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Copper-Gold Interactions Enhancing Formate Production from Electrochemical CO₂ Reduction

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electrocatalytic properties but are underexplored. Herein we show that interactions with Au can turn Cu, which by itself is neither selective nor active for electrochemical CO₂ reduction to formate, into a much improved electrocatalyst for the same reaction. Our Cu/Au catalyst produces formate in a significant yield at -0.4 V vs. the reversible hydrogen electrode in a near-neutral electrolyte and achieves a partial current density of 10.4 mA cm⁻² and a Faradaic efficiency of 81% at -0.6 V, which is 15 times more active and 4 times more selective than the control Cu catalyst derived in the same way but without Au. Electrochemical and spectroscopic studies reveal that the metal-metal interactions in the Cu/Au catalyst lead to the disappearance of Au's characteristic electrocatalytic activity for reducing CO₂ and for oxidizing CO and to the stabilization of Cu¹⁺ species on the Cu surface at CO₂ reduction potentials. Enhanced formate production from CO₂ electroreduction is now unlocked for the Cu-Au bimetallic system, implicating vast possibilities to improve electrocatalytic reactivity utilizing metal-metal interactions.

Keywords: CO₂ reduction, electrocatalysis, metal-metal interactions, copper-gold, formateselective

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Artificial carbon fixation is a sustainable way to produce organic chemicals and to control the atmospheric CO₂ level, which could help alleviate the resource shortage and global warming issues¹. In principle, the process involves reducing CO₂ with high-energy electrons from various sources, for example, from oxidation of H₂O or H₂S as in the natural processes of photosynthesis and chemosynthesis, or from H₂ as in the chemical industry¹. Electrochemical CO₂ reduction, because of its mild and controllable working conditions and its compatibility with renewable energy sources, is a promising CO₂ fixation technique². Formate, as a 2-electron reduction product from CO₂, is easily accessible and valuable³. Typical formate-selective CO₂ reduction electrocatalysts include some Group 14 and 15 metals, Pd and their alloys⁴⁻⁹. Sn, Pb and Bi are known for high formate selectivity (Faradaic efficiency, FE > 90%; all selectivity values are referenced to FE unless otherwise noted) but often times require potentials as negative as -1 V vs. the reversible hydrogen electrode (RHE; all potentials are referenced to the RHE unless otherwise noted)¹⁰⁻¹⁶; Pd can reduce CO₂ to formate with nearly zero overpotential, though the stability is seriously harmed by CO poisoning⁹.

Among all the electrocatalysts for CO₂ reduction, Cu metal is perhaps the only one that can reduce CO₂ to many products including CO, formate, CH₄, C₂H₄ and alcohols across a wide potential range. However, Cu itself is not selective to any of these products, and the overall CO₂ conversion efficiency is often compromised by the hydrogen evolution reaction (HER). For example, a polycrystalline Cu mesh catalyzes CO₂ reduction to CH₄, C₂H₄, CO and some liquid products with a total FE < 70% at - 0.96 V¹⁷; oxide-derived Cu can generate 33% formate and 40% CO at -0.55 V ¹⁸. Various approaches have been taken to improve the selectivity and activity toward a single product¹⁹⁻²⁴. For example, S-modified Cu nanoparticles achieved 80% formate at -0.8 V²⁰; Cu foil treated with O₂ plasma produced 60% ethylene at -0.9 V²⁴; Oxide-derived Cu nanowires on Cu mesh were 61.8% selective for producing CO at -0.4 V²⁵.

Metal-metal interactions play important roles in electrocatalysis. For example, the HER activity of Pd-based bimetallic systems was enhanced by optimizing the H adsorption energy via the interactions between the Pd overlayer and the metal substrate²⁶. Research on Pt₃M (M=Ni, Co, Fe, Ti, V) demonstrated the effects of alloying on surface adsorbates and electrocatalytic O₂ reduction²⁷. More recently, bimetallic electrocatalyst systems have been studied for CO₂ reduction²⁸⁻³¹. A study of Aucoated Cu foil revealed that CO spillover from Au to Cu surface activates CO₂ reduction to ethanol and

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n-propanol at lower overpotentials²¹. A CuSn₃ material exhibited high formate selectivity (95%) at -0.5 V vs. RHE³². Our previous studies also demonstrated metal-metal interactions tuning CO₂ reduction catalysis: Pd on polycrystalline Cu induced continuous surface reconstruction and stabilized the CH_4/C_2H_4 production by Cu¹⁷; a single Pb-Pd electrocatalyst showed bifunctional activity for CO₂-formate interconversion due to reversible reconstruction under controlled electrochemical conditions³³. Despite these progresses, it remains interesting and challenging to discover new metal-metal interactions leading to more desirable catalytic properties.

