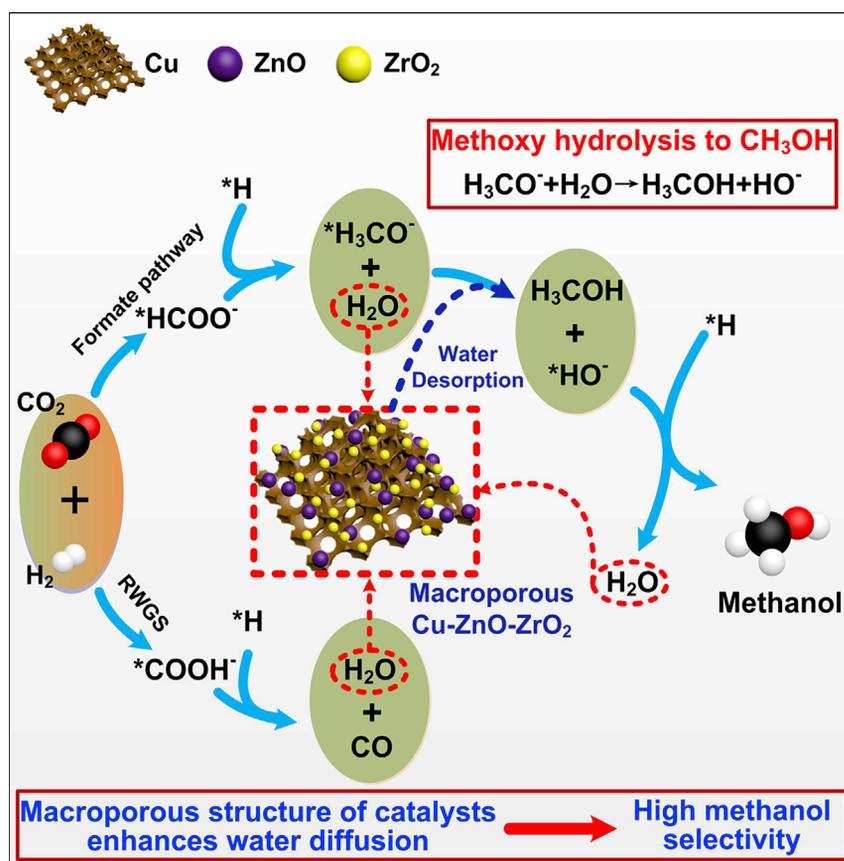


Article

Strong Evidence of the Role of H₂O in Affecting Methanol Selectivity from CO₂ Hydrogenation over Cu-ZnO-ZrO₂



Yuhao Wang, Wengui Gao,
Kongzhai Li, ..., Wei Na,
Jingguang G. Chen, Hua Wang

kongzhai.li@foxmail.com (K.L.)
jgchen@columbia.edu (J.G.C.)
wanghua65@163.com (H.W.)

HIGHLIGHTS

Methanol formation via CO₂ hydrogenation originates from methoxy hydrolysis

Suitable amount of additional water promotes methanol production

Macroporous structure increases methanol selectivity by enhancing water diffusion

Hydrogenation of CO₂ is attractive to reduce CO₂ emissions and produce value-added chemicals (e.g., methanol) with renewable energy. However, the mechanistic understanding of the role of water, a byproduct of CO₂ conversion to methanol, is still missing. Here, we identify that water directly participates in methanol formation via methoxy hydrolysis, and the enhancement on the water vapor diffusion strongly improves methanol selectivity and yield.



Article

Strong Evidence of the Role of H₂O in Affecting Methanol Selectivity from CO₂ Hydrogenation over Cu-ZnO-ZrO₂

Yuhao Wang,^{1,2} Wengui Gao,^{1,2} Kongzhai Li,^{1,3,*} Yane Zheng,¹ Zhenhua Xie,⁴ Wei Na,^{2,5} Jingguang G. Chen,^{4,6,7,*} and Hua Wang^{1,5,*}

SUMMARY

CO₂ hydrogenation to methanol with renewable H₂ is an ideal process for coupling reduction of greenhouse gas and development of sustainable methanol economy, and Cu-ZnO-ZrO₂ is regarded as a promising catalyst for this process. Identification of the key descriptors that control the catalytic activity and selectivity is one of the most important issues for this reaction. Here, we identify the role of water in the hydrogenation of CO₂ to methanol, which strongly affects the selectivity and yield of methanol. Our results reveal that methanol is generated via the hydrolysis of methoxy formed by the hydrogenation of formate, and the desorbed water vapor facilitates the hydrolysis of methoxy. A suitable amount of water in the feed can promote the formation of methanol. The enhancement on the water vapor diffusion in catalysts by structural and/or surface modification offers a new strategy for tuning the selectivity and yield of methanol.

INTRODUCTION

Methanol is a potential liquid energy or hydrogen carrier as well as an important feedstock to produce commodity chemicals and key chemical intermediates.¹ The catalytic conversion of CO₂ to methanol has been considered as a highly desirable process in a sustainable methanol-based economy because it is also an important approach to mitigate this greenhouse gas when hydrogen is obtained from CO₂-free sources.^{2–4} Supported copper materials (e.g., Cu-ZnO, Cu-ZrO₂, and Cu-ZnO-ZrO₂ [CZZ]) have emerged as promising catalysts for this transformation owing to their high performance.^{5–9} Among them, CZZ catalysts are the most attractive ones because they usually show much higher catalytic activity than the Cu-ZnO and Cu-ZrO₂ catalysts, especially at relatively low temperatures (180°C–240°C).^{10–14} The weak hydrophilic character of the ZrO₂ support would inhibit the poisoning effect of water on the active sites during methanol synthesis.¹¹

Substantial progress suggests that the structure sensitivity of Cu (e.g., dispersion and particle size), the interaction between Cu and the oxide support (e.g., formation of interface or Cu alloy), as well as the material morphology play critical roles in controlling the activity and selectivity for CH₃OH synthesis by modifying the adsorption and activation of reactants (CO₂ and H₂) and the transformation of key reaction intermediates.^{6,9,15–22} By optimizing the preparation methods or synthesis procedures, the Cu surface area, particle uniformity, surface basicity, and Cu-oxides interaction of catalysts can be tuned, which result in high CO₂ conversion and methanol selectivity.^{23–26} CZZ catalysts with narrow mesopores size

The Bigger Picture

CO₂ hydrogenation to methanol is an ideal process for coupling reduction of greenhouse gas and development of sustainable methanol economy with renewable energy. As one of the most important byproducts in the CO₂ hydrogenation reactions, H₂O plays a crucial role in determining the CO₂ conversion and methanol selectivity. However, at present, the mechanistic understanding of the role of water in affecting the formation of methanol is still missing. Here, we provide strong evidence for a fundamental understanding of the role of water during CO₂ hydrogenation over Cu-ZnO-ZrO₂ (CZZ) catalysts. Our results reveal that methanol is generated via the hydrolysis of methoxy formed by the hydrogenation of formate, and the desorbed water vapor facilitates the hydrolysis of methoxy. Enhancement on water diffusion via preparing macroporous catalysts increases the methanol selectivity, and addition of suitable amount of water in the feed gas can promote the methanol yield.

distributions also exhibit high methanol selectivity owing to the larger metal-oxide interfacial area.²⁷

At present, the mechanistic understanding of methanol synthesis over Cu-ZnO-ZrO₂ is insufficient. In general, formate (*HCOO) produced by the reaction between CO₂ and adsorbed atomic H and CO generated from the reverse water-gas shift (RWGS) are considered to be two major intermediates.^{9,28} Regardless of the formate and RWGS + CO hydrogenation pathways, methanol is finally generated from the methoxy (*CH₃O) intermediate, but there is still lack of basic knowledge on how methoxy is converted to methanol. In addition, the role of water, which is a byproduct in both the hydrogenation process (CO₂ + 3H₂ → CH₃OH + H₂O) and in the main side reaction (RWGS: CO₂ + H₂ → CO + H₂O), in affecting the formation of methanol and intermediates is not well understood.

