

HETEROCYCLES, Vol. 86, No. 2, 2012, pp. 947 - 954. © 2012 The Japan Institute of Heterocyclic Chemistry
Received, 11th July, 2012, Accepted, 30th August, 2012, Published online, 14th September, 2012
DOI: 10.3987/COM-12-S(N)96

INTRAMOLECULAR CYCLIZATION OF γ -ACETYLENIC ACIDS USING DENDRIMER-ENCAPSULATED Pd²⁺ CATALYSTS

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Abstract – Polyamine dendrimer-encapsulated Pd²⁺ catalysts were prepared by complexation of PdCl₂ with internal tertiary amino groups of the dendrimer. The fifth-generation Pd²⁺ dendrimer catalyst showed cooperative catalysis between Pd²⁺ species and the internal nanocavity consisting of regularly arranged tertiary amino groups to promote the intramolecular cyclization of γ -acetylenic acids efficiently.

γ -Alkylidene- γ -butyrolactones are important chemicals as constituent units of a number of natural products and synthetic intermediates of pharmaceuticals.¹ Among the synthetic methods of γ -alkylidene- γ -butyrolactones, intramolecular cyclizations of acetylenic acids have attracted attention because of their 100% atom efficiency.²⁻⁷ To date, various transition metal catalysts, such as Pd,² Hg,³ Pt,⁴ Au,⁵ Ag,⁶ Ir, and Rh,⁷ have been reported to promote the cyclization of acetylenic acids. In particular, Pd with base catalyst systems² showed higher catalytic activities than other metal ones. Nozaki et al. first reported that PdCl₂(PhCN)₂ with triethylamine (TEA) catalyzed the intramolecular cyclization of acetylenic acids.^{2a} Hidai et al. exhibited that the combination of a cuboidal PdMo₃S₄ cluster and TEA achieved a high catalytic turnover number.^{2c} Recently, heterogeneous Pd catalysts were also developed by Michelet et al. through the immobilization of Pd complexes onto basic Zn₂AlNO₃ layered double hydroxide (Pd/LDHs).^{2g}

Dendrimers are well-defined macromolecules with highly branched structures and internal nanocavities composed of a core and branch units.⁸ Various guests such as organic molecules, metal ions, and

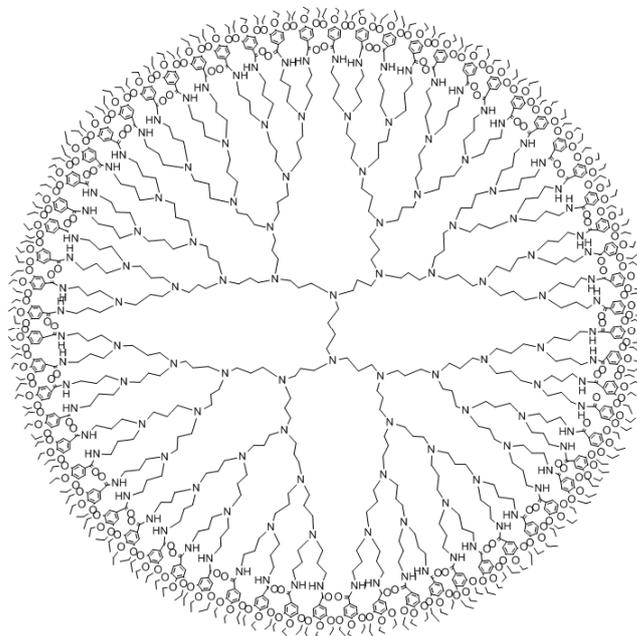


Figure 1. Structure of G_5 -TEBA dendrimer

nanoparticles can be accommodated within their nanocavity,^{8b-d} giving rise to dendritic catalysis such as site-isolation as well as a high local concentration of the substrate and/or active species.⁹ Accordingly, we have demonstrated the unique dendritic catalysis using poly(propylene imine) (PPI) dendrimers encapsulating metal complexes,^{9a,d} nanoparticles,^{10a} subnano clusters^{10b,c} and quaternary ammonium groups.^{9e} Very recently, the tertiary amino group within the nanocavities of the PPI dendrimer was also found to act as an efficient organocatalyst, promoting the intramolecular cyclization.^{10d} Herein, we report the cooperative effect between Pd^{2+} species and the confined nanocavity of the PPI dendrimers on the catalytic intramolecular cyclization of acetylenic acids. The fifth-generation dendrimer encapsulating Pd^{2+} species was found to act as an efficient catalyst for the cyclization of γ -acetylenic acids.

