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# Multi-Kilogram Scale-Up of a Reductive Alkylation Route to a Novel PARP Inhibitor.

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#### **TOC** graphic

**Abstract** Novel PARP inhibitor 1 is a promising new candidate for treatment of breast and ovarian cancer. A modified synthetic route to 1 has been developed and demonstrated on 7 kg scale. In order to scale up the synthesis to multi-kilogram scale, several synthetic challenges needed to be overcome. The

key issues included significant thermal hazards present in a Leimgruber-Batcho indole synthesis, a low-yielding side-chain installation, a non-robust Suzuki coupling and hydrogen cyanide generation during a reductive amination. In addition to these issues, changing from IV to oral delivery required a new salt form and therefore a new crystallization procedure. This paper describes development work to solve these issues and scaling up of the new process in the pilot plant.

#### Introduction

Inhibition of Poly(ADP ribose) polymerase, or PARP, is an exciting new mechanism for the treatment of cancer. The PARP enzyme is responsible for repair of damaged DNA in both normal and tumor cells, and inhibition of this repair mechanism is expected to make the cell more likely to undergo apoptosis. Pre-clinical work has shown that PARP inhibitors co-administered with a standard chemotherapuetic agent are more effective than the standard treatment alone. Several PARP inhibitors, including PF-01367338 (1) which is currently in development (Chart 1), have shown promising results in recent clinical trials for the treatment of advanced solid tumors. This paper details the development work carried out to design and scale up a safe process for the manufacture of 1 on multi-kilogram scale.

Chart 1. PF-01367338, a PARP inhibitor.

The early synthetic route to 1 is shown in Schemes 1 and 2. The key starting material for the in-house synthesis of 1 is indolazepine 8. The synthesis of indolazepine 8 was outsourced for the first GMP campaign and started with nitration of 2-methyl-5-fluorobenzoic acid (2) followed by Leimgruber-Batcho indole synthesis. The resulting indole 5 was then reacted with 1-dimethylamino-2-nitroethylene (DMANE) to append the side chain. Subsequent 2-stage reduction then gave the desired lactam 8.

**Scheme 1.** Outsourced route to key starting material indolazepine **8**.

Indolazepine 8 was selectively brominated with pyridinium tribromide to give 9 which was coupled with boronic acid 10 under standard Suzuki conditions. Reductive amination with methylamine then gave 1 which was converted to the phosphate salt 13 which is the final active pharmaceutical ingredient (API).

Scheme 2. In-house synthesis of 13 for first GMP campaign.

Whilst this route was successfully used to prepare 2 kg of phosphate salt for use in pre-clinical and early clinical studies, some challenges still remained for the preparation of larger multi-kilogram quantities, as outlined below:

- 1. Although preparation of indole 5 from 2 had previously been successfully outsourced, whilst running this chemistry in-house we discovered that the onset of an exothermic event during the Leimgruber-Batcho enamine formation (conversion of 3 to 4) occurred at the current reaction temperature.
- 2. The reduction of nitroalkene 6 to 7 had not performed well on scale leading to a low yield and the requirement for large scale chromatography.
- 3. The Suzuki coupling between aryl bromide 9 and boronic acid 10 failed to go to completion on scale-up and required an additional catalyst charge.
  - 4. The generation of HCN during the reductive amination of aldehyde 11 was not desirable and

alternative conditions were sought.

5. The phosphate salt **13** was initially delivered as an IV formulation during Phase I clinical trials, however we were required to develop an oral product which required a new salt. After screening and evaluation work, the (*S*)-camphorsulfonate salt ((*S*)-CSA) was selected for tablet formulation and meant that a new API crystallization procedure was required.

#### **Results and Discussion**

During the course of our lab development work stocks of indole 5 were required to allow us to address the scale-up issues observed during the reduction of nitroalkene 6. Routine process safety testing of enamine 4 as a concentrate showed the onset of a significant exothermic event from 75 °C. Preliminary testing of the reaction mixture showed the onset of an exothermic event from 120 °C, which was the same as the intended reaction temperature. Our general purpose lab and plant scale-up facilities are not configured to routinely handle this type of hazard so inherently safer conditions were sought, either through avoiding this intermediate or through use of alternative conditions. The analogous pyrrolidine enamine was prepared in the hope that the safety profile of the reaction would be improved, however this was shown not to be the case with the exothermic onset from 90 °C. Replacement of dimethylformamide dimethylacetal (DMFDMA) with Bredereck's reagent<sup>5</sup> did afford complete reaction within 4 h at 50 °C, however the impurity profile was poor and the crude mixture did not work in the subsequent reduction step. Tris(dimethylamino)methane (TDMAM)<sup>6</sup> gave complete reaction to the dimethylenamine 4 within 4.5 h at 50 °C and the reaction profile was better. However, the exothermic onset was also lower with this reagent (75 °C) and unfortunately TDMAM was not readily commercially available on the scale that would have been required for the next campaign (~100 kg) so we discontinued this line of investigation.