It has been reported that the presence of water in the hydrogenation of CO could help in maintaining the catalyst in an active state (a partially oxidized state) under a steady-state operation, enhancing the methanol yield.²⁹ When using CO-CO₂-H₂ as the feed, either positive or negative effect of water in the feed on methanol synthesis is observed, which mainly depends on the ratio of CO to CO₂ and the partial pressure of water.^{30–32} For the CO₂+H₂ system, the methanol formation is suppressed with the presence of water in the feed, which is presumably because of the competitive adsorption on the active sites.^{31,33} It is also found that water produced during methanol synthesis from a CO₂-rich feed could accelerate the crystallizations of Cu and ZnO in the catalyst, leading to the deactivation of the catalyst.³⁴ On the other hand, a thermodynamic analysis reveals that the *in situ* water adsorption on the CO₂ hydrogenation process could lead to 15% higher methanol production.³⁵

The role of water in the reaction pathway of CO₂ hydrogenation to methanol is also under debate. Surface hydroxyl group originating from water might directly react with CO to produce formate, and alternatively active surface oxygen could be produced by the H₂O-H₂ redox mechanism that promotes methanol synthesis from CO₂ via carbonate.³² In addition, it is proposed that some water-derived adsorbates might assist in the hydrogenation of adsorbed formate to methoxy.³⁶ A density functional theory (DFT) calculation suggests that CO₂ hydrogenation to trans-COOH is kinetically more favorable than formate in the presence of water via a unique hydrogen transfer mechanism.³⁷ Notably, isotope-tracing experiments show that water and water-derived species might serve as reactants to form critical active intermediates or might serve as a “catalyst” for one or more hydrogenation steps in CO₂ hydrogenation.³⁸ In particular, the conversion of methoxy species to methanol by hydrolysis is believed to be more rapid than by reductive elimination and is considered as a primary pathway to methanol generation.¹⁵ Although substantial evidence verify the crucial role of water in CO₂ hydrogenation, direct evidence for water-assisted step(s) is still missing, and little is known about the effect of water on the methional selectivity.

Here, we provide strong evidence for deeper understanding of the role of water during CO₂ hydrogenation over CZZ catalysts by using *in situ* Fourier transform infrared and transient isotope-tracing experiments. Water is an actual participant in the transformation of intermediates (i.e., methoxy), and a suitable amount of additional water in the system can improve the methanol generation. The enhancement on the water vapor diffusion in catalysts by forming a three-dimensional (3D)-ordered macroporous (3DOM) structure strongly promotes the methanol selectivity without lowering the CO₂ conversion.

¹State Key Laboratory of Complex Nonferrous Metal Resources Clean Utilization Engineering, Kunming University of Science and Technology, Kunming 650093, China

²Faculty of Metallurgical and Energy Engineering, Kunming University of Science and Technology, Kunming 650093, China

³Department of Earth and Environmental Engineering, Columbia University, New York, NY 10027, USA

⁴Chemistry Division, Brookhaven National Laboratory, Upton, New York, NY 11973, USA

⁵School of Pharmacy and Chemistry, Dali University, Dali 671003, China

⁶Department of Chemical Engineering, Columbia University, New York, NY 10027, USA

⁷Lead Contact

*Correspondence:
kongzhai.li@foxmail.com (K.L.),
jgchen@columbia.edu (J.G.C.),
wanghua65@163.com (H.W.)

<https://doi.org/10.1016/j.chempr.2019.10.023>

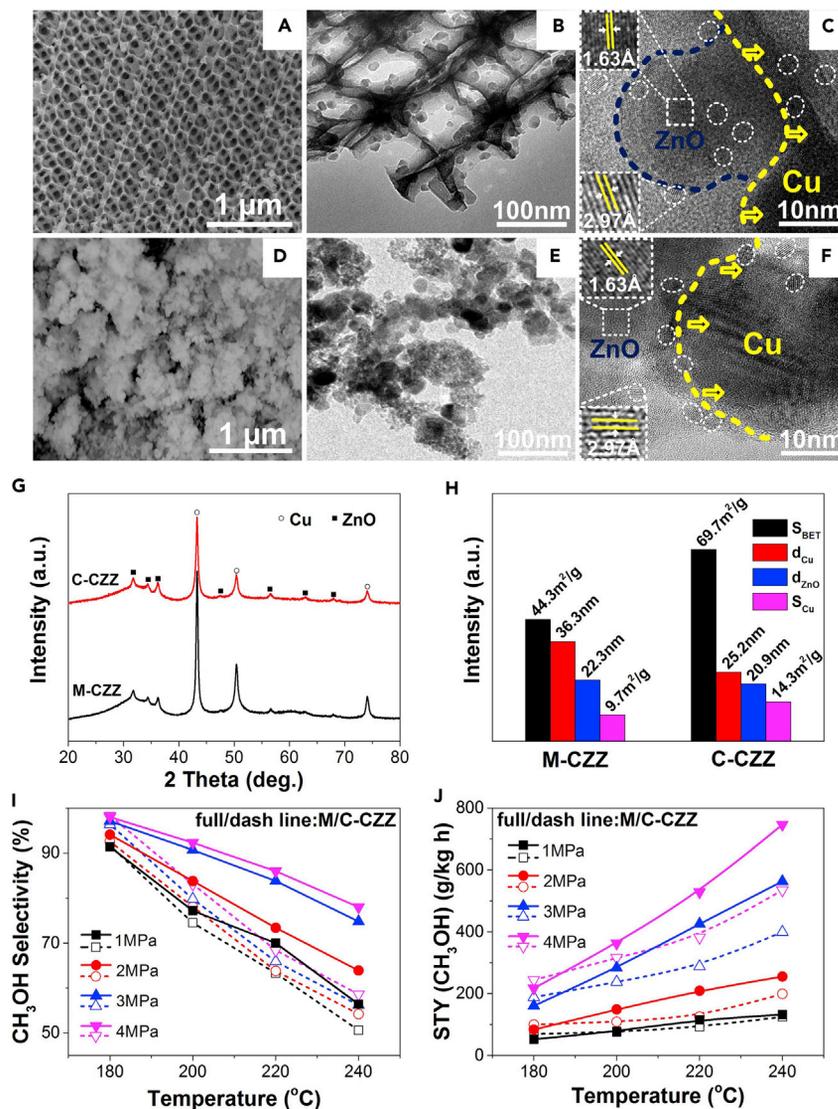


Figure 1. Structural Characterizations and Catalytic Performance of the M-CZZ and C-CZZ Catalysts

- (A) SEM image of M-CZZ. Scale bar, 1 μ m.
 (B) TEM image of M-CZZ. Scale bar, 100 nm.
 (C) HRTEM image of M-CZZ. Scale bar, 10 nm.
 (D) SEM image of C-CZZ. Scale bar, 1 μ m.
 (E) TEM image of C-CZZ. Scale bar, 100 nm.
 (F) HRTEM image of C-CZZ. Scale bar, 10 nm.
 (G) XRD patterns of M-CZZ and C-CZZ.
 (H) S_{BET} , d_{Cu} , d_{ZnO} , and S_{Cu} of M-CZZ and C-CZZ samples.
 (I) Methanol selectivity at different temperatures (180°C to 240°C) and different pressures (1–4 MPa) over M-CZZ and C-CZZ.
 (J) Space-time yield of methanol at different conditions.

