

An efficient and green one-pot synthesis of indazolo [1,2-*b*]-phthalazinetriones via three-component reaction of aldehydes, dimedone, and phthalhydrazide using Fe₃O₄@SiO₂ core-shell nanoparticles

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Abstract An efficient and eco-friendly procedure for the one-pot synthesis of biologically active heterocyclic compounds including indazolo[1,2-*b*]-phthalazine-triones has been developed in the presence of Fe₃O₄@SiO₂ nanoparticles. The multicomponent reactions of aldehydes, dimedone, and phthalhydrazide were carried out under solvent-free conditions to obtain some indazolo[1,2-*b*]-phthalazine-trione derivatives. The present approach provides several advantages such as excellent yields, a simple workup, low reaction times, easy catalyst separation, and little catalyst loading.

Keywords Indazolo[1,2-*b*]-phthalazinetrione · Multicomponent reaction · Solvent-free · Fe₃O₄@SiO₂ · Core-shell

Introduction

Multicomponent reactions (MCRs) are defined as one-pot processes in which three or more substrates merge either simultaneously (so-called tandem or domino reactions), or through a successive addition procedure that does not need any change of solvent. MCRs are acquiring increasing importance, particularly in the total synthesis of natural products and medicinal heterocyclic compounds because of their simplicity, high yield of products, and low reaction times [1, 2]. Phthalazine derivatives have been given considerable attention in recent years because of their wide range of pharmaceutical and biological activities such as antimicrobial [3], anticonvulsant [4], antifungal [5], anticancer [6], and anti-inflammatory [7]. Moreover, these compounds display good agreement as new luminescent materials

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or fluorescence probes [8]. In recent years, the development of new methods for the synthesis of heterocyclic rings containing phthalazine is an interesting challenge and therefore a number of procedures have been reported for the synthesis of phthalazine derivatives [9–12]. The first synthesis of indazolo[1,2-*b*]-phthalazinetriones was reported by Bazgir et al. [13] using *p*-toluene sulfonic acid (*p*-TSA) as a catalyst.

In addition, three-component reactions of dimedone, aldehydes, and phthalhydrazide/urazole have been carried out in the presence of various catalysts such as silica sulfuric acid [14], H₂SO₄ in water–ethanol or an ionic liquid [15], silica-supported poly phosphoric acid [16], Mg(HSO₄)₂ [17], heteropoly acids [18], N-halo sulfonamides [19], sulfonated poly(ethylene glycol) [20], wet cyanuric chloride [21], molecular iodine [22], and nano-silica sulfuric acid [23]. Thus, great attention has been paid to providing new approaches for the synthesis of phthalazinetriones.

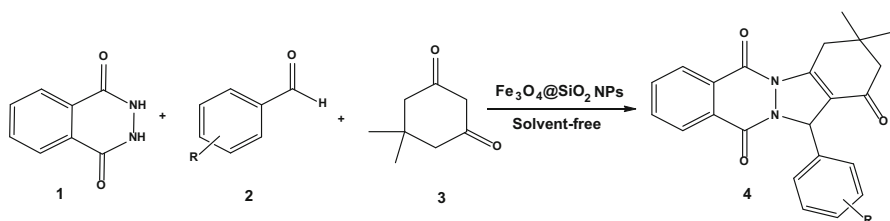
In recent years, nanoscience and nanotechnology are wonderful growing research areas that include the preparation, characterization, and application of nanostructures with diverse shapes and sizes in many chemical transformations. Core–shell nanoparticles have recently been subject to wide research for the combined functionalities of cores and shells, which endow them with many possible application potentials in various fields [24].

Nanomaterials, particular metal nanoparticles (MNPs) and supported magnetic metal nanoparticles (S-MMNPs), have appeared as new classes of nanocatalysts. Some important features of these catalysts are simple separation using an external magnet without the need for filtration, high catalytic activity, low toxicity, and a high degree of chemical stability in various organic solvents [25–28]. Iron has a great deal to offer on the nanoscale, including very potent catalytic properties [29]. Fe₃O₄ coated with silica was commonly applied as the support of metal and non-metal catalysts [30–34].

