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# Correlation between the selectivity and the structure of an asymmetric catalyst built on a chirally amplified supramolecular helical scaffold

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**ABSTRACT:** For the first time, supramolecular helical rods composed of an achiral metal complex and a complementary enantiopure monomer provided good level of enantioinduction in asymmetric catalysis. Mixtures containing an achiral ligand monomer (**BTA**<sup>PPH<sub>2</sub></sup>, 2 mol%) and an enantiopure ligand-free co-monomer (ester BTA, 2.5 mol%), both possessing a complementary benzene-1,3,5-tricarboxamide (BTA) central unit, were investigated in combination with [Rh(cod)<sub>2</sub>]BAr<sub>F</sub> (1 mol%) in the asymmetric hydrogenation of dimethyl itaconate. Notably, efficient chirality transfer occurs within the hydrogen-bonded co-assemblies formed by **BTA Ile** and the intrinsically achiral catalytic rhodium catalyst, providing the hydrogenation product with up to 85% *ee*. The effect of the relative content of **BTA Ile** compared to the ligand was investigated. The amount of chiral co-monomer can be decreased down to one fourth of that of the ligand without deteriorating the enantioselectivity of the reaction while the enantioselectivity decreases for mixtures containing high amounts of **BTA Ile**. The non-linear relationship between the amount of chiral co-monomer and the enantioselectivity indicates that chirality amplification effects are at work in this catalytic system. The rhodium complex of **BTA**<sup>PPH<sub>2</sub></sup> and **BTA Ile** co-assemble under the form of right-handed helical rods as confirmed by various spectroscopic and scattering techniques. Remarkably, the major enantiomer and the selectivity of the catalytic reaction are related to the handedness and the net helicity of the co-assemblies, respectively. Further development of this class of catalysts built on chirally-amplified helical scaffolds should contribute to the design of asymmetric catalysts operating with low amounts of chiral entities.

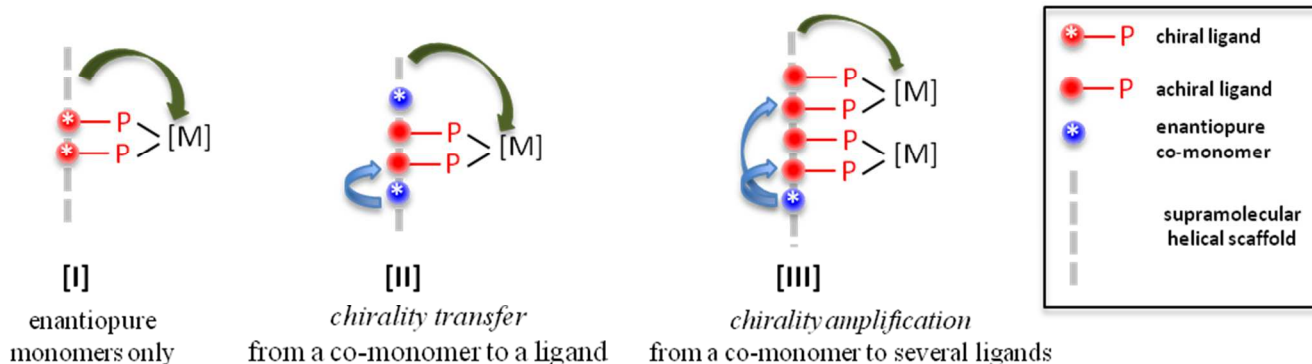
## Introduction

Chirality amplification, the phenomenon by which a small asymmetric bias is translated into a large chiral preference, is a central topic of investigation mainly aiming at elucidating the origin of homochirality.<sup>1</sup> It also opens new avenues in the preparation of enantio-enriched molecules<sup>2</sup> and materials with promising perspectives of applications including the development of sensors,<sup>3</sup> stimuli-responsive gels,<sup>4</sup> liquid crystal displays,<sup>5</sup> stationary phases<sup>6</sup> and catalysts.<sup>7</sup>

Chirality amplification effects are particularly strong in dynamic molecular helices,<sup>8</sup> such as those formed by covalent<sup>9</sup> and supramolecular polymers,<sup>10</sup> and foldamers<sup>8b,11</sup> since a minimal energetic bias at the monomeric level is cooperatively transferred along the polymeric main chain. In particular, when a few enantiopure monomers (called "the sergeants") impose their handedness to a large number of achiral ones (called "the soldiers"), the macromolecular helicity obeys the sergeants-and-soldiers

principle. Following the pioneering results of Green and co-workers on poly(isocyanates),<sup>9a,12</sup> this phenomenon has been observed experimentally in a great number of examples which in turn have been consistently modeled at the theoretical level.<sup>13</sup> Such an approach is particularly appealing for the construction of asymmetric catalysts since it might enable a good control of the asymmetric environment around the metal center with a limited amount of chiral inducers.

Surprisingly, only very recently chirality amplification in helical polymers was applied for the first time in the construction of efficient asymmetric catalysts.<sup>14</sup> Suginome and co-workers<sup>7a,7c</sup> demonstrated that covalent poly(quinoxaline-2,3-diyl)s terpolymers containing achiral phosphine monomers (0.4%), achiral phosphine-free monomers (82%)<sup>15</sup> and only 18% of enantiopure monomeric units formed purely one-handed helical structures that promoted palladium-catalyzed asymmetric hydrosilylation and Suzuki-Miyaura cross-coupling reactions with remarkably high enantioselectivity.<sup>16</sup>



**Scheme 1.** Possible strategies [I]-[III] for the construction of supramolecular helices supporting catalytic metal centers (the arrows illustrate the chirality transfers).

Helical *supramolecular* polymers also showed interesting features for catalysts development: i) they are stimuli-responsive,<sup>17</sup> ii) their supramolecular structure may enhance the activity and selectivity of catalytic centers arranged on their scaffold,<sup>18</sup> and iii) their composition can be tuned by simply mixing different types of complementary monomers.<sup>17b,19</sup> Despite these promising achievements, little is known about how the chirality of a supramolecular polymer can be transferred to intrinsically-achiral metal centers located at its periphery,<sup>20</sup> which can in turn be used as catalytic metals for asymmetric reactions.<sup>19,21</sup> One can envisage three strategies for the construction of chiral supramolecular helices supporting catalytic metal centers: the use of enantiopure monomers only ([I], Scheme 1), the use of a mixture of an achiral ligand monomer with an enantiopure ligand-free monomer in a nearly stoichiometric ratio ([II], chirality transfer) and the use of an enantiopure monomer as the minor component ([III], chirality amplification). While strategy [I] has already been successfully described,<sup>19,21</sup> strategies [II] and [III] remain to be implemented in an efficient way. The challenge in these cases is to obtain a good level of enantioinduction since any achiral metal catalysts that will not be located in a chiral environment will significantly decrease the selectivity of the reaction.<sup>22</sup> However, supramolecular co-polymers used as efficient scaffolds for asymmetric catalysis should possess unique features: i) they can be prepared by simply mixing the different monomers alleviating the synthetic efforts that are required for the preparation of covalent co-polymers and ii) chirality amplification effects that operate in these polymers can be used to decrease the amount of chiral inducers required to promote asymmetric catalysis.