RESULTS AND DISCUSSION

Structural Characterizations and Catalytic Performance

The catalytic performance of the 3DOM Cu-ZnO-ZrO₂ (M-CZZ) catalyst, prepared by a colloidal crystal template method, was investigated at different temperatures and pressures, and the results were compared with a conventional sample (C-CZZ) prepared by a co-precipitation method. As shown in Figures 1A and 1B, the SEM

and TEM analyses suggest that the M-CZZ catalyst possesses open and periodic 3D frameworks with an average diameter of 120 ± 10 nm. The additional EDS and TEM measurements (Figure S1 in the Supplemental Information) reveal that the 3DOM framework mainly consists of Cu and that the ZnO particles are well dispersed on the wall of the macroporous. The high-resolution transmission electron microscope (HRTEM) image (Figure 1C) shows that t-ZrO₂ nanoparticles (3 ± 1 nm), with a fringe spacing of 0.295 nm corresponding to the (011) plane, are highly dispersed on both ZnO particles and Cu framework. Similar distribution patterns of Cu, ZnO, and ZrO₂ are also observed on the C-CZZ sample (Figure 1F).

The X-ray diffraction (XRD) patterns (Figure 1G) exhibit that both the samples are characterized by similar diffraction peaks of Cu (43.3° , 50.5° , and 74.1°) and ZnO (31.7° , 34.4° , and 36.2°). The diffraction peaks of ZrO₂ are barely visible due to the relatively high dispersion and small particle sizes. In particular, the C-CZZ sample shows larger -specific surface area (S_{BET}), smaller Cu grain size (d_{Cu}), and higher Cu surface area (S_{Cu}) than in the M-CZZ sample (see Figure 1H). In addition, the C-CZZ sample also shows a relatively high reducibility in the H₂-TPR testing (see Figure S2; Table S1).

Figures 1I and 1J compare the selectivity and yield of methanol for the M-CZZ and C-CZZ samples at different temperatures and pressures, and details (data on conversion, selectivity, and yield) in the catalytic performances of the two samples are presented in Figure S3 and Tables S2–S5. As can be seen, the M-CZZ catalyst shows much higher methanol selectivity and yield than the C-CZZ sample at the corresponding temperatures and pressures. It is also observed that the selectivity of methanol decreases whereas the conversion of CO₂ increases as the reaction temperature increases. CO₂ hydrogenation to methanol ($\text{CO}_2 + 3\text{H}_2 \rightarrow \text{CH}_3\text{OH} + \text{H}_2\text{O}$, $\Delta H_{298} = -49.5$ kJ/mol) is an exothermic reaction, and the RWGS reaction ($\text{CO}_2 + \text{H}_2 \rightarrow \text{CO} + \text{H}_2\text{O}$, $\Delta H_{298} = +41.2$ kJ/mol) is an endothermic reaction. Thermodynamically, the increase in reaction temperature would result in the decline in methanol selectivity (see Figure S4). On the other hand, higher pressures facilitate methanol production because of the net reduction of pressure during the CO₂ hydrogenation process. The trend for the changes of methanol yield when increasing the temperatures is different from that for the selectivity, as shown in Figure 1J. The methanol yield is significantly enhanced with the initial increase in temperature.

The gaps for the methanol generation (both the selectivity and yield of methanol) among different pressures are much higher for M-CZZ than C-CZZ, indicating that the effect of pressure change on methanol selectivity is stronger for the M-CZZ catalyst (see Figures 1I and 1J). This phenomenon suggests that the formation of the 3DOM structure could significantly improve methanol synthesis, especially at relatively high temperatures and high pressures.

Reaction Pathways

Figures 2A and 2B show the DRIFT spectra of the M-CZZ and C-CZZ catalysts after switching the feed from CO₂ to H₂ at 220°C and 3.0 MPa. Before this switching, only carbonate species can be detected, and their intensity is similar for the two samples. The peak at $\sim 1,532$ cm⁻¹ is related to monodentate (m-CO₃²⁻), and the bands at $\sim 1,596$ and $1,358$ cm⁻¹ represent bidentate (b-CO₃²⁻) carbonate species.³⁹ The strong bands, observed at $1,589$, $1,386$, and $1,362$ cm⁻¹ as soon as H₂ is introduced in the reactor for 1 min, are assigned to the $\nu_{\text{as}}(\text{OCO})$, $\delta(\text{CH})$, and $\nu_{\text{s}}(\text{OCO})$ modes of formate species, respectively.⁴⁰ Note that carbonate species disappear very fast with the formation of formate, indicating that formate species should be generated from the reaction of carbonate species with active H species.

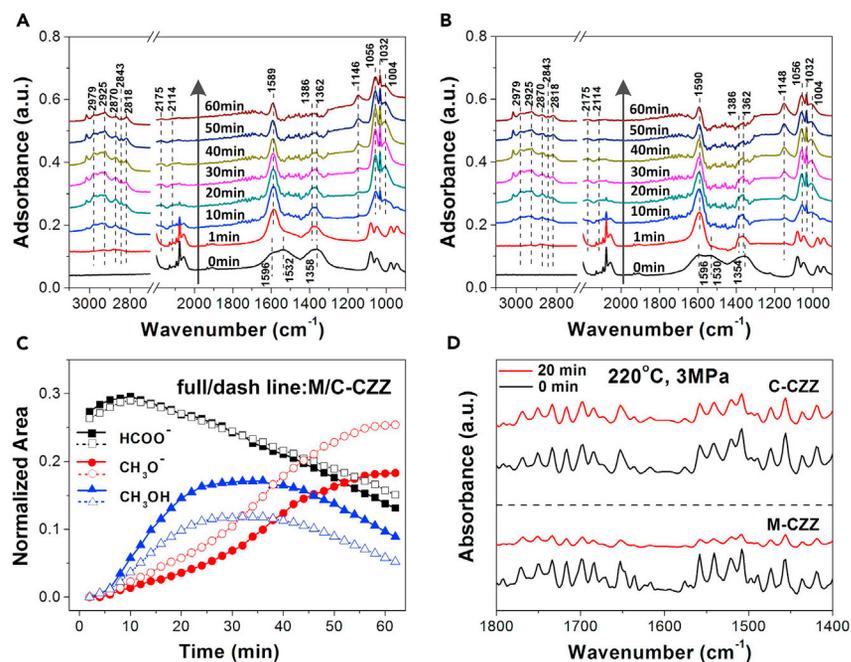


Figure 2. Evolution of Carbon-Containing Intermediates and Methanol over M-CZZ and C-CZZ Catalysts in CO₂ Hydrogenation

(A) *In situ* DRIFT spectra of M-CZZ after switching the feed from CO₂ to H₂ at 220°C and 3.0 MPa. The spectra are referenced to M-CZZ under a He flow at the same conditions.

(B) *In situ* DRIFT spectra of C-CZZ after switching the feed from CO₂ to H₂ at 220°C and 3.0 MPa. The spectra are referenced to C-CZZ under a He flow at the same conditions.

(C) Evolution of the peak areas of generated intermediate species and methanol on catalysts during the DRIFT experiments.

(D) *In situ* DRIFT spectra of M-CZZ and C-CZZ after switching the feed from 2.0 mol % H₂O/He to He for 20 min at 220°C and 3 MPa.

