhancement observed in the hydrolytic cleavage of OCBA¹² in mixed aqueous-acetonitrile solvents containing different contents of acetonitrile.



Experimental

Materials

N-Methoxyphthalimide (**NMEPT**) was synthesized from *N*-hydroxyphthalimide by using standard procedures and its purity was established by the use of ¹H NMR and ¹³C NMR

1404

spectroscopic techniques. All other chemicals used were reagent grade commercial products. Stock solutions of **NMEPT** were frequently prepared in acetonitrile.

Kinetics

Alkaline hydrolysis of NMEPT in mixed H₂O-CH₃CN **(a)** solvent. The rate of alkaline hydrolysis of N-methoxyphthalimide (NMEPT) was studied spectrophotometrically by monitoring the disappearance of reactant (NMEPT) as a function of time at 300 nm. The half-life periods, $t_{1/2}$, for the formation of phthalamic and N-substituted phthalamic acids from respective phthalimide and N-substituted phthalimides, and phthalic acid from phthalamic and N-substituted phthalamic acids, at 0.1 M NaOH and 30 °C are ~0.3 s and 17500 h, respectively.¹³ Similarly, the rate of alkaline hydrolysis of o-carboxybenzohydroxamic acid was found to be negligible compared to the rate of alkaline hydrolysis of N-hydroxyphthalimide.14 Thus, the rate of alkaline hydrolysis of the immediate hydrolysis product (N-methoxyphthalamic acid) of NMEPT should be insignificant compared to the rate of alkaline hydrolysis of NMEPT. The details of the kinetic procedure may be seen elsewhere.¹⁵ Pseudo-first-order rate constants (k_{1obs}) were calculated from eqn. (1)

$$A_{\rm obs} = \delta_{\rm app} [X]_0 \exp(-k_{\rm lobs} t) + A_{\infty}$$
(1)

using a nonlinear least squares technique considering δ_{app} (apparent molar absorption coefficient) and A_{∞} (the absorbance at $t = \infty$) as unknown parameters. In eqn. (1), A_{obs} is the absorbance at any reaction time, t, and $[X]_0$ is the concentration of **NMEPT** at t = 0. The observed data fitted well to eqn. (1) for up to 6–23 half-lives.

(b) Aqueous cleavage of *N*-methoxyphthalamic acid (NMPA) under acidic medium in mixed H_2O-CH_3CN solvent. The formation of phthalic anhydride (PAn) as a stable intermediate in the hydrolysis of phthalamic acid and its *N*-substituted derivatives under acidic medium has been unequivocally ascertained.^{1,8,11} Thus, the aqueous cleavage of NMPA under acidic medium is expected to follow an irreversible consecutive reaction path (eqn. (2))

$$NMPA \xrightarrow{k_{2obs}} PAn \xrightarrow{k_{3obs}} PA$$
(2)

where PA represents phthalic acid, k_{2obs} and k_{3obs} represent pseudo-first-order rate constants for hydrolysis of NMPA and PAn, respectively. The values of δ (molar absorption coefficient) for phthalamic acid, PAn and PA, at 310 nm in pure water solvent, are ~20, ~1000 and ~20 M⁻¹ cm⁻¹, respectively.⁸ Thus, the rate of formation (k_{2obs} -step) and decay (k_{3obs} -step) of PAn were easily studied spectrophotometrically at 310 nm in mixed water–organic solvents.^{8,11-13}

In a typical kinetic run with a total volume of 4.8 ml of the reaction mixture containing 1.0 ml of 0.01 M *N*-methoxyphthalimide (**NMEPT**), 0.2 ml of 0.5 M NaOH and required volume of acetonitrile, the reaction (*i.e.* hydrolysis of **NMEPT**) was allowed to complete a period of more than 50 half-lives at 30 °C. The hydrolysis of hydrolytic product (NMPA) of **NMEPT**, was then initiated by adding 0.2 ml of 1.25 M HCl to the reaction mixture. The resulting reaction mixture, having a total volume of 5.0 ml, contained 2×10^{-3} M NMPA (assuming 100% conversion of **NMEPT** to NMPA within a period of >50 half-lives for k_{1obs} -step) and 0.03 M HCl. The change in A_{obs} at 310 nm was monitored as a function of reaction time, *t*, using either a diode-array or Shimadzu UV–visible spectrophotometer.