Here, we demonstrate that supramolecular helical rods formed between an achiral rhodium complex of a benzene-1,3,5-tricarboxamide (BTA) ligand<sup>23</sup> and an enantiopure BTA co-monomer can indeed be used as efficient scaffolds for the asymmetric hydrogenation of dimethyl itaconate (up to 85% *ee*). Chirality amplification effects are at work in this catalytic system, which allows decreasing the amount of chiral co-monomer down to one fourth of that of the ligand monomer without deteriorating the enantioselectivity of the catalytic reaction. Moreover, we show that the selectivity of the catalytic reaction is related

to the structure of the co-assemblies, and notably to their helicity (as measured by CD spectroscopy), which may facilitate further development of this unique class of catalysts.

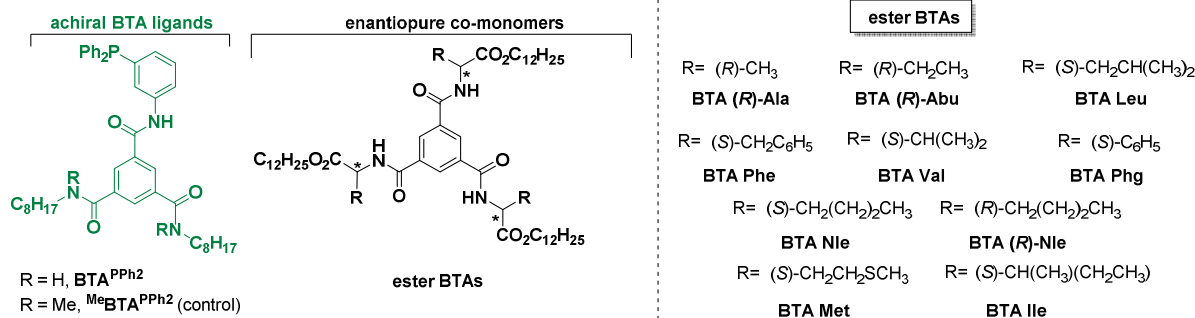
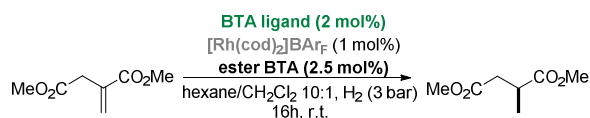
## Results and discussion

The magnitude of chirality transfer and chirality amplification effects displayed by supramolecular assemblies between achiral and enantiopure monomers depend, amongst other factors, on the nature of the monomers.<sup>10</sup> Ester BTAs (Chart 1) were chosen as chiral co-monomers since they are derived from an important accessible chiral pool and can be prepared straightforwardly in two synthetic steps (see the Supporting Information).<sup>24</sup> When studied individually, ester BTAs exhibit unusual self-association properties in cyclohexane compared to classically-investigated alkyl BTAs<sup>23</sup> since the nature of the substituent at the stereogenic carbon and the concentration determine the nature of the dominant species in solution, *i.e.* stacks or dimers. Moreover, preliminary results indicated that co-assemblies formed between an achiral alkyl BTA and an ester BTA, used as the enantiopure co-monomer, display strong chirality amplification effects.<sup>24c</sup>

**Catalytic experiments.** Mixtures composed of an achiral BTA ligand ( $\text{BTA}^{\text{PPh}_2}$ , 2 mol%), the metal precursor ( $[\text{Rh}(\text{cod})_2]\text{BAR}_f$ , 1 mol%) and an enantiopure co-monomer (ester BTA) were tested in the rhodium-catalyzed hydrogenation of dimethyl itaconate. Catalytic components were mixed in  $\text{CH}_2\text{Cl}_2$  in order to ensure the formation of the Rh complex between  $\text{BTA}^{\text{PPh}_2}$  and  $[\text{Rh}(\text{cod})_2]\text{BAR}_f$  then  $\text{CH}_2\text{Cl}_2$  was removed under vacuum and replaced by the solvent selected for catalysis. In the following, the molar ratio between the ester BTA and  $\text{BTA}^{\text{PPh}_2}$  initially present in the catalytic mixtures will be designated as  $R^{\circ}_{\text{esterBTA}}$ .

**Chirality transfer.** The ease of preparation of the catalytic mixtures allowed us to test ten ester BTAs ( $R^{\circ}_{\text{esterBTA}}=1.25$ ) as potential enantiopure co-monomers for the catalytic reaction (Table 1). In hexane/ $\text{CH}_2\text{Cl}_2$  (10:1), the hydrogenation product of dimethyl itaconate was obtained with significant *ee* (enantiomeric excess) for all ester BTAs.

Chart 1. Structures of the BTA ligands and co-monomers used in the asymmetric hydrogenation reaction.

Table 1. Asymmetric hydrogenation of dimethyl itaconate with mixtures of BTA ligand, [Rh(cod)<sub>2</sub>]BAR<sub>F</sub> and ester BTA: screening of various co-monomers.<sup>[a]</sup>

Entry	BTA ligand	Co-monomer	ee (%)
1	<b>BTA<sup>PPh2</sup></b>	<b>BTA Phe</b>	40 (S)
2	<b>BTA<sup>PPh2</sup></b>	<b>BTA (R)-Ala</b>	-46 (R)
3	<b>BTA<sup>PPh2</sup></b>	<b>BTA Met</b>	53 (S)
4	<b>BTA<sup>PPh2</sup></b>	<b>BTA Leu</b>	54 (S)
5	<b>BTA<sup>PPh2</sup></b>	<b>BTA Phg</b>	62 (S)
6	<b>BTA<sup>PPh2</sup></b>	<b>BTA (R)-Abu</b>	-66 (R)
7	<b>BTA<sup>PPh2</sup></b>	<b>BTA (R)-Nle</b>	-72 (R)
8	<b>BTA<sup>PPh2</sup></b>	<b>BTA Nle</b>	74 (S)
9	<b>BTA<sup>PPh2</sup></b>	<b>BTA Val</b>	85 (S)
10	<b>BTA<sup>PPh2</sup></b>	<b>BTA Ile</b>	85±7 (S) <sup>[b]</sup>
11	<b>MeBTA<sup>PPh2</sup></b>	<b>BTA Ile</b>	o
12	-	<b>BTA Ile</b>	o
13 <sup>[c]</sup>	<b>BTA<sup>PPh2</sup></b>	<b>BTA Ile</b>	nd

<sup>[a]</sup> R<sup>o</sup><sub>esterBTA</sub>=1.25, n<sup>o</sup><sub>BTA<sup>PPh2</sup></sub>=4.0 μmole, [dimethyl itaconate]=0.4 M. Full conversion except for entry 13. Each experiment was performed in triplicate (except for control experiments 11-13) and the indicated ee corresponds to the average value (standard deviation ≤ 5%). Positive value of the ee corresponds to the (S) enantiomer.<sup>25</sup> See Chart 1 for the structures of the BTAs. See the SI for more details on the preparation of the catalytic mixtures.<sup>[b]</sup> Based on repeatability tests (16 runs, Table S.1).<sup>[c]</sup> The catalytic mixture is filtered and catalysis is performed with the supernatant: no hydrogenation product was obtained. nd = not determined.