As the reaction proceeds for 10 min, the bands at $\sim 1,146\text{ cm}^{-1}$, assigned to the methoxy species⁴⁰ and the bands at $\sim 2,979, 2,925, 2,870, 2,843, 2,818, 1,056, 1,032, 1,004\text{ cm}^{-1}$, assigned to the methanol⁴¹ are observed.

Figure 2C shows the concentration changes of formate, methoxy, and methanol species during the reaction. The concentration of formate species on each catalyst slightly increases and then decreases during the reaction. The initial increase in formate can be attributed to the hydrogenation of carbonate species after switching from CO₂ to H₂, and its further decrease should be related to the hydrogenation of formate to methoxy. It is very interesting that, although methoxy continuously accumulates during the reaction, methanol exhibits a volcano-shape variation trend. In general, it is well accepted that methoxy species is the last intermediate for methanol generation from CO or CO₂ hydrogenation.^{5,6,8,42} Methanol can be generated from methoxy either by hydrogenation ($\text{CH}_3\text{O}^* + \text{H}^* \rightarrow \text{CH}_3\text{OH}$) or by hydrolysis reaction ($\text{CH}_3\text{O}^* + \text{H}_2\text{O} \rightarrow \text{CH}_3\text{OH} + \text{OH}^*$). In this experiment, the switching of feed gas from CO₂ to H₂ would result in abundant H species on the surface of catalysts, which could continuously convert formate to methoxy and then to methanol. However, the concentration of methoxy continues to increase during this process, even when the methanol intensity starts to decrease. This suggests that the methoxy hydrogenation pathway is very doubtful.

It has been previously suggested that the hydrolysis of methoxy is more favorable than methoxy hydrogenation by thermodynamic calculations.^{43–45} To identify

the possibility of hydrolysis reaction of methoxy for methanol formation, we performed experiments with and without introduction of water vapor in the catalytic system, and the results are shown in Figure S5. As shown in Figure S5A, formate and methoxy species are detected after switching the feed gas from CO₂ to H₂. When the reaction pressure is increased to 3.0 MPa, the formation of methoxy is enhanced, but almost no methanol species (the bands at 1,056 and 1,034 cm⁻¹) are detected (see partial enlargement of the *in situ* DRIFT spectra in Figure S5C). In contrast, upon the introduction of water vapor into the system and increasing the pressure to 3.0 MPa, methanol can be observed (see Figure S5C). This suggests that the hydrolysis of adsorbed methoxy to methanol is feasible.

In the CO₂ hydrogenation process, water for methoxy hydrolysis can come from the hydrogenation of formate. Methoxy hydrolysis will result in the formation of OH*, which could react with the activated hydrogen to form additional water. With the consumption of formate, less water can take part in methoxy hydrolysis, resulting the accumulation of methoxy. If this is true, the diffusion of water vapor should be very important for methanol formation. Figure 2D shows the variation of water vapor during switching of the feed from H₂O+He to He over different catalysts. It is observed that the vibrational and/or rotational bands in the range of 1,800–1,400 cm⁻¹ corresponding to the presence of water vapor⁴⁶ are much weaker on M-CZZ than on the C-CZZ sample after the He purge. This observation indicates that the 3DOM structure is beneficial for steam desorption and diffusion, which might enhance the hydrolysis of methoxy and improve the formation of methanol.

As observed in Figure 11, the M-CZZ catalyst shows a much higher activity than the C-CZZ sample despite its lower specific surface area (S_{BET}), bigger Cu particle size (d_{Cu}), and smaller Cu surface area (S_{Cu}). In addition, both M-CZZ and C-CZZ show almost the same HD signal in the H₂-D₂ exchange experiment (see Figure S6), suggesting that they possess similar ability for hydrogen dissociation. Simultaneously, the evolution of intermediate species (carbonates, formates, and methoxys) on two catalysts are similar (see Figure S7). In these cases, the high activity of the macroporous CZZ catalyst for methanol generation can only be attributed to enhanced water diffusion within the 3DOM structure. Therefore, as shown in Figure S8, the accumulation of methoxy species because of the inefficiency hydrolysis on the C-CZZ catalyst reduces the formation of methanol.

Role of Water in Methanol Formation

Isotope tracing technique using deuterioxide vapor was performed for further understanding the role of water in methanol formation. As shown in Figure 3A, after introducing D₂O vapor (0.95 mol %) into the CO₂+H₂ flow, the intensity of CH₃OH (with a mass number of 32) remains stable, but new MS signals of CH₃OD (33 amu) and D₂O (20 amu) were detected. When the D₂O loading was increased to 2.24 mol % (see Figures 3B and S9), the formation of CH₃OD was obviously enhanced, but the generation of CH₃OH and H₂O was suppressed. It was also noted that the total amount of CH₃OH and CH₃OD decreased when increasing the D₂O concentration to 4.08 mol %. These phenomena indicate that water is involved in methanol formation, and a suitable amount of water in the reaction system can improve the generation of methanol.

To understand how water is involved in the pathway of methanol synthesis, we performed *in situ* DRIFT experiments on the catalyst in different atmospheres with and without loading of water. In the CO₂ hydrogenation to methanol via a formate pathway, carbonate, formate, and methoxy are three important intermediates,

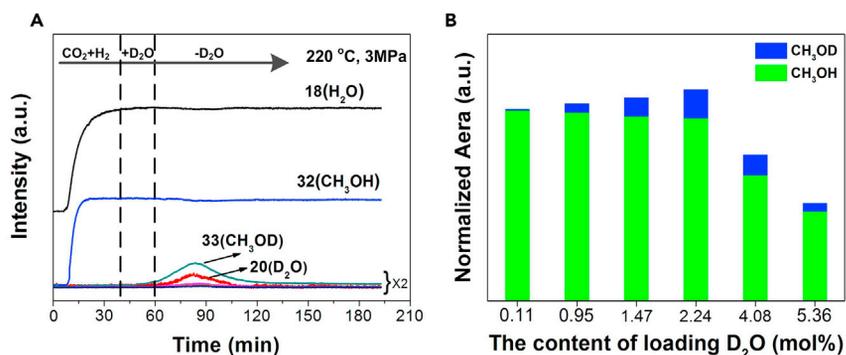


Figure 3. Effect of D₂O Addition on the Formation of Methanol

(A) Mass spectra of the products in CO₂ hydrogenation over CZZ catalysts loaded with deuterioxide vapor (0.95 mol %).

(B) Effect of D₂O content on the formation of CH₃OD and CH₃OH during the reaction (on the basis of the normalized peak areas of generated CH₃OD and CH₃OH in the MS experiment, as shown in Figure S9). Reaction conditions: gas flow rate = 100 mL/min, T = 220°C, CO₂:H₂ = 1:3, P = 3.0 MPa.

and their conversions are very important for methanol formation. As shown in Figure 4A, in the CO₂ atmosphere, carbonate species (1,593, 1,525, 1,354 and 1,079 cm⁻¹) are detected, and their peak intensities remain stable after loading H₂O, indicating that the carbonate might not react with H₂O. In the CO₂+H₂ atmosphere (see Figure 4B), both formate species (2,975, 2,878, 1,588, 1,386, and 1,365 cm⁻¹) and methoxy species (2,926, 2,821, 1,145, and 1,043 cm⁻¹) are observed. After introducing H₂O, the IR peaks of formate species retain similar intensities, but the peaks of methoxy species disappear. When the loading of H₂O is stopped, the methoxy species becomes detectable again, suggesting that water should be involved in methanol formation via reaction with methoxy (i.e., hydrolysis of methoxy).

