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Shusaku Shoji, Ge Yin, Masami Nishikawa, Daiki Atarashi, Etsuo Sakai, Masahiro Miyauchi

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### Photocatalytic Reduction of CO<sub>2</sub> by Cu<sub>x</sub>O Nanocluster loaded SrTiO<sub>3</sub>

### Nanorod Thin Film

Shusaku Shoji, <sup>†</sup> Ge Yin, <sup>†</sup> Masami Nishikawa, <sup>‡</sup> Daiki Atarashi, <sup>†</sup> Etsuo Sakai, <sup>†</sup> Masahiro

Miyauchi<sup>†</sup>\*

† Department of Materials Science and Engineering, School of Materials and Chemical

Technology, Tokyo Institute of Technology, 2-12-1 Ookayama, Meguro-ku, Tokyo 152-8552,

Japan

Department of Materials Science and Technology, Nagaoka University of Technology, 1603-1,
 Kamitomioka-machi, Nagaoka, Niigata 940-2188, Japan

\*Corresponding author.

, cci

Prof. Masahiro Miyauchi Email: mmiyauchi@ceram.titech.ac.jp

Tel:+81-3-5734-2527

### Abstract

Photocatalytic carbon dioxide (CO<sub>2</sub>) conversion into carbon monoxide (CO) using H<sub>2</sub>O as an electron donor was achieved by the strontium titanate (SrTiO<sub>3</sub>: STO) nanorod thin films loaded with amorphous copper oxide (Cu<sub>x</sub>O) nanoclusters. The loading of the Cu<sub>x</sub>O-cocatalysts onto STO nanorods clearly improved the photocatalytic activity compared to bare STO nanorods. The Cu<sub>x</sub>O-cocatalysts are composed of abundant and non-toxic elements, and can be loaded by using a simple and economical method. Our findings demonstrate that Cu<sub>x</sub>O nanoclusters function as a general cocatalyst and can be used in combination with various semiconductors to construct low-cost and efficient photocatalytic CO<sub>2</sub> reduction systems.

**Keywords:** photocatalyst, nanorods, cocatalyst,  $Cu_xO$  nanocluster, Impregnation method,  $CO_2$  reduction, ubiquitous elements, ESR analysis, Isotope labeling analysis.

#### Introduction

Photocatalytic carbon dioxide reduction, in which CO<sub>2</sub> is converted into organic compounds, such as methane, methanol, formic acid, and formaldehyde, has the potential to greatly contribute to reducing global warming and fossil fuel dependence.[1,2] In a photocatalytic CO<sub>2</sub> reduction system, photoexcited electrons in the conduction band reduce CO<sub>2</sub>, while photogenerated holes in the valence band oxidize the electron donor. Since Halmann[3] reported that p-type gallium phosphide functions as a photocatalyst for CO<sub>2</sub> reduction into methanol, formic acid, or formaldehyde, various semiconductor photocatalysts have been studied, including TiO<sub>2</sub>, ZnO, CdS, GaP, SiC,[4] Zn-doped Ga<sub>2</sub>O<sub>3</sub>,[5] and SrTiO<sub>3</sub>.[6] In artificial photosynthesis systems designed for the reduction of CO<sub>2</sub> for solar fuel production, water molecules should ideally serve as the electron donor, similar to photosystems I and II in natural plants. Further, the CO<sub>2</sub> reduction reaction competes with the reduction of protons to produce molecular hydrogen. However, because the redox potential of H<sup>+</sup>/H<sub>2</sub> (-0.41 V vs NHE at pH 7) is lower than that of CO/CO<sub>2</sub> (- 0.53 V vs NHE at pH 7)[2], the proton reduction reaction is thermodynamically favored. Therefore, increasing the selectivity of CO<sub>2</sub> reduction products over hydrogen generation is critical for the development of efficient photocatalytic systems for CO<sub>2</sub> reduction.