In the context of our interest in sustainable approaches in the preparation of heterocyclic compounds and in continuing our attempts towards the advancement of effective and environmentally friendly nanocatalysts in organic synthesis [35–41], herein we report a green and mild method for the preparation of indazolo[1,2-*b*]-phthalazinetriones in high yields and short reaction times using Fe₃O₄@SiO₂ core–shell nanoparticles as an effective, green, and reusable catalyst (Scheme 1).

Experimental

Chemicals were purchased from Sigma-Aldrich and Merck in high purity. All of the materials were of commercial reagent grade and were used without further purification. All melting points are uncorrected and were determined in capillary tubes with a Boetius melting point microscope. ¹H NMR and ¹³C NMR spectra were obtained on a Bruker 400-MHz spectrometer with CDCl₃ as solvent using TMS as an internal standard. FT-IR spectrum was recorded on Magna-IR spectrometer 550. The elemental analyses (C, H, N) were obtained from a Carlo ERBA Model EA 1108 analyzer. Powder X-ray diffraction (XRD) was carried out

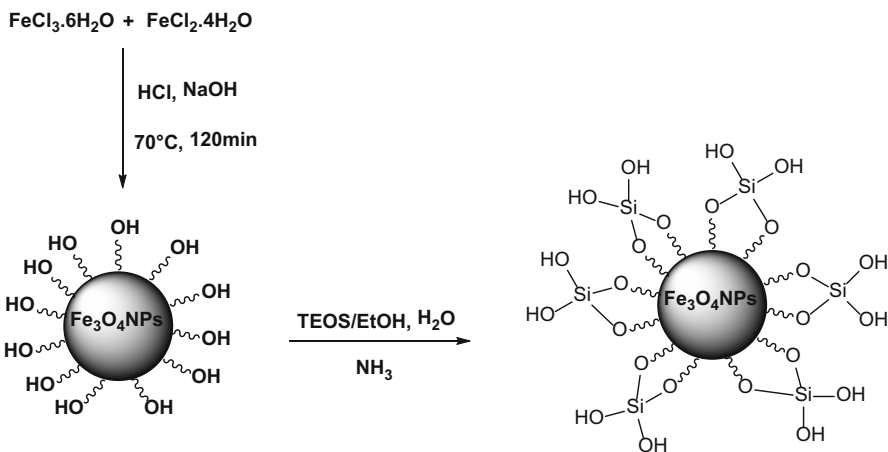


Scheme 1 Fe₃O₄@SiO₂ nanoparticle-catalyzed one-pot synthesis of indazolo[1,2-*b*]-phthalazinetriones derivatives

on a Philips X'pert diffractometer with mono-chromatized Cu-K α radiation ($\lambda = 1.5406 \text{ \AA}$). Microscopic morphology of products was visualized by SEM (LEO 1455VP). The mass spectra were recorded on a Joel D-30 instrument at an ionization potential of 70 eV. Magnetic properties were obtained on a BHV-55 vibrating sample magnetometer (VSM). The compositional analysis was done by energy-dispersive analysis of X-ray.

Preparation of Fe₃O₄ nanoparticles

Fe₃O₄ MNPs were prepared according to a previously reported procedure by Hu et al. [42] using the chemical co-precipitation method. Typically, FeCl₃·6H₂O (2.7 g) and FeCl₂·4H₂O (1 g) were dissolved in 100 ml of 1.2 mmol l⁻¹ aqueous HCl followed by ultrasonic bath for 30 min. Then, 1.25 mol l⁻¹ aqueous NaOH (150 ml) was added under vigorous stirring and a black precipitate was immediately formed. The resulting transparent solution was heated to 80 °C with rapid mechanical stirring under N₂ atmosphere (Scheme 2). After vigorous stirring for 2 h, the black products were centrifuged, filtered out, and washed with deionized water and alcohol several times, and finally dried at 60 °C for 12 h.



