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# Aminopolyols from carbohydrates: the amination of sugars and sugar-derived tetrahydrofurans with transaminases

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Abstract: Carbohydrates are the major component of biomass and have unique potential as a sustainable source of building blocks for chemicals, materials and biofuels due to their low cost, ready availability and stereochemical diversity. With a view to upgrading carbohydrates to access valuable nitrogen containing sugar-like compounds such as aminopolyols, biocatalytic aminations using transaminase enzymes (TAms) have been investigated as a sustainable alternative to traditional synthetic strategies. Here we demonstrate the reaction of TAms with sugar-derived tetrahydrofuran (THF) aldehydes, obtained from the regioselective dehydration of biomass-derived sugars, to provide access to cyclic aminodiols in high yields. In a preliminary study we have also established the direct transamination of sugars to give acyclic aminopolyols. Notably, the reaction of the ketose D-fructose proceeds with complete stereoselectivity to yield valuable aminosugars in high purity.

Aminated carbohydrates such as aminosugars, iminocyclitols, and other linear or cyclic polyhydroxylated amines are of particular interest as carbohydrate mimetics for the treatment of diabetes and viral infections, as anti-tumor or immunosuppressive agents<sup>[1-3]</sup> and as monomers in biopolymer formation.<sup>[1,2,4]</sup> Chiral aminopolyols therefore represent an interesting class of higher value products that could be accessed from renewable carbohydrate feedstocks such as sugar beet pulp.[5-7] The amination of highly functionalized carbohydrates via traditional synthetic strategies typically requires regioselective control using complex chemical strategies<sup>[3]</sup> and protection-deprotection steps which decrease the overall atom economy.<sup>[1,2,8]</sup> Furthermore, using traditional reductive amination methods, alkylation events typically occur, resulting in the formation of undesired secondary or tertiary amine products.<sup>[9,10]</sup> As an alternative approach, biocatalytic methods could be used such as transaminases (TAms),<sup>[11,12]</sup> imine reductases,<sup>[13–16]</sup> or amine dehydrogenases.<sup>[17]</sup> Biocatalytic aminations can be significantly more sustainable than chemical approaches, but to date, the biocatalytic amination of sugars to provide access to aminopolyols has not been reported.

TAms were selected as suitable enzymes as they have been reported to accept a wide range of substrates including cyclic ketones,<sup>[18]</sup> aromatic ketones,<sup>[19–21]</sup> steroids,<sup>[22]</sup> heterocyclic

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compounds,<sup>[6,23]</sup> hydroxyketones,<sup>[24]</sup> and dihydroxyketones,<sup>[25,26]</sup> and are used industrially, e.g. in the synthesis of Sitagliptin.<sup>[27]</sup> Many transaminases have been developed for the synthesis of lipophilic amines, but very few have been described to accept polyhydroxylated ketones<sup>[25]</sup> or aldehydes, and to the best of our knowledge, none have been reported in the literature to accept reducing sugars. A further challenge is that sugars are present almost entirely in the hemiacetal form in solution, with very little free carbonyl present to undergo reaction with the enzyme (Scheme 1). As a consequence, in this work we initially explored the reaction of TAm enzymes with sugar-derived tetrahydrofuran (THF) aldehydes, generated via the regioselective dehydration of biomass-derived sugars,<sup>[28]</sup> to provide access to cyclic aminopolyols (Scheme 1A). These were found to be excellent substrates for a variety of TAm enzymes, giving the corresponding amines in excellent yields. Subsequently, in a preliminary study we have also demonstrated the direct transamination of several sugars to give acyclic aminopolyols, which were obtained with excellent diastereoselectivities from a keto-sugar (Scheme 1B).



Scheme 1. Previous approach to sugar-derived THFs from sugars (e.g. 2a). This work using: A TAms to generate amine-THFs (e.g. 3a); B TAms to generate acyclic aminopolyols.

The preparation of amine **3a**, via catalytic hydrogenation of the corresponding dimethylhydrazone gave the Boc-derivative in a moderate 60% yield.<sup>[28]</sup> While this is a useful method to access the aminodiol, significant problems were encountered on scaling up the chemistry. We therefore elected to study a more sustainable approach by exploring the reaction of TAm enzymes with the cyclic aldehydes **2a-2d**, prepared from L-arabinose, D-ribose, D-xylose and L-rhamnose, respectively.<sup>[28]</sup> This three-step synthesis was efficient with overall yields ranging from 77-91%,

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with the diastereomeric ratio (due to C-2 isomers) varying considerably between the different sugar-derivatives.