Kinetic data analysis

A monotonic increase in A_{obs} in the initial phase of the reaction (hydrolysis of NMPA) followed by a monotonic decrease in A_{obs} in the final phase of the reaction (shown for a typical kinetic run in Fig. 1), observed in almost all kinetic runs, is in agreement with the reaction scheme shown by eqn. (2). The change in A_{obs} due to change in [PAn] during the course of the reaction is given by eqn. (3) (provided $k_{2obs} \neq k_{3obs}$)



Fig. 1 Plot showing the dependence of observed absorbance (Aobs at 310 nm) versus reaction time (t) for the cleavage NMPA at 30 °C in mixed aqueous solution containing 2×10^{-3} M NMPA, 60% v/v CH₃CN and 0.03 M HCl. The solid line is drawn through the least squares calculated data points using eqn. (3) and parameters listed in Table 2.

$$A_{\rm obs} = \frac{\delta_{\rm app} [\mathbf{X}]_0 k_{2 \,\rm obs}}{k_{3 \,\rm obs} - k_{2 \,\rm obs}} \{ \exp(-k_{2 \,\rm obs} \, t) - \exp(-k_{3 \,\rm obs} \, t) \} + A_0 \quad (3)$$

where all the symbols have their usual meanings as described elsewhere.^{12,13} The details of the calculation of k_{2obs} , k_{3obs} and δ_{app}' from eqn. (3) are also described elsewhere.^{12,13} The values of k_{2obs}/k_{3obs} change from >1 to <1 with the

The values of k_{2obs}/k_{3obs} change from >1 to <1 with the change in the % v/v content of CH₃CN within its range 20–80% v/v in mixed aqueous solvent. Thus, at a certain content of CH₃CN, k_{2obs}/k_{3obs} may be very close to 1 and when k_{2obs}/k_{3obs} = 1, the change in A_{obs} due to change in [PAn] as a function of reaction time, *t*, is given by

$$A_{\text{obs}} = k t [X]_0 \delta_{\text{app}}' \exp(-k t) + A_0$$
(4)

where $k = k_{2obs} = k_{3obs}$. The nonlinear least squares technique may be used to calculate k, δ_{app}' and A_0 from eqn. (4).

Results and discussion

(a) Effects of mixed H₂O-CH₃CN solvents on the rate of alkaline hydrolysis of N-methoxyphthalimide (NMEPT). In order to know an approximate number of half-life periods for the complete (~100%) conversion of N-methoxyphthalimide to NMPA at different contents of CH₃CN in mixed aqueous solvents, a few kinetic runs for the hydrolysis of NMEPT were carried out at 0.001 M NaOH and 30 °C in mixed H₂O-CH₃CN solvents with CH₃CN content range 10-80% v/v. Pseudofirst-order rate constants (k_{obs}) for hydrolysis of NMEPT are summarized in Table 1. The nonlinear decrease in k_{obs} with the increase in CH₃CN content is not an unusual observation for such bimolecular reactions involving a neutral reactant and a negatively charged reactant (HO⁻). Although theoretical explanations for solvent effects on rates of organic reactions are difficult to provide, some qualitative explanations could be given for such observations.^{13,16} However, an empirical approach to provide empirical explanations for such data may be considered of some importance because of its predictive power of giving the value of dependent variable (such as rate constant) at any known value of independent variable (such as % v/v content of acetonitrile in the present case). The values of

Table 1 Effect of [CH₃CN] on $k_{1\text{obs}}$, δ_{app} and A_{∞} calculated from eqn. (1), for the cleavage of NMEPT in an alkaline medium^{*a*}

[CH ₃ CN] %, v/v	$10^3 k_{1 \text{obs}} / \text{s}^{-1}$	$\delta_{app}/\mathrm{M}^{-1}\mathrm{cm}^{-1}$	A_{∞}	<i>t^b</i> / s	$10^3 k_{1 cald} c'/s^{-1}$
10	78.0 ± 2.8^{d}	1783 ± 74^{d}	0.059 ± 0.001^{d}	363	78.7
20	62.5 ± 2.0	1694 ± 62	0.064 ± 0.001	993	58.7
30	39.6 ± 1.0	1594 ± 53	0.059 ± 0.002	703	43.7
40	32.0 ± 1.0	1581 ± 44	0.064 ± 0.001	1028	32.6
50	24.0 ± 0.8	1590 ± 33	0.065 ± 0.002	728	24.3
60	18.7 ± 1.0	1506 ± 39	0.062 ± 0.003	1248	18.1
70	13.3 ± 1.0	1428 ± 47	0.064 ± 0.005	1248	13.5
80	12.9 ± 2.0	1132 ± 78	0.085 ± 0.002	1248	10.1