One approach for improving the products selectivity of semiconductor photocatalysts is the loading of cocatalysts to drive oxidation or reduction selectivity. For example, Sato *et al.*[7,8] efficiently reduced  $CO_2$  using a combination of ruthenium complex cocatalyst and either N-doped  $Ta_2O_5$ , GaP or InP semiconductors. In addition to complex cocatalysts, metal nanoparticles also exhibit high selectivity for  $CO_2$  reduction, as demonstrated for silver (Ag)-loaded ALa<sub>4</sub>Ti<sub>4</sub>O<sub>15</sub> (A= Ca, Sr, or Ba) and Ag cluster-loaded Ga<sub>2</sub>O<sub>3</sub>.[9] Au-Cu alloy nanoparticles were also reported to function as a cocatalyst when loaded onto SrTiO<sub>3</sub>/TiO<sub>2</sub> photocatalyst.[10] In contrast to noble metal organic complexes and particles, copper and copper oxide are ubiquitous in the environment and are nontoxic. Further, copper compounds have balanced chemisorption-[Insert Running title of <72 characters]

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desorption properties for  $CO_2$  and  $CO_2$  [11,12] and therefore have high  $CO_2$  reduction activity[13,14] and high selectivity of products, such as carbon monoxide and formic acid (HCOOH).[15,16] In addition to the requirement for high  $CO_2/CO$  chemisorption-desorption, cocatalysts must accumulate photogenerated electrons at specific reaction sites to drive efficient  $CO_2$  photoreduction, as  $CO_2$  reduction proceeds via a multi-electron process. For example, two electrons are required for CO and HCOOH production, and six electrons are needed for CH<sub>3</sub>OH generation. Recently, our group reported that a copper oxide nanocluster cocatalyst drives the multi-electron reduction of both oxygen to produce hydrogen peroxide[17,18] and  $CO_2$  to generate CO.[19] For copper oxide cocatalysts to drive  $CO_2$  photoreduction, light-harvesting semiconductors with a high conduction band, such as niobate nanosheets, are required, as the photoreduction of  $CO_2$  requires excited electrons with a higher potential energy than that needed for hydrogen production.[19] During the  $CO_2$  reduction reaction, copper oxide consists of Cu(I) and Cu(II) valence states and is designated as  $Cu_xO$  (x=1 or 2).

Herein, we examined the potential of strontium titanate (SrTiO<sub>3</sub>: STO) to function as a light harvester with loading of Cu<sub>x</sub>O cocatalysts, as the STO has high chemical stability and sufficient negative conduction band for CO<sub>2</sub> reduction.[20] In addition, compared to reported light harvesters, including niobate materials, STO has a relatively narrow band gap and unique crystal structure. As the band gap of STO (3.2 eV) is narrower than that of niobate (3.56 eV), STO is expected more effectively utilize solar energy than niobate. Further, the crystal phase of STO is a perovskite structure (ABO<sub>3</sub>), which enables doping and the maintenance of charge balance by codoping into A and B sites.[21,22] In the present research, we used STO nanorod thin films[23] as a UV-light harvester due to its high crystallinity and increased surface area for the loading -of Cu<sub>x</sub>O cocatalyst. The photocatalytic CO<sub>2</sub> reduction activity of Cu<sub>x</sub>O cocatalyst loaded STO nanorod (Cu<sub>x</sub>O-STO) thin films was evaluated under UV-light irradiation in the present study. In addition, excited species in Cu<sub>x</sub>O-STO were investigated by electron spin resonance (ESR) [Insert Running title of <72 characters]

analysis to examine the charge separation process. Further, isotope labeling experiments with  ${}^{13}CO_2$  and  $H_2{}^{18}O$  isotopes were used to confirm that the generated CO originated from CO<sub>2</sub> and that the electron donor was water.

#### **Materials and Methods**

### Synthesis of STO nanorod thin films

STO nanorod thin films were synthesized by the annealing of strontium ion-exchanged titanate nanotube thin films.[23] Titanate nanotube thin film was prepared by the hydrothermal treatment of titanium (Ti) foil (2×2×0.1 cm, Nilaco Co.).[24] Briefly, Ti foil was immersed in 30 mL of 10 M aqueous NaOH solution and allowed to react in a 100-mL Teflon-lined autoclave at 413 K for 3 h. After cooling to room temperature, the titanate nanotube thin film was washed with distilled water and immersed in a 0.1 M HNO<sub>3</sub> aqueous solution for 1 day to protonate the interlayer of the titanate nanotube thin film. The protonated film was immersed in a saturated aqueous solution of 2 M strontium acetate for 1 day to exchange the protons in the interlayer of the titanate nanotubes with strontium ions  $(Sr^{2+})$ . The ion-exchanged sample was then annealed at 923 K for 2 h in air. The samples were washed with a 0.1 M HNO<sub>3</sub> aqueous solution to remove strontium carbonate from the nanotube thin film surface. For the measurement of optical absorption of STO nanorod excluding the signal from metal Ti substrate, powder form of STO nanorod was also synthesized with the same manner to the fabrication of STO nanorod thin film. Titanate nanotube powder was prepared by the hydrothermal treatment of  $TiO_2$  (P-25) powder in 10 M NaOH aqueous solution for 1 day.