Scheme 2 Preparation steps for fabricating Fe₃O₄@SiO₂

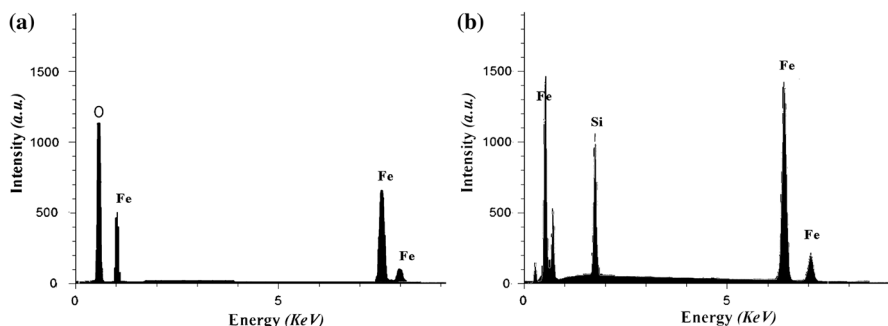


Fig. 1 The EDX spectra of Fe_3O_4 (a) and $\text{Fe}_3\text{O}_4@\text{SiO}_2$ NPs (b)

Preparation of $\text{Fe}_3\text{O}_4@\text{SiO}_2$ nanoparticles

$\text{Fe}_3\text{O}_4@\text{SiO}_2$ core-shell particles were prepared via a modified Stöber sol-gel process [43]. Thirty milligrams as-prepared Fe_3O_4 submicrospheres were ultrasonically dispersed in a solution containing 160 ml of ethanol, 40 ml of water, and 10 ml of concentrated ammonia (28 wt%). Then, 0.4 ml of TEOS was added dropwise to the solution under sonication, followed by mechanical stirring for 3 h at room temperature. Subsequently, the resulting particles were separated using a magnet and washed with deionized water and ethanol. The step was repeated several times before drying at 60 °C for 12 h.

The chemical purity of the samples as well as their stoichiometry was tested by EDAX studies. The EDAX spectra given in Fig. 1a shows the presence of Fe and O as the only elementary components of Fe_3O_4 NPs. EDAX spectrum $\text{Fe}_3\text{O}_4@\text{SiO}_2$ (Fig. 1b) shows the elemental compositions are (Fe, Si and O) of core-shell nanoparticles.

In order to study the morphology and particle size of Fe_3O_4 nanoparticles, an SEM image of Fe_3O_4 nanoparticles is presented in Fig. 2a. These results show that spherical Fe_3O_4 NPs were obtained with an average diameter of about 20–30 nm as confirmed by X-ray line broadening analysis. Figure 2b shows that $\text{Fe}_3\text{O}_4@\text{SiO}_2$ nanoparticles still keep the morphological properties of Fe_3O_4 except for a slightly larger particle size and smoother surface, where silica are uniform coated on the Fe_3O_4 particles to form a silica shell in compared to the $\text{Fe}_3\text{O}_4@\text{SiO}_2$.

The X-ray diffraction patterns of Fe_3O_4 and $\text{Fe}_3\text{O}_4@\text{SiO}_2$ are shown in Fig. 3. The position and relative intensities of all peaks conform well with the standard XRD pattern of Fe_3O_4 with P63mc group (JCDPS No. 75-0449), indicating retention of the crystalline cubic spinel during functionalization of MNPs. The characteristic peak of SiO_2 in core shell structure has been hidden under a weak peak of Fe_3O_4 at $2\theta = 30$. The average MNPs core diameter was calculated to be 25 nm from the XRD results by Scherrer's equation, $D = k\lambda/b\cos\theta$ where k is a constant (generally considered as 0.94), λ is the wavelength of Cu-K α (1.54 Å), b is the corrected diffraction line full-width at half-maximum (FWHM), and θ is Bragg's angle.

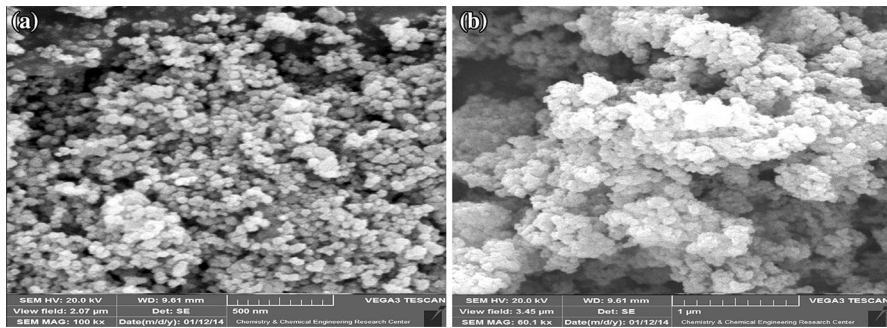


Fig. 2 SEM images of Fe₃O₄ (a) and Fe₃O₄@SiO₂ NPs (b)

