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Title: Continuous production of fructooligosaccharides and invert sugar by chitosan immobilized enzymes: comparison between in fluidized and packed bed reactors

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1 **Highlights:**

- 2 - β -fructofuranosidase and β -fructosyltransferase were immobilized on chitosan
3 spheres.
- 4 - FOS and invert sugar were produced continuously on enzymatic reactors.
- 5 - Packed bed reactors presented better yields than fluidized bed reactors.
- 6 - Packed bed reactor produced FOS continuously for 40 days without loss of
7 activity.
- 8 - FOS composition can be modulated by the flow rate.

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9 **Continuous production of fructooligosaccharides and invert sugar by chitosan**
10 **immobilized enzymes: comparison between in fluidized and packed bed reactors**

11

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26

26 Abstract

27 In this work, β -fructofuranosidase and β -fructosyltransferase were covalently
28 immobilized on chitosan spheres, using glutaraldehyde as a coupling agent, in order to
29 produce invert sugar and fructooligosaccharides (FOS). Maxinvert L was used to make
30 β -fructofuranosidase biocatalyst yielding 7000 HU/g. A partial purified β -
31 fructosyltransferase from Viscozyme L was used to prepare the other biocatalyst
32 yielding 2100 TU/g. The production of invert sugar and FOS was evaluated using
33 different continuous enzymatic reactors: two packed bed reactors (PBR) and two
34 fluidized bed reactors (FBR). The invert sugar production achieved a yield of 98 %
35 (grams of product per grams of initial sucrose) in the PBR and 94 % in the FBR,
36 whereas FOS production achieved a yield of 59 % in the PBR and 54 % in the FBR. It
37 was also observed in both cases that varying the flow rate it is possible to modulate the
38 FOS composition in terms of nystose and kestose concentrations. The operational
39 stability of FOS produced in the PBR was evaluated for 40 days showing no reductions
40 in yields.

41

42 **Key words:** Invert Sugar; Fructooligosaccharides; Packed bed enzyme reactor;
43 Fluidized bed enzyme reactor; Chitosan; Enzyme Immobilization.

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51 **1. Introduction**

52 The commercial viability of industrial biotransformations is heavily dependent on
53 the cost of the enzyme. Industrial applications of enzymes are often hampered by the
54 lack of long-term operational stability, difficult recovery and reuse of the enzyme.
55 These drawbacks can be overcome by immobilization of the enzyme [1, 2].

56 Notwithstanding all these advantages compared to the free enzymes, immobilized
57 enzymes usually have their activities reduced, producing unfavorable effects on their
58 overall catalytic performances. This alteration can result from mass transfer limitations,
59 which may be reduced by applying appropriate reactor designs and immobilization
60 techniques [3, 4]. Among immobilization techniques, the use of chitosan [(1→4)-2-
61 amino-2-deoxy-β-D-glucan] as a support for covalent attachment is widely used for a
62 multiplicity of purposes, mostly in industrial applications. This fact is due to several
63 qualities of chitosan, such as the presence of reactive functional groups for direct
64 reactions and for chemical modifications resulting in high affinity to proteins,
65 hydrophilicity, good biocompatibility, non-toxicity, improved resistance to chemical
66 degradation and ease of preparation in a variety of physical forms [3, 5]. Recently,
67 several works reported the development of packed bed or fluidized bed reactors filled
68 with chitosan biocatalysts for industrial purposes [6-9].

69 Packed bed reactors (PBR) consist of an immobile stack of particles within a
70 column, through which a reactant solution is pumped. These reactors are preferred over
71 other reactor types because of simpler technology, high bed volume, ease of operation,
72 and scaling up [10, 11]. Fluidized bed reactors (FBR) consist of particles within a
73 column, which are kept in movement (fluidization), by the liquid flow. The particles are
74 retained by a hydrodynamic balance between gravity and drag forces promoted by the
75 upflow substrate stream [12, 13].

76 Both types of reactors enable continuous production without the need of a prior
77 separation of the enzyme resulting in lower process costs [7, 12]. In comparison to PBR,
78 FBR are less susceptible to column clogging, formation of preferential flow paths and
79 compression due to bed weight [11, 14]. FBR also present higher axial dispersions than
80 PBR. On the other hand, PBR present lower shear stress on immobilized enzymes,
81 generally leading to long-term enzyme stability [11].

82 Invertase (or β -fructofuranosidase, E.C. 3.2.1.26) is a suitable enzyme for carrying
83 out studies concerning enzymatic processes due to its stability, no need for any
84 cofactors and its commercial significance [15, 16]. This enzyme is used for sucrose (β -
85 D-fructofuranosyl(2 \rightarrow 1) β -D-glucopyranoside) hydrolysis, resulting in an equimolar
86 mixture of glucose (α -D-glucose) and fructose (β -D-fructose) known as invert sugar.
87 This product is widely used in food and beverage industries due to its higher sweetness
88 and lower susceptibility to crystallization [17]. However, the application of immobilized
89 invertase in enzyme reactors for sucrose hydrolysis, on the industrial scale, is still in the
90 development phase [18].