#### Loading of Cu<sub>x</sub>O nanocluster cocatalyst onto STO nanorod thin films

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Cu<sub>x</sub>O nanocluster cocatalyst was loaded onto the STO nanorod thin films using an impregnation method.[25] Briefly, the STO nanorod thin film was immersed into 60 mL of a CuCl<sub>2</sub> aqueous solution in a glass beaker. The Cu<sub>x</sub>O nanoclusters were then loaded onto the STO films through initiation of a hydrolysis reaction in the aqueous medium at 363 K for 1 h. The fraction weight of Cu was set to be 0 to 0.005 wt% against H<sub>2</sub>O. The synthesized Cu<sub>x</sub>O-STO nanorod thin films were washed with distilled water and dried at 378 K in air. Cu<sub>x</sub>O nanoclusters were also loaded onto the STO nanorod powder by the similar manner.

### Characterization

Crystal structures of the obtained samples were determined by X-ray diffraction (XRD; Rigaku, SmartLab) using Cu-k $\alpha$  X-rays ( $\lambda$ =1.5418 Å). The incident angle was fixed at 1.2 degrees and the 2 $\theta$  scan angle ranged from 5 to 60 degrees. The sample morphologies were observed by scanning electron microscopy (SEM; JSM-7500F, JEOL, Ltd.) and transmission electron microscopy (TEM; JEM-2010F, JEOL, Ltd.). Chemical compositions of the sample were investigated using an energy dispersive X-ray spectroscope (EDX: PHI5000) equipped with a TEM apparatus. The valence number of copper nanoclusters was determined by measuring electron spin resonance (ESR; EMX Plus, Bruker) under an N<sub>2</sub> atmosphere. The ESR results were recorded as differential spectra between the bare STO nanorod and Cu<sub>x</sub>O-STO thin films. X-ray photoelectron spectroscopy (XPS; model ESCA-5500MT, Perkin Elmer Instruments, Japan) analysis was conducted to investigate the Cu-2p core level using standard Mg K $\alpha$  X-rays.

### Photocatalytic CO<sub>2</sub> reduction

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The prepared Cu<sub>x</sub>O-STO film was added to an electrolyte solution (20 mL) consisting of 0.5 M KHCO<sub>3</sub> and adjusted to pH=12 using aqueous NaOH, in a sealed quartz glass reactor (500 mL). To purge air in the reactor,  $CO_2$  or Ar gasses were bubbled into the reactor through a Teflon tube at a flow rate of 100 mL/min for 30 min. Carbonic acid ions were formed in an aqueous media by the addition of KHCO<sub>3</sub> and bubbling of  $CO_2$ . In the case of Ar bubbling conditions, 0.5 M KCl aqueous solution, which was adjusted to pH= 12, was used as the electrolyte. Products in the headspace of the reactor were evaluated using a gas chromatograph (flame ionization detector (FID)) equipped with a methanizer unit under UV light irradiation (Hg-Xe lamp, LA-410UV; Hayashi Watch Works, Ltd.). An isotope experiment was also conducted in a quartz glass cell  $(1 \times 1 \times 5 \text{ cm})$  to verify that the generated O<sub>2</sub> and CO originated from the photocatalytic reduction of CO<sub>2</sub> with water as an electron donor. A  $0.5 \times 2.0 \times 0.1$  cm section of Cu<sub>x</sub>O-STO film was immersed into a 0.5 M KH<sup>13</sup>CO<sub>3</sub> (Isotec-Sigma-Aldrich) aqueous  $H_2^{18}O$  (Isotec-Sigma-Aldrich) solution in the quartz glass cell. The headspace and electrolyte were saturated with <sup>13</sup>CO<sub>2</sub> (Isotec-Sigma-Aldrich) gas for 5 min bubbling, and the cell was then irradiated with UV light. Products in the headspace of the cell were evaluated by gas chromatography-mass spectrometry (GC-MS; Shimadzu). Before performing the photocatalytic CO<sub>2</sub> reduction measurements, samples were irradiated under UV light in air to remove surface organic contaminants.