Scheme 2. Aldehydes prepared: 2a, 77% from L-arabinose; 2b, 79% from D-ribose; 2c, 91% from D-xylose; 2d, 81% from L-rhamnose. Major isomer shown at C-2 (starred\*).

Initially, 11 TAms were selected from our library at UCL, based upon high activities previously observed in other screening programmes. The preliminary experiments was carried out using crude cell lysates and a colorimetric assay with the amine donor 2-(4-nitrophenyl)ethan-1-amine 5, which gives a red precipitate in successful amination reactions (Figure 2A).<sup>[29]</sup> The colorimetric assay, initially conducted on compound 2a, showed good activity for eight of the TAms selected from our library (SI, Figure S4). From this initial screen three were selected for further study, two (S)-selective TAms as they have been shown to be versatile enzymes for many substrates, Chromobacterium violaceum TAm (Cv-TAm)<sup>[30]</sup> and Rhodobacter sphaeroides TAm (Rh-TAm),<sup>[25]</sup> and the (R)-selective Mycobacterium vanbaalenii TAm (Mv-TAm),<sup>[31]</sup> due to its complementary stereoselectivity. These three TAms were then screened against 2a-d and a strong red coloration was observed with all enzymes indicating good levels of substrate acceptance (Figure 2B).

Activity of the three TAms towards 2a-d was also confirmed using (R)- or (S)-  $\alpha$ -methylbenzylamine (MBA) as the amine donor. The best results were obtained with Cv-TAm and Rh-TAm on 2a and 2b which gave conversion yields of 60-63% using (S)-MBA while up to 27% yield was achieved with Mv-TAm under the same reaction conditions with (R)-MBA (Figure 3). Moreover, the reaction seemed to be complete within 3 h for Cv-TAm and Rh-TAm with 2a and 2b, while reactions with substrates 2c and 2d showed slower kinetics (SI, Figure S5) and lower yields ranging from 40-50%. Notably, conversion yields with Mv-TAm were similar for all compounds 2a-d. With the aim of enhancing yields further, an alternative amine donor isopropylamine (IPA, 6) was used (Table 1). With both Rh-TAm and Cv-TAm the yields improved significantly (up to 91% for 2b). These conditions also gave improved yields with Mv-TAm, with all the substrates giving yields of between 60% and 81%.





Figure 2. Colorimetric assay on compounds 2a-d (10 mM) using 5 as the amine donor (25 mM). Pyruvate was used as a positive control (+) and buffer solution as a negative control (-).



Figure 3. Assays using 20 mM (S)-MBA (*Cv*-TAm and *Rh*-TAm) or (*R*)-MBA (*Mv*-TAm) as amine donor with the three selected TAms towards **2a-2d** (5 mM). Pyruvate was used as a positive control (+) and buffer solution as a negative control (-).

For both 2a and 2b all the selected TAms appeared to preferentially accept the major anti-isomer while the syn-isomer was converted more slowly into the corresponding amine leading to product yields of up to 91% and diastereomeric ratios of up to 95:5. In the same way Rh-TAm more readily accepted the antiisomer of 2c (dr 60:40) producing 3c with an enhanced dr (70:30). Interestingly Cv-TAm more readily accepted the minor syn-isomer of 2c which was almost all converted in the first 30 minutes as shown in time course experiments following the reaction either by HPLC or NMR (SI, Figures S6, S7). However, anti-2c is also converted into the corresponding amine product anti-3c which is the major product at the end of the reaction. By comparison, Mv-TAm had a clear preference for converting the minor isomer syn-2c (20:80 dr after 4 h), although after 24 h the ratio was 35:65 (SI, Figure S6). In contrast, in the case of the rhamnose-derived THF 2d the two isomers were converted at similar rates with all three

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enzymes, to give the amines **3d** in a similar isomeric ratio to the starting material in 75-84% yield. The THF-amines **3a-d** are valuable biomass-derived synthons and biocatalytic syntheses were investigated on an enzyme preparative scale (50 mM) using either *Cv*-TAm or *Rh*-TAm. Under these conditions, compounds **2a-c** were converted quantitatively and only traces of the starting material were detected in the case of **2d**. The aminated products were isolated via derivatization with di-*tert*-butyl dicarbonate (Boc<sub>2</sub>O), to give the corresponding derivatives in 58-76% isolated yield (Table 1). After deprotection, amines **3a-d** were quantitatively recovered as the hydrochloride salt in high purity.

Table 1. Yields for the TAm reactions using 6 (10 equiv.) and 2a-d (25 mM), to give 3a-d at 37 °C and 24 h unless indicated otherwise. The major product is indicated with the yield and diastereomeric ratio (*dr anti:syn*) calculated by HPLC analysis after derivatization with Fmoc-Cl.