In parallel to this work, simply reducing the reaction temperature was also investigated. The reaction rate rapidly dropped off below 90 °C resulting in extended reaction time and product degradation. A

suitable compromise was achieved by running the reaction at 95 °C since isothermal Accelerating Rate Calorimetry (ARC) testing detected no significant thermal events over 3 days at 100 °C. The yield obtained under these conditions was lower (32% from 3) but the safety risks were now better understood and manageable in general purpose equipment.<sup>7</sup> The preparation of indole 5 was then outsourced to provide 17.5 kg in 17% yield over four steps from 2.

With indole 5 now in hand we turned our attention to the sequence of steps to convert it through to 8. The sodium borohydride reduction of 6 had not performed well on scale due to poor chemoselectivity and the generation of a significant impurity thought to be conjugate addition of the product onto the starting material. In addition to this, it was known that the reaction of 5 with DMANE had some process safety concerns which needed careful management and control. We therefore sought an inherently safer route which would convert indole 5 to 8 avoiding DMANE and the subsequent reduction steps.

High-throughput screening of a variety of two-carbon electrophiles<sup>9</sup> under both Lewis and Brønsted acid catalysis revealed that the 3-position of this indole was surprisingly unreactive. Even pre-formation of the indolyl magnesium salt did not improve the reactivity. The only promising hit came with reductive alkylation<sup>10</sup> of phthalimidoacetaldehyde diethyl acetal (14) using triethylsilane (TES) and TFA to give 16, however this reaction only gave a meager 26% yield after 4 days with the remainder of the mass balance comprising various adducts arising from reaction of the starting material or product with the intermediate benzylic cation (up to 15%). In order to increase the reaction rate we investigated the use of the deprotected aldehyde 15.<sup>11</sup> This did indeed speed up the reaction to give complete conversion in 4 h, however a significant level of alcohol 17 (14% by HPLC) was observed due to direct reduction of the aldehyde, as well as multiple other by-products. While the desired product 16 only constituted 40% of the mixture fortunately, 16 crystallized from the reaction mixture with excellent purity purging all of the by-products. Although the yield was still relatively low (typically 35-38% isolated yield), given the high quality of the material obtained and the lack of a suitable alternative, this process was selected for scale up. Our main concern was whether the product distribution (and hence

the yield) would remain the same at larger scale.

**Scheme 3.** Alternative reductive alkylation route to azepine **8**.

We conducted a series of experiments investigating the order of addition of the various reagents to give an insight into factors affecting impurity formation. Initially we thought that a controlled addition of the triethylsilane (TES) might limit reduction of aldehyde 15 since it would not be present in excess, however, new impurities were formed when the indole 5 was stirred with TFA. Dosing 5 into the reaction mixture resulted in very high levels of aldehyde reduction. The best process involved adding TFA to a solution of the indole 5, TES and aldehyde 15. Increasing the quantity of TFA resulted in an increased level of one of the by-products, so we decided to add the TFA as a DCM solution to avoid high local concentration upon dosing. It was also noted that cooling the suspension prior to filtration resulted in poor quality product due to incorporation of impurities. This process was successfully run in the pilot plant using 15.7 kg of 5 and delivered 12.9 kg of product 16 in 43% yield as expected. The subsequent deprotection of the phthalimide group was carried out in aqueous methylamine and was scaled up uneventfully to give 8 in 82% yield. The bromination of 8 proceeded with excellent chemical

yield, so we turned our attention to the subsequent Suzuki coupling reaction.

Pd(PPh<sub>3</sub>)<sub>4</sub> is often a capricious catalyst<sup>12</sup> due to its air-sensitivity and the Suzuki coupling of **9** and **10** had often failed to reach completion using this catalyst. We desired a more robust and active catalyst, and Pd(dppf)Cl<sub>2</sub>.DCM was identified from a high-throughput screen. This catalyst consistently gave complete reaction in 2 h at 90 °C compared to variable completion after 18 h at 90 °C for Pd(PPh<sub>3</sub>)<sub>4</sub>.

However, when we ran a use-test of the reagents intended for use in the pilot plant campaign no reaction was observed after heating overnight. Repeating the reaction with other batches of substrate 9/base/boronic acid 10/catalyst that were known to work from lab trials showed that both the catalyst and the boronic acid 10 intended for use in the pilot plant did not perform well in the reaction; the boronic acid gave only 28% conversion after heating overnight, and the catalyst proved unreactive. Since the catalyst is readily available, we sourced an alternative batch which did work in the use-test. The boronic acid 10 was more problematic as we were limited by supply of this material. A thorough suite of analytical tests (including <sup>11</sup>B NMR, residual pH, and sulfated ash) were carried out on the boronic acid 10 in an effort to identify why the reaction was proceeding poorly, however these tests did not show any differences between this batch of boronic acid and other batches which worked well in the coupling (note that all batches were from a single supplier). Some key experiments suggested that the boronic acid 10 contained a low level of a stiochiometric poison, and that once this had been consumed, a standard reaction profile could be achieved. For example, charging 10 mol% of catalyst instead of the standard 2.5 mol% pushed the reaction to completion in 3 h. Similarly, using a 50:50 mix of 'good' and 'bad' boronic acid gave complete reaction after heating overnight with the same batch of catalyst at 2.5 mol% loading. We therefore decided to see if we could devise a process which would be able to cope with any batch of boronic acid used.