The selectivity of the catalytic reaction strongly depends on the nature of ester BTA (40% ee<selectivity<85% ee) thus showing the importance of having a library of enantiopure co-monomers at one's disposition for catalytic screening. **BTA Ile** (Entry 10) and **BTA Val** (Entry 9) provided the (S) enantiomer of the hydrogenation product with the best selectivity (85% ee), a selectivity similar to the one obtained with chiral monomers ([I], Scheme 1).<sup>19</sup> By inverting the chirality of the co-monomer, we were able to access both enantiomers of the hydrogenation product with the same BTA ligand. For example, co-

monomers **BTA Nle** and **BTA (R)-Nle** furnish opposite enantiomers with similar ee (74% and 72% ee respectively, entry 7 and 8). Control experiments (entries 11-12) confirmed that the selectivity of the reaction stems from the formation of hydrogen-bonded co-assemblies between the rhodium complex of **BTA<sup>PPh2</sup>** and ester BTAs. Indeed, **MeBTA<sup>PPh2</sup>**, a BTA ligand in which the amide groups have been N-methylated (Chart 1),<sup>19</sup> shows no selectivity in presence of **BTA Ile** (entry 11). **BTA Ile** alone provided no ee either, demonstrating that ester BTAs do not influence the stereochemical outcome of the catalytic reaction by fortuitous coordination to the Rh center (entry 12).

Table 2. Screening of the catalytic conditions with BTA Ile as the co-monomer (R<sup>o</sup><sub>BTAIle</sub>=1.25).<sup>[a]</sup>

Entry	solvent	[dimethyl itaconate] (M)	ee (%)
1	hexane/CH <sub>2</sub> Cl <sub>2</sub> 10:1	0.4	85±7 (S) <sup>[b]</sup>
2	hexane/CH <sub>2</sub> Cl <sub>2</sub> 5:1	0.4	85 (S)
3	hexane/CH <sub>2</sub> Cl <sub>2</sub> 2:1	0.4	52 (S)
4	toluene	0.4	51 (S)
5	CH <sub>2</sub> Cl <sub>2</sub>	0.4	o
6	hexane/CH <sub>2</sub> Cl <sub>2</sub> 10:1	0.2	85 (S)
7	hexane/CH <sub>2</sub> Cl <sub>2</sub> 10:1	0.8	55 (S)
8	hexane/CH <sub>2</sub> Cl <sub>2</sub> 10:1	0.4	74 (S) <sup>[c]</sup>

<sup>[a]</sup> **BTA<sup>PPh2</sup>** (2 mol%), [Rh(cod)<sub>2</sub>]BAR<sub>F</sub> (1 mol%), **BTA Ile** (2.5 mol%), room temperature unless otherwise stated. Full conversion. Each experiment was performed in duplicate and the indicated ee corresponds to the average value (standard deviation ≤ 5%). See Table S.2 for additional screening experiments.<sup>[b]</sup> Based on repeatability tests (16 runs, Table S.1).<sup>[c]</sup> Catalytic experiment performed at -20 °C.

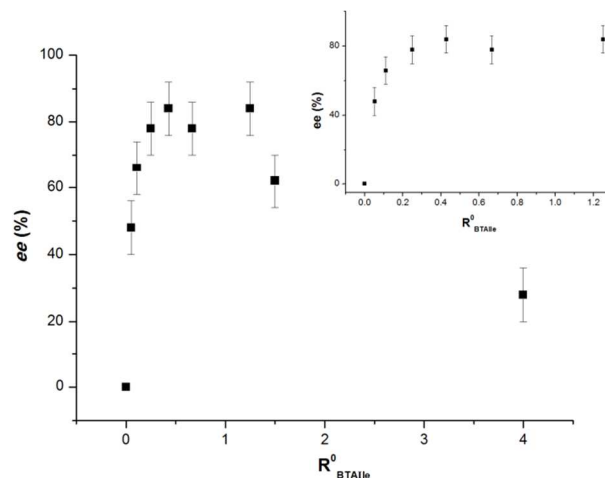
We performed additional catalytic experiments with mixtures composed of **BTA<sup>PPh2</sup>** and **BTA Ile** (R<sup>o</sup><sub>BTAIle</sub>=1.25, Tables 2 and S.2). For catalytic experiments conducted in a mixture of hexane/CH<sub>2</sub>Cl<sub>2</sub> 10:1, the results were repeatable with a mean value of 85±7% ee based on 16 runs (Entry 1, Table 2 and Table S.1). The amount of CH<sub>2</sub>Cl<sub>2</sub> in this solvent mixture can be increased to 20% without altering the selectivity (entry 2). However, the selectivity significantly decreases in a more polar hexane/CH<sub>2</sub>Cl<sub>2</sub> 2:1 mix-

ture and in toluene and no selectivity is obtained in  $\text{CH}_2\text{Cl}_2$  (entries 3-5). This is related to the disruption of the hydrogen-bonded assemblies in these solvents.<sup>19</sup> In pure hexane, the selectivity appears to vary significantly from one run to another presumably because catalyst aggregates of variable size form under these conditions (Table S.2 and *vide infra*). Changing the nature of the alkane solvent does not significantly influence the selectivity outcome of the reaction (Table S.2).<sup>26</sup> While a lower concentration in substrate does not change the selectivity (entry 6), the *ee* significantly drops at higher substrate concentration perhaps as a result of competitive interactions between the substrate and the hydrogen-bonded assemblies (entry 7). Finally, lowering the temperature ( $-20\text{ }^\circ\text{C}$ ) leads to a decrease in the selectivity (entry 8 and Table S.2).

These screening experiments reveal that both the nature of the enantiopure co-monomer and the experimental conditions are crucial factors to obtain optimal selectivity for these catalytic systems. Taken all together, these results indicate that the supramolecular chirality displayed by co-assemblies formed between an achiral ligand monomer and an enantiopure co-monomer ( $R^\circ_{\text{esterBTA}}=1.25$ ) can be efficiently transferred to the peripheral rhodium centers which in turn promote the hydrogenation reaction with very good enantioselectivity ([II], Scheme 1).

**Chirality amplification.** Encouraged by our initial screening performed with  $R^\circ_{\text{esterBTA}}=1.25$ , we probed the influence of the amount

of enantiopure co-monomer on the selectivity of the catalytic reaction. **BTA Ile**, one of the two most efficient ester BTAs, was selected and was mixed in variable amounts (0.1-8 mol%) to fixed quantities of **BTA<sup>PPh<sub>2</sub></sup>** (2 mol%,  $n^\circ\text{BTA}^{\text{PPh}_2}=4.0\text{ }\mu\text{mole}$ ) and  $[\text{Rh}(\text{cod})_2]\text{BAR}_\text{F}$  (1 mol%).<sup>27</sup> The enantioselectivity of the hydrogenation reaction exhibits a strong dependence on  $R^\circ_{\text{BTAlle}}$  (Figure 1,  $R^\circ_{\text{BTAlle}}=n^\circ\text{BTAlle}/n^\circ\text{BTA}^{\text{PPh}_2}$ ). In fact, 48% *ee* is observed for the mixture containing the lowest amount of **BTA Ile** ( $R^\circ_{\text{BTAlle}}=0.05$  i.e. 0.1 mol% loading in **BTA Ile**); then the *ee* increases up to a plateau at 78-85% *ee* for  $0.25 \leq R^\circ_{\text{BTAlle}} \leq 1.25$  and then decreases for  $R^\circ_{\text{BTAlle}} > 1.25$ . The non-linear increase of the selectivity of the catalytic reaction (see inset in Figure 1) as a function of  $R^\circ_{\text{BTAlle}}$  clearly reveals that chirality amplification effects are at work in this catalytic system ([III], Scheme 1). As a result of these chirality amplification effects, the amount of enantiopure co-monomer can be decreased down to one fourth of that of the ligand without deteriorating the enantioselectivity of the catalytic reaction. Further characterization is required to relate the chirality amplification effects displayed by this catalyst to the net helicity of the co-assemblies, i.e. to the bias between left and right-handed helical fragments. Also, these effects alone cannot explain the entire selectivity outcome of the catalytic reaction as the enantioselectivity decreases at higher  $R^\circ_{\text{BTAlle}}$  values ( $R^\circ_{\text{BTAlle}} \geq 1.5$ ). This suggests that the