91 β -fructosyltransferase (E.C.2.4.1.9) is another suitable enzyme for studying the
92 reactor design in enzymatic processes. This enzyme is used for short chain
93 fructooligosaccharides (FOS) synthesis from sucrose. FOS are prebiotic food
94 ingredients [19], and short chain FOS present sweet taste and may be used as a
95 sweetener by diabetics [20]. Although the enzymatic synthesis of FOS also requires
96 sucrose as substrate, the concentration of sucrose required is higher than for sucrose
97 hydrolysis. This solution is more viscous, and the use of a highly viscous liquid in PBR
98 may lead to the fatal problem of column clogging [11], therefore this effect must be
99 evaluated.

100 In this context, the aim of this work is to evaluate the best reactor design to be used
101 for invert sugar and FOS production catalyzed by immobilized invertase and β -
102 fructosyltransferase, respectively. Both enzymes have been recently immobilized in
103 chitosan particles yielding stable biocatalysts [21, 22]. Packed-bed and Fluidized-bed
104 reactors were compared for sucrose hydrolysis and FOS synthesis. Furthermore, it was
105 evaluated the influence of operational conditions on the FOS composition.

106

107 **2. Experimental**

108 **2.1. Materials**

109 Invertase from *Saccharomyces cerevisiae* (Maxinvert L 10000) was kindly donated
110 by DSM Food Specialties (The Netherlands). Commercial enzyme preparation from
111 *Aspergillus aculeatus* with β -fructosyltransferase activity (Viscozyme L), produced by
112 Novozymes, was kindly donated by LNF Latino Americana (Brazil). Chitosan from
113 shrimp shells (>75% deacetylated) was purchased from Sigma–Aldrich (USA). Sucrose
114 and glutaraldehyde 25 % were purchased from Vetec Química Fina Ltda (Brazil).
115 Enzymatic glucose (D-glucose) determination kit was purchased from In Vitro
116 Diagnóstica Ltda (Brazil). All other chemicals were analytical or HPLC grade obtained
117 from readily available commercial sources.

118

119 **2.2. Enzymatic activities**

120 Maxinvert L (invertase) hydrolytic activity was evaluated using a substrate solution
121 of 100 g/L of sucrose in 50 mM sodium acetate buffer (pH 4.5) at 50 °C. Samples were
122 taken at regular intervals and the reaction was stopped by the addition of 0.1 M sodium
123 carbonate buffer, pH 10.0. Glucose released was measured with the enzymatic glucose

124 determination kit. One hydrolytic unit of enzymatic activity (HU) was defined as the
125 amount of enzyme that produces 1 μmol of glucose per minute at test conditions.

126 Viscozyme L (β -fructosyltransferase) transfructosylation activity was carried out
127 at 50 °C using a solution of sucrose 600 g/L in 50 mM sodium acetate buffer pH 5.5.
128 Fifty chitosan particles (17.1 mg) were incubated in 2 mL of sucrose solution. Samples
129 were taken after 15 min and the concentration of saccharides (sucrose, glucose, fructose,
130 kestose and nystose) were measured on HPLC. On unit of transfructosylation activity
131 (TU) was defined as the amount of enzyme that produces 1 μmol of kestose or nystose
132 from sucrose per minute [22].

133 All the activities of immobilized enzymes were carried out under agitation. Protein
134 content of the enzyme solutions was determined by the Lowry assay.

135

136 **2.3. Chitosan spheres synthesis**

137 The chitosan particles were prepared adding dropwise a chitosan solution into an
138 alkaline coagulation solution, previously described by Klein *et al.* [23]. The prepared
139 particles had a spherical shape with a diameter of approximately 2 mm and a dry weight
140 of 0.343 mg \pm 0.008 mg per sphere.

141 Activation of chitosan particles was carried out incubating the chitosan particles
142 with glutaraldehyde solution as it was previously described in Lorenzoni *et al.* [22].

143

144 **2.4. Enzyme Immobilization**

145 Invertase immobilization was carried out incubating the particles with a solution of
146 enzyme diluted in activity buffer (50 mM sodium acetate, pH 4.5) at room temperature
147 under gentle shaking for 3 h, in an orbital shaker at 120 rpm with 25 mm of orbital
148 diameter. The amount of enzyme applied to the support was 50 mg per gram of support,

149 in a ratio of enzymatic solution to solid of 146 μL per milligram of support in
150 Erlenmeyer flasks. The amount of support in an immobilization batch varied from 50 to
151 1400 chitosan spheres, *i.e.*, 17.1 mg to 480 mg, with the same results.

152 β -fructosyltransferase, was partially purified and immobilized as previously
153 described by Lorenzoni *et al.* [22]. The amount of enzyme applied to the support was
154 180 mg per gram of support.