^{*a*} [NMEPT]₀ = 2 × 10⁻⁴ M, [NaOH] = 0.001 M, mixed H₂O–CH₃CN solvent, 30 °C, λ = 300 nm. ^{*b*} Maximum reaction time attained in the kinetic run. ^{*c*} Calculated from eqn. (5) with k_{10} = 0.106 s⁻¹ and Ψ = 2.93 × 10⁻² (% v/v)⁻¹. ^{*d*} Error limits are standard deviations.

Table 2	Effect of [CH ₃ CN] on k_{2obs} ,	k_{3obs} and δ_{app}' , calculated	from eqn. (3), for the cleavage	of NMPA in an acidic medium ^a
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$[CH_3CN](\%v/v)$	$10^4 k_{2obs} / \mathrm{s}^{-1}$	$10^5 k_{3 { m obs}} / { m s}^{-1}$	δ_{app}^{\prime} /M $^{-1}$ cm $^{-1}$	$A_0^{\ b}$	$A_{\mathrm{fin}}{}^c$	$A_{\max}{}^d$	t^{e}/s	$10^5 k_{obs}{}^f/s^{-1}$
20	1.21 ± 0.12^{g} 2.61 ^h	576 ± 53 ^g 637	1960 ± 270^{g} 1080 (610) ^{<i>i</i>}	0.060 0.060 (0.051)	0.098	139	9935	637
30	2.53 ± 0.31	287 ± 30	876 ± 146 (514)	0.020 (0.014)	0.042	0.145	15880	328
40	2.47 ± 0.12	160 ± 9	$706 \pm 51(379)$	0.022 (0.017)	0.033	0.181	17040	162
50	2.01 ± 0.10	90.8 ± 5.1	$653 \pm 46 (308)$	0.013 (0.004)	0.032	0.204	17164	90.5
60	1.73 ± 0.05	52.0 ± 1.9	$575 \pm 24(254)$	0.032 (0.017)	0.055	0.255	19670	38.8
70	2.15 ± 0.51	16.7 ± 3.9	$296 \pm 66(217)$	0.030 (0.037)	0.095	0.278	19735	16.3
80	1.37 ± 0.12	6.69 ± 0.72	295 ± 23 (171)	0.030 (0.026)	0.261	0.327	19670	6.1

^{*a*} [NMPA]₀ = 2 × 10⁻³ M, [HCI] = 0.03 M, mixed H₂O–CH₃CN solvent, 30 °C, λ = 310 nm. ^{*b*} Parenthesized values were obtained by extrapolation of A_{obs} values to reaction time t = 0. ^{*c*} These values represent A_{obs} at maximum reaction time attained in the kinetic run. ^{*f*} Observed maximum absorbance at 310 nm. ^{*e*} Maximum reaction time attained in the kinetic run. ^{*f*} Pseudo-first-order rate constants, k_{obs} , for hydrolysis of phthalic anhydride at 0.005 M HCl, and 30 °C¹¹ (it should be noted that pseudo-first-order rate constants, k_{obs} , were found to be almost unchanged with change in [HCI] from 0.005 to 1.0 M in aqueous solvents containing 2 % CH₃CN¹¹). ^{*g*} Error limits are standard deviations. ^{*h*} This value of $k_{2 obs}$ was calculated from the relationship: $k_{2obs} = k_{3obs}/\chi$ with 10⁴ $k_{3obs} = 63.7 \text{ s}^{-1}$ and $\chi = 24$. The value of χ (= 24) was calculated from eqn. (6) (as described in the text) with $A_{obs,cor}^{max} = 0.059$, [X]₀ = 0.002 M and $\delta_{app}' = 1080 \text{ M}^{-1} \text{ cm}^{-1}$. ^{*i*} Values in parentheses were obtained from the hydrolysis of phthalic anhydride at 0.005 M HCl and 30 °C.¹¹

 k_{1obs} fit reasonably well (as is evident from the least squares calculated values of $k_{1 \text{ cald}}$, Table 1) to the following empirical equation

$$k_{1\text{obs}} = k_{10} \exp\left(-\Psi[\text{OCS}]\right)$$

where k_{10} and Ψ are empirical constants and [OCS] = % v/v content of organic co-solvent (acetonitrile) in mixed aqueous solvent. The nonlinear least squares calculated values of k_{10} and Ψ are $(106 \pm 4 \text{ s}^{-1}) \times 10^{-3} \text{ s}^{-1}$ and $(2.93 \pm 0.15) \times 10^{-2} (\% \text{ v/v})^{-1}$.