The triethoxybenzamide terminated-PPI dendrimers (G_x -TEBA, x denotes the generation number of PPI dendrimer, (Figure 1)) were synthesized using the reported procedures.^{10a} The PPI dendrimer-encapsulated Pd^{2+} catalysts (G_x - Pd^{2+}_n , n denotes the molar ratio of Pd^{2+} ions to G_x -TEBA) were prepared by treatment of G_x -TEBA with Na_2PdCl_4 .^{10b,c}

The intramolecular cyclization of 4-pentynoic acid (**1a**) using G_x - Pd^{2+}_n catalysts was examined in THF at 30 °C (Table 1).¹¹ The ratio of G_5 -TEBA to Pd^{2+} (n) strongly affected the catalytic activity of G_5 - Pd^{2+}_n (entries 1-6). G_5 - Pd^{2+}_8 showed the highest activity to give γ -methylene- γ -butyrolactone (**2a**) in 95% yield

(entry 3). Interestingly, the catalytic activity increased with increasing generation of the dendrimer; the fifth-generation dendrimer catalyst showed the highest activity among $G_5\text{-Pd}^{2+}_8$, $G_4\text{-Pd}^{2+}_8$, and $G_3\text{-Pd}^{2+}_8$ (entries 3, 7, and 8). When the aliphatic tertiary amines comparable in basicity with $G_5\text{-TEBA}$ ($pK_a =$

Table 1. Intramolecular cyclization of 4-pentynoic acid using various Pd^{2+} catalyst systems^a

| Entry | Catalyst | Additive base ^b | Conv. [%] ^c | Yield [%] ^c |
|-------|--------------------------------|----------------------------|------------------------|------------------------|
| 1 | $G_5\text{-Pd}^{2+}_4$ | - | 55 | 54 |
| 2 | $G_5\text{-Pd}^{2+}_6$ | - | 88 | 88 |
| 3 | $G_5\text{-Pd}^{2+}_8$ | - | 96 | 95 (89) ^d |
| 4 | $G_5\text{-Pd}^{2+}_{10}$ | - | 81 | 80 |
| 5 | $G_5\text{-Pd}^{2+}_{12}$ | - | 62 | 60 |
| 6 | $G_5\text{-Pd}^{2+}_{16}$ | - | 47 | 47 |
| 7 | $G_4\text{-Pd}^{2+}_8$ | - | 55 | 55 |
| 8 | $G_3\text{-Pd}^{2+}_8$ | - | 27 | 26 |
| 9 | $\text{PdCl}_2(\text{PhCN})_2$ | TEA | 15 | 14 |
| 10 | $\text{PdCl}_2(\text{PhCN})_2$ | TMPDA | 43 | 43 |
| 11 | $\text{PdCl}_2(\text{PhCN})_2$ | PMDPT | 48 | 45 |
| 12 | PEI-Pd^{2+}_8 | - | 17 | 15 |

^a Reaction conditions: 4-pentynoic acid (0.1 mmol), catalyst (Pd: 1 μmol), THF (2 mL). ^b 8 μmol for N atoms. ^c Determined by ^1H NMR using an internal standard technique. ^d Isolated yield.

10.35)¹² were used instead of $G_5\text{-TEBA}$ under similar conditions, these amines such as TEA ($pK_a = 10.6$),¹³ N,N,N',N' -tetramethyl-1,3-propanediamine (TMPDA, $pK_a = 9.8$),¹⁴ and N,N,N',N'',N'' -pentamethyldipropylenetriamine (PMDPT, $pK_a = 10.0$)¹⁴ resulted in lower yields of **2a** compared to that of $G_5\text{-TEBA}$ (entries 9-11).¹⁵ Furthermore, an irregularly branched polyamine of TEBA-modified polyethyleneimine (PEI-TEBA)¹⁶ was tested in the reaction. However, PEI-TEBA was not effective, giving only 15% yield of **2a** (entry 12). These results indicate that the encapsulation of Pd^{2+} species within the nanocavity consisting of regularly arranged tertiary amino groups of $G_5\text{-TEBA}$ is necessary to achieve high catalytic efficiency.