155 After immobilization, both biocatalysts were washed with buffer NaCl (1.0 M) and
156 ethylene glycol (30%, volume fraction) in order to eliminate non-covalently bonded
157 enzymes. The immobilization yield (IY) and immobilization efficiency (IE) were
158 calculated by the equations 1 and 2, respectively, previously described in Valerio *et al.*
159 [21], and Sheldon and Van Pelt [1]:

$$160 \quad \text{IY} = \frac{\text{immobilized activity}}{\text{starting activity}} \quad (1)$$

$$161 \quad \text{IE} = \frac{\text{observed activity}}{\text{immobilized activity}} \quad (2)$$

162 Immobilized activity is the difference between the activity applied to the support
163 and the activity measured in the washing fractions. Starting activity is the activity of the
164 enzyme added to the support. Observed activity is the activity on the chitosan beads
165 after immobilization.

166

167 **2.5. Reactors setup**

168 The reactors consisted of a water-jacketed glass column, each filled with 930 units
169 of chitosan spheres (280 mg of dry weight). Both enzymatic preparations were
170 evaluated in packed bed and fluidized bed reactors. The reactors were flow rate
171 controlled with adjustable peristaltic pumps.

172 The packed bed column (height, 85 mm; inner diameter, 10 mm; volume, 6.67 mL)
173 has an entrance at the bottom and an exit at the top, which were fitted with a sintered

174 glass disc to retain the particles within the column. The fluidized bed column (height,
175 230 mm; inner diameter, 10 mm; volume, 18.0 mL) was equipped with one additional
176 exit at the top and one additional entrance at the bottom for external liquid recirculation,
177 also fitted with sintered glass disc. This design enables fluidization of the biocatalysts
178 independently of the residence time. The bed height was kept constant at 230 mm.
179 Schematics of reactors configurations are illustrated in Figure 1.

180

181 **2.5.1. Invert sugar production**

182 Production of invert sugar was carried using a solution of sucrose 150 g/L diluted
183 in 50 mM sodium acetate buffer pH 4.5. The solution was pumped at flow rates of (0.5,
184 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.7) mL/min in both fluidized and packed bed reactors.
185 Four bed volumes of solution were passed through the column before taking the sample
186 to achieve stationary state. These experiments were carried out in duplicate, changing
187 the column bed at each experiment.

188

189 **2.5.2. Fructooligosaccharides production**

190 Production of fructooligosaccharides was carried using a solution of sucrose 600
191 g/L diluted in 20 mM sodium acetate buffer pH 5.5. The solution was pumped at flow
192 rates of (0.052, 0.082, 0.11, 0.17, 0.23, 0.29, 0.44) mL/min in both fluidized and packed
193 bed reactors. Four bed volumes of solution were passed through the column before
194 taking the sample to achieve stationary state and the experiments were carried out in
195 duplicate, changing the column bed at each experiment.

196

197 **2.5.3. HPLC analysis**

198 All the samples collected in the reactors were analyzed in HPLC system
199 (Shimadzu, Tokyo, Japan) equipped with refractor index and Aminex HPX-87C (300
200 mm x 7.8 mm) column. Ultra-pure water was used as eluting solvent at a flow rate of
201 0.6 mL/min, at 85 °C.

202 The concentration of saccharides (sucrose, glucose and fructose) was determined in
203 invert sugar samples by interpolation using external standards. In the
204 fructooligosaccharides samples, kestose (β -D-fructofuranosyl(2 \rightarrow 1) β -D-
205 fructofuranosyl(2 \rightarrow 1) α -D-glucopyranoside) and nystose (β -D-fructofuranosyl(2 \rightarrow 1) β -
206 D-fructofuranosyl(2 \rightarrow 1) β -D-fructofuranosyl(2 \rightarrow 1) α -D-glucopyranoside)
207 concentrations were also determined.

208

209 **3. Results and Discussion**

210 **3.1. Enzymatic activity**

211 Maxinvert L contains a specific hydrolytic activity of 3000 HU/mg at 50 °C and pH
212 4.5. The enzymatic solution obtained from partial purification of Viscozyme L,
213 contained specific hydrolytic activity of 25.3 HU/mg, at 50 °C and pH 5.5. It is
214 important to note that the specific activity of the enzyme solution used in this work,
215 after partially purification is much lower compared to Maxinvert L. This difference is
216 due to the fact that Maxinvert L is a commercial enzyme preparation specific for
217 sucrose hydrolysis, whereas Viscozyme L is marketed as endo- β -glucanase that
218 hydrolyzes (1 \rightarrow 3)- or (1 \rightarrow 4)- linkages in β -D-glucans, and the secondary activity
219 recovered in this preparation is more specific for β -fructosyltransferase activity. Is
220 important to note, despite its high hydrolytic activity, Maxinvert L contains little β -
221 fructosyltransferase activity and therefore is not suitable for FOS synthesis [24, 25]. In
222 the supplementary material, it is presented the HPLC chromatograms of the activity

223 each enzyme (S.1). For these reasons, Maxinvert L was used for sucrose hydrolysis and
224 its activity measured in HU units, while Viscozyme L was used for FOS synthesis and
225 its activity measured in TU units.