**Figure 1.** Enantioselectivity of the hydrogenation reaction as a function of  $R^\circ_{\text{BTAlle}}$ .  $R^\circ_{\text{BTAlle}}=n^\circ\text{BTA Ile}/n^\circ\text{BTA}^{\text{PPh}_2}$ . Inset: zoom on the region for values of  $R^\circ_{\text{BTAlle}} < 1.5$ .

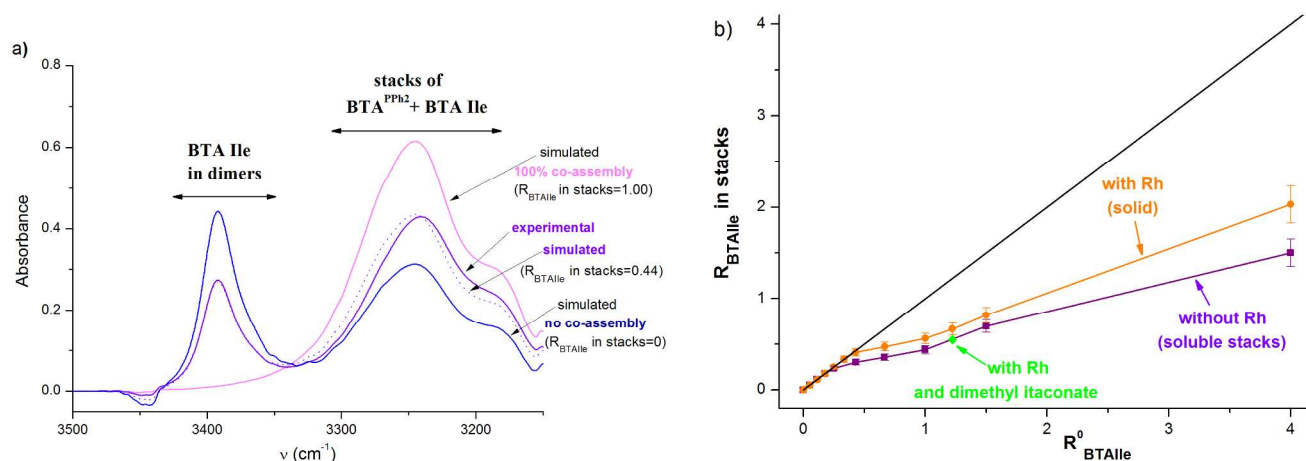
structure the catalyst evolves as a function of the composition of the co-assemblies (*vide infra*).<sup>28</sup>

**Nature of the catalytically active co-assemblies.** In order to rationalize the catalytic results, it is important to gain information into the assembly process and on the solubility properties of the resulting co-assemblies. Firstly, the catalytic mixture (**BTA<sup>PPh<sub>2</sub></sup>**+**BTA Ile**+ $[\text{Rh}(\text{cod})_2]\text{BAR}_\text{F}$ ) is prepared in  $\text{CH}_2\text{Cl}_2$ , a solvent in which no selectivity is observed suggesting that co-assembly between **BTA<sup>PPh<sub>2</sub></sup>** coordinated to Rh and **BTA Ile** does not occur to a significant extent (Table 2). In fact, co-assembly likely occurs upon evaporation of  $\text{CH}_2\text{Cl}_2$  and the rhodium complex remains insoluble as we observed no significant dissolution of the resulting yellow solid upon addition of hexane/ $\text{CH}_2\text{Cl}_2$  10:1, the solvent mixture used in the catalytic experiments. However, this second solvent does have an influence on the size of the solid aggregates as we observed well-dispersed aggregates in hexane/ $\text{CH}_2\text{Cl}_2$  mixtures but not in pure hexane (Table S.2). We also found that the supernatant obtained after filtration of this yellow solid is not catalytically active (Table 1, entry 13). If we consider that the solubility of the co-assemblies does not evolve during the catalytic reaction, then this result suggests that the reaction is actually catalyzed by insoluble co-assemblies formed between the rhodium complex of **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile** after evaporation of  $\text{CH}_2\text{Cl}_2$ .

**Characterization of the assemblies.** With the objective of correlating the selectivity of the catalytic reaction with the structure of the BTA assemblies, we precisely probed the homo- and co-assembly properties of **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile**, in solution and in the solid-state, by means of Fourier Transform-Infrared (FT-IR) spectroscopy, UV absorption, Circular Dichroism (CD) and Small Angle Neutron Scattering (SANS) analyses. These analyses were performed both in presence and absence of Rh coordinated to **BTA<sup>PPh<sub>2</sub></sup>**.

**Homo-assemblies.** When studied separately **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile** display different association properties in cyclohexane.<sup>29</sup> **BTA<sup>PPh<sub>2</sub></sup>** forms long and rigid stacks (Figure S.1)





**Figure 2.** Quantification of the amount of **BTA Ile** present in the co-assemblies. Left: FT-IR spectrum (zoom on the NH region) of the mixture of **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile** ( $R_{\text{BTAIle}}^0=1.00$ ,  $[\text{BTA}^{\text{PPh}_2}]^0=8.0$  mM) in cyclohexane. Simulated spectra for the extreme cases for which all or no **BTA Ile** is present in the co-assemblies.  $R_{\text{BTAIle}}$  in stacks (here 0.44) is deduced from the amount of **BTA Ile** in dimers. Right:  $R_{\text{BTAIle}}$  in stacks as a function of  $R_{\text{BTAIle}}^0$  (with or without Rh coordinated to **BTA<sup>PPh<sub>2</sub></sup>**). For the co-assemblies in presence of Rh, the amount of **BTA Ile** in the insoluble stacks is deduced from the amount of **BTA Ile** that remains as dimers in the cyclohexane phase. The black line ( $y=x$ ) helps to visualize mixtures for which **BTA Ile** is fully incorporated into stacks.  $R_{\text{BTAIle}}$  in stacks =  $n_{\text{BTA Ile in stacks}}/n_{\text{BTA}^{\text{PPh}_2}}$ .