No debromination and no protodeboronation<sup>13</sup> was observed so we hypothesized that deactivation of the catalyst was occurring prior to oxidative insertion. This is probably not surprising given that all of

the reagents are added together and then the reaction is heated to 90 °C. Our aim was to ensure that the catalyst was activated (i.e. converted from Pd<sup>II</sup> to Pd<sup>0</sup>) and oxidative insertion had been initiated by the time boronic acid 10 is added. Additionally, dosing of 10 should limit the amount of poison that is present in the initial stages of the reaction, allowing the boronic acid to react as it is added thereby ensuring completion of the reaction prior to catalyst deactivation. The addition mode was therefore changed so that the catalyst and aryl bromide 9 were heated to 90 °C in dimethyl acetamide (DMAc) and held at this temperature for 1 h. In a separate vessel the boronic acid 10 and base were dissolved in water and DMAc and this solution was stirred for 30 min under nitrogen. This was then added to the reaction. Running the reaction in this way gave complete conversion 2 h after the addition of the boronic acid 10 was complete.

We also carried out an experiment to evaluate the stability of the boronic acid and base solution and actually found that the reaction worked better if this solution was stirred at room temperature for 2 h prior to addition. Conversely, using the boronic acid solution immediately after preparation resulted in incomplete reaction. This may be deactivation of the catalyst poison during this time, or hydrolysis of boroxines/anhydrides to the more active monomeric<sup>14</sup> or boronate species,<sup>15</sup> however, we did not examine this any further. To summarise, the Suzuki coupling now consistently goes to completion if the substrate **9** and catalyst are pre-heated to 90 °C and the boronic acid **10** is dosed in after pre-stirring for 2 h with aqueous base. This reaction was then scaled up in the pilot plant on 7.3 kg scale and was complete in 2 h giving an excellent 92 % yield of aldehyde **11**.

The initial development work on the reductive amination of 11 with sodium cyanoborohydride had shown that a low reaction pH was required to stop addition of the product 12 into the intermediate imine 18 resulting in impurity 19. However, at low pH, HCN was produced as a side-product. On smaller scale this was manageable, but we were required to change the conditions for this campaign since scrubbing of HCN from hydrogen-venting vessels is difficult on pilot plant scale.

**Scheme 4.** Reductive amination of **11** and associated impurities.

Gratifyingly, we found that sodium borohydride could be used as a direct replacement for sodium cyanoborohydride if the HCl is omitted. Although this was a promising hit, we did observe higher levels of alcohol 20 (from reduction of the aldehyde 11) at larger lab scales. Since this presented a robustness risk, and 20 would constitute a new unqualified impurity if still present in the API, this was unacceptable. Further work showed that the intermediate imine 18 is insoluble in methanol and can be isolated in very good yield after removal of THF, thus purging any residual aldehyde 11. The clean imine 18 can then be redissolved in methanol and THF and reduced with sodium borohydride as before to give the hydrochloride salt 12. In the previous campaign the aqueous solution of this HCl salt was treated with activated carbon to reduce the palladium to acceptable levels (<20 ppm), so this was incorporated into later experiments when we were assessing the quality of 12 produced by this new route.

Due to a lack of material, development work for the new (S)-camphorsulfonate salt 21 was carried out

on 1 that had been obtained from neutralization of the phosphate salt 13 prepared in the first GMP campaign. It was acknowledged that this material would have a different impurity profile compared to 1 prepared via the new route, but this was unavoidable at this stage of development. From a combination of high-throughput screening and small scale lab experiments, a process was developed wherein an isopropanol (IPA) solution of (S)-camphorsulfonic acid was added to a solution of free base 1 in IPA. Cooling and addition of water then resulted in crystallization of 21 with the desired purity and properties for tablet formulation. When we switched our source of 1 to free base derived from the new route the solution turned brown upon addition of (S)-camphorsulfonic acid, the salt 21 was very reluctant to crystallize and was obtained in very low yield. An extensive set of troubleshooting experiments identified the cause; samples of 1 that had not been carbon treated during the reductive amination performed very poorly in the crystallization, whereas samples which had been carbon treated gave (S)-CSA salt 21 of the desired purity. Fortunately, this was discovered shortly before a planned large labscale run to demonstrate the conditions for transfer to the pilot plant. We therefore ensured that the work-up incorporated the activated carbon treatment, although a suite of analytical tests did not reveal the nature of the impurity or impurities that were causing the inhibition of the crystallisation in this step. When used on pilot plant scale this afforded 6.4 kg of product 12 (76% yield; 12 ppm Pd) which performed well in the salt formation. The remaining steps were carried out uneventfully in the pilot plant to deliver 7.1 kg of 21 in 75% yield from Suzuki product 11.

The final modified synthetic route is shown in Scheme 5.