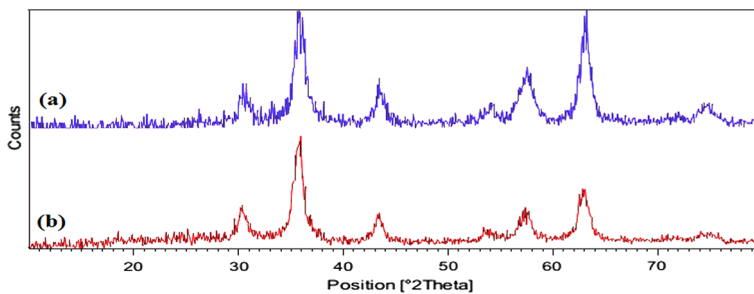


Fig. 3 XRD patterns of Fe₃O₄ (a) and Fe₃O₄@SiO₂ NPs (b)

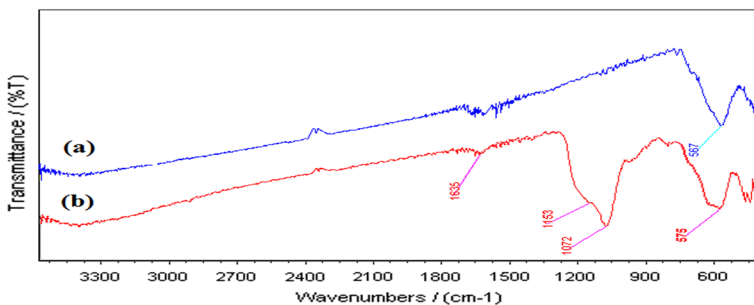


Fig. 4 The comparative FT-IR spectra of Fe₃O₄ (a) and Fe₃O₄@SiO₂ NPs (b)

Figure 4 shows the FT-IR spectrum for the samples of Fe₃O₄ NPs and Fe₃O₄@SiO₂ microspheres catalysts. For the bare magnetic nanoparticle (Fig. 3a), the vibration band at 575 cm⁻¹ is the typical IR absorbance induced by structure Fe–O vibration. In the case of Fe₃O₄@SiO₂ nanoparticles (Fig. 3b), the band at 1,072 cm⁻¹ corresponds to Si–O–Si antisymmetric stretching vibrations, being indicative of the existence of SiO₂ in the nanoparticles.

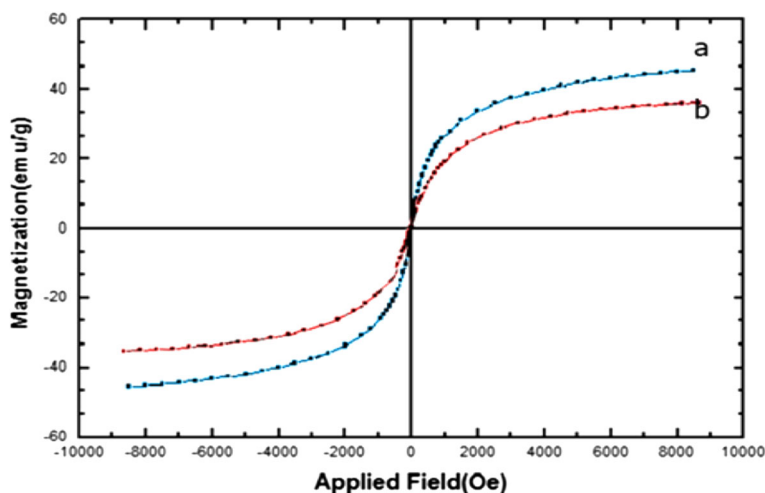


Fig. 5 Magnetization curves for the prepared of Fe_3O_4 (a) and $\text{Fe}_3\text{O}_4@\text{SiO}_2$ NPs (b)

The magnetic properties of the samples containing a magnetite component were studied by a vibrating sample magnetometer (VSM) at 300 K. Figure 5 shows the absence of hysteresis phenomenon and indicates that all of the products have superparamagnetism at room temperature. The saturation magnetization values for Fe_3O_4 (a) and $\text{Fe}_3\text{O}_4@\text{SiO}_2$ (b) were 48.12 and 38.16 emu/g, respectively. These results indicate that the magnetization of Fe_3O_4 decreased considerably with the increase of SiO_2 .