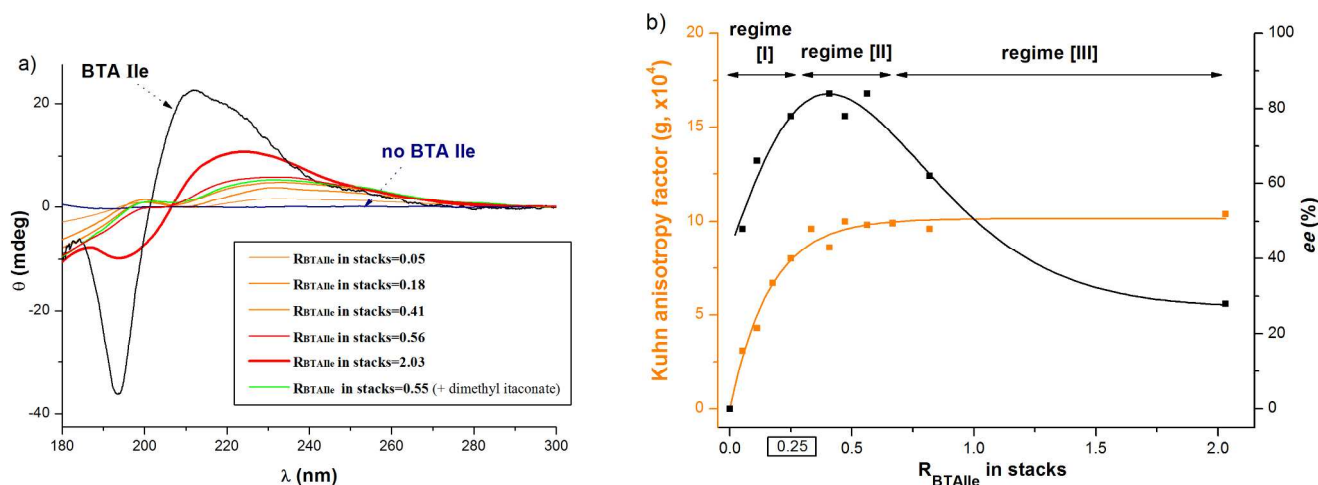
as shown by: i) FT-IR bands at  $3245\text{ cm}^{-1}$  (NH bonded to amide CO),  $1634\text{ cm}^{-1}$  and  $1549\text{ cm}^{-1}$  (amide CO bonded to NH, amide I and II bands respectively) and, ii) the  $q^{-1}$  dependence of the SANS intensity at low  $q$  values characteristic of isolated cylindrical rigid rods ( $r=12.6\text{ Å}$ ,  $L>200\text{ Å}$ ). In striking contrast, **BTA Ile** only forms dimers in which the amide NH are linked to the ester carbonyl instead of the amide carbonyl. **BTA Ile** does not form stacks across the whole range of concentration investigated (0.05–50 mM). The dimers of **BTA Ile** possess the same spectroscopic and scattering signature as those previously characterized for **BTA Nle<sup>24c</sup>** (for a proposed molecular arrangement and analyses see Figure S.2). The assembly of **BTA Ile** into dimers in cyclohexane is in sharp contrast with its ability to form stacks in the solid-state (Figure S.3). The positive Cotton effect observed above 200 nm in CD analyses infers the preferential formation of right-handed helical stacks<sup>30</sup> of **BTA Ile** as previously found in the X-ray structure of a related ester **BTA**.<sup>31</sup>

Importantly, the rhodium complex formed by reacting **BTA<sup>PPh<sub>2</sub></sup>** with  $[\text{Rh}(\text{cod})_2]\text{BAR}_\text{F}$ , in a 2:1 ratio, is soluble in  $\text{CH}_2\text{Cl}_2$  but not in cyclohexane. UV and FT-IR analyses of the thus obtained solid indicate that this rhodium complex assembles into stacks (Figure S.4b,c). As expected, given that **BTA<sup>PPh<sub>2</sub></sup>** is achiral, these stacks show no helical preference (Figure S.4a).

**Composition and structure of the co-assemblies.** Upon mixing two complementary monomers, one can envisage: i) the formation of their homo-assemblies exclusively (narcissistic self-sorting), ii) the formation of co-assemblies exclusively (social self-sorting) and iii) an intermediate situation in which homo- and co-assemblies are concomitantly present. Determining whether the co-assembly process follows one of the above hypotheses (i–iii) is challenging particularly when the species have similar analytical signatures. In our case, stacks and dimers can be easily differentiated by means of spectroscopic and scattering analyses which allow us to precisely determine

the composition and structure of the co-assemblies. Mixtures of **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile**, with fixed amount of **BTA<sup>PPh<sub>2</sub></sup>** and variable amount of **BTA Ile** ( $0.05 \leq R_{\text{BTAIle}}^0 \leq 4.0$ ), proved to be fully soluble in cyclohexane. For mixtures with  $R_{\text{BTAIle}}^0 \leq 0.18$ , FT-IR analyses show that all **BTA Ile** monomers are in stacks inferring that all **BTA Ile** monomers are incorporated into the co-assemblies (case ii above). In contrast, for others mixtures, FT-IR analyses indicate both the presence of stacks and dimers suggesting that **BTA Ile** only partly co-assembles with **BTA<sup>PPh<sub>2</sub></sup>** (case iii). In that case, the quantity of **BTA Ile** that is incorporated into the stacks is deduced from the amount of **BTA Ile** that remains as dimers as measured by FT-IR (Figures 2a and S.5) and SANS analyses (Figure S.6). Accordingly, co-assemblies are observed for all mixtures (Table S.3) and the ratio of **BTA Ile** that actually co-assembles with **BTA<sup>PPh<sub>2</sub></sup>** is defined as  $R_{\text{BTAIle}}$  in stacks =  $n_{\text{BTA Ile in stacks}}/n_{\text{BTA}^{\text{PPh}_2}}$ .

The same approach can be applied to mixtures of **BTA<sup>PPh<sub>2</sub></sup>**, **BTA Ile** and  $[\text{Rh}(\text{cod})_2]\text{BAR}_\text{F}$  in order to determine the composition of the co-assemblies between the rhodium complex of **BTA<sup>PPh<sub>2</sub></sup>** and **BTA Ile**. Here, all mixtures form heterogeneous suspensions in cyclohexane and characterization of the soluble part indicates that it only contains **BTA Ile** (in the form of dimers, Figure S.8), i.e. all Rh and all ligand is in the solid. For all mixtures, the amount of **BTA Ile** in the soluble phase is significantly lower than the quantity of **BTA Ile** initially introduced in the mixtures, which indicates (and allows us to quantify) the presence of **BTA Ile** in the solid (Table S.4). It is important to note that the presence of **BTA Ile** in the solid demonstrates its co-assembly with the Rh complex of **BTA<sup>PPh<sub>2</sub></sup>** since **BTA Ile** is fully soluble in cyclohexane. Thus, in contrast to co-assemblies without Rh, the co-assemblies between **BTA Ile** and the rhodium complex of **BTA<sup>PPh<sub>2</sub></sup>** are insoluble and can be isolated from non-incorporated dimers of **BTA Ile** by simple centrifugation.



**Figure 3.** a) CD spectra of the co-assemblies formed between the rhodium complex of  $^H\text{BTA}^{\text{PPh}_2}$  (8  $\mu\text{mol}$  in  $^H\text{BTA}^{\text{PPh}_2}$  for all mixtures) and **BTA Ile**. For the CD spectra of all the mixtures see Figure S.9. b) Plots of the anisotropy factor ( $g$ ) of co-assemblies and of the enantioselectivity of the hydrogenation reaction as a function of  $R_{\text{BTAIle}}$  in stacks. The lines are drawn to guide the eye.  $g$  values measured at  $\lambda=249.0$  nm.