Table 2 shows the substrate scope of the $G_5\text{-Pd}^{2+}_8$ catalyst. The cyclization of α -substituted and β -substituted γ -acetylenic acids **1b-d** proceeded efficiently to afford the corresponding γ -methylene- γ -butyrolactones **2b-d** in excellent yields (entries 2-4). β -Acetylenic acid **1e** was also easily converted to the corresponding γ -butyrolactone **2e** (entry 5). The double cyclization of dipropargyl-

Table 2. Intramolecular cyclization of various acetylenic acids using $G_5\text{-Pd}^{2+}_8$ or the $\text{PdCl}_2(\text{PhCN})_2\text{-TEA}$ system

| Entry | Substrate | Product | T [°C] | Time [h] | $G_5\text{-Pd}^{2+}_8$ ^a | | $\text{PdCl}_2(\text{PhCN})_2\text{-TEA}$ ^b | |
|-------|---|---|--------|----------|-------------------------------------|------------------------|--|------------------------|
| | | | | | Conv. [%] ^c | Yield [%] ^c | Conv. [%] ^c | Yield [%] ^c |
| 1 |  |  | 30 | 6 | 96 | 95 | 15 | 14 |
| 2 |  |  | 30 | 12 | 99 | 97 | 28 | 26 |
| 3 |  |  | 30 | 6 | 98 | 98 | 65 | 64 |
| 4 |  |  | 40 | 12 | 98 | 96 | 12 | 9 |
| 5 |  |  | 40 | 5 | >99 | 97 | 72 | 70 |
| 6 |  |  | 40 | 9 | >99 | 99 | 35 | 35 |
| 7 |  |  | 60 | 12 | 20 | 20 | 65 | 63 |
| 8 |  |  | 60 | 3 | trace | - | 38 | 37 |
| | |  | | | | | | |

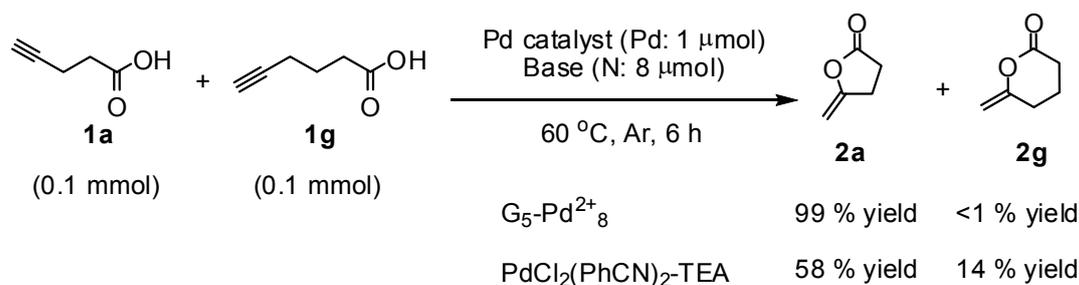
(**2ha**:**2hb** = 82:18)

^a Reaction conditions: substrate (0.1 mmol), $G_5\text{-Pd}^{2+}_8$ (Pd: 1 μ mol), THF (2 mL), Ar. ^b Reaction conditions: substrate (0.1 mmol), $\text{PdCl}_2(\text{PhCN})_2$ (Pd: 1 μ mol), triethylamine (N: 8 μ mol), THF (2 mL), Ar. Determined by GC and ¹H NMR using an internal standard technique.