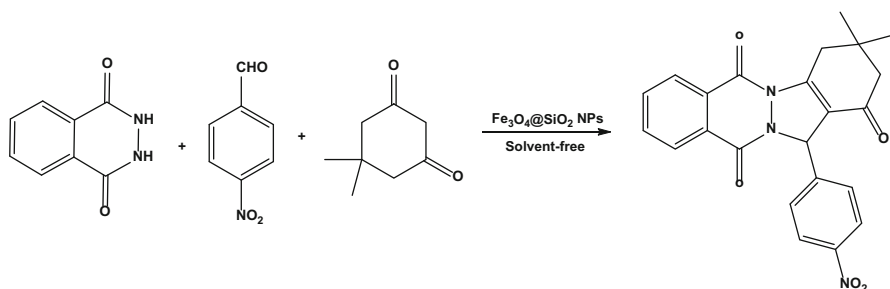
General procedure for the preparation of indazolo[1,2-*b*]-phthalazinetriones

A mixture of dimedone (0.14 g, 1 mmol), phthalhydrazide (0.16 g, 1 mmol), aldehyde (1 mmol), and $\text{Fe}_3\text{O}_4 @ \text{SiO}_2$ (0.023 g, 0.8 mmol, 8 mol%) was heated at 120 °C for 10–20 min. After completion of the reaction as indicated by TLC, the reaction mixture was cooled to room temperature and the solid obtained was dissolved in dichloromethane; the catalyst was insoluble in CH_2Cl_2 and separated by using an external magnet. The solvent was evaporated and the residue was recrystallized from ethanol to afford the pure indazolo[1,2-*b*]-phthalazinetriones.

All the products were identified with m.p., ^1H NMR, ^{13}C NMR, and FT-IR spectroscopy techniques. Spectral data of the new products are given below.

*3,4-Dihydro-3,3-dimethyl-13-(4-cyano-phenyl): 2H-indazolo[2,1-*b*]-phthalazine-1,6,11-(13H)-trione (4p)*

Yellow powder; m.p. = 261–263 °C; ^1H NMR (400 MHz, CDCl_3) 1.21 (3H, s), 1.24 (3H, s), 2.12 (2H, s), 3.26–3.30 (2H, m), 6.42 (1H, s), 7.32–8.41 (8H, m). ^{13}C NMR (100 MHz, CDCl_3): 27.3, 28.3, 35.1, 39.2, 51.1, 65.3, 112.4, 119.1, 126.3, 127.7, 128.4, 128.6, 129.3, 130.1, 132.5, 137.4, 137.9, 150.9, 155.2, 157.1, 193.2.



Scheme 3 The model reaction for the synthesis of indazolo[1,2-*b*]-phthalazinetriones in the presence of Fe₃O₄@SiO₂ NPs

FT-IR (KBr, cm⁻¹): 2,952(C–H), 1,655 (C=O), 1,631 (C=C), 1,463 (C=C, Ar), 2,180 (C≡N), Anal. Calcd. For C₂₄H₁₉N₃O₃: C 72.53, H 4.82, N 10.57. Found C 72.63, H 4.74, N 10.49.; MS (EI) (*m/z*): 397.14 (M⁺).

*3,4-Dihydro-3,3-dimethyl-13-(4-formyl-phenyl)-2H-indazolo[2,1-*b*]-phthalazine-1,6,11-(13*H*)-trione(4*q*)*

Yellow powder; m.p. = 265–267 °C; ¹H NMR (400 MHz, CDCl₃): 1.22 (3H, s), 1.23 (3H, s), 2.32 (2H, s), 3.24–3.32 (2H, m), 6.43(1H, s), 7.25–8.29 (8H, m). ¹³C NMR (100 MHz, CDCl₃): 27.9, 28.2, 33.2, 38.6, 51.2, 63.5, 119.1, 121.8, 126.6, 127.9, 128.3, 128.5, 129.4, 130.9, 132.4, 133.7, 136.2, 152.1, 155.6, 157.3, 193.4, 197.3. FT-IR (KBr, cm⁻¹): 2,957 (C–H), 1,702(C=O), 1,662 (C=O), 1,632 (C=C), 1,552 (C=C, Ar); Anal. Calcd. For C₂₄H₂₀N₂O₄: C 71.99, H 5.03, N 7.00. Found C 71.92, H 5.08, N 7.08.; MS (EI) (*m/z*): 400.14 (M⁺).