$R_{\text{BTAIle}}$  in stacks can be plotted as a function of  $R^0_{\text{BTAIle}}$  (Figure 2b). For both types of co-assemblies (with and without Rh), the same trend is observed. **BTA Ile** is quantitatively incorporated into the co-assemblies for mixtures with  $R^0_{\text{BTAIle}} < 0.25$ . For higher **BTA Ile** contents, the incorporation of **BTA Ile** in the co-assemblies levels off (plateau value at  $R_{\text{BTAIle}}$  in stacks  $\approx 0.3$ – $0.5$ ) and then increases again for  $R^0_{\text{BTAIle}} \geq 1.0$ . This non-uniform trend can be explained by potentially different thermodynamic stabilities displayed by the co-assemblies depending on their content in **BTA Ile**. Interestingly, the ratio of **BTA Ile** present in both types of co-assemblies (with or without Rh) are virtually the same. It suggests that the coordination of the  $[\text{Rh}(\text{cod})]^+$  fragment to  $\text{BTA}^{\text{PPh}_2}$  does not significantly modify the composition of the co-assemblies.

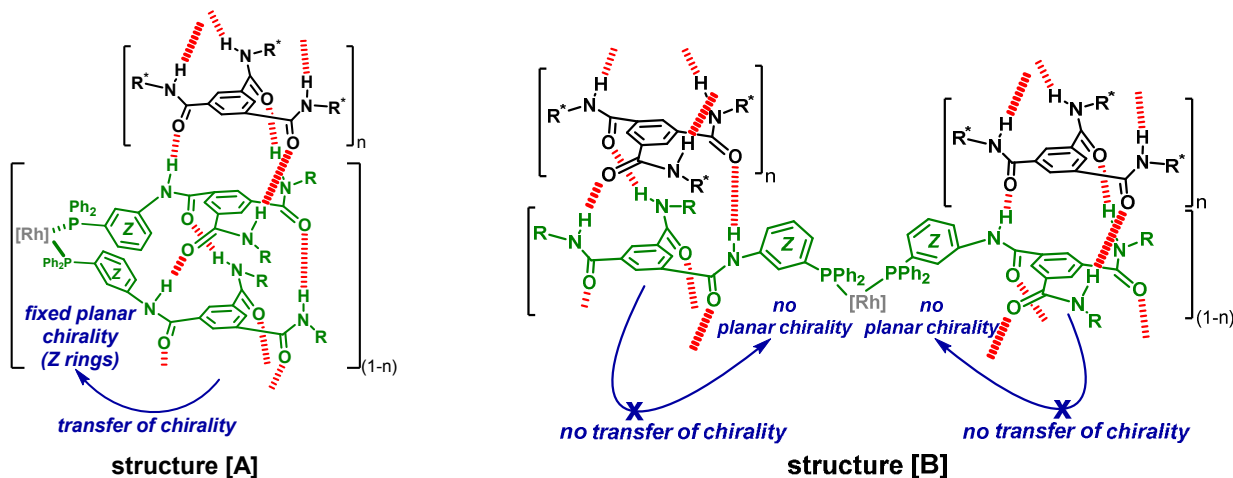
The structure of the co-assemblies between **BTA Ile** and the Rh complex of  $\text{BTA}^{\text{PPh}_2}$  was then probed in the solid state by UV and FT-IR analyses (Figure S.9). Despite their different composition (Figure 2a), similar spectra with bands that are characteristic of the stack form were observed for all mixtures. Accordingly, the different selectivities displayed by the co-assemblies for the catalytic reaction cannot be related to a change in the association pattern of the central BTA units.

**Chirality of the co-assemblies.** The chirality of the co-assemblies, with and without Rh, was then probed by CD spectroscopy. For mixtures of  $\text{BTA}^{\text{PPh}_2}$  and **BTA Ile** (soluble co-assemblies), CD spectra must be interpreted carefully since dimers of **BTA Ile** may contribute to the overall CD signals. Importantly, for mixtures with  $R^0_{\text{BTAIle}} \leq 0.25$ , a Cotton effect is observed, the shape of which is similar to that commonly found in CD spectra of BTA helical stacks exhibiting a preferred handedness in the solid state<sup>32</sup> and in solution<sup>23,30</sup> (Figure S.7). A similar positive Cotton effect is observed in the CD spectra of the mixtures with Rh (insoluble co-assemblies, Figures 3a and Figure S.9)<sup>33</sup> indicating that in both cases right-handed helical stacks are formed.<sup>30</sup> Clearly, **BTA Ile** imposes its preferred handedness (as observed for its helical stacks in

the solid state, Figure S.3) to the achiral ligand monomers.

Subsequently, the helicity of the co-assemblies between the Rh complex of  $\text{BTA}^{\text{PPh}_2}$  and **BTA Ile** was measured for all the mixtures and expressed by the Kuhn anisotropy factor ( $g = \Delta\epsilon/\epsilon$  at  $\lambda=249.0$  nm). As expected for helical assemblies displaying chirality amplification properties, the helicity does not increase linearly as a function of the amount of enantiopure monomer in the co-assemblies (i.e. as a function of  $R_{\text{BTAIle}}$  in stacks, Figure 3b). Maximum  $g$  values are reached for  $R_{\text{BTAIle}}$  in stacks  $\geq 0.25$ , which coincides with the minimum content of chiral co-monomer ( $R^0_{\text{BTAIle}} = 0.25$ ) required to obtain the highest enantioselectivity for the hydrogenation reaction.

**Rationalization of the selectivity outcome.** The selectivity observed in the catalytic hydrogenation of dimethyl itaconate (major enantiomer and enantioselectivity) can be related to the structure of the co-assemblies. Firstly, the handedness of the stacks dictates the nature of the major enantiomer. Indeed, the (*R*) hydrogenation product was obtained with our previously investigated chiral BTA ligand<sup>19</sup> that formed left-handed helical stacks whereas the (*S*) hydrogenation product is furnished by the rhodium catalyst supported by the right-handed helical co-assemblies of  $^H\text{BTA}^{\text{PPh}_2}$  and **BTA Ile**. Secondly, the net helicity of the co-assemblies explains the first two regimes of the selectivity outcome of the catalytic reaction: stacks containing less than one **BTA Ile** monomer for four  $^H\text{BTA}^{\text{PPh}_2}$  monomers (regime [I], Figure 3b), do not (all) have the same handedness, so the catalytic selectivity is not optimal. For stacks containing between ca. one fourth and one half of **BTA Ile** relatively to  $^H\text{BTA}^{\text{PPh}_2}$  (regime [II]), all the stacks have the same handedness (although the composition is evolving), so the catalytic selectivity is roughly constant. For regime [I] and [II], there is a direct correlation between the net helicity of the co-assemblies formed between the Rh complex of  $^H\text{BTA}^{\text{PPh}_2}$  and **BTA Ile** and the selectivity outcome of the catalytic reaction. It is further corroborated by the fact that dimethyl itaconate,



**Figure 4.** Proposed supramolecular **structures [A]** and **[B]** for the catalysts and postulated mechanism for the chirality transfer.

the substrate of the catalytic reaction, has no significant influence on the nature of the co-assemblies (Figures 2b and 3a), at least at the concentration used in our optimized conditions (Table 2).