226

227 **3.2. Enzyme Immobilization**

228 Maxinvert L immobilization resulted in a biocatalyst with 2.4 HU per sphere (7000
229 HU per gram of dry support), immobilization yield of 42 % and an immobilization
230 efficiency of 12 %. β -fructosyltransferase immobilization resulted in a biocatalyst with
231 0.73 TU per sphere (2100 TU per gram of dry support), immobilization yield of 82 %
232 and an immobilization efficiency of 42 %. A higher immobilization yield was observed
233 for β -fructosyltransferase than for Maxinvert L, despite the higher protein load applied,
234 but several other factors may cause this result. Different immobilization pH may change
235 immobilization yields when using glutaraldehyde as a coupling agent [26, 27]. In this
236 case, the optimal pH of each enzyme was used for immobilization to avoid protein
237 inactivation during this step. The difference between the enzymes structures can also
238 interfere in enzyme immobilization; Maxinvert L is a highly glycosylated octameric
239 protein with 428 kDa [28], while β -fructosyltransferase from *A. aculeatus* is a dimeric
240 glycoprotein with 135 kDa [29]. However, both enzymes are not completely purified
241 and is not possible to assert something without knowing all other proteins in the
242 mixture.

243 A β -fructosyltransferase biocatalyst with a better activity than in a previous work
244 was obtained [22]. This fact can be attributed to the smaller size of spheres used in this
245 work, since smaller particles have a greater superficial area and therefore can carry a
246 higher protein load [23]. A remarkable achievement since that biocatalyst was among
247 the highest active found in literature so far [22].

248

249 **3.3. Invert sugar and Fructooligosaccharides production**

250 Results of yields of invert sugar production (grams of invert sugar per grams of
251 initial sucrose) and FOS production (grams of FOS per grams of initial sucrose) for
252 different flow rates are shown in Figure 2 and 3, respectively.

253 Packed bed reactors showed higher yields than fluidized bed in both reactions. For
254 invert sugar production, the highest yields were observed at the lowest flow rates due to
255 higher residence times inside the reactor.

256 For FOS production in PBR, the best yield was 59 %, at 0.08 mL/min, and at 0.05
257 mL/min the yield decreased to 57 %. In addition, a higher nystose concentration 240
258 g/L \pm 2 g/L and a lower kestose concentration 101 g/L \pm 2 g/L were detected in the
259 samples collected at 0.05 mL/min. At 0.08 mL/min, the nystose and kestose
260 concentrations were 218 g/L \pm 2 g/L and 133 g/L \pm 1 g/L respectively. The maximum
261 concentration of kestose was 198 g/L \pm 1 g/L, obtained at 0.29 mL/min. Kestose
262 concentration decreased for higher residence times because kestose serve as a donor for
263 fructosyl moieties to form nystose [30]. Kestose and nystose molar concentrations for
264 the flow rates evaluated are shown in Table 1. In the supplementary material (S.2), it
265 can be seen the HPLC chromatograms that show the variation of nystose and kestose
266 concentrations with the flow rate.

267 It is possible to observe in Table 1 that fructosyl units transferred from sucrose
268 increased continuously as the residence time increased, mainly because at the lower
269 flow rates, nystose concentration was higher than kestose. However, total FOS
270 concentration in molar units reach a maximum, and remained almost constant at lower
271 flow rates. This fact can be observed when sucrose concentration is close to its chemical
272 equilibrium and only nystose formation from kestose can be observed. These results are

273 interesting because they indicate that by varying the flow rate it is possible to change
274 the FOS composition in terms of nystose and kestose concentration. This is important
275 because those carbohydrates have different prebiotic and technological properties.
276 Suzuki et al. [31] suggested that kestose has higher and more selective stimulating
277 activity on bifidobacteria when compared to nystose. On the other hand, Stewart et al.
278 [32] pointed out that the long-chain FOS were more readily fermented, which indicates
279 that fermentation would occur over a longer portion of the colon. Additionally, kestose
280 present higher sweetening power than nystose, which could be important in diet
281 products.

282 Because in packed bed reactor the amount of fructosyl units transferred is higher
283 than in the fluidized bed reactor, it is possible to conclude that this reactor configuration
284 is more efficient for FOS production, and presents potential for scaling up.