**Scheme 5.** Modified reductive alkylation route to **21**.

In conclusion, we have developed an improved, robust process for the synthesis of **21**, a novel PARP inhibitor, and demonstrated the scalability of the route through the manufacture of 7.1 kg of API. Key highlights include; thorough understanding of the thermal hazards of the Leimgruber-Batcho indole synthesis, installation of the side-chain through a reductive alkylation procedure, improvements in the

robustness of the Suzuki coupling, removal of hydrogen cyanide generation during the reductive amination and reliable generation of good quality free base for the final salt formation step. The overall yield for the route has been improved from 1.3% to 2.9%.

#### **Experimental section**

General. <sup>1</sup>H NMR spectra were recorded on a Varian 400 MHz spectrometer or Jeol ECS 400 MHz spectrometer. <sup>13</sup>C NMR spectra were recorded on a Jeol ECS spectrometer at 100.5 MHz. Melting points were measured on a Büchi B540 melting point apparatus. Combustion analyses were performed by Warwick Analytical Service, University of Warwick Science Park, The Venture Centre, Sir William Lyons Road, Coventry CV4 7EZ, U.K.

#### 5-Fluoro-2-methyl-3-nitrobenzoic acid methyl ester (3)

To conc. sulfuric acid (349.6 L, 7.6 L/kg) was added 5-fluoro-2-methylbenzoic acid (46.0 kg, 298.4 mol) and the solution was cooled to 0-5 °C. Conc. nitric acid (70% w/w aqueous solution, 23.18 L, 0.50 L/kg, 1.26 eq) was added maintaining the reaction temperature below 40 °C (CAUTION; HIGHLY EXOTHERMIC). The reaction was stirred at 0-5 °C for 30 min before cautiously adding into water (920 L, 20 L/kg) that had been cooled to 0-5 °C, maintaining the temperature below 30 °C. The reaction mixture was then extracted with methyl *tert*-butylether (MTBE) (2 × 460 L, 10 L/kg) at 30 °C and the combined organic layers were washed with water (3 × 460 L, 10 L/kg). The organic layer was then dried over anhydrous sodium sulfate (11.5 kg, 0.25 kg/kg) and filtered, washing the filter cake with MTBE (2.5 L/kg, 115 L). The combined filtrate and washings (555 L) were concentrated by distillation under vacuum at 35-40 °C to remove 310 L of solvent. This concentrate was taken directly into the next stage. To methanol (230 L, 5 L/kg) was added the concentrated MTBE solution from the previous step (note: quantities based on input of 5-fluoro-2-methylbenzoic acid). Conc. sulfuric acid (14.72 L, 0.32 L/kg) was added slowly maintaining the temperature below 40 °C. The reaction mixture was then heated to 65

°C for 24 h. The reaction was cooled to 40-45 °C and methanol (110 L, 2.5 L/kg) was removed by distillation under vacuum. The reaction was cooled to 30-35 °C and water (126.5 L, 2.75 L/kg) was added. The slurry was stirred at 30 °C for 30 mins and then the product was isolated by centrifuge. The filter cake was washed with water (2 × 96.6 L, 2.1 L/kg). The product was unloaded from the centrifuge and reslurried in methanol (105.8 L, 2.3 L/kg) at 50 °C for 20 min. Water (52.9 L, 1.19 L/kg) was added, the slurry was stirred for 1 h at 50 °C and then the product was isolated by centrifuge. The reaction vessel was rinsed with methanol (23.9 L, 0.52 L/kg) at 0-5 °C, and this was used to wash the filter cake. The product was then dried under vacuum at 40 °C to give 3 as a brown solid (32.9 kg, 154.3 mol, 52% yield). M.p. (methanol) 69 °C;  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 2.39 (s, 3H), 3.84 (s, 3H), 7.85 (dd, 1H, J = 2.9, 8.6 Hz), 8.06 (dd, 1H, J = 2.9, 8.6 Hz);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 14.88, 52.86, 114.37 (d, J = 26.8 Hz), 120.37 (d, J = 23.0 Hz), 127.31, 134.76 (d, J = 7.4 Hz), 151.67 (d, J = 8.3 Hz), 158.93 (d, J = 247.9 Hz). 165.33 (d, J = 2.7 Hz).

#### 6-Fluoro-1H-indole-4-carboxylic acid methyl ester (5)

To DMF (87.5 L, 3.5 L/kg) was added **3** (25.0 kg, 117.3 mol) and dimethylformamide dimethylacetal (80 L, 3.2 L/kg). Triethylamine (8.25 L, 0.33 L/kg) was then added and the reaction was heated to 95-100 °C for 4 h (CAUTION; STRICT TEMPERATURE CONTROL REQUIRED). The reaction mixture was cooled to 60 °C and 125 L of solvent was removed by distillation under vacuum (CAUTION; STRICT TEMPERATURE AND VOLUME CONTROL REQUIRED). The reaction mixture was then cooled to 25-30 °C and used directly in the next step without further isolation. Reagent quantities are based on 25 kg (117.3 mol) of **3**. To methanol (160 L, 6.3 L/kg) was added the solution of the enamine **4** in DMF (57.4 kg total weight), sodium acetate (9.65 kg, 117.3 mol, 1.0 eq) and palladium on carbon (10% Pd on carbon, 50% wet Degussa E101 NE/W, 9.5 kg, 0.38 kg/kg). Hydrogen was then applied to the vessel and the reaction was stirred at 30 °C for 4 h (CAUTION-HIGHLY EXOTHERMIC). The reaction mixture was filtered through Hyflo filter aid to remove the