### **Results and Discussion**

### Structural and morphological properties

Figure 1 shows the XRD patterns of the prepared  $Cu_xO$ -STO thin films. Hydrothermal treatment of Ti foil converted the polycrystalline metal titanium to a layered hydrogen titanate [Insert Running title of <72 characters]

crystal structure.[24] After the titanate film was immersed in a saturated aqueous solution of strontium acetate and annealed in air, the film adopted the perovskite structure of STO. Annealing treatment on the  $Sr^{2+}$  ion-exchanged titanate nanotubes generated STO, as perovskite-type STO is composed of corner-sheared TiO<sub>6</sub> octahedrons, which have a similar lattice structure as the edges of titanate nanosheets.

We measured the optical absorption properties of Cu<sub>x</sub>O-STO nanorod and STO nanorod powders, which were prepared by the same manner with the thin film fabrication, to exclude the optical signal from metal titanium substrate. The UV-Vis spectra recorded by a diffuse reflectance method are shown in our supporting information (Figure S1). The band-to-band transition of the STO nanorod started around 380 nm, and the absorption at 430 nm of Cu<sub>x</sub>O-STO nanorod is attributable to interfacial charge transfer (IFCT).[26] The broad absorption around 580-800 nm is assigned to the d-d transition of the Cu(II) species.[27] The bandgap of the STO nanorod was estimated to be 3.2 eV, as calculated from the intersection of the plotted line of square root of absorption coefficient versus photon energy with the abscissa axis.

Figure 2 shows SEM and TEM images of the STO nanorod and Cu<sub>x</sub>O-STO nanorod thin films. The SEM image revealed that the film surface was porous and numerous rods were uniformly covered on a titanium foil substrate. The TEM image revealed that amorphous nanoclusters of 2-3 nm in size were attached and highly dispersed on the STO nanorods. Energy dispersive X-ray (EDX) spectroscopy analysis was conducted at the point on an STO nanorod that was considered to be a Cu<sub>x</sub>O nanocluster cocatalyst (Figure 2b). And as a result of the EDX spectrum, a signal corresponding to Cu was clearly observed at the position of small nanoclusters (Figure 2c). The EDX signal of molybdenum (Mo) was attributed to a contaminant from the sample grid holder.

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To determine the chemical state of the Cu<sub>x</sub>O nanocluster cocatalyst and the behaviour of the photo-excited electrons, ESR was measured before and after UV irradiation of the Cu<sub>x</sub>O-STO film (Figure 3). We also measured the ESR spectrum of bare STO nanorod thin film, and did not detect any obvious peaks corresponding to copper ions or any changes to the spectrum after UV irradiation. In contrast, the Cu<sub>x</sub>O-STO film exhibited a broad ESR peak, which was assigned to Cu<sup>2+</sup> species, around 345 mT under dark conditions, and this peak decreased in intensity after UV irradiation (Figure 3). As ESR detects unpaired electrons, the decrease of the  $Cu^{2+}$  peak under UV irradiation indicates that the valence number of the Cu<sup>2+</sup> species, which contain nine electrons in the d-orbital, partially changed to Cu<sup>+</sup>, which is inactive for ESR. Thus, under UVlight irradiation, photo-excited electrons of STO were injected into the Cu<sub>x</sub>O nanocluster cocatalyst. In the ESR analysis, trapped holes were not detected. It is assumed that the holes in the trapping site were inhomogeneous and that the ESR signal became broad due to its nanorod structure. We also measured XPS spectrum for the Cu<sub>x</sub>O-STO film (supporting information, Figure S2). Two peaks of Cu-2p core level were observed, whereas these peaks were not appeared on the bare STO nanorod film. The peak at 933 eV corresponds to Cu  $2p_{3/2}$ , while that at 953 eV corresponds to Cu  $2p_{1/2}$ .[28] If the sample contained Cu<sup>2+</sup> species, satellite peaks would be appeared at 942 and 962 eV. [29-31] However, these satellite peaks were not observed in our sample, thus the copper species mainly consist of Cu<sup>1+</sup> species, resulting from the bombardment effect by X-ray irradiation under high vacuum.[17] Our previous X-ray absorption near edge structure (XANES) spectra revealed the as-prepared Cu<sub>x</sub>O nanocluster mainly composed of  $Cu^{2+}$  species, [17] thus the  $Cu_xO$  nanoclusters in the present study also consist of amorphous CuO at the as-prepared condition.