285 Reactors filled with immobilized enzyme systems can be considered as being two-
286 phase systems, thus they present some mass transfer limitations. This limitations may
287 lead to unfavorable effects on their overall catalytic performances [3]. Furthermore,
288 transport phenomena of substrates and products must be addressed by process
289 engineering, optimizing geometry in order to produce a biocatalyst possessing both high
290 activities and stabilities [4]. Because fluidized bed reactors present better axial
291 dispersion rates, it is reasonable to predict that they will result in better yields in
292 comparison to packed bed reactors. However, in this work, the packed bed reactor was
293 kinetically favorable resulting in better yields for both reactions evaluated. A possible
294 reason is the fact that in both reactions glucose is produced, which, in turn, causes
295 product inhibition [30, 33]. In the fluidized bed reactor, part of the product solution is
296 recycled to the entrance of the reactor to allow the bed fluidization. This system leads to
297 higher glucose concentrations in the bottom. Therefore, glucose concentration in the

298 bottom of the fluidized bed is higher than in packed bed, inhibiting enzymatic activity.
299 This fact would not be noted on a non-recirculating fluidized bed, but in such case, a
300 higher flow rate would be needed for particles suspension leading to lower residence
301 times and lower yields.

302 Although presenting higher yields, packed bed reactors can have some drawbacks
303 in comparison to fluidized bed, such as column clogging, creation of preferential flow
304 paths and particle compression [14]. These drawbacks occur after some operation time,
305 thus to evaluate them we measured the operational stability of the packed bed reactor
306 for FOS production. The FOS production medium is also much more viscous than
307 invert sugar production medium as well as the susceptibility to clogging. Operational
308 stability was evaluated for 40 days operating at the flow rate of 0.15 mL/min and no
309 yields reduction were observed, as shown in Figure 4. In the present and in past works
310 [7, 8, 22, 23], we did not observe any kind of breaking or changing in the particles
311 during their uses, which can be indicated by the high operational stability. Nevertheless,
312 the mechanical strength of the particles should be studied, because it is not possible to
313 discard some compressing problems in a scale up process. Although the total FOS
314 concentration remained constant along the operational test, the FOS profile changed
315 over time. In the supplementary material (S.3), it was presented the HPLC
316 chromatograms for the first and last day of the tested operational stability, where it is
317 possible to note that kestose concentration decreased, whereas kestose concentration
318 increased, which could be indicating a loss of activity, because the residence time would
319 not be a sufficient cause to convert kestose in nystose, nor some conformational change
320 in the enzyme structure, which could have affected its activity.

321

322 4. Conclusions

323 Packed-bed and fluidized-bed reactors were compared for sucrose hydrolysis and
324 FOS synthesis. It was observed that it is possible to modulate the FOS composition by
325 varying the flow rates in both cases. However, the evaluation of reactor design for
326 enzymatic production of invert sugar and FOS suggested that packed bed reactors are
327 the best choice for the synthesis of those products. Packed bed reactors are not only
328 kinetically favorable but also present a simpler technology and requires smaller
329 volumes. A high operational stability was observed, no column clogging or preferential
330 flows were detected on the packed bed reactor after 40 days of operation for FOS
331 production. The packed bed reactors made in this work presents great potential for
332 industrial production of either fructooligosaccharides or invert sugar from sucrose.

333

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339

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393 **Figure Legends:**

394 Figure 1 Schematics of reactors configurations.

395 Figure 2 Yields of invert sugar production on packed bed (■) and fluidized bed (□) at

396 pH 4.5, 50 °C and 150 g/L of sucrose.

397 Figure 3 Yields of FOS production on packed bed (■) and fluidized bed (□) at pH 5.5,

398 50 °C and 600 g/L of sucrose.

399 Figure 4 Yields of FOS production (■), nystose (□), and kestose (Δ) concentrations in

400 the packed bed reactor operated continuously for 40 days at 50 °C, pH 5.5 and 600 g/L

401 of sucrose.

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402

403 **Table 1: Results of FOS synthesis in continuous reactors**

	Flow Rate (mL/min)	Nystose concentration (mM)	Kestose Concentration (mM)	Total FOS (mM)	Fructosyl Units Transferred (mM)
Fixed bed	0.05	359 ± 4	201 ± 2	560 ± 6	920 ± 10
	0.08	327 ± 3	264 ± 2	592 ± 5	919 ± 7
	0.11	291 ± 1	310 ± 1	601 ± 3	892 ± 4
	0.17	243 ± 6	355 ± 3	598 ± 9	841 ± 15
	0.23	199 ± 1	382 ± 1	581 ± 1	780 ± 2
	0.29	174 ± 10	393 ± 2	568 ± 12	742 ± 21
	0.44	118 ± 1	374 ± 1	492 ± 2	610 ± 3
Fluidized bed	0.05	304 ± 1	242 ± 0	547 ± 1	851 ± 2
	0.08	278 ± 0	272 ± 0	550 ± 0	828 ± 0
	0.11	248 ± 1	296 ± 0	544 ± 1	793 ± 2
	0.17	209 ± 0	321 ± 1	531 ± 1	740 ± 1
	0.23	175 ± 1	331 ± 1	505 ± 1	680 ± 2
	0.29	151 ± 2	324 ± 1	475 ± 3	626 ± 5
	0.44	121 ± 1	316 ± 1	438 ± 2	559 ± 2