catalyst and the catalyst bed was washed with methanol (2 × 50 L, 2 L/kg). The solution was stripped to dryness under vacuum at 45 °C and dissolved in DCM (200 L. 8 L/kg) The DCM solution was then washed with water (5  $\times$  125 L, 5 L/kg) and dried over sodium sulfate (17.5 kg, 0.7 kg/kg). The solid was removed by filtration and washed with DCM (2 × 50 L, 2 L/kg) and the washings were combined with the filtrate. The filtrate was then stripped to dryness under vacuum at 30-35 °C to give the crude product 5. The crude product was then purified as follows. Crude 5 was redissolved in DCM (50 L, 2 L/kg) and purified by column chromatography using 50 kg of silica gel eluting with DCM (337.5 L, 13.5 L/kg). The product was collected in 4-5 fractions of 62.5-70 L each. The fractions were combined and silica gel (3.75 kg, 0.15 kg/kg) was added. After stirring for 30 min the silica gel was removed by filtration and the filter was washed with DCM ( $2 \times 25$  L, 1 L/kg). The solution was concentrated to 25 L (1 L/kg) and hexane (37.5 L, 1.5 L/kg) was added at 40 °C over 25 min. The solution was cooled to 30 °C and stirred for 3 h before collecting the solid by centrifuge and washing with hexane  $(2 \times 25 \text{ L}, 1 \text{ L/kg})$ . The solid was then dried under vacuum at 45 °C to give 5 as a white crystalline solid (7.30 kg, 37.79 mol, 32% yield). M.p. (hexane) 119 °C;  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>), 3.87 (s, 3H), 6.9 (dd, 1H, J = 0.8, 3.1 Hz), 7.43-7.49 (m, 2H), 7.51 (d, 1H, J = 3.1 Hz), 11.47 (s, br, 1H);  $\delta_C$  (100MHz, DMSO-d<sub>6</sub>) 51.85, 102.05, 102.78 (d, J = 25.8 Hz), 109.49 (d, J = 25.8 Hz), 120.79 (d, J = 9.2 Hz), 123.96, 128.49 (d, J = 3.3 Hz),136.73 (d, J = 12.4 Hz), 157.33 (J = 234.4 Hz), 166.21 (J = 3.2 Hz); Anal. Calcd for  $C_{10}H_8FNO_2$ requires: C 62.18; H 4.17; F 9.83; N 7.25. Found: C 62.08; H 4.21; F 9.85; N 7.27.

#### Phthalimidoacetaldehyde (15)

**14** (45.0 kg, 170.9 mol) was added to aqueous hydrochloric acid (1M, 200 L, 4.45 L/kg) and heated at 70-75 °C for 1 h. Water (225 L, 5 L/kg) was then added and the reaction was cooled to 20 °C and stirred for 12 h. Dichloromethane (450 L, 10 L/kg) was added to the reaction and the phases were separated. The aqueous layer was extracted with a further portion of dichloromethane (112.5 L, 2.5 L/kg) and the layers were separated. The dichloromethane layers were then combined. The solvent was removed by

distillation and replaced with toluene to give a final solvent level of 5 L/kg and the resulting slurry was stirred at 20 °C for 8 h. The product was filtered under pressure, washed with toluene (45 L, 1 L/kg) and dried under vacuum at 50 °C to give **15** as a white solid (20.2 kg, 107 mol, 62% yield). M.p. (toluene) 116 °C;  $\delta_H$  (400MHz, DMSO-d<sub>6</sub>) 4.59 (s, 2H), 7.83-7.90 (m, 4H), 9.56 (s, 1H);  $\delta_C$  (100MHz, DMSO-d<sub>6</sub>) 47.29, 123.27, 131.42, 134.67, 167.23, 196.68.