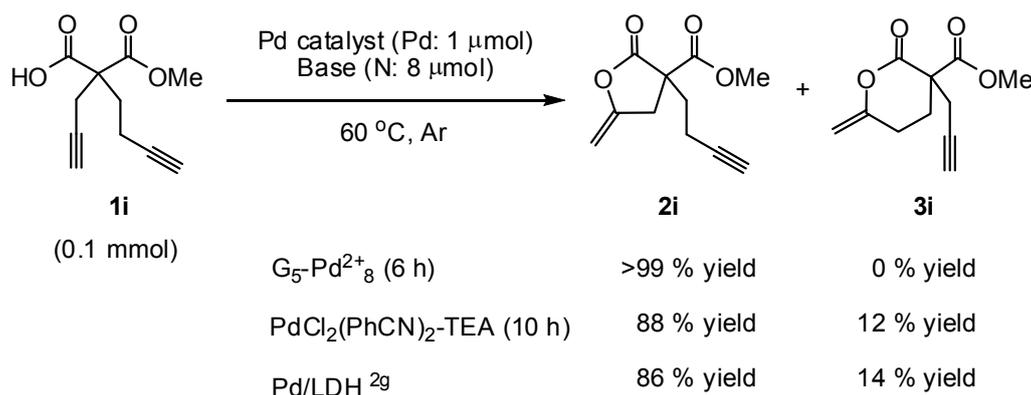
malonic acid **1f** gave the spiro lactone product **2f** quantitatively (entry 6). However, the cyclization of δ -acetylenic acid such as 5-hexynoic acid **1g** did not proceed smoothly (entry 7). A γ -acetylenic acid with an internal alkyne **1h** was intact (entry 8)¹⁷. In contrast, the $\text{PdCl}_2(\text{PhCN})_2\text{-TEA}$ system showed good to moderate catalytic activities for the cyclizations of **1g** and **1h** (entries 7 and 8).

The high activity of $G_5\text{-Pd}^{2+}_8$ for the formation of γ -butyrolactones was further exemplified in the competitive reaction between γ -acetylenic acid and δ -one. In the *intermolecular* competitive reaction between **1a** and **1g**, **1a** was converted to **2a** quantitatively without formation of **2g** (Scheme 1). In comparison, the $\text{PdCl}_2(\text{PhCN})_2\text{-TEA}$ system afforded a mixture of **2a** and **2g**. The *intramolecular*

competitive cyclization of **1i** proceeded exclusively to give the 5-membered lactone **2i** (Scheme 2) while the reaction of **1i** using the PdCl₂(PhCN)₂-TEA system resulted in the formation of a mixture of **2i** and the 6-membered lactone **3i**. It has also been reported that the Pd/LDH catalyst converts **1i** to a mixture of **2i** and **3i** in 86% and 14% selectivity, respectively.^{2g} These results clearly show that G₅-Pd²⁺₈ exhibits the specific activity for the cyclization of γ -acetylenic acids compared to δ -one.



Scheme 1. Intermolecular competitive cyclization between γ -acetylenic acid and δ -acetylenic acid



Scheme 2. Intramolecular competitive cyclization between γ -acetylenic acid and δ -acetylenic acid

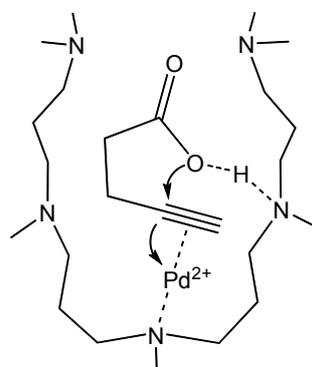


Figure 2. Proposed reaction intermediate

The Pd-catalyzed cyclization of acetylenic acids generally involves the intramolecular nucleophilic attack of a carboxylate anion to the acetylenic bond coordinated to a Pd center, followed by the protonolysis of

the resulting vinylpalladium species.^{2a,g} In the case of the $G_5\text{-Pd}^{2+}_8$ catalyst, Pd^{2+} species and the regularly arranged tertiary amino groups would cooperatively function within the sterically confined nanocavity; a carboxyl group of the substrate is oriented toward an acetylenic bond on the Pd species by the steric effect of the nanocavity of $G_5\text{-Pd}^{2+}_8$, resulting in facile intramolecular nucleophilic attack of the carboxyl group activated by regularly arranged tertiary amino groups (Figure 2). The specific activity of $G_5\text{-Pd}^{2+}_8$ for the cyclization of γ -acetylenic acids may be attributable to the steric congestion around the Pd species within the internal nanocavity of the G_5 -TEBA dendrimer.¹⁸

In conclusion, the PPI dendrimer-encapsulated Pd^{2+} catalysts synthesized by the complexation of PdCl_2 with internal tertiary amino groups can be applied to the intramolecular cyclization of acetylenic acids. The fifth-generation dendrimer catalyst, $G_5\text{-Pd}^{2+}_8$, specifically and efficiently promoted the cyclization of γ -acetylenic acids due to the cooperative catalysis between the Pd^{2+} species and the internal nanocavity consisting of regularly arranged tertiary amino groups of G_5 -TEBA.