404

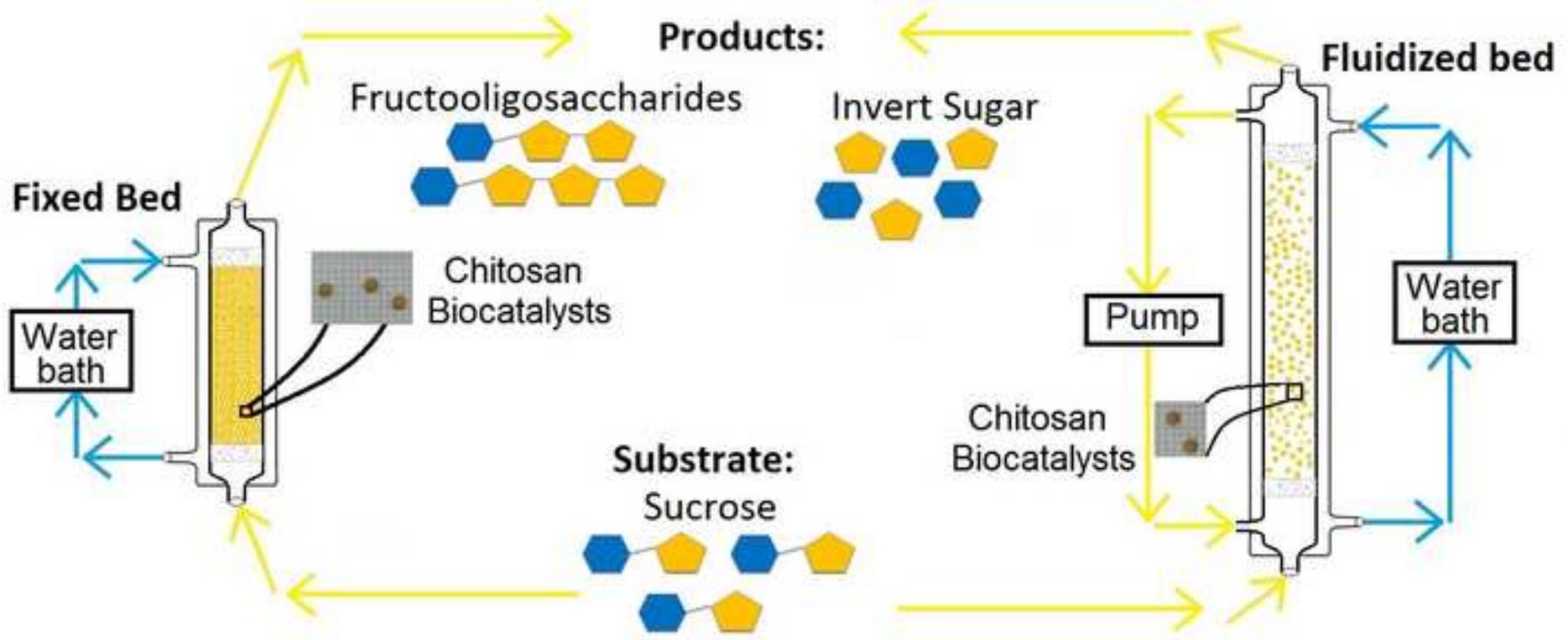


Figure 1