To further confirm methoxy hydrolysis to methanol, we performed *in situ* DRIFTS experiments on the CZZ catalyst under different environments and reaction pressures, as shown in Figure 4C. By switching the flow gas from CO₂+H₂ to pure H₂ and adjusting the reaction pressure (see the steps 1–3 in Figure 4C), the formate species (2,975, 2,878, 1,588, 1,386, and 1,365 cm⁻¹) on the surface of the catalysts have been mostly converted to methoxy species (2,926, 2,821, 1,145, and 1,043 cm⁻¹), which immediately disappear when water vapor is loaded (see step 4 in Figure 4C). After the water vapor loading is stopped, the methoxy is still absent. In addition, semi-heavy water (HDO) was observed when loading D₂O into H₂ or H₂+CO₂ flow at 3 MPa and 220°C in the presence of the CZZ catalyst (Figure S10), indicating that water easily dissociates and reacts with other species on the CZZ catalyst. All the above phenomena suggest that methoxy should be consumed via reaction with water.

However, it is noted that no methanol is detected in this process, which might be due to the low amount of adsorbed methoxy on the surface of the catalysts. To further confirm the hydrolysis of methoxy to methanol, we also performed similar tests with steps 1–3 in Figure 4C in the catalytic activity evaluation device using 1.4 g of catalyst, and then we loaded D₂O into the reactor and monitored products using a mass spectrometer. As shown in Figure 4D, signals of 20 amu (D₂O) and 33 amu (CH₃OD) were detected with the loading of D₂O, suggesting that methoxy species react with D₂O to form CH₃OD, and the continuous consumption of methoxy species leads to the decline of the CH₃OD product.

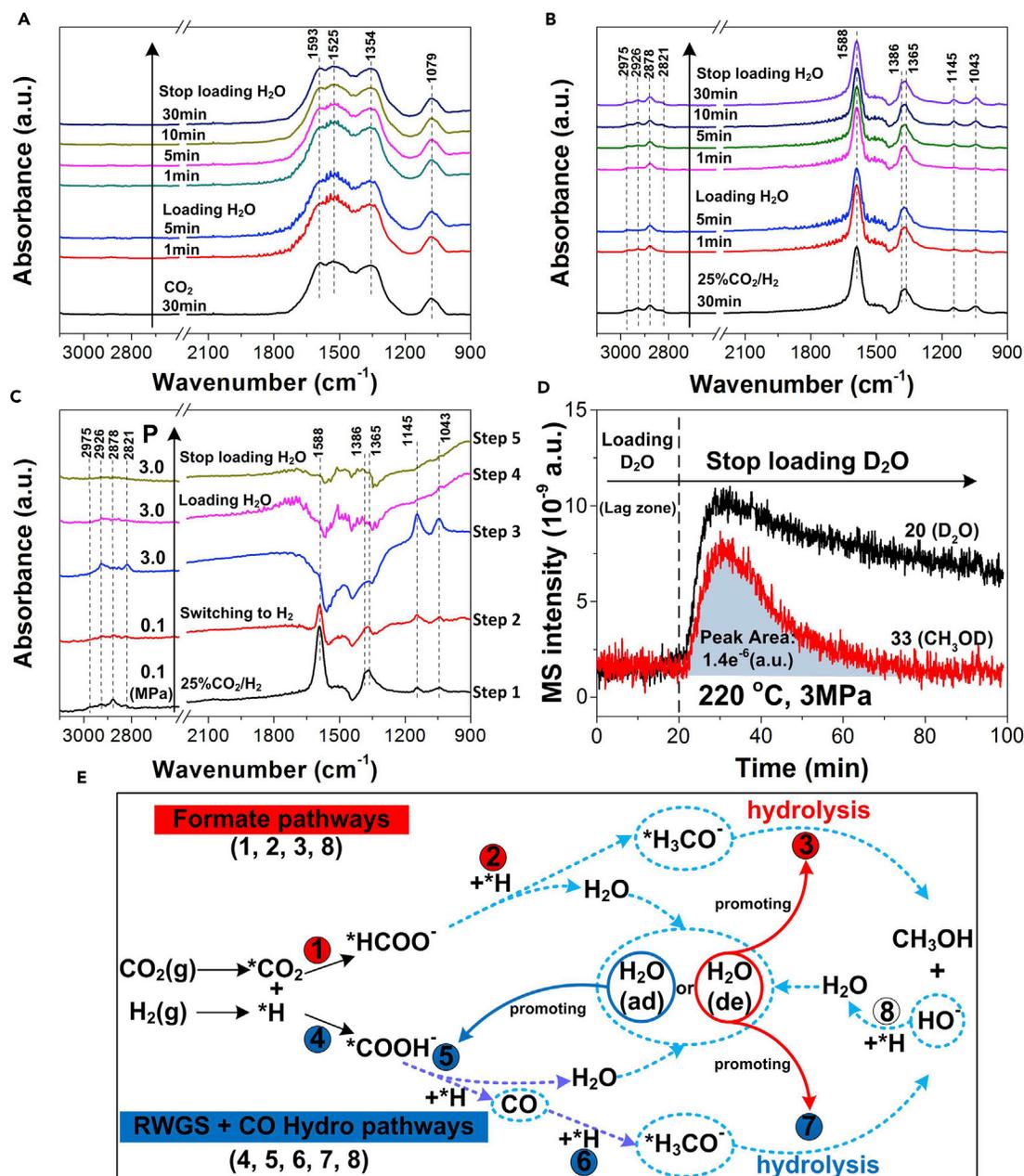


Figure 4. Confirmation of Methoxy Hydrolysis to Methanol and Possible Reaction Path of CO₂ Hydrogenation over CZZ Catalysts

(A) *In situ* DRIFT spectra of water vapor effect on the evolution of intermediate species on CZZ catalysts at 220°C in CO₂ at atmospheric pressure.

(B) 25% CO₂+H₂ at atmospheric pressure with changed reaction gases.

(C) 25% CO₂+H₂ at different pressures with changed reaction gases.

(D) Mass spectra of deuteride vapor loaded after the step 3 in Figure 4C using 1.4 g catalyst.

(E) Possible reaction path of CO₂ hydrogenation over CZZ catalysts. The *in situ* DRIFT experiment conditions: gas flow rate = 30 mL/min, T = 220°C, P = atmospheric pressure or 3 MPa. MS experiment conditions: gas flow rate = 100 mL/min, T = 220°C, P = 3 MPa.

In CO₂ hydrogenation over Cu-based catalysts, methanol can be produced via two major reaction pathways (Formate pathway and CO-Hydro pathway), and both pathways involve many reaction intermediates.⁹ As shown in Figure 4E, formate (*HCOO) is produced by the reaction between adsorbed CO₂ and dissociative H, and its further hydrogenation should produce water and methoxy (*CH₃O), which is the final intermediate for methanol formation. CO is generated from the RWGS

reaction, which can be a byproduct or be further hydrogenated to methional also via methoxy (*CH₃O) as the final intermediate (Figures S11 and S12). As discussed above, methoxy can preferentially react with water (methoxy hydrolysis) rather than with H₂ (methoxy hydrogenation) to form methanol over CZZ catalysts, and the facile release of methoxy by hydrolysis should promote the hydrogenation of carbonate and formate species, facilitating the transformation of CO₂ to methanol.