Herein, we report a new phenomenon of metal-metal interactions between Cu and Au, where the Au acts as a promoter to the Cu catalyzing electrochemical CO_2 reduction to formate (Figure 1). Our Cu/Au catalyst derived from a physical mixture of CuO and Au nanoparticles is able to produce formate in a significant yield at -0.4 V vs. RHE. Compared to CuO-derived Cu, it shows 15 times enhanced formate production, with the partial current density increased from 0.67 to 10.4 mA cm⁻² and the FE elevated to over 80% at -0.6 V. This represents the most active CO_2 -to-formate electrocatalyst with Cu as the primary component. The chemical states and properties of the surface Au and Cu sites are substantially modified as a result of the metal-metal interactions. The originally CO-selective Au nanoparticles are fully deactivated from producing CO; their characteristic electrochemical CO oxidation behavior is also absent. In addition, the interactions render more Cu¹⁺ sites under the CO₂ reduction conditions, which may be related to the higher selectivity and activity for formate production.



Figure 1. Schematic illustration of CO_2 reduction on the Cu/Au catalyst. The metal-metal interactions promote formate production on Cu and deactivate CO formation on Au.

The CuO and Au nanoparticles were synthesized separately following previously published

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methods with slight modifications (see Supporting Information, SI)³⁴⁻³⁵. Their X-ray diffraction (XRD) patterns (Figure S1a) match well with CuO (ICSD # 69758) and Au (ICSD # 44362), respectively. The CuO and Au nanoparticles have average sizes of 8 nm and 7 nm, respectively, as confirmed by the broadened diffraction peaks and the electron microscopy images (Figure S1b, c). Loaded on carbon fiber paper and tested in CO₂-saturated 0.5 M KHCO₃ aqueous electrolyte, the Au nanoparticles show high selectivity (> 80% FE) for CO between -0.5 V and -0.7 V (Figure S2a, b), which agrees with previously published results on Au nanoparticle electrocatalysts³⁶. The CuO nanoparticles, which were electrochemically reduced to Cu metal prior to the CO₂ reduction measurement¹⁸, exhibit potential-dependent selectivity changes characteristic for Cu (Figure 2a)³⁷. Between -0.4 V and -0.6 V, H₂ and CO account for nearly all the reduction current. As the working electrode potential is switched stepwise to the more negative direction, C₂H₄ and CH₄ emerge in sequence. Liquid products are generated between -0.6 V and -1.0 V, as implied by the < 100% total FE of all the gas products. More than 40% of the electrons go to the HER across the entire potential range.

The Cu/Au catalyst was prepared by loading a mixture of the CuO and Au nanoparticles (8:1 mass ratio) on a carbon fiber paper electrode followed by an electrochemical pre-reduction. Compared to the Cu catalyst, this catalyst shows comparable partial current densities (Figure S3) but much lower FEs (Figure 2b) for H₂ and CO in the potential range between -0.4 V and -0.8 V. At -0.6 V, FE (H₂) and FE (CO) are 21.8% and 3.5%, respectively, indicating significant conversion of CO_2 to liquid product(s). To accumulate and quantify the liquid product(s), CO₂ reduction electrolysis was performed at -0.6 V. Formate was detected as the only product in the electrolyte (Figure S4). The Cu/Au catalyst shows an 81% selectivity for formate with a partial current density of 10.4 mA cm⁻². Compared to Cu, which exhibits a FE (formate) of 19% and a partial current density of 0.67 mA cm⁻² at -0.6 V, the Cu/Au catalyst is 4 times higher in selectivity and 15 times higher in activity (i.e. current density) for reducing CO_2 to formate. The onset potential for formate production is at least 200 mV more positive (Figure 1a, b). In terms of overpotential, selectivity and reaction rate, this Cu/Au catalyst is competitive among formate-selective CO₂ reduction electrocatalysts (Table S1). Among all catalysts with Cu as the primary component, it is arguably the most active one reported to date. This is very different from previously reported Cu-Au bimetallic alloy nanoparticles where CO₂ reduction to CO was optimized while formate production remained at <5% FE, implying totally different metal-metal interactions in these two

systems³⁸. As the Cu/Au ratio is varied (with CuO/Au mixing ratios of 16:1 and 4:1, denoted as Cu/Au-A and Cu/Au-B, respectively), the FE of formate and its partial current density both change but remain much higher compared to those for the pure Cu catalyst (Figure 2c, d), supporting the positive effects of the Cu-Au interactions. In a 4-hour long electrolysis at -0.6 V, the Cu catalyst only maintained a total current density of 3.6 mA cm⁻² with an averaged formate FE of 19% (Figure 2e). In contrast, 12 mA cm⁻² with 79% formate selectivity was measured for the Cu/Au catalyst (Figure 2f).