Results and discussion

In this research, in order to determine the optimized reaction conditions, we selected the reaction of 4-nitrobenzaldehyde, phthalhydrazide, and dimedone as a model study in the presence of Fe₃O₄@SiO₂ core-shell nanoparticles (Scheme 3).

Initially, to show the merits of the present work, a comparative study of the catalytic efficiency of Fe₃O₄@SiO₂ NPs with other nanocatalysts was examined in the model study. As shown in Table 1, it was observed that Fe₃O₄@SiO₂ exhibited high activity in comparison with other nanocatalysts and the corresponding product 4b was performed in excellent yield and short reaction time. It seems that a high surface area and better dispersion of nanoparticles in the reaction mixture are reasons for better activities of Fe₃O₄@SiO₂ NPs.

Afterwards, the optimization of catalyst amounts was carried out in the model study using different amounts of the Fe₃O₄@SiO₂ NPs. As shown in Table 1, 8 mol% of the magnetic Fe₃O₄@SiO₂ NPs and solvent-free conditions are the best operative experimental conditions. Increasing the amount of catalyst does not improve the yield of the product any further, whereas decreasing the amount of

Table 1 The model study catalyzed in the presence of various catalysts

Entry	Catalyst (mol%)	Catalyst loading (mol%)	Time (min)	Yield (%) ^a
1	None	–	120	None
2	ZnO NPs	15	45	60
3	CuI NPs	15	50	65
4	MgO NPs	15	120	40
5	Fe ₃ O ₄ NPs	15	40	70
6	AgI NPs	15	50	60
7	CaO NPs	15	140	35
8	<i>MCM-41</i>	15	25	78
9	Fe ₃ O ₄ -SiO ₂	15	12	96
10	Fe ₃ O ₄ -SiO ₂	10	12	96
11	Fe₃O₄-SiO₂	8	12	96
12	Fe ₃ O ₄ -SiO ₂	5	20	90
13	Fe ₃ O ₄ -SiO ₂	2	35	60

Reaction conditions: phthalhydrazide (1 mmol), dimedone (1 mmol) and 4-nitro-benzaldehyde (1 mmol) under solvent-free conditions at 120 °C

^a Isolated yields

Bold value indicates best result

catalyst leads to a decrease in the product yield. Hence, the optimum concentration of Fe₃O₄@SiO₂ was chosen as 8 mol% in the model reaction.

In continuation of this research, the model study was performed several times in order to provide the optimum ratio of the reactants. We observed that using aldehyde, dimedone, and phthalhydrazide in a 1:1:1 mol ratio afforded the product in excellent yields and short reaction times.

To study the effect of temperature in the reaction of 4-nitro-benzaldehyde, phthalhydrazide, and dimedone, the model study was carried out under various temperatures. The resulting Table 2 shows that by increasing temperatures from room temperature to 140 °C, the yield of formation of product was increased. Among different temperatures, 120 °C was chosen as the optimum temperature. A further increase in temperature from 120 to 140 °C in the mentioned reaction had no significant effect on the time and product yield.

In another effort to investigate the effect of solvent and as well as solvent-free conditions, we carried out a test reaction in the presence of various solvents under reflux conditions and the results are presented in Table 3. It is observed that excellent results were obtained under solvent-free conditions at 120 °C using Fe₃O₄@SiO₂ as a catalyst.

The significant results of the above-mentioned experiments prompted us to investigate the catalytic activity of Fe₃O₄@SiO₂ in the synthesis of indazolo[1,2-*b*]-phthalazinetrione derivatives. Thus, we used optimized reaction conditions in the presence of Fe₃O₄@SiO₂ NPs to produce various indazolo[1,2-*b*]-phthalazinetriones.