The water needed for the hydrolysis reaction might come either from the hydrogenation of formate or from the RWGS reaction. It should be highlighted that Cu-ZnO-ZrO₂ catalysts show dual or multiple sites (Cu^{δ+} and Cu-oxides interfaces and/or ZnO-ZrO₂ interface) for CO₂ hydrogenation to methanol,^{12,47,48} and the active sites for methanol formation and for the conversion of CO₂ to CO are also different.^{25,49,50} In this case, water (via the hydrogenation formate and RWGS reaction) might be generated from different sites on the catalysts, which need to release to the methoxy sites for producing methanol by hydrolysis. Therefore, the diffusion efficiency of water should be very important for the formation of methanol.

Methanol synthesis and RWGS reactions can be related to each other via water as a medium. The comparisons of the experimental values of CO₂ conversion, equilibrium constants and yields of methanol and CO with the thermodynamic equilibrium values over the M-CZZ and C-CZZ catalysts in various temperatures (180°C–240°C), pressures (1–4 MPa), and space velocity (9,000–18,000 h⁻¹) are performed to clarify kinetics or thermodynamics control for the formation of CO and methanol, and the results are shown in Figures S13–S15. As can be seen, the experimental values of CO₂ conversion and equilibrium constants are much lower than the thermodynamic equilibrium ones for either methanol synthesis (MS) or RWGS, regardless of the changes of experimental conditions. In addition, with the presence of water (1–10 vol %), the experimental CO₂ conversion and yields of methanol or CO are also much lower than the thermodynamic equilibrium values (Figure S16). These phenomena indicate that under the present experimental conditions (180°C–240°C, 1–4 MPa, and water content lower than 10 vol %), both the formation of CO and methanol are under a kinetically controlled regime.

The steady-state reaction tests with the addition of water in catalytic CO₂ hydrogenation are also performed, as shown in Figure S17. Both CO₂ conversion and product selectivity are affected by the addition of water. The methanol yield and CO₂ conversion for both catalysts increase with increasing the amount of water. However, the addition of water slightly reduces the methanol selectivity, and this trend is more obvious for the C-CZZ catalyst. Figure S18 shows the kinetic study on the effect of water addition on the formation rates of methanol and CO. Both rates linearly rise when the water content increases to 10% over the M-CZZ and C-CZZ catalysts, (see Figures S18A and S18B). In addition, the increase in the formation rate of methanol was because of the addition of water is more obvious over M-CZZ than C-CZZ. These phenomena indicate that the macroporous structure of catalyst might improve the kinetic conditions for selective conversion of CO₂ to methanol in the presence of additional water. This should be related to its high ability for the desorption and diffusion of water, which can promote the formation of methanol by enhancing the hydrolysis of methoxy, as discussed previously.

In addition, the reaction pressure also influences the catalytic performance with the presence of additional water (see Figure S19). The improvement of water addition on the CO₂ conversion is more obvious at relatively high pressures, whereas it shows weaker effect on the methanol selectivity, especially for the M-CZZ sample. This is likely attributed to that high pressures can improve the diffusion rate of free water

in the bulk of catalysts, and the 3DOM structure might further enhance water diffusion, improving the formation of methanol from hydrolysis. As a result, high reaction pressures enhance the positive role of water for methanol formation.

The effects of hydrophobic property and water diffusion rate of catalysts on the catalytic performance for methanol formation were also investigated. Zeolite-3A, a typical hydrophilic material, was used to tune the hydrophilicity of M-CZZ catalyst. H₂O contact angle (see Figure S20) and H₂O-TPD (see Figure S21) measurements were used to analyze hydrophilicity of catalysts, which revealed that the M-CZZ catalyst with a macrostructure shows much weaker hydrophilicity (better hydrophobic property) than the C-CZZ sample, and the addition of Zeolite-3A further improves the hydrophilicity of catalysts. In addition, the M-CZZ catalyst also showed a much higher water diffusion rate (0.023 versus 0.013 mol/min · g_{cat}, see Figure S22) than the C-CZZ sample. Given that M-CZZ catalyst shows much higher activity and selectivity than the C-CZZ sample, and the presence of Zeolite-3A results in a sharp decrease in the selectivity and yield of methanol (see Figure S23), it is reasonable to conclude that enhancement on the water diffusion and hydrophobic property of catalysts facilitates the formation of methanol from CO₂.

The D₂O isotope experiment (see Figures S25 and S26) is used to further identify the role of adsorbed water in methanol formation. Before loading D₂O, the catalysts are pretreated for producing methoxy on the surface (which is pretreated by the same procedure with the steps 1–3 in Figure 4C). Figure S25C shows that almost no CH₃OD is detected over the Zeolite 3A containing catalyst, and the signal of D₂O is also very weak during the initial 40 min after introducing He to desorb the D₂O adsorbed on the catalyst (which is pretreated in D₂O steam for 20 min). This indicates that the adsorbed D₂O molecules on this catalyst are very stable, and they cannot react with methoxy to form methanol. In contrast, for the M-CZZ catalyst (Figure S25A), both H₂O and CH₃OD are detected in the very early stage of the desorption process of D₂O, and their intensities are much higher than that of the C-CZZ sample (Figure S25B). This suggests that freely desorbed water should be the active species for converting methoxy to methanol.

In summary, the experimental results in the current study have clearly pointed out the crucial role of water for methanol synthesis. Methanol is mainly generated by the hydrolysis of methoxy rather than the reaction of methoxy with the activated H₂ (*H). The water needed for hydrolysis reaction can come either from the hydrogenation of formate or from the side reaction RWGS. Given that water formation (especially from RWGS) and methoxy hydrolysis might take place on different sites of catalysts, the diffusion or spill-over of water on the catalyst strongly affects the formation of methanol. Compared with the conversional CZZ catalyst, the 3DOM catalyst with lower specific surface area (S_{BET}), larger Cu grain size (d_{Cu}), and lower Cu surface area (S_{Cu}) shows a much higher selectivity and yield of methanol, which is attributed to the formation of the 3DOM structure that can improve the hydrolysis of methoxy to methanol by enhancing the desorption and diffusion of water vapor. In contrast, addition of hydrophilic Zeolite 3A into the catalyst reduces the selectivity of methanol, and the strongly adsorbed water vapor on catalysts seems to promote CO formation via RWGS.

In general, the selectivity of methanol can be controlled by modifying the surface properties of catalysts^{51,52} or by choosing different supports,^{53,54} which could hinder the formation of CO or improve the desorption of methanol. The promotion effect of water for methoxy hydrolysis via modifying the microstructure of catalysts provides a new strategy to tune the selectivity of methanol production.

EXPERIMENTAL PROCEDURES

Full experimental procedures are provided in the [Supplemental Information](#).

SUPPLEMENTAL INFORMATION

Supplemental Information can be found online at <https://doi.org/10.1016/j.chempr.2019.10.023>.

ACKNOWLEDGMENTS

This work was partly supported by the National Natural Science Foundation of China (51304099 and 51404122), the Yunnan Applied Basic Research Projects (2018FD032), and the Youth Talent Support Program of Yunnan Province. Z.X. and J.G.C. acknowledge partial support from the United States Department of Energy under contract number DE-SC0012704.

AUTHOR CONTRIBUTIONS

Y.W. performed the catalyst preparation, characterizations, and catalytic tests. W.G. co-worked with Y.W. to perform high-pressure *in situ* DRIFTS measurements and analysis. Y.Z. contributed to catalyst preparation and TEM characterization. Z.X. helped to perform the thermomechanical analysis. W.N. helped to analyze data of isotopic experiments. K.L., J.G.C., and H.W. designed the study, analyzed the data, and co-wrote the paper. All the authors discussed the results.

DECLARATION OF INTERESTS

The authors declare no competing interests.