### Photocatalytic CO<sub>2</sub> reduction

Figure 4 shows the time course of photocatalytic CO generation for bare STO and Cu<sub>x</sub>O-STO film under UV irradiation with Ar or CO<sub>2</sub> bubbling conditions. The amount of Cu<sub>x</sub>O in the film was optimized to be 0.0005 wt%, as described below. The CO generation rate was highest for Cu<sub>x</sub>O-STO under CO<sub>2</sub> bubbling conditions and was markedly reduced under Ar bubbling conditions, whereas that of bare STO was limited under both CO<sub>2</sub> and Ar bubbling conditions. The small amount of CO production detected under Ar bubbling was attributed to the oxidation of organic contaminants adsorbed on the film surface, even though the surface of the samples was cleaned by pre-irradiation treatment in air. To prove the photocatalytic CO generation under CO<sub>2</sub> bubbling condition, we have conducted isotope trace experiment as described later. We also evaluated the hydrogen (H<sub>2</sub>) production under UV irradiation (see our supporting information, Figure S3). Although small amount of H<sub>2</sub> was detected, main product by our photocatalyst was CO, indicating its high selectivity for CO<sub>2</sub> photoreduction. It is noteworthy that the loading of Cu<sub>x</sub>O onto STO exhibited 20 % improvement of selectivity for CO<sub>2</sub> photoreduction.

Figure 5 shows the influence of the amount of loaded  $Cu_xO$  nanocluster cocatalyst on the photocatalytic CO generation activity of STO nanorod thin films. Based on the analysis, the optimum amount of  $Cu_xO$  for efficient CO production was determined to be 0.0005 wt% in the solution used for  $Cu_xO$  loading. Below optimum condition, the amount of  $Cu_xO$  is insufficient, while those are overloaded above optimum condition. The trend of photocatalytic activity versus the amount of  $Cu_xO$  nanoclusters will be explained in our discussion section below.

We next conducted isotope tracer analysis on the optimized  $Cu_xO$ -STO film (Figure 6). The peaks in the mass chromatography spectra at m/z = 29 and 36 were assigned to <sup>13</sup>CO and <sup>18</sup>O<sub>2</sub>, respectively. These results confirmed that the CO generated by the Cu<sub>x</sub>O-STO film originated [Insert Running title of <72 characters]

from  $CO_2$ , and that  $O_2$  molecules were produced through water oxidation. Similar to photosystems I and II in natural plants, our  $Cu_xO$ -STO film system generates fuel from stable molecules like  $CO_2$  and water in an uphill reaction under photon irradiation.

### **Discussion on photocatalytic process**

Here, we discuss the cocatalytic effect of the  $Cu_xO$  nanoclusters for  $CO_2$  reduction. According to previous electrochemical studies, copper elements effectively promoted  $CO_2$ electro-reduction under cathodic bias. For example, Kortlever *et al.*[32] reported that  $CO_2$ reduction proceeded by two alternate pathways, one involving the generation of formate species and the other leading to the production of CO species, ultimately resulting in the formation of various final products, including methane and hydrocarbon. We monitored formic acid production in the electrolyte solution, and found that only a negligible amount of formic acid was produced. Further, no significant differences in formic acid production were detected between the  $CO_2$  and Ar bubbling conditions. Azuma *et al.*[14] reported that copper catalyzed the reduction of  $CO_2$  molecules to CO species rather than formate. This finding is consistent with our present results that the  $Cu_xO$  nanoclusters have high selectivity for CO generation and do not promote hydrogen or formate formation.

Next, we discuss the photoexcitation process of the  $Cu_xO$ -STO film. There are three expected excitation processes as follows,

interband transition in STO and electron injection from the conduction band of STO to Cu<sup>2+</sup>
 d-d transition in Cu<sup>2+</sup> species

3. interfacial charge transfer (IFCT) from the valence band of STO valence band to Cu<sup>2+</sup> (Inter facial charge transfer [18]
[Insert Running title of <72 characters]</li>

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The bandgap of STO was 3.2 eV and its optical absorption was appeared under 380 nm as shown in our supporting information (Figure S1). On the other hand, the absorption of  $Cu^{2+}$  by d-d transition was appeared above 580 nm, while that by IFCT was appeared around 400 to 430 nm (Figure S1). We have investigated visible light activity under a Xe lamp with a UV cutoff filter, which could excite both d-d transition and IFCT. However, any CO was not produced under visible light irradiation. These results indicate that the d-d transition and IFCT do not contribute to the CO<sub>2</sub> photoreduction. Therefore, our photocatalytic CO<sub>2</sub> reduction is driven by the interband transition of STO and following electron injection from the conduction band of STO into Cu<sub>x</sub>O clusters, which act as reactive sites for CO<sub>2</sub> reduction. Also, our ESR analysis under UV irradiation indicated that electrons are injected from the conduction band of STO into the Cu<sub>x</sub>O nanoclusters.