According to our experimental results, the decrease in selectivity observed for mixtures containing higher content of enantiopure co-monomer cannot be explained by a different structure or a lower net helicity of the stacks. To explain this decrease in selectivity (regime [III], Figure 3b), we propose that the binding mode of the PPh<sub>2</sub> units to the Rh center evolves with the composition of the co-assembly (Figure 4). In **structure [A]**, two neighboring diphenylphosphino (PPh<sub>2</sub>) units maintained by the right-handed helical stacks<sup>30</sup> are well positioned to chelate one Rh center.<sup>34</sup> We hypothesize that within this structure, chirality transfer occurs from the right-handed helices of the polymeric scaffold to the connecting rings (labeled as *rings Z* in **structures [A]** and **[B]**) facing one another with their *Si, Si* (or *Re, Re*) faces<sup>35</sup> and from there to the peripheral PPh<sub>2</sub> units which may adopt the well-known C<sub>2</sub> quadrant configuration (regimes [I] and [II]). In contrast, increasing the amount of enantiopure co-monomers in the stacks reduces the probability of having two consecutive BTA ligands, which prevents the coordination of Rh by two PPh<sub>2</sub> units belonging to the same stack. Accordingly, in the speculative **structure [B]**, Rh is acting as a bridge between two right-handed helical stacks and although these stacks exhibit the same handedness they cannot efficiently transfer their helical chirality *via* a planar chiral rearrangement of the *rings Z* to the environment of the Rh catalytic center (regime [III]).

Based on **structures [A]** and **[B]**, a consistent rationale of the selectivity outcome of the catalytic reaction is obtained. In this specific catalytic system, CD experiments may be used to predict: i) the major enantiomer produced by the catalytic reaction and ii) the minimum amount of chiral co-monomer required to get the optimal selectivity.

## Conclusions

The above results clearly reveal the potential of supramolecular helical co-assemblies as efficient scaffolds for asymmetric reactions. Achiral rhodium complexes of BTA ligands, when combined with suitable enantiopure complementary co-monomers, are located within the chiral environment displayed by the co-assemblies and promote the hydrogenation of dimethyl itaconate with good level of enantioinduction. The selectivity of the catalytic reaction is correlated to the handedness, the helicity and the binding mode of the PPh<sub>2</sub> units to the catalytic rhodium center. The handedness and the helicity are dictated by the enantiopure co-monomer while the preferred binding mode of the phosphine ligands is related to their relative position in the helical stacks. Importantly, the helicity of co-assemblies is not proportional to the amount of enantiopure co-monomer. Benefiting from the chirality amplification properties displayed by the co-assemblies, optimal selectivity for the catalytic system can be obtained with the amount of chiral co-monomer being one fourth of that of the achiral ligand. Performing an asymmetric reaction with little or no help of chiral entities raises many fundamental questions with potentially important applications.<sup>2c</sup> To date, only very rare but fascinating examples of asymmetric auto-catalysts are able to promote asymmetric reactions by chirality amplification of a minute chiral imbalance.<sup>2d,2h,36</sup> To the best of our knowledge, the present asymmetric metal catalyst also constitutes the first example in which a sub-stoichiometric amount of a chiral inducer relative to the achiral ligand can be used without eroding the stereoselectivity of the catalytic reaction. Helped by our proposed rationale of the selectivity outcome of the catalytic reaction, we are currently selecting combinations of achiral and chiral monomers based on their chiroptical properties with the aim of further reducing the amount of chiral inducer needed to get optimal selectivity.



## EXPERIMENTAL SECTION

**Materials Preparation.** All amino acids were purchased from Sigma-Aldrich or Alfa Aesar (99% ee) and used as received. Benzene-1,3,5-tricarbonyl chloride was purchased from Alfa Aesar, dimethyl itaconate, 1-dodecanol *p*-TsOH.H<sub>2</sub>O, carbonyldiimidazole and trimesic acid were acquired from Sigma Aldrich, and were used directly. The synthesis and characterization of **BTA**<sup>PPh<sub>2</sub></sup>,<sup>19</sup> **Me****BTA**<sup>PPh<sub>2</sub></sup>,<sup>19</sup> [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub>,<sup>37</sup> **BTA Met**,<sup>24c</sup> **BTA Phe**,<sup>24c</sup> **BTA Nle**<sup>24c</sup> and **BTA (R)-Nle**<sup>24c</sup> have been described previously. The experimental details for the synthesis and characterization of the ester BTAs are provided in the Supporting Information. Racemic ester BTAs, (*rac*)-BTAs, were prepared for the purpose of determining the optical purity of (*R*)-BTAs and BTAs (enantiomeric and diastereomeric excesses, see the Supporting Information for analytical details).

**Catalytic experiments. Preparation of the catalytic system:** Mother solutions of each component were prepared separately in dry CH<sub>2</sub>Cl<sub>2</sub> without any precaution to exclude air or moisture. This solvent readily dissolves each compound listed below. The following mother solutions are required: ester BTA: 50.0 mM; BTA ligand: 40.0 mM; [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub>: 20.0 mM; dimethyl itaconate: 1.0 M. For catalytic reactions performed with variable amounts of **BTA Ile**, a 10.0 mM mother solution of **BTA Ile** was used. The components are then mixed together in glass vials suitable for a 24-well pressurized reactor (CAT-24 reactor provided by HEL<sup>®</sup>) equipped with small magnetic stirring bars, in the following order: ester BTA (desired volume), BTA ligand (100 μL, 4 μmole), [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub> (100 μL, 2 μmole) and dimethyl itaconate (200 μL, 200 μmole). The vials are left open and stirred overnight in a well-ventilated fume-hood (900 m<sup>3</sup>.h<sup>-1</sup> flow) to evaporate the CH<sub>2</sub>Cl<sub>2</sub>. The next day, the vials are dried under a 10<sup>-3</sup> mbar vacuum for 1 hour to obtain a dry, gum-like solid (the dried reaction mixture). **Catalysis:** The aforementioned dried reaction system is taken up (desired volume) in the desired solvent, sonicated for a few seconds to obtain a bright yellow suspension, briefly heated to solvent reflux, and then transferred to the CAT-24 reactor, which is sealed and set on a magnetic stirrer at room temperature and at 1000 rpm for one hour. The reactor is then purged 3 times with hydrogen gas (3-5 bar) before pressurizing it again at 3 bar of H<sub>2</sub>. The hydrogenation is performed at room temperature, 1000 rpm stirring, over 16 hours without compensating H<sub>2</sub> consumption. **Determination of the conversion and the enantiomeric excess:** The conversion was determined by <sup>1</sup>H NMR after evaporation of the catalytic solutions under vacuum. *Ee* was measured by chiral GC (Betadex 225, capillary 30.0mx250μmx0.25μm, flow = 1.5mL/min, P<sub>He</sub> = 17.6 psi, isotherm at 70°C (10 min) then 2°C/min until 95°C, tr(*R*)=27.5 min, tr(*S*)=27.8 min). For examples of GC spectra (one racemate and the result of the catalytic reaction performed with **BTA Ile** (R<sup>°</sup><sub>BTAIle</sub>=1.25), **BTA**<sup>PPh<sub>2</sub></sup> and [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub>) see the Supporting Information. Assignment of enantiomers was made according to published data.<sup>25</sup> In Table 1, Table 2, Table S.1 and Table S.2, enantiomeric excesses in favor of the (*R*) enantiomer are set as negative values (those of the (*S*) enantiomer are positive).