Received: February 14, 2019

Revised: May 9, 2019

Accepted: October 30, 2019

Published: November 21, 2019

REFERENCES AND NOTES

- Olah, G.A. (2005). Beyond oil and gas: the methanol economy. *Angew. Chem. Int. Ed. Engl.* 44, 2636–2639.
- Goeppert, A., Czaun, M., Jones, J.P., Surya Prakash, G.K., and Olah, G.A. (2014). Recycling of carbon dioxide to methanol and derived products - closing the loop. *Chem. Soc. Rev.* 43, 7995–8048.
- Porosoff, M.D., Yan, B., and Chen, J.G. (2016). Catalytic reduction of CO₂ by H₂ for synthesis of CO, methanol and hydrocarbons: challenges and opportunities. *Energy Environ. Sci.* 9, 62–73.
- Wang, W., Wang, S., Ma, X., and Gong, J. (2011). Recent advances in catalytic hydrogenation of carbon dioxide. *Chem. Soc. Rev.* 40, 3703–3727.
- Graciani, J., Mudiyansele, K., Xu, F., Baber, A.E., Evans, J., Senanayake, S.D., Stacchiola, D.J., Liu, P., Hrbek, J., and Sanz, J.F. (2014). Highly active copper-ceria and copper-ceria-titania catalysts for methanol synthesis from CO₂. *Science* 345, 546–550.
- Kattel, S., Ramirez, P.J., Chen, J.G., Rodriguez, J.A., and Liu, P. (2017). Active sites for CO₂ hydrogenation to methanol on Cu/ZnO catalysts. *Science* 355, 1296–1299.
- van den Berg, R., Prieto, G., Korpershoek, G., van der Wal, L.I., van Bunningen, A.J., Lægsgaard-Jørgensen, S., de Jongh, P.E., and de Jong, K.P. (2016). Structure sensitivity of Cu and CuZn catalysts relevant to industrial methanol synthesis. *Nat. Commun.* 7, 13057.
- Behrens, M., Studt, F., Kasatkin, I., Kühl, S., Hävecker, M., Abild-Pedersen, F., Zander, S., Girsdsies, F., Kurr, P., Knief, B.L., et al. (2012). The active site of methanol synthesis over Cu/ZnO/Al₂O₃ industrial catalysts. *Science* 336, 893–897.
- Kattel, S., Liu, P., and Chen, J.G. (2017). Tuning selectivity of CO₂ hydrogenation reactions at the metal/oxide interface. *J. Am. Chem. Soc.* 139, 9739–9754.
- Angelo, L., Kobl, K., Tejada, L.M.M., Zimmermann, Y., Parkhomenko, K., and Roger, A.C. (2015). Study of CuZnMOx oxides (M=Al, Zr, Ce, CeZr) for the catalytic hydrogenation of CO₂ into methanol. *C. R. Chim.* 18, 250–260.
- Arena, F., Barbera, K., Italiano, G., Bonura, G., Spadaro, L., and Frusteri, F. (2007). Synthesis, characterization and activity pattern of Cu-ZnO/ZrO₂ catalysts in the hydrogenation of carbon dioxide to methanol. *J. Catal.* 249, 185–194.
- Arena, F., Italiano, G., Barbera, K., Bordiga, S., Bonura, G., Spadaro, L., and Frusteri, F. (2008). Solid-state interactions, adsorption sites and functionality of Cu-ZnO/ZrO₂ catalysts in the CO₂ hydrogenation to CH₃OH. *Appl. Catal. A* 350, 16–23.
- Witoon, T., Kachaban, N., Donphai, W., Kidkhunthod, P., Faungnawakij, K., Chareonpanich, M., and Limtrakul, J. (2016). Tuning of catalytic CO₂ hydrogenation by changing composition of CuO-ZnO-ZrO₂ catalysts. *Energ. Convers. Manag.* 118, 21–31.
- Yang, C., Ma, Z.Y., Zhao, N., Wei, W., Hu, T.D., and Sun, Y. (2006). Methanol synthesis from CO₂-rich syngas over a ZrO₂ doped CuZnO catalyst. *Catal. Today* 115, 222–227.
- Jung, K.D., and Bell, A.T. (2000). Role of hydrogen spillover in methanol synthesis over Cu/ZrO₂. *J. Catal.* 193, 207–223.
- Meunier, F.C. (2011). Mixing copper nanoparticles and ZnO nanocrystals: a route towards understanding the hydrogenation of CO₂ to methanol? *Angew. Chem. Int. Ed. Engl.* 50, 4053–4054.