A series of experiments were carried out and as a result of these we prepared a number of indazolo[1,2-*b*]-phthalazinetriones in high yields and short reaction

Table 2 Effect of temperature for the preparation of indazolo[1,2-*b*]-phthalazinetriones using Fe₃O₄@SiO₂

Entry	T (°C)	Time (min)	Yield (%) ^a
1	r.t	120	30
2	50	80	50
3	80	45	60
4	100	25	70
5	120	12	96
6	140	12	96

Reaction conditions: phthalhydrazide (1 mmol), dimedone (1 mmol) and 4-nitro-benzaldehyde (1 mmol), Fe₃O₄@SiO₂ 8 mol% under solvent-free conditions at 120 °C

^a Isolated yields

Bold value indicates best result

times. We observed that various aryl aldehydes could be introduced in high efficiency and produce high yields of products in high purity.

As seen from Table 4, aromatic aldehydes with electron-withdrawing groups such as NO₂ and Cl reacted faster than those with electron-releasing groups such as isopropyl and methoxy as expected; also sterically hindered aromatic aldehydes required longer reaction times.

To study the scope of this procedure, we next used a diversity of aldehydes to investigate three component reactions under the optimized conditions. Fe₃O₄@SiO₂ NPs was thus shown to be an effective catalytic system, which gave the desired products in excellent yields.

The reusability of the catalyst was examined by repeating the model reaction using Fe₃O₄@SiO₂ nanoparticles under optimized reactions. The results of these experiments showed that the catalytic activity of nano Fe₃O₄@SiO₂ did not decrease significantly even after five catalytic cycles (Table 5).

Proposed mechanism

A plausible mechanism for the synthesis of 2*H*-indazolo[2,1-*b*]-phthalazine-1,6,11-trione(13*H*)-trione using Fe₃O₄@SiO₂ NPs is shown in Scheme 4. On the basis of our experimental results together with some literature [22, 44, 45], it is likely that Fe₃O₄@SiO₂, as a Lewis acid, increases the electrophilicity of the carbonyl groups on the aldehyde and dimedone by means of a strong coordinate bond.

The first step is believed to be the Lewis acid-catalyzed Knoevenagel condensation between the aldehyde and dimedone to generate adduct **A**, which acts as a Michael acceptor. The phthalhydrazide attacks adduct **A** in a Michael-type fashion to produce an open-chain intermediate **B**. Intermediate **B** undergoes intramolecular cyclization by the reaction of nucleophilic amino function to carbonyl group followed by dehydration to form 2*H*-indazolo[2,1-*b*]-phthalazine-1,6,11-trione(13*H*)-trione.

Table 3 Effect of solvents on the model reaction in the presence of Fe₃O₄@SiO₂ NPs

Entry	Solvent	Time (min)	Yield (%) ^a
1	EtOH	110	40
2	DMF	120	50
3	H ₂ O	130	35
4	CH ₃ CN	120	45
5	Toluene	240	25
6	Solvent-free	12	96

Reaction conditions: phthalhydrazide (1 mmol), dimedone (1 mmol) and 4-nitro-benzaldehyde (1 mmol) using 8 mol% of Fe₃O₄@SiO₂

^a Isolated yields

Bold value indicates best result

Table 4 Preparation of indazolo[1,2-*b*]-phthalazinetriones using Fe₃O₄@SiO₂ NPs under solvent-free conditions

Entry	R	R'	Product	Time (min)	Yield (%) ^a	M.p. (°C)	Lit. M.p. (°C)
1	H	CH ₃	4a	16	91	208–210	207–209 [10]
2	4-NO ₂	CH ₃	4b	12	96	220–221	223–225 [10]
3	4-Cl	CH ₃	4c	10	95	262–264	262–264 [10]
4	4-Br	CH ₃	4d	14	93	258–260	265–267 [10]
5	3-NO ₂	CH ₃	4e	17	92	269–272	270–272 [10]
6	4-OH	CH ₃	4f	20	88	258–260	265–266 [15]
7	4-OCH ₃	CH ₃	4g	18	85	218–220	218–220 [12]
8	4-Isopropyl	CH ₃	4h	20	85	196–197	195–197 [46]
9	3-CH ₃	CH ₃	4i	17	87	232	232–233 [15]
10	3-CF ₃	CH ₃	4j	12	94	214	213–215 [15]
11	2,4-Cl ₂	CH ₃	4k	15	92	220	219–221 [13]
12	4-(CH ₃) ₂ N	CH ₃	4l	16	88	256	255–257 [12]
13	3-OH	CH ₃	4m	20	85	267	266–268 [47]
14	4-F	CH ₃	4n	15	94	224–226	217–219 [10]
15	4-CH ₃	CH ₃	4o	17	91	227–228	227–229 [10]
16	4-CN	CH ₃	4p	14	94	213–215	– ^b
17	4-CHO	CH ₃	4q	15	92	233–234	– ^b