Starting material	<b>2a</b> (80:20)	<b>2b</b> (90:10)	<b>2c</b> (60:40)	<b>2d</b> (70:30)
Cv-TAm	<i>anti-<b>3a</b> 84% (90:10)</i>	<i>anti</i> - <b>3b</b> 91% (95:5) 76% <sup>[a]</sup>	<i>anti-<b>3c</b> 74% (65:35)</i>	anti- <b>3d</b> 81% (75:25) 58% <sup>[a]</sup>
<i>Rh</i> -TAm	<i>anti-<b>3a</b> 75% (90:10) 71%<sup>[a]</sup></i>	<i>anti-<b>3b</b> 85% (95:5)</i>	<i>anti</i> - <b>3c</b> 67%(70:30) 69% <sup>[a]</sup>	<i>anti-<b>3d</b> 84% (65:35)</i>
<i>Mv</i> -TAm	<i>anti-<b>3a</b> 56% (85:15)</i>	<i>anti</i> - <b>3b</b> 47% (95:5) <sup>[b]</sup> 70% (95:5)	<i>syn-</i> <b>3c</b> 31% (20:80) <sup>[b]</sup> 60% (35:65)	<i>anti</i> - <b>3d</b> 75% (70:30)

 $<sup>^{[</sup>a]}$  lsolated yield of the Boc protected amine obtained on a preparative scale (50 mM);  $^{[b]}$  reaction time 4 h.

Having successfully demonstrated the amination of sugarderived THFs to access cyclic aminopolyols, the amination of reducing sugars using TAms was also explored. In preliminary screens, class-VI and class-III TAms from our UCL library were selected. Class-VI TAms, known as sugar aminotransferases, largely use the amine donor L-glutamate and accept activated keto-hexoses, while class-III TAms such as Cv-TAm are characterized by their broad substrate acceptance.<sup>[32]</sup> More than 30 TAms (including three from a metagenomic study)<sup>[33]</sup> were screened as crude cell lysates against L-arabinose using 5 as the amine donor. No hits were identified with the class-VI TAms, perhaps reflecting that another amine-donor was required or that they typically convert a cyclic non anomeric ketone. However, several TAms, notably Rh-TAm and the enzyme encoded by pQR2191 accepted L-arabinose using 5 as the amine donor (Figure 4). Other sugars were also tested, and D-ribose was generally better accepted than L-arabinose, whilst D-xylose and L-rhamnose were accepted only by *Rh*-TAm and the enzyme encoded by pQR2191 respectively. Notably, D-fructose, a ketosugar, was accepted by *Mv*-TAm and the TAm encoded by pQR2191 using **5** as the amine donor.



**Figure 4.** Assays of TAm enzymes using **5** (25 mM) with a selection of sugar substrates (5 mM) at 30 °C, pyruvate (+) was used as a positive control and buffer (-) as a negative control. *Pseudomonas putida* TAm (*Pp1*-TAm pQR427) and *Klebsiella pneumoniae* TAm (*Kp*-TAm)<sup>[25]</sup> were representative of many of the TAms which showed no activities towards the sugar substrates. Enzymes encoded by pQR2189, pQR2191 and pQR2208 have been reported previously.<sup>[33]</sup>

The variation in sugar-conversions could reflect the fact that certain stereochemistries are not accepted in the TAm active site. However, they will also be significantly influenced by the equilibria between acyclic, pyranose and furanose forms in water. Sugar mutarotation is also influenced by temperature and pH.<sup>[34]</sup> On this basis we explored the effect of these parameters on the conversion of selected sugars using Cv-TAm and Rh-TAm. The results suggested improved activity at more basic pHs and at higher temperatures. This finding was consistent with the observation that the proportion of the acyclic form of the sugar typically increases with temperature.<sup>[34]</sup> It has also been reported that when in DMSO the pyranose-furanose equilibria change and for some sugars such as arabinose and fructose, a higher proportion of the furanose form exists.<sup>[35]</sup> Indeed, the yield doubled to 15% with D-ribose ((S)-MBA and Cv-TAm) when the assay was conducted in the presence of 5% of DMSO at 30 °C and pH 8. This suggested that robust thermostable TAms able to tolerate high proportions of DMSO may give enhanced reactivities. In particular, three of the selected TAms (namely those encoded by pQR2189, pQR2191, and pQR2208) have been reported to have excellent tolerances towards DMSO and hiaher temperatures, and these enzymes were therefore used in up to 40% v/v DMSO. Satisfyingly, two of the three TAms selected, encoded by pQR2189 and pQR2191, showed good activity towards almost all the aldose sugars tested with DMSO as cosolvent at 45 °C (SI, Figures S8, S9). With these improved conditions we extended the screen to other sugars including Dgalacturonic acid (D-GalAc) and ketose sugars with different chain lengths (e.g. D-ribulose, L-sorbose and D-tagatose, and Lglucoheptulose ; Table 2, SI Figure S10). The latter was efficiently prepared via a one-step reaction, catalysed by a mutant transketolase enzyme starting from L-arabinose.[36,37]