**Preparation of <sup>H</sup>BTA<sup>PPh<sub>2</sub></sup> and BTA Ile mixtures (without Rh) for spectroscopic analyses:** For FT-IR analyses: Solutions at different R<sup>°</sup><sub>BTAIle</sub> values were made using mother solutions of **BTA**<sup>PPh<sub>2</sub></sup> (40.0 mM in CH<sub>2</sub>Cl<sub>2</sub>) and **BTA Ile** (40.0

mM in CH<sub>2</sub>Cl<sub>2</sub>). The components are then mixed together in glass vials equipped with small magnetic stirring bars, in the following order: **BTA Ile** (desired volume) and **BTA**<sup>PPh<sub>2</sub></sup> (200 μL, 8 μmole). The vials are left open and stirred overnight in a fume-hood. The resulting solids were put under vacuum (10<sup>-3</sup> mbar) for 3 hours before the addition of 1.0 mL of cyclohexane and sonicated for a few seconds to obtain a solution ([**BTA**<sup>PPh<sub>2</sub></sup>]=8.0 mM and 0.42<[**BTA Ile**]<32.0 mM). The solutions were briefly heated to reflux and cooled to r.t. before analyses. For CD analyses: Solutions with a fixed concentration in **BTA**<sup>PPh<sub>2</sub></sup> (1.0 mM) and variable concentrations in **BTA Ile** (0.05-4.0 mM) were prepared in the same way than solutions for FT-IR analyses. For SANS analyses: Solutions with a fixed concentration in **BTA**<sup>PPh<sub>2</sub></sup> (3.5 g.dm<sup>-3</sup>, 5.1 mM) and variable concentrations in **BTA Ile** (2.2 and 24.5 mM) were prepared in C<sub>6</sub>D<sub>12</sub> in the same way than solutions for FT-IR and CD analyses.

**Preparation of the Rh complex of BTA<sup>PPh<sub>2</sub></sup> and BTA Ile mixtures for spectroscopic analyses:** Rh containing mixtures were prepared similarly to those used in the catalytic experiments (*vide supra*). Solutions at different R<sup>°</sup><sub>BTAIle</sub> values were made using mother solutions of [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub> (40.0 mM in CH<sub>2</sub>Cl<sub>2</sub>), **BTA**<sup>PPh<sub>2</sub></sup> (40.0 mM in CH<sub>2</sub>Cl<sub>2</sub>) and **BTA Ile** (40.0 mM in CH<sub>2</sub>Cl<sub>2</sub>). The components are then mixed together in an Eppendorf tube<sup>®</sup> in the following order: **BTA Ile** (desired volume), **BTA**<sup>PPh<sub>2</sub></sup> (200 μL, 8 μmole) and [Rh(cod)<sub>2</sub>]**BAR**<sub>F</sub> (100 μL, 4 μmole). In one case (R<sup>°</sup><sub>BTAIle</sub>=1.22) dimethyl itaconate (400 μmole) was also added to the catalytic mixtures. The resulting solutions were left to evaporate overnight in a fume hood. The resulting solids were put under vacuum (10<sup>-3</sup> mbar) for 3 hours before the addition of 1.0 mL of cyclohexane. The Eppendorf tubes<sup>®</sup> were sonicated for 1 min before centrifugation using a Gilson GmCLab<sup>®</sup> at 6000 rpm for 30 min. The resulting solids and supernatants were then separated. The solids were dried under vacuum (10<sup>-3</sup> mbar) for 3 hours.

**FT-IR measurements:** FT-IR measurements were performed on a Nicolet iS10 spectrometer. Solution spectra were measured in KBr or CaF<sub>2</sub> cells of 0.5 mm or 1.0 mm path length and are corrected for air, solvent and cell absorption. FT-IR spectra of the solids were recorded after evaporation of a CHCl<sub>3</sub> solution (8.85 g.L<sup>-1</sup>) of the sample over KBr pellets.

**Circular dichroism (CD):** CD measurements were performed on a Jasco J-1500 spectrometer equipped with a Peltier thermostated cell holder and Xe laser (lamp XBO 150W/4). Data was recorded at 20°C with the following parameters: 20 nm.min<sup>-1</sup> sweep rate, 0.05 nm data pitch and 1.0 nm bandwidth and between 350 and 180 nm. The obtained signals were processed as follow: solvent and cell contribution was subtracted and the signals were smoothed (Savitzky-Golay method). Spectra were corrected for solvent and cell contribution. Kuhn anisotropy factors (*g*) are dimensionless and expressed as follows: *g*=θ/(32980×Abs), where θ is the measured ellipticity (mdeg) and Abs the absorbance measured at the same wavelength. For CD measurements in solution, a 1 mm quartz cell (cyclohexane phase of catalytic mixtures) or a 0.1 mm dismountable quartz cell (mixtures of **BTA**<sup>PPh<sub>2</sub></sup> and **BTA Ile**) was used. Molar CD values are reported in L.mol<sup>-1</sup>.cm<sup>-1</sup> and are expressed as follows: Δ*ε* = θ/(32980 × *l* × *c*) where θ is the measured ellipticity (mdeg), *l* is the optical path length in cm and *c* is the total concentration ([**BTA**<sup>PPh<sub>2</sub></sup>] + [**BTA Ile**]) in mol.L<sup>-1</sup>. For all samples, LD contribution was negligible (ΔLD < 0.005 dOD). For CD measurements of

solids, a  $\text{CHCl}_3$  solution ( $8.85\text{g.L}^{-1}$ ) of the sample was spin coated over a quartz plate using a Laurell WS-650-23 Spin Coater (3000 rpm). For all the samples, no linear dichroism effects were present and the shape of the CD signal was independent of the orientation of the quartz slide.

**UV spectroscopy:** UV absorption spectra were extracted from CD analyses on each of the above samples and obtained after correction for air, solvent and cell absorption.

**Small-angle neutron scattering (SANS) analyses:** SANS measurements were made at the LLB (Saclay, France) on the Pace instrument, at two distance-wavelength combinations to cover the  $4 \times 10^{-3}$  to  $0.24 \text{ \AA}^{-1}$  q-range, where the scattering vector q is defined as usual, assuming elastic scattering, as  $q = (4\pi/\lambda)\sin(\theta/2)$ , where  $\theta$  is the angle between incident and scattered beam. Data were corrected for the empty cell signal and the solute and solvent incoherent background. A light water standard was used to normalize the scattered intensities to  $\text{cm}^{-1}$  units.

## ASSOCIATED CONTENT

Experimental procedures, including synthesis and characterization of BTAs and their precursors, additional catalytic experiments (Tables S.1 and S.2), and spectroscopic and scattering analyses (Figures S1-S9; Tables S3-S5). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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