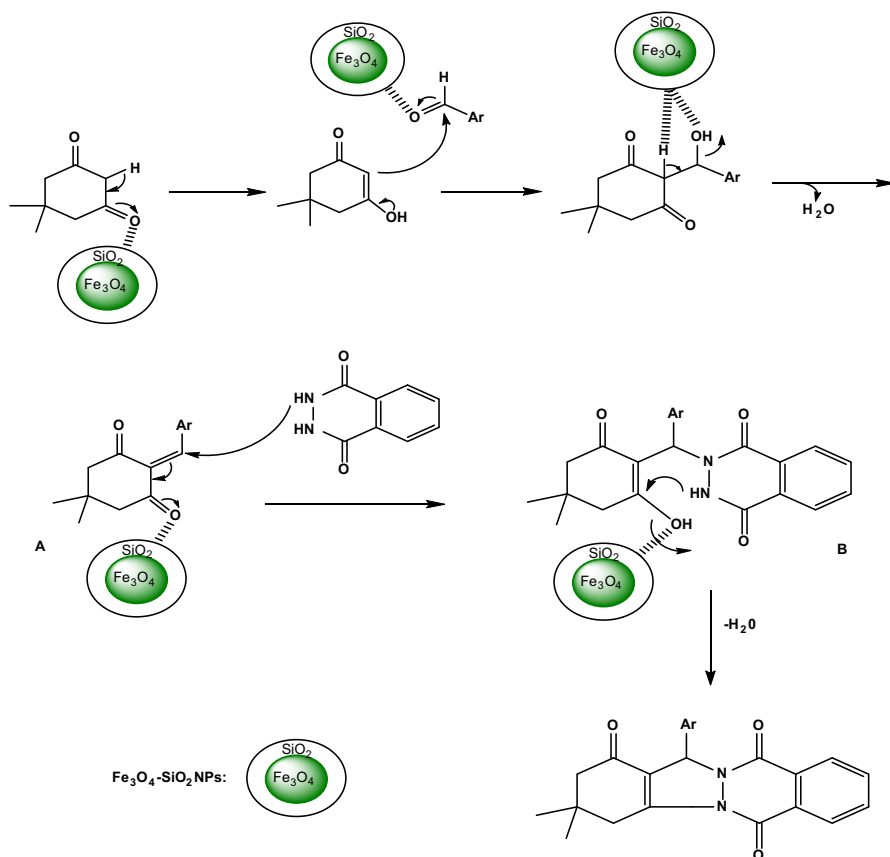
^a Isolated yield

^b New products

Table 5 The catalyst reusability for the synthesis of indazolo[1,2-*b*]-phthalazinetriones

Cycle	First	Second	Third	Fourth	Fifth
Yield (%) ^a	96	94	93	89	87

^a Yields refer to the isolated pure product



Scheme 4 Proposed mechanism for the synthesis of indazolo[1,2-*b*]-phthalazinetriones catalyzed by Fe₃O₄@SiO₂ NPs

Conclusions

In summary, an efficient protocol for the one-pot preparation of 2*H*-indazolo[2,1-*b*]-phthalazine-1,6,11(13*H*)-trione derivatives from the three-component condensation reaction of phthalhydrazide, dimedone, and aromatic aldehydes using Fe₃O₄@SiO₂ nanoparticles as a commercially available, environmental friendly and reusable catalyst was described. The reactions were carried out under thermal solvent-free conditions in short reaction times and produced the corresponding products in good to excellent yields. The catalyst could also be successfully recovered and recycled at least for five runs without any significant loss in activity. The one-pot nature and the use of heterogeneous solid acid as an eco-friendly catalyst make it an interesting alternative to multi-step approaches.

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