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**Table 2.** TAm catalysed reactions of sugars using **5**, and (*S*)- or (*R*)-MBA as amine donors. Reaction conditions: amine donor **5** (25 mM) or MBA (20 mM), sugar (10 mM or 5 mM), KPi buffer pH 8 (50 mM) and clarified cell extract, 45 °C, 400 rpm, 24 h. Buffer was used as a negative control (-) and pyruvate as a positive control (+).



<sup>&</sup>lt;sup>[a]</sup>Reaction at 30 °C; <sup>[b]</sup>Reaction in the presence of 25% of DMSO.

Quantification of the TAm reactions was carried out using MBA and acetophenone detection by HPLC. This indicated conversion yields of up 54% for D-ribose, 29% D-GalAc and Lsorbose, 28% D-xylose and ~23% D-tagatose and Lglucoheptulose. Notably, Mv-TAm showed a conversion yield of 40% with D-fructose at 45 °C when (R)-MBA was used as the amine donor. Generally, the ketosugars were well accepted by Mv-TAm and the TAm encoded by pQR2191, although Lglucoheptulose was only accepted by pQR2191. Use of the cosolvent DMSO also seemed to be less relevant when a keto sugar was used as the substrate as high conversions could still be obtained in its absence. The acceptance of D-GalAc (Table 2) was interesting as this produces an w-aminoacid with potential as a monomer for the preparation of polyhydroxy polyamides as analogues of Nylon-6.[38] Upon cyclization, it would also provide an advanced precursor for the preparation of a potent polyhydroxyazepane glycosidase inhibitor.[39]

Linear aminopolyols are valuable products and direct syntheses from sugars using TAm were therefore investigated on a biocatalytic preparative scale and isolated using a Dowex 50WX8 ion exchange resin.<sup>[8]</sup> Rh-TAm in reactions with Larabinose and D-xylose (at 25 mM) and IPA (see SI), gave 7<sup>[8]</sup> in 42% isolated vield and 8 in 79% isolated vield. respectively (Scheme 3). The reaction of D-fructose with Mv-TAm was scaled up using either (R)-MBA or IPA as the amine donor to a 25 mM scale at 45 °C. <sup>1</sup>H NMR analysis indicated a 50% yield of the corresponding aminopolyol as a single diastereoisomer, in both cases demonstrating complete stereoselectivity in the TAm reaction. The product 9 was isolated in 40% yield. The configuration of the new stereogenic centre was assigned as R in agreement with reported data,<sup>[40]</sup> which is consistent with the known selectivity of the Mv-TAm. With the aim of isolating the epimer at C-2 we also scaled up the reaction with D-fructose and the enantiocomplementary TAm encoded by pQR2191 and (S)-MBA. Again, the reaction was highly stereoselective and epi-9 was isolated in 21% yield.<sup>[41]</sup> These reactions constitute the first report of the direct amination of reducing sugars using TAm enzymes to give access to potentially valuable aminopolyols on a



Scheme 3. Synthesis of aminopolyols from L-arabinose, D-xylose and D-fructose using TAms and 6 or MBA as amine donors.

In summary, the transamination of sugar-derived THFs and sugars available from biomass has been achieved in high yield, providing access to valuable cyclic and acyclic aminopolyols. Several TAms were identified to readily accept the sugar-derived THF-aldehydes, and using IPA as an amine donor, isolated yields of 58-76% were obtained. Moreover, the direct transamination of reducing sugars has been achieved, with transamination conversions for some sugar substrates increasing at higher temperatures and with the addition of DMSO. The first preparative scale transaminase reactions of reducing sugars has also been achieved, with the amination of D-xylose and L-arabinose. Dfructose was also converted stereoselectively into either 9 or epi-9 using enantiocomplementary TAms. Aminopolyol 9 is an ingredient in cosmetic formulations, and an advanced precursor for the preparation of the potent a-glycosidase inhibitor 1deoxynojirimycin.[42]

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