Figure 2. CO₂ reduction performance of the Cu/Au catalyst in CO₂-saturated 0.5 M KHCO₃. (a-b): Potentialdependent gas-phase product distribution for (a) Cu and (b) Cu/Au. (c-d): (c) FE distribution and (d) partial

current densities of products for CO_2 reduction catalyzed by Cu, Cu/Au, Cu/Au-A and Cu/Au-B at -0.6 V. (e-f): 4-hour stability test for CO_2 reduction catalyzed by (e) Cu and (f) Cu/Au at -0.6 V. The average formate FEs were quantified after CO_2 reduction electrolysis.

To understand the origins of the unprecedented enhancement in electrocatalytic activity and selectivity of Cu by Au for CO₂ reduction to formate, we performed structural characterizations for the Cu/Au catalyst after the pre-reduction step. XRD shows that it is a mixed phase of Cu and Au without forming any Cu-Au alloy (Figure S5a). The catalyst manifests a morphology of coalesced particles with Au nanoparticles distributed in the Cu matrix reduced from CuO (Figure S5b, d-f), which is different from the original CuO and Au nanoparticles. The bulk Cu:Au atomic ratio was measured to be 95:5 (Figure S5c). X-ray photoelectron spectroscopy (XPS) depth profiling indicates that the two elements co-exist on the surface (Figure S5g). Both the microstructure and the electrochemical surface areas of the Cu/Au catalyst are similar to the Cu catalyst (Figure S6), implying that the CuO reduction process is not altered by the presence of the Au nanoparticles.

Au is well known for its electrocatalytic activity for selective CO₂ reduction to CO. However, in our Cu/Au catalyst, the Au component does not manifest its intrinsic activity. We thereby propose that the surface Au sites are deactivated because of their interactions with Cu. This hypothesis is further supported by our electrochemical CO oxidation studies. Measured in 0.5 M aqueous KHCO₃, the Au nanoparticles clearly exhibit the characteristic activity of Au metal toward electrochemical oxidation of CO at around 1.1 V in both the forward and reverse scans (Figure 3a)³⁹. In contrast, this CO oxidation behavior is absent for the Cu/Au catalyst despite the presence of the redox waves associated with Cu (Figure 3b). The other Cu/Au catalysts with different Cu:Au ratios do not show CO oxidation activity either (Figure S7), confirming that the deactivation of Au is caused by the presence of the Cu component in the catalyst structure. Note that the Au nanoparticles were synthesized in the presence of cetyltrimethylammonium bromide. The surface ligand may have played a role in the metal-metal interactions⁴⁰, which warrants further investigation.

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Figure 3. Cyclic voltammograms of (a) Au nanoparticles and (b) the Cu/Au catalyst in 0.5 M KHCO₃ saturated with N_2 or CO.

Quasi-in-situ XPS⁴¹⁻⁴³ was performed to study the surface structure of the Cu/Au catalyst. The surface Au sites are in the Au⁰ state, as evidenced by the binding energy of the Au 4f electrons (Figure 4a). The binding energy of the Cu 2p electrons and the absence of their satellite peaks demonstrate that the Cu²⁺ from the original CuO nanoparticles has been fully reduced (Figure 4b). Given that Cu¹⁺ and Cu⁰ cannot be distinguished in the Cu 2p XPS spectrum (Figure S8), we acquired the Cu LMM Auger spectrum to analyze the oxidation state. While the surface of the Cu catalyst is dominated by Cu⁰, the Cu/Au catalyst contains a significant number of Cu¹⁺ sites (Figure 4c). Further experiments show that the oxidation states of the Au and Cu sites are retained after long-term electrolysis (Figure S9). These results suggest that the Cu-Au metal-metal interactions stabilize Cu¹⁺ on the surface under reducing potentials, which is likely related to the enhanced performance for CO₂ reduction^{18, 44}.



Figure 4. (a) Au 4f XPS spectra of the Cu/Au catalyst and Au nanoparticles. (b) Cu 2p XPS spectra and (c) Cu LMM auger spectra of the Cu/Au and Cu catalysts.

In summary, we have developed a formate-selective CO_2 reduction electrocatalyst based on an intimate mixture of Cu and Au nanoparticles. The metal-metal interactions make the Cu 15 times more active and 4 times more selective toward CO_2 conversion to formate; the Au is deactivated for converting CO_2 to CO. These findings highlight the promise of utilizing inter-nanoparticle metal-metal interactions to improve CO_2 reduction electrocatalysis.

Supporting Information

Experimental details and additional figures.

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Page 9 of 11

ACS Catalysis

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