#### 3-Ethyl-(2-phthalimido)-6-Fluoro-1H-indole-4-carboxylic acid methyl ester (16)

To a solution of **15** (20 kg, 106 mol) and **5** (15.7 kg, 81.3 mol) in dichloromethane (157 L, 10 L/kg), was added triethylsilane (37.8 kg, 325.2 mol, 4 eq). A solution of trifluoroacetic acid (18.5 kg, 12.2 L, 2 eq) in dichloromethane (31.4 L, 2 L/kg) was then added over 30 min maintaining the temperature below 30 °C. The reaction was then stirred for 28 h at 20 °C and the resultant slurry was filtered under pressure. The filter cake was washed with dichloromethane (7.9 L, 0.5 L/kg) and then dried under vacuum at 40 °C to give **16** as a pale yellow solid (12.9 kg, 3.51 mol, 43% yield). M.p. (dichloromethane) 216 °C;  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 3.16 (t, 2 H, J=6.96 Hz) 3.76 (t, 2 H, J=6.96 Hz) 3.92 (s, 3 H) 7.27 (d, br, 1 H, J=2.69 Hz) 7.29 (dd, 1 H, J=10.26, 2.44 Hz,) 7.38 (dd, 1 H, J=9.28, 2.44 Hz) 7.78 - 7.82 (m, 4 H) 11.27 (s, br, 1 H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 26.14, 52.72, 102.31 (d, J = 24.9 Hz), 109.93 (d, J = 25.8 Hz), 112.05, 121.58, 123.44, 124.24 (d, J = 8.8 Hz), 127.96 (d, J = 3.2 Hz), 132.05, 134.81, 138.41 (d, J = 12.0 Hz), 157.5 (d, J = 235 Hz), 167.6 (d, J = 2.8 Hz), 168.19.

#### 8-Fluoro-1.3.4.5-tetrahydro-azepino[5.4.3-cd]indol-6-one (8)

**16** (12.9 kg, 35.1 mol) was added to methylamine (40% solution in water, 90 L, 7 L/kg) and the slurry was stirred at 20 °C for 16 h. Water (90 L, 7 L/kg) was charged to the reaction and the mixture was stirred for 1 h. The solid was then filtered under pressure and washed with water (26 L, 2 L/kg). The solid was then reslurried in water (129 L, 10 L/kg), filtered, washed with water and dried under vacuum at 70 °C to give the product (**8**) as a tan brown solid (6.42 kg, 31.4 mol, 89% yield); M.p. (water) 187

°C;  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 2.86-2.88 (m, 2H), 3.37 (q, 2H, J = 5.6 Hz), 7.22 (s, br, 1H), 7.30 (dd, 1H, J = 2.4, 9.3 Hz), 7.37 (dd, 1H, J = 2.4, 9.3 Hz), 8.11 (t, br, J = 5.6 Hz), 11.13 (s, br, 1H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 27.91, 42.06, 100.82 (d, J = 25.8 Hz), 109.12 (d, J = 25.8 Hz), 114.40, 121.87, 123.72 (d, J = 3.3 Hz), 125.48 (d, J = 8.7 Hz), 136.71 (d, J = 12.4 Hz), 158.21 (d, J = 233.6 Hz), 168.39.

#### 2-Bromo-8-fluoro-1,3,4,5-tetrahydro-azepino[5,4,3-cd]indol-6-one (9)

Dichloromethane (96.3 L, 15 L/kg) and THF (96.3 L, 15 L/kg) were mixed together and **8** (6.42 kg, 31.4 mol) was added. After stirring for 20 min, the slurry was cooled to 0-5 °C. Pyridinium tribromide (11.0 kg, 34.5 mol, 1.1 eq) was then added and the solution was stirred at 0-5 °C for 1 h. Water (64.2 L, 10 L/kg) was then charged and the organic solvents were removed by distillation to give a final volume of 15 L/kg. THF was added (64.2 L, 10 L/kg) and the reaction mixture was added slowly to a solution of saturated aqueous sodium carbonate (481.5 L, 75 L/kg). The resultant slurry was then stirred overnight at 20 °C. The product was filtered under pressure, washed with water (64.2 L, 10 L/kg) and dried under vacuum at 55 °C to give **9** as a beige solid (7.42 kg, 26.2 mol, 83% yield); M.p. (water) 215 °C (decomposition);  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 2.73-2.76 (m, 2H), 3.38 (q, 2H, J = 5.6 Hz), 7.25 (dd, 1H, J = 2.4, 9.0 Hz), 7.40 (dd, 1H, J = 2.4, 9.0 Hz), 8.18 (t, br, J = 5.6 Hz), 12.05 (s, br, 1H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 27.70, 41.22, 100.49 (d, J = 26.2 Hz), 109.08 (d, J = 2.8 Hz), 109.85 (d, J = 25.3 Hz), 114.04, 121.78, 124.88 (d, J = 8.8 Hz), 136.53 (d, J = 12.5 Hz), 158.30 (d, J = 235.0 Hz), 167.87; Anal. Calcd for C<sub>11</sub>H<sub>8</sub>BrFN<sub>2</sub>O: C 46.67; H 2.85; Br 28.22; F 6.71; N 9.90. Found: C 46.81; H 2.82; Br 28.00; F 6.64; N 9.82.