Although the conduction band of STO is higher than the redox potential of  $CO_2/CO$ , the redox potential of Cu(II)/Cu(I) (+0.16 V vs NHE at pH 0)[25,33] is lower than that of  $CO_2/CO$ . Despite the lower redox potential of Cu(II)/Cu(I), our experimental results revealed that  $CO_2$  was reduced to CO by the UV irradiation of  $Cu_xO$ -STO film. The efficiency of the  $CO_2$  photoreduction reaction is due to a negative shift of the Fermi level of the  $Cu_xO$  nanocluster resulting from the injection of excited electrons from the conduction band of STO. Therefore, the mixed valence states of the Cu species in  $Cu_xO$  was critical for the ability of this cocatalyst to promote  $CO_2$  reduction. Yin *et al.*[19] reported that the initial chemical composition of  $Cu_xO$  clusters loaded onto niobate nanosheets was CuO, and that a proportion of the Cu(II) species in the clusters were converted to Cu(I) during the initial 3 h of UV-light irradiation. After 5 h of irradiation, Cu(II) and Cu(I) were balanced and  $CO_2$  photoreduction was efficient and stable. As

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observed in Figure 5, the size or amount of the  $Cu_xO$  nanoclusters also influenced the upshift in the  $Cu_xO$  Fermi level following electron injection.

### Conclusion

We have demonstrated the applicability of modifying  $SrTiO_3$  with  $Cu_xO$  nanoclusters as a cocatalyst for  $CO_2$  photoreduction. The  $Cu_xO$ -STO film exhibited high activity and selectivity for CO evolution under UV irradiation. The isotope tracer analysis confirmed that water served as an electron donor and that CO molecules were produced by the reduction of  $CO_2$  under UV irradiation. Similar to photosystems in natural plants, fuel molecules were successfully generated by the  $Cu_xO$ -STO film from  $CO_2$  and water in response to photon irradiation. The key underlying factors for the efficient multi-electron reduction of  $CO_2$  by this system are the high conduction band of STO and the loading of highly dispersed  $Cu_xO$  clusters onto the STO surface. Further, as the  $Cu_xO$  is composed of non-toxic and abundant elements, it has the potential to be an effective cocatalyst onto various light-harvesting materials to achieve  $CO_2$  photo-reduction.

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### **Figure Captions**

Figure. 1 XRD patterns of Ti foil (a), titanate nanotube thin film (b), and STO nanorod thin film

MAS

(c). Triangles, circles, and diamonds indicate metal titanium, layered hydrogen titanate, and

strontium titanate crystals, respectively.

Figure. 2 Structural analyses of Cu<sub>x</sub>O-STO film. SEM image of the surface of STO nanorod thin

film observed on the surface (a). TEM image of a Cu<sub>x</sub>O nanocluster cocatalyst-loaded STO

nanorod (b). Panel (c) shows the EDX spectrum at the point marked by a circle in the TEM image (b).

Figure. 3 ESR spectra of the Cu<sub>x</sub>O-STO film under dark and UV-irradiated conditions.

**Figure. 4** Time course of photocatalytic carbon monoxide (CO) generation by  $Cu_xO$ -STO and bare STO nanorod thin films.

**Figure. 5** Relationship between photocatalytic CO generation rate and concentration of  $Cu^{2+}$  solution used for  $Cu_xO$  loading.

**Figure. 6** Mass chromatography spectra of <sup>13</sup>CO (m/z=29) and <sup>18</sup>O<sub>2</sub> (m/z=36) generated during the photocatalytic reduction of <sup>13</sup>CO<sub>2</sub> by the optimized Cu<sub>x</sub>O-STO film in the presence of  $H_2^{18}O$ .

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### **Graphical abstract**



### Highlight

- CO<sub>2</sub> photoreduction was achieved by the Cu<sub>x</sub>O-cocatalysts loaded STO nanorod thin film.
- Cu<sub>x</sub>O-cocatalysts were loaded by using a simple and economical impregnation method.
- JULI • Photocatalytic reaction mechanism was discussed by using electron spin resonance and