17. Liao, F., Huang, Y., Ge, J., Zheng, W., Tedsree, K., Collier, P., Hong, X., and Tsang, S.C. (2011). Morphology-dependent interactions of ZnO with Cu nanoparticles at the materials' interface in selective hydrogenation of CO₂ to CH₃OH. *Angew. Chem. Int. Ed. Engl.* *50*, 2162–2165.
18. Tisseraud, C., Comminges, C., Belin, T., Ahouari, H., Soualah, A., Pouilloux, Y., and Le Valant, A.L. (2015). The Cu–ZnO synergy in methanol synthesis from CO₂, part 2: origin of the methanol and CO selectivities explained by experimental studies and a sphere contact quantification model in randomly packed binary mixtures on Cu–ZnO coprecipitate catalysts. *J. Catal.* *330*, 533–544.
19. Li, M.M.-J., Zeng, Z., Liao, F., Hong, X., and Tsang, S.C.E. (2016). Enhanced CO₂ hydrogenation to methanol over CuZn nanoalloy in Ga modified Cu/ZnO catalysts. *J. Catal.* *343*, 157–167.
20. Rodriguez, J.A., Liu, P., Stacchiola, D.J., Senanayake, S.D., White, M.G., and Chen, J.G. (2015). Hydrogenation of CO₂ to methanol: importance of metal–oxide and metal–carbide interfaces in the activation of CO₂. *ACS Catal.* *5*, 6696–6706.
21. Zhang, X., Liu, J.X., Zijlstra, B., Filot, I.A.W., Zhou, Z., Sun, S., and Hensen, E.J.M. (2018). Optimum Cu nanoparticle catalysts for CO₂ hydrogenation towards methanol. *Nano Energy* *43*, 200–209.
22. Schneidewind, J., Adam, R., Baumann, W., Jackstell, R., and Beller, M. (2017). Low-temperature hydrogenation of carbon dioxide to methanol with a homogeneous cobalt catalyst. *Angew. Chem. Int. Ed. Engl.* *56*, 1890–1893.
23. Ramli, M.Z., Syed-Hassan, S.S.A., and Hadi, A. (2018). Performance of Cu-Zn-Al-Zr catalyst prepared by ultrasonic spray precipitation technique in the synthesis of methanol via CO₂ hydrogenation. *Fuel Process. Technol.* *169*, 191–198.
24. Chen, D., Mao, D., Wang, G., Guo, X., and Yu, J. (2019). CO₂ hydrogenation to methanol over CuO-ZnO-ZrO₂ catalyst prepared by polymeric precursor method. *J. Sol Gel Sci. Technol.* *89*, 686–699.
25. Chen, S., Zhang, J., Wang, P., Wang, X., Song, F., Bai, Y., Zhang, M., Wu, Y., Xie, H., and Tan, Y. (2019). Effect of vapor-phase-treatment to CuZnZr catalyst on the reaction behaviors in CO₂ hydrogenation into methanol. *ChemCatChem* *11*, 1448–1457.
26. Ezeh, C.I., Yang, X., He, J., Snape, C., and Cheng, X.M. (2018). Correlating ultrasonic impulse and addition of ZnO promoter with CO₂ conversion and methanol selectivity of CuO/ZrO₂ catalysts. *Ultrason. Sonochem.* *42*, 48–56.
27. Li, L., Mao, D.S., Yu, J., and Guo, X. (2015). Highly selective hydrogenation of CO₂ to methanol over CuO-ZnO-ZrO₂ catalysts prepared by a surfactant-assisted co-precipitation method. *J. Power Sources* *279*, 394–404.
28. Kattel, S., Yan, B., Yang, Y., Chen, J.G., and Liu, P. (2016). Optimizing binding energies of key intermediates for CO₂ hydrogenation to methanol over oxide-supported copper. *J. Am. Chem. Soc.* *138*, 12440–12450.
29. Herman, R.G., Klier, K., Simmons, G.W., Finn, B.P., Bulko, J.B., and Kobylinski, T.P. (1979). Catalytic synthesis of methanol from COH₂: I. Phase composition, electronic properties, and activities of the Cu/ZnO/M₂O₃ catalysts. *J. Catal.* *56*, 407–429.
30. Liu, G., Willcox, D., Garland, M., and Kung, H.H. (1984). The rate of methanol production on a copper-zinc oxide catalyst: the dependence on the feed composition. *J. Catal.* *90*, 139–146.
31. Kung, H.H. (1992). Deactivation of methanol synthesis catalysts - a review. *Catal. Today* *11*, 443–453.
32. Sahibzada, M., Metcalfe, I.S., and Chadwick, D. (1998). Methanol synthesis from CO/CO₂/H₂ over Cu/ZnO/Al₂O₃ at differential and finite conversions. *J. Catal.* *174*, 111–118.
33. Saito, M., Fujitani, T., Takeuchi, M., and Watanabe, T. (1996). Development of copper/zinc oxide-based multicomponent catalysts for methanol synthesis from carbon dioxide and hydrogen. *Appl. Catal. A* *138*, 311–318.
34. Wu, J., Saito, M., Takeuchi, M., and Watanabe, T. (2001). The stability of Cu/ZnO-based catalysts in methanol synthesis from a CO₂-rich feed and from a CO-rich feed. *Appl. Catal. A* *218*, 235–240.
35. Zachopoulos, A., and Heracleous, E. (2017). Overcoming the equilibrium barriers of CO₂ hydrogenation to methanol via water sorption: a thermodynamic analysis. *J. CO₂ Util.* *21*, 360–367.
36. Yang, Y., Mims, C.A., Disselkamp, R.S., Kwak, J.-H., Peden, C.H.F., and Campbell, C.T. (2010). (Non)formation of methanol by direct hydrogenation of formate on copper catalysts. *J. Phys. Chem. C* *114*, 17205–17211.
37. Zhao, Y.-F., Yang, Y., Mims, C., Peden, C.H.F., Li, J., and Mei, D. (2011). Insight into methanol synthesis from CO₂ hydrogenation on Cu (1 1 1): complex reaction network and the effects of H₂O. *J. Catal.* *281*, 199–211.
38. Yang, Y., Mims, C.A., Mei, D.H., Peden, C.H.F., and Campbell, C.T. (2013). Mechanistic studies of methanol synthesis over Cu from CO/CO₂/H₂/H₂O mixtures: the source of C in methanol and the role of water. *J. Catal.* *298*, 10–17.
39. Du, H., Williams, C.T., Ebner, A.D., and Ritter, J.A. (2010). *In situ* FTIR spectroscopic analysis of carbonate transformations during adsorption and desorption of CO₂ in K-promoted HTlc. *Chem. Mater.* *22*, 3519–3526.
40. Rhodes, M.D., and Bell, A.T. (2005). The effects of zirconia morphology on methanol synthesis from CO and H₂ over Cu/ZrO₂ catalysts: part I. Steady-state studies. *J. Catal.* *233*, 198–209.
41. Yang, R., Zhang, Y., Iwama, Y., and Tsubaki, N. (2005). Mechanistic study of a new low-temperature methanol synthesis on Cu/MgO catalysts. *Appl. Catal. A* *288*, 126–133.
42. Kuld, S., Thorhauge, M., Falsig, H., Elkjær, C.F., Helveg, S., Chorkendorff, I., and Sehested, J. (2016). Quantifying the promotion of Cu catalysts by ZnO for methanol synthesis. *Science* *352*, 969–974.
43. Klier, K. (1982). Methanol synthesis. *Adv. Cat.* *31*, 243–313.
44. Fujita, S.i., Usui, M., Ito, H., and Takezawa, N. (1995). Mechanisms of methanol synthesis from carbon dioxide and from carbon monoxide at atmospheric pressure over Cu/ZnO. *J. Catal.* *157*, 403–413.
45. Fisher, I.A., and Bell, A.T. (1997). *In-Situ* Infrared study of methanol synthesis from H₂/CO₂ over Cu/SiO₂ and Cu/ZrO₂/SiO₂. *J. Catal.* *172*, 222–237.
46. Sun, Q., Liu, C.W., Pan, W., Zhu, Q.-M., and Deng, J.F. (1998). In situ IR studies on the mechanism of methanol synthesis over an ultrafine Cu/ZnO/Al₂O₃ catalyst. *Appl. Catal. A* *171*, 301–308.
47. Wang, Y., Kattel, S., Gao, W., Li, K., Liu, P., Chen, J.G., and Wang, H. (2019). Exploring the ternary interactions in Cu-ZnO-ZrO₂ catalysts for efficient CO₂ hydrogenation to methanol. *Nat. Commun.* *10*, 1166.
48. Bonura, G., Cordaro, M., Cannilla, C., Arena, F., and Frusteri, F. (2014). The changing nature of the active site of Cu-Zn-Zr catalysts for the CO₂ hydrogenation reaction to methanol. *Appl. Catal. B* *152–153*, 152–161.
49. Koeppel, R.A., Baiker, A., and Wokaun, A. (1992). Copper/zirconia catalysts for the synthesis of methanol from carbon dioxide: influence of preparation variables on structural and catalytic properties of catalysts. *Appl. Catal. A* *84*, 77–102.
50. Ren, H., Xu, C.-H., Zhao, H.-Y., Wang, Y.-X., Liu, J., and Liu, J.-Y. (2015). Methanol synthesis from CO₂ hydrogenation over Cu/γ-Al₂O₃ catalysts modified by ZnO, ZrO₂ and MgO. *J. Ind. Eng. Chem.* *28*, 261–267.
51. Guo, X., Mao, D., Lu, G., Wang, S., and Wu, G. (2011). The influence of La doping on the catalytic behavior of Cu/ZrO₂ for methanol synthesis from CO₂ hydrogenation. *J. Mol. Catal. A* *345*, 60–68.
52. Bansode, A., Tidona, B., von Rohr, P.R., and Urakawa, A. (2013). Impact of K and Ba promoters on CO₂ hydrogenation over Cu/Al₂O₃ catalysts at high pressure. *Catal. Sci. Technol.* *3*, 767–778.
53. Kattel, S., Yu, W., Yang, X., Yan, B., Huang, Y., Wan, W., Liu, P., and Chen, J.G. (2016). CO₂ hydrogenation over oxide-supported PtCo catalysts: the role of the oxide support in determining the product selectivity. *Angew. Chem. Int. Ed. Engl.* *55*, 7968–7973.
54. Tada, S., Katagiri, A., Kiyota, K., Honma, T., Kamei, H., Nariyuki, A., Uchida, S., and Satokawa, S. (2018). Cu species incorporated into amorphous ZrO₂ with high activity and selectivity in CO₂-to-methanol hydrogenation. *J. Phys. Chem. C* *122*, 5430–5442.