#### 4-(8-Fluoro-6-oxo-3,4,5,6-tetrahydro-1H-azepino[5,4,3-cd]indol-2-yl)-benzaldehyde (11)

1,1'-Bis(diphenylphosphino)ferrocene palladium (II) dichloride dichloromethane (0.539 kg, 0.66 mol, 2.5 mol%) was added to a mixture of degassed dimethylacetamide (119 L, 16 L/kg) and **9** (7.41 kg, 26.2 mol) and stirred for 1 h at 20 °C. The mixture was then heated to 95 °C and stirred for 1 h. In a separate

vessel, 4-formylbenzene boronic acid (4.71 kg, 31.4 mol, 1.2 eq) was dissolved in dimethylacetamide (30 L, 4 L/kg) before adding to an aqueous solution of sodium carbonate (5.6 kg in 89 L of water, 2 eq) and was then stirred for 3 h at 20 °C. The boronic acid solution was then added to the catalyst and substrate solution whilst maintaining the temperature above 90 °C. After stirring for 2 h the reaction was cooled to room temperature and stirred for 4 h. Water (296 L, 40 L/kg) was added and the slurry was stirred for 1 h before filtering the solid under pressure and washing with water (74 L, 10 L/kg) to give crude 11. The product 11 was further purified by resuspending in methanol (59L, 8 L/kg) and stirring at 60 °C for 1 h. The slurry was cooled to 20 °C and stirred for 1 h. The product was then filtered, washed with methanol (15 L, 2 L/kg) and dried under vacuum to give 11 as an olive green solid (7.44 kg, 24.1 mol, 92% yield); M.p. (methanol) 219 °C (decomposition);  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 3.10 (s, br, 2 H), 3.41 (s, br, 2 H), 7.37 (d, 1 H, J = 7.82 Hz) 7.46 (d, 1 H, J = 10.01 Hz), 7.86 (d, 2 H, J = 6.84 Hz), 8.05 (d, 2 H, J = 7.08 Hz), 8.28 (s, br, 1 H), 10.06 (s, br, 1 H) 11.88 (s, br, 1 H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 28.89, 41.71, 100.75, (J = 25.8 Hz), 110.13 (J = 25.8 Hz), 114.23, 122.95, 126.55 (J = 8.3 Hz), 128.14, 129.83, 133.90, 134.75, 137.20, 158.88 (J = 235.9 Hz), 168.12, 192.49.

## 8-Fluoro-2-(4-methylaminomethyl-phenyl)-1,3,4,5-tetrahydro-azepino[5,4,3-cd]indol-6-one hydrochloride salt (12)

To a mixture of methanol (313 L, 43 L/kg) and THF (153 L, 21 L/kg) was added **11** (7.30 kg, 23.7 mol). A solution of methylamine was then added (8 M in ethanol, 2.0 eq, 4.44 kg) and the mixture was stirred for 2 h. The reaction mixture was then concentrated by distillation under atmospheric pressure to approximately 73 L and the solvent was replaced with methanol to give a final solvent volume of 73 L (10 L/kg). The resultant slurry was cooled to 20 °C and stirred for 4 h. The solid was filtered under nitrogen pressure, washed with methanol (37 L, 5 L/kg) and dried under vacuum at 45 °C to give **18** as a solid (6.92 kg, 21.5 mol, 91% yield) which was used directly in the next stage. To a mixture of methanol (298 L, 43 L/kg) and THF (145 L, 21 L/kg) was added **18** (6.92 kg, 21.5 mol) and the slurry was cooled

to 0-5 °C. Sodium borohydride (3.0 eq. 2.44 kg, 64.59 mol) was then added and the reaction mixture was stirred at 0-5 °C for 2 h. The reaction was warmed to 20 °C over 1 h and then added to a mixture of methanol (69 L, 10 L/kg), water (111 L, 16 L/kg) and conc. hydrochloric acid (27.7 L, 4 L/kg) over 1 h. Methanol (35 L, 5 L/kg) was then added as a line wash. Activated carbon (Norit SX plus, 3.46 kg, 0.5 kg/kg) was added to the reaction mixture as a slurry in methanol (45 L, 6.5 L/kg) and the slurry was stirred for 18 h. The mixture was filtered and the filter cake was washed with water (28 L, 4 L/kg), THF (43 L, 6L/kg) and methanol (69 L, 10 L/kg). The filtrate was concentrated by vacuum distillation to a level of 130 L and the resultant slurry was filtered. The filter cake was washed with water (28 L, 4 L/kg) and dried under vacuum at 50 °C to give 12 as an off-white solid (6.44 kg, 17.90 mol, 76% yield). M.p. (water) 293 °C;  $\delta_{\rm H}$  (400MHz, DMSO-d<sub>6</sub>) 2.52 (t, br, 3H, J = 4.6 Hz), 3.0-3.02 (m, 2H), 3.34-3.36 (m, 2H), 4.12 (t, br, 2H, J = 5.0 Hz), 7.32 (dd, 1H, J = 2.4, 9.3 Hz), 7.40 (dd, 1H, J = 2.4, 11.0 Hz), 7.65 (s, 4H), 8.22 (t, br, J = 5.7 Hz), 9.37 (s, br, 1H), 11.83 (s, 1H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 28.74, 31.94, 41.77, 50.80, 100.63 (d, J = 25.8 Hz), 109.67 (d, J = 25.8 Hz), 112.33, 123.03, 126.00 (d, J = 8.8 Hz), 127.90, 130.28, 131.32, 132.10, 134.59, 136.83 (d, J = 12.4 Hz), 158.48 (d, J = 234 Hz), 168.28.