(b) Acidic aqueous cleavage of NMPA in mixed aqueousacetonitrile solvents. Several kinetic runs were carried out within the CH₃CN content range 20-80% v/v at 0.03 M HCl and 30 °C. The observed kinetic data (A_{obs} versus t) were used to calculate k_{2obs} , k_{3obs} , and δ_{app}' from eqn. (3) at a most appropriate value of A_0 . These calculated kinetic parameters at different contents of CH₃CN are summarized in Table 2. Although the observed data (A_{obs} versus t) at 20% v/v CH₃CN showed a satisfactory fit to eqn. (3) in terms of per cent residual error, RE $(= 100(A_{obs i} - A_{calcd i})/A_{obs i})$ with maximum RE value of ~4% in the vicinity of the maximum in A_{obs} versus t profile, the value of δ_{app}' (= 1960 M⁻¹ cm⁻¹) is rather high compared to δ_{app}' (= 610 M⁻¹ cm⁻¹) obtained for hydrolysis of PAn under similar experimental conditions. The most obvious cause for this is the significantly low value of A_{obs}^{max} obtained at t_{max} where t_{max} is defined as the reaction time at which [PAn] becomes maximum during the course of such consecutive reactions. A low value of A_{obs}^{max} (= 0.139) is due to a significantly large value of k_{3obs}/k_{2obs} at 20% v/v CH₃CN. Perhaps, an alternative kinetic analysis of these observed data carried out by a procedure described elsewhere for similar observations¹¹ may provide a more reliable value of k_{2obs} .

For an irreversible first-order consecutive reaction as shown by eqn. (2), A_{obs}^{max} is related to rate constants k_{2obs} and k_{3obs} by eqn. (5)^{11,17}

$$A_{\text{obs,cor}}^{\text{max}} = [X]_0 \,\delta_{\text{app}}' \,\chi^{\chi/(1-\chi)} \tag{5}$$

where $\chi = k_{3obs}/k_{2obs}$ and $A_{obs,cor}^{max} = A_{obs}^{max} - A_0$. Equation (5) is valid for all values of χ except $\chi = 1$. The rearrangement of eqn. (5) gives eqns. (6) and (7)

$$\chi = \exp\left[\left(\frac{1-\chi}{\chi}\right) \ln\left(\frac{A_{\text{obs,cor}}}{[X]_0 \ \delta_{\text{and}}}\right)\right]$$
(6)

$$\chi = \frac{(1-\chi) \ln \left[A_{\text{obs.cor}}^{\text{max}}/([X]_0 \ \delta_{\text{app}})\right]}{\ln \chi}$$
(7)

The value of χ can be calculated from eqn. (6) if $\chi > 1$ and eqn. (7) if $\chi < 1$ by the use of the method of iteration ¹⁸ provided $A_{obs,cor}^{max}$, $[X]_0$ and δ_{app}' are known. For a typical kinetic run at 20% v/v CH₃CN, $A_{obs,cor}^{max} = 0.059$ (obtained from the observed absorbance at t_{max} and A_0 value), $[X]_0 = 0.002$ M and $\delta_{app}' = 1080$ M⁻¹ cm⁻¹ (obtained from the extrapolation of the plot of δ_{app}' versus % v/v content of CH₃CN). These values of $A_{obs,cor}^{max}$, $[X]_0$ and δ_{app}' were used to calculate χ from eqn. (6) by using the method of iteration.¹⁸ The values of χ (= 24) and k_{3obs} (= 637 × 10⁻⁵ s⁻¹, obtained from ref. 18), gave $k_{2obs} = 26.1 \times 10^{-5} s^{-1}$ which is also showed in Table 2.