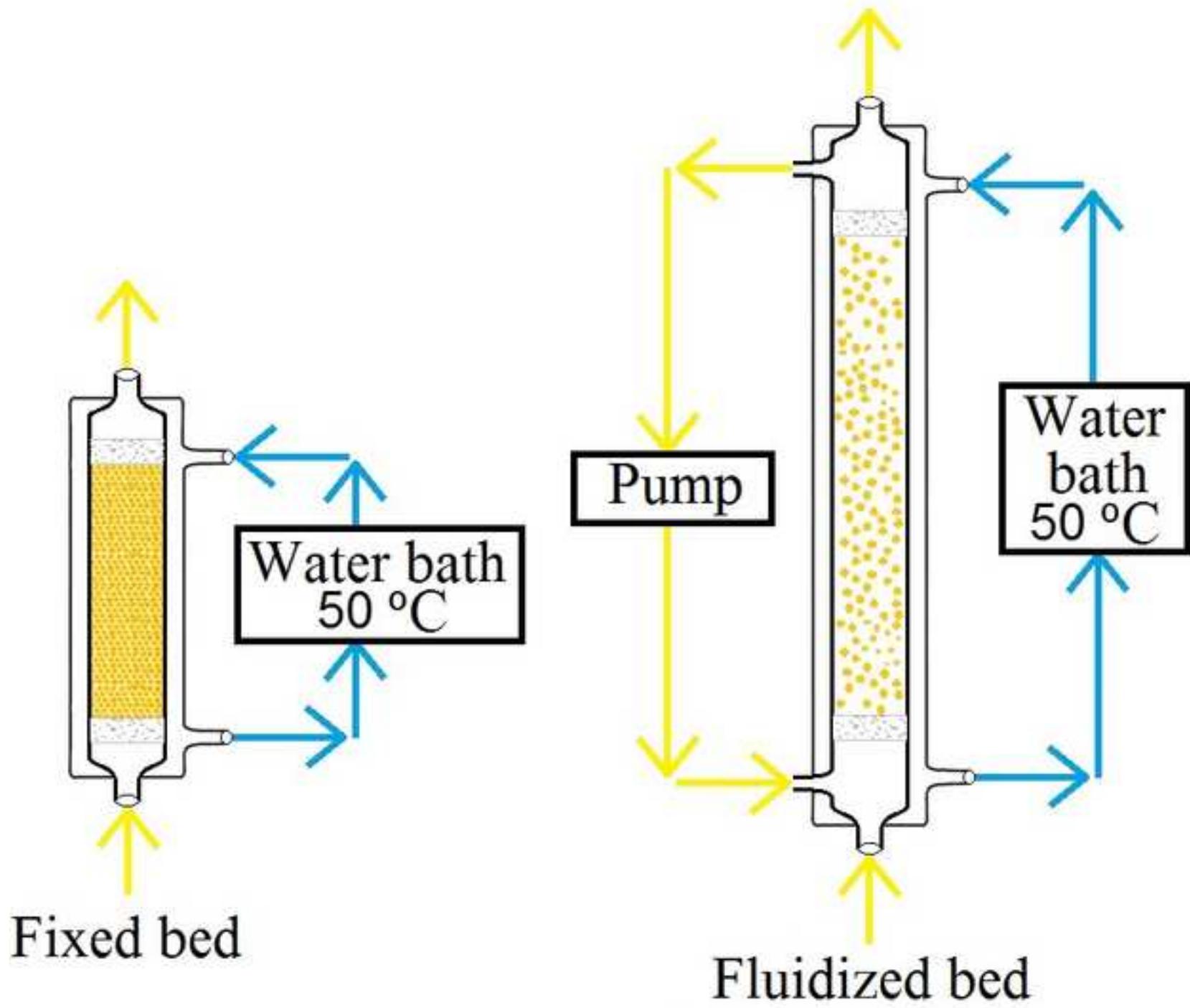


Figure 2

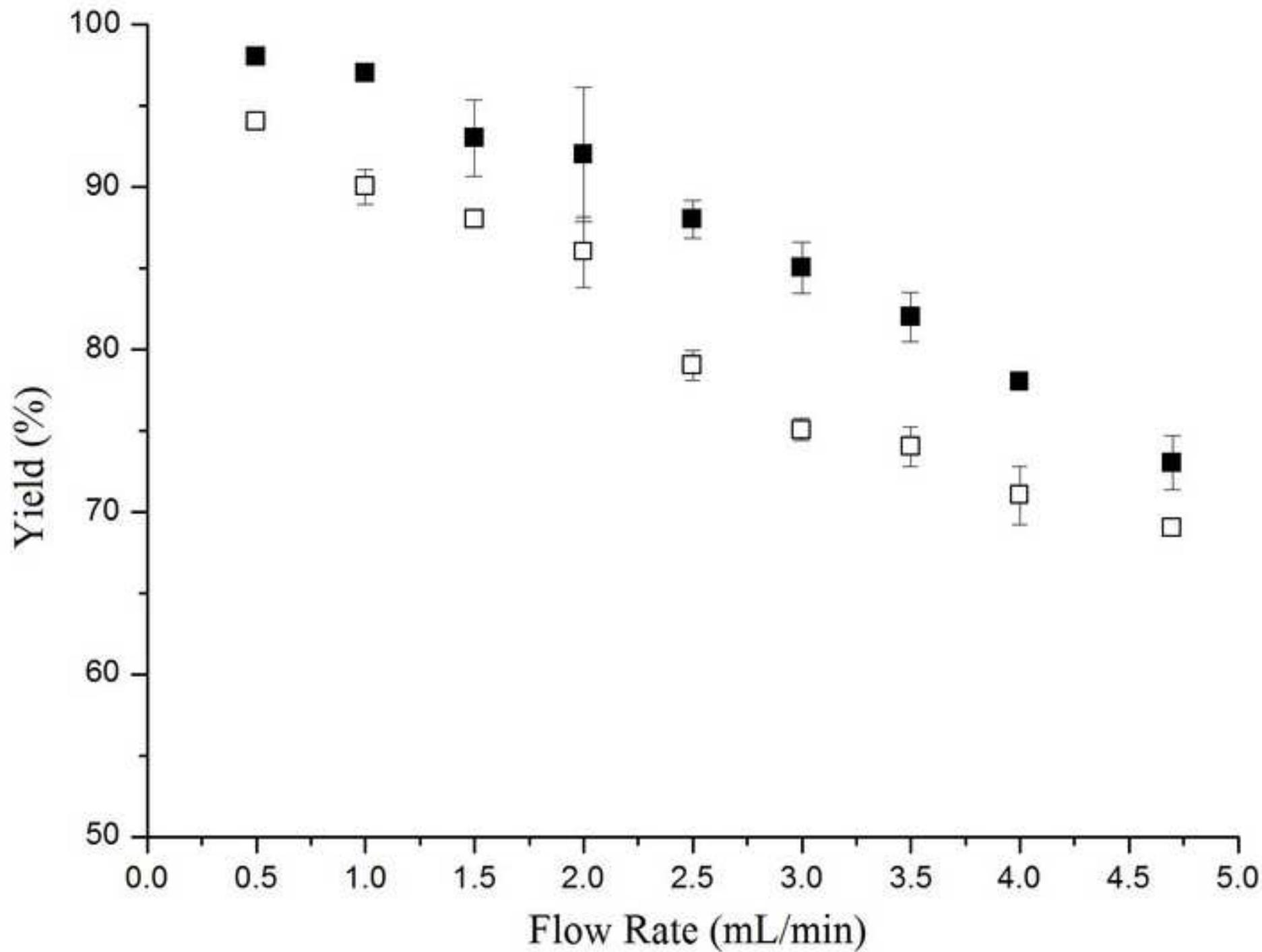