#### 8-Fluoro-2-(4-methylaminomethyl-phenyl)-1,3,4,5-tetrahydro-azepino[5,4,3-cd]indol-6-one (1)

To a solution of aqueous sodium hydroxide (40% w/w, 3.6 kg, 2.0 eq) in water (88 L, 14 L/kg) and methanol (35 L, 5.5 L/kg) was added **12** (6.44 kg, 17.90 mol). Water (90 L, 14 L/kg) was then added and the slurry was stirred for 18 h at 20 °C. The solid was filtered, washed with water (2 × 32 L, 5 L/kg) and dried under vacuum at 50 °C to give **1** as an off-white solid (5.40 kg, 16.70 mol, 93% yield). The product (5.40 kg, 16.70 mol) was further purified by reslurrying in THF (54 L, 10 L/kg) and passing through a high shear wet mill for 1.5 h. The slurry was then heated to 60 °C for 12 h. After cooling to 20 °C the product was filtered under pressure, washed with THF (16.2 L, 3 L/kg) and dried at 45 °C under vacuum to give **1** as a 1:1 THF solvate (5.57 kg, 14.08 mol, 84% yield). M.p. (THF) decomposed at 220 °C; δ<sub>H</sub> (400MHz, DMSO-d<sub>6</sub>) 2.25 (s, 3H), 2.99-3.01 (m 2H), 3.65 (s, 2H), 7.27 (dd, 1H, *J* = 2.4, 9.3

Hz), 7.39 (dd, 1H, J = 2.4, 9.3 Hz), 7.42 (d, 2H, J = 8.5 Hz), 7.53 (d, 2H, J = 8.3 Hz), 8.18 (t, br, 1H, J = 5.7 Hz), 11.60 (s, 1H);  $\delta_{\rm C}$  (100MHz, DMSO-d<sub>6</sub>) 28.74, 35.58, 41.84, 54.74, 100.47 (d, J = 25.8 Hz), 109.44 (d, J = 25.8 Hz), 111.47, 123.19, 125.72 (d, J = 8.8 Hz), 127.55, 128.20, 129.86, 135.38 (d, J = 3.7 Hz), 136.67 (d, J = 12.4 Hz), 140.52, 158.31 (d, J = 233), 168.39.

## 8-Fluoro-2-(4-methylaminomethyl-phenyl)-1,3,4,5-tetrahydro-azepino[5,4,3-cd]indol-6-one (S)-camphorsulfonate salt (21)

To a slurry of 1 (5.32 kg, 13.48 mol) in isopropanol (30 L, 5.5 L/kg) and water (39 L, 7.3 L/kg) was added a solution of (S)-camphorsulfonic acid (3.75 kg, 16.18 mol, 1.2 eq) in water (10.6 L, 2 L/kg). The resultant slurry was then heated to 70 °C and held for 1 h to ensure dissolution. The solution was filtered to remove particulates and then a line wash of water (3.7 L, 0.7 L/kg) and isopropanol (2.1 L, 0.4 L/kg) was added. The solution was cooled to 40 °C, seeded with 21 (0.266 kg, 0.05 eq) and then held at 40 °C for 8 h. The slurry was then cooled to 20 °C, water was added (4 × 13.3 L, 10 L/kg total) and the slurry was cooled further to 0-5 °C and stirred for 12 h. The product was filtered under pressure, washed with water (10.6 L, 2 L/kg) and dried under vacuum at 50 °C to give 21 as a white crystalline solid (7.09 kg, 12.76 mol, 95% yield). M.p. (IPA/water) 303 °C; δ<sub>H</sub> (400MHz, DMSO-d<sub>6</sub>) 0.74 (s, 3H), 1.05 (s, 3H), 1.28 (m, 1H), 1.80 (d, 1H, J = 18.0 Hz), 1.81-1.88 (m, 1H), 1.93 (app t, 1H, J = 4.5 Hz), 2.24 (m, 1H), 2.41 (d, 1H, J = 14.6 Hz), 2.62 (s, 3H), 2.66-2.72 (m, 1H), 2.91 (d, 1H, J = 14.7 Hz), 3.04-3.07 (m, br, 2H), 3.36-3.45 (m, br, 2H), 4.20 (s, 2H), 7.37 (dd, 1H, J = 2.4, 9.3 Hz), 7.44 (dd, 1H, J = 2.4, 11.0 Hz), 7.63 (d, 2H, J = 8.3 Hz), 7.71 (d, 2H, J = 8.3 Hz), 8.26 (t, br, 1H, J = 5.5 Hz), 11.76 (s, 1H);  $\delta_C$ (100MHz, DMSO-d<sub>6</sub>) 19.51, 20.02, 24.14, 26.37, 28.74, 32.28, 41.77, 42.13, 42.22, 46.71, 47.00, 51.06, 58.21, 100.65 (d, J = 25.8 Hz), 109.72 (d, J = 25.8 Hz), 112.41, 123.03, 126.04 (d, J = 8.7 Hz), 127.98, 130.19, 131.22, 132.22, 134.50, 136.83 (d, J = 12.0 Hz), 158.52 (d, J = 235 Hz), 168.27, 216.24.

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