The values of $k_{2\text{obs}}$ are almost independent of CH₃CN content within the range 20–70% v/v. But the value of $k_{2\text{obs}}$ at 80% v/v CH₃CN is nearly 40% lower than those obtained at \leq 70% v/v CH₃CN. However, $k_{2\text{obs}}$ values for the acidic aqueous cleavage of OCBA remained almost independent of CH₃CN content within the range 20–80% v/v.¹² The values of $k_{3\text{obs}}$ are comparable with pseudo-first-order rate constants for hydrolysis of PAn obtained under similar experimental conditions¹⁹ (Table 2). The rate constant $k_{3\text{obs}}$ decreased by nearly 100-fold while $k_{2\text{obs}}$ remained almost unchanged with the increase in CH₃CN content from 20 to 80% v/v. These observations show that the rates of intramolecular and intermolecular nucleophilic reactions involving neutral reactants or reaction sites are almost insensitive and highly sensitive, respectively, to the change in the relative permittivity of the reaction medium.

Although the values of δ_{app}' at different CH₃CN contents are dissimilar to the corresponding δ_{app}' values obtained from acidic hydrolysis of PAn, they are, however, not very different from each other and the trend of variation of δ_{app}' with the change in CH₃CN content is the same (Table 2). One possible explanation of this marked dissimilarity is the high sensitivity of δ_{app}' values to A_0 values. For example, at 40% v/v CH₃CN for NMPA, a change in A_0 from 0.020 to 0.025 changed $k_{2obs}, k_{3obs}, \delta_{app}'$ and Σd_i^2 (where $d_i = A_{obs i} - A_{calcd i}$) from 23.7 × 10⁻⁵ s⁻¹, 164 × 10⁻⁵ s⁻¹, 756 M⁻¹ cm⁻¹ and 3.57 × 10⁻⁴ to 26.3 × 10⁻⁵ s⁻¹, 152 × 10⁻⁵ s⁻¹, 636 M⁻¹ cm⁻¹ and 3.65 × 10⁻⁴, respectively. However, these and similar calculated values show that the values of k_{2obs} and k_{3obs} are less sensitive to A_0 values.

The general mechanism for the acidic cleavage of phthalamic, N-substituted phthalamic acids^{1b,7,8} and related compounds^{6,9} is shown in Scheme 2. The other probable routes for the formation of 4^{\pm} have been ruled out based upon qualitative conclusions and the k_4^2 -step has been concluded to be the rate-determining step.¹¹





An approximately 10-fold larger value of k_{2obs} for hydrolysis of OCBA compared with phthalamic acid has been described as co-operative (also called 'synergistic') catalysis where the oxygen of the –NHOH moiety was proposed to act as a conduit for the transfer of a proton from the *o*-COOH group to the carbonyl oxygen of CONHOH group in a fast equilibrium process. This process activated the carbonyl carbon for intramolecular nucleophilic attack by anionic oxygen of *o*-COO⁻ group to form a reactive tetrahedral intermediate similar to **4**. In view of this proposed mechanism, the value of k_{2obs} for hydrolysis of OCBA is expected to be larger by only ~2-fold (due to a statistical factor of 2) compared to that for hydrolysis of NMPA because σ^*_{OH} is similar to σ^*_{OMe} .²⁰ The similarity of σ^*_{OH} and σ^*_{OMe} is also evident from the pK_a values of a number of HORNR₁R₂H⁺ and MeORNR₁R₂H⁺ acids.²¹

Thus, nearly 10-fold larger value of k_{2obs} for hydrolysis of OCBA than that for NMPA indicate the occurrence of a different mechanism in the acidic aqueous cleavage of OCBA and NMPA. The most appropriate mechanisms for the cleavage of NMPA and OCBA in acidic aqueous medium are shown in Schemes 2 (where R = OCH₃) and 3, respectively. However, the conversion of T₁ to products through the transition state TS₁



cannot be completely ruled out. The present data are also not sufficient to rule out the formation of 4 from T₁ (where R = OH) through a solvent assisted proton switch mechanism. The value of k_{2obs} for hydrolysis of NMPA should be smaller than that for hydrolysis of phthalamic acid because of expected negative value of ρ^* and $\sigma^*_{\rm H}$ (= 0.49)²⁰ is significantly smaller than $\sigma^*_{\rm OME}$ (= 1.80).²⁰ But the observed value of k_{2obs} for hydrolysis of NMPA (Table 2) is similar to that for phthalamic acid.¹³ These results show the possible stabilization of intermediate 4 due to probable internal hydrogen bonding as shown in 3.



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