Figure 3

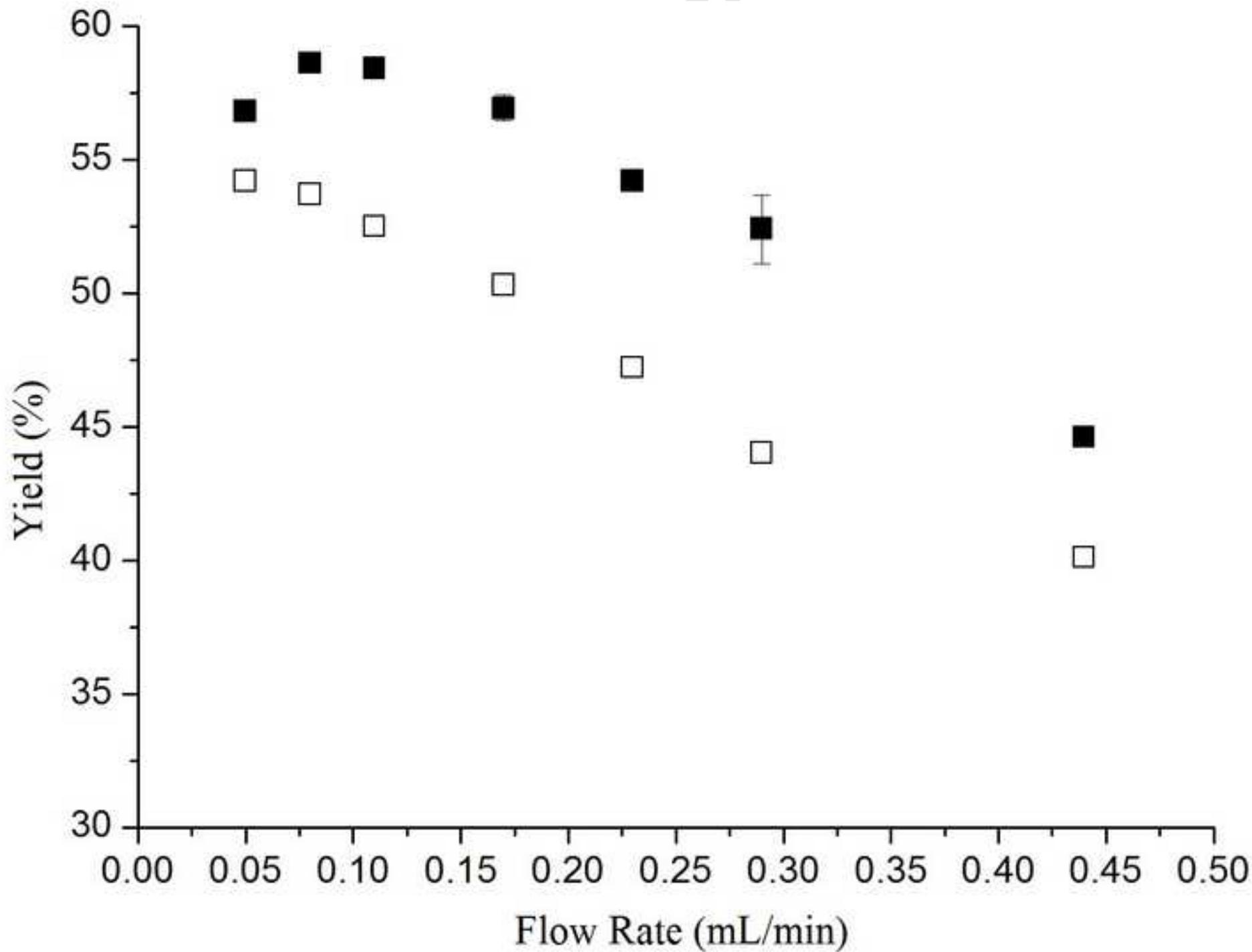


Figure 4