ACKNOWLEDGEMENTS

This work was supported by a Grant-in-Aid for Challenging Exploratory Research (23656514) from the Japan Society for the Promotion of Science (JSPS).

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11. A typical cyclization reaction of acetylenic acid was carried out in a Schlenk glass tube. The glass tube was charged with acetylenic acid (0.1 mmol), THF (2 mL), and $G_5\text{-Pd}^{2+}_8$ (Pd: 1 μmol). The reaction mixture was vigorously stirred at 30 °C. After the reaction, the reaction mixture was analyzed by GC and ^1H NMR using an internal standard technique.
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15. The ratio of tertiary amino groups to Pd^{2+} in the $\text{PdCl}_2(\text{PhCN})_2$ -aliphatic tertiary amine systems was adjusted to that in $G_5\text{-Pd}^{2+}_8$ catalyst.
16. TEBA-modified polyethyleneimine (PEI-TEBA) was synthesized as follows: to a THF solution (100 mL) of polyethyleneimine (0.50 g, 3.15, 3.15, and 2.7 mmol for primary, secondary, and tertiary amine, respectively) and TEA (3.07 g, 30.4 mmol) was added a THF solution (20 mL) of 3,4,5-triethoxybenzoyl chloride dropwise (2.39 g, 8.8 mmol) at 30 °C for 5 min. The mixture was stirred at 40 °C for 48 h, then concentrated under reduced pressure. The residue was washed with 1 M NaOH aqueous solution (3 x 200 mL) and water (5 x 200 mL), and dried under vacuum for 24 h to give PEI-TEBA as a brownish solid (1.48 g). ^1H NMR (400 MHz, CDCl_3 , TMS, 50 °C), δ 0.66-1.47 (1512H, br, $\text{CH}_3\text{CH}_2\text{O-}$), 2.01-2.95 (444H, br, $(\text{CH}_2)_3\text{N}$), 2.96-4.55 (1512H, br, $(\text{CH}_2)_2\text{NCOAr} + \text{CH}_2\text{NHCOAr} + \text{CH}_3\text{CH}_2\text{O-}$), 6.08-6.70 (168H, br, $(\text{CH}_2)_2\text{NCOAr}$), 6.78-7.18 (168H, br, CH_2NHCOAr), 7.50-8.29 (84H, br, CH_2NHCOAr). $^{13}\text{C}\{^1\text{H}\}$ NMR (100 MHz, CDCl_3 , TMS, 50 °C), 14.9, 15.6, 38.4, 53.5, 64.8, 68.8, 106.5, 129.3, 131.2, 139.2, 141.2, 152.8, 167.6, 171.8. IR (KBr, cm^{-1}), 3329 (N-H stretch), 3016 (Aromatic C-H stretch), 1629 (C=O stretch), 1580 (N-H bend), 1215 (C-N stretch), 1030 (Ar-O- CH_2 stretch), 755 (Aromatic C-H bend), 668 (-NH vibration). Anal. Calcd for $\text{C}_{2664}\text{H}_{3888}\text{N}_{240}\text{O}_{672}$: C, 63.95; H, 7.83; N, 6.71. Found: C, 63.56; H, 8.01; N, 6.59. PEI- Pd^{2+}_8 was prepared in a similar way by treatment of PEI-TEBA with Na_2PdCl_4 aqueous solution. See refs 10a and 10b.
17. The low reactivity of **1h** might be due to the steric hindrance of the methyl group which inhibits the coordination of the alkyne group to Pd^{2+} .
18. Several transition metal complex catalysts having bulky ligands were reported to show extremely lower catalytic activity for the cyclization of 5-hexynoic acid than that of 4-pentynoic acid. See refs 2e, 7b, and 7d.