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# Reaction of CF<sub>3</sub> radicals on fused silica between 320 and 530 K<sup>a)</sup>

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The reaction between CF<sub>3</sub> radicals and silicon oxide (fused silica) surface was studied in a VLP $\Phi$ flow reactor (~0.1-3 mTorr) as functions of surface temperature (320-530 K) and CF<sub>3</sub> concentration. The CF<sub>3</sub> radicals were generated from CF<sub>3</sub>I by CO<sub>2</sub> laser photolysis, and the subsequent gas-phase reaction products were followed by mass spectroscopy. The surface reaction was found to yield CO, HF, CO<sub>2</sub>, COF<sub>2</sub>, and SiF<sub>4</sub>. It was found that H<sub>2</sub>O residing on the silicon oxide surface was largely responsible for the oxygen- and hydrogen-containing products, and that little etching of the SiO<sub>2</sub> itself occurred under these conditions. The rates for the irreversible surface loss of CF<sub>3</sub>, and for the formation of CO were both first order with respect to [CF<sub>3</sub>]. These were found to be temperature dependent with  $E_a \simeq 4.7$  and 7.5 kcal/mol, respectively. The CF<sub>3</sub> surface loss rate indicates that the sticking coefficient for this radical on quartz is between 0.0014–0.017 for the temperature range of this study.

#### I. INTRODUCTION

In the plasma etching of semiconductor materials, it is known that neutrals as well as charged species play roles in the etching process.<sup>1-3</sup> Neutrals, such as atoms and radicals, etch the substance of interest isotropically while ion bombardment produces anisotropic etching. In general, the etching process must involve the following: chemical adsorption of the active species on the surface, rearrangement of the reactant–surface intermediate to yield etch products, and the removal of volatile etch products from the surface by desorption. Ion bombardment may assist neutral etching in various ways, such as by preparing a clean surface prior to the adsorption step, or by desorbing products from the surface, as well as possibly affecting the chemistry.

The extreme complexity of plasma systems involving atoms, radicals, ions, and electrons makes it difficult to extract fundamental mechanistic information on the process. In order to assess the importance of various neutral and ionic reactions, model experiments must be carried out under controlled conditions. Among these are studies where atoms or radicals are generated either photochemically or by a discharge, and then reacted with the surface of interest<sup>4-8</sup> as well as beam studies on ion-surface interactions.9,10 The etching of silicon and silicon oxide by F atoms has been studied extensively,<sup>4-7</sup> and it has been found that F atoms selectively and efficiently etch silicon. In the etching of silicon oxide, however, fluorocarbon radicals, such as CF<sub>2</sub> and CF<sub>3</sub>, are expected to play an important role, according to results from plasma etching studies. The reactivities of CF2 and CF3 radicals with Si and SiO<sub>2</sub> have been studied under nonionic conditions where the surfaces of interest were irradiated with the same UV excimer laser beam generating the radicals.<sup>4,8</sup> In these high pressure (10-500 Torr) studies, it was found that CF<sub>2</sub> etches SiO<sub>2</sub> efficiently while CF<sub>3</sub> has poor efficiency.

The motivation for the present study was to fill a gap between various studies ranging from complex plasma systems to ultrahigh vacuum beam studies. The purpose was to investigate the reactivity of  $CF_3$  on a fused silica surface at pressures and radical concentrations resembling those in plasma etchers (< 10 mTorr total pressure,  $10^{11}-10^{13}$  radicals cm<sup>-3</sup>). Unlike in beam studies of ion-surface interactions, the substrate was not intended to be a well-characterized clean surface but rather to be under conditions of "practical" interest. In this work, a CO<sub>2</sub> laser beam was used to generate radicals but not to irradiate the surface. The surface temperature could be varied between 25 and 300 °C.

The VLP $\Phi$  (very low-pressure photolysis) method used for this investigation is a well-established technique<sup>11-13</sup> for the kinetic study of homogeneous gas-phase reactions and is used here for the first time to study radical-surface interactions. (Variations in which radicals were generated via microwave discharge have been used in the past.<sup>14</sup>) Due to the importance of gas-wall collisions inherent in the method, it is almost always necessary to deactivate the vessel walls when studying homogeneous gas-phase processes. This disadvantage from the viewpoint of gas-phase chemistry makes it possible to study gas-surface chemistry by VLP $\Phi$ . In the latter, the vessel walls are again deactivated, but this time, the active surface of interest is placed in the vessel and exposed to the reactant. Reactants and products are monitored mass spectrometrically.

The method yields not only qualitative information on the gas-phase product spectrum but also quantitative information on reaction rates. The latter include the rate of consumption of  $CF_3$  radicals on the silica surface and the rates of formation of products as functions of the surface temperature. These data allow the determination of  $CF_3$  sticking coefficients on fused silica as functions of temperature.

### **II. EXPERIMENTAL**

The VLP $\Phi$  technique utilizes a low-pressure (<10 mTorr) Knudsen flow reactor, where molecular flow condi-

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tions are maintained. The reactor used in our measurements is shown in Fig. 1. The radical precursor CF<sub>3</sub>I flows into the Knudsen cell where it resides for 1.7 s, before it effuses out of the exit aperture and into the mass spectrometric detection area. Decomposition to form  $CF_3$  is effected by a  $CO_2$  laser. The fate of the  $CF_3$  radicals is then either to recombine, yielding  $C_2F_6$ , or to escape from the reactor. The collision number of  $1.3 \times 10^4$  with the reactor walls ensures thermalization of the CF<sub>3</sub> radicals before any of the above reactions take place. In the event that an active surface is present in the cell, as is the case in this study, CF<sub>3</sub> may also undergo gassurface reaction during the time it strikes that surface. (The collision frequency for the active surface is 600 s<sup>-1</sup>.) The gas-phase products leaving the reactor are formed into an effusive molecular beam which is monitored mass spectrometrically, and the rates of the chemical reactions are obtained with respect to the known escape rate. The VLP $\Phi$ method may be carried out under steady-state or under single-pulse irradiation conditions. In this particular study, a high repetition rate (20 Hz) laser was used: since the time between pulses is shorter than the residence time or typical times for reaction (seconds), species' concentrations are maintained at close to steady-state conditions.

The processes involving  $CF_3$  in the VLP $\Phi$  reactor are summarized in the following scheme:

$$CF_3I \xrightarrow{arr} CF_3 + I$$
, (1)

$$CF_3 + CF_3 \xrightarrow{k_*} C_2 F_6, \qquad (2)$$

$$CF_3 \rightarrow \text{escape out of reactor},$$
 (3)

$$CF_3 + S \xrightarrow{k_{\omega}}$$
 irreversible surface loss. (4)

Step (1) represents the IR-laser multiphoton decomposition forming  $CF_3$  while (2) is the homogeneous gas-phase recombination<sup>15</sup> forming  $C_2F_6$ . Loss of  $CF_3$  other than by recombination include escape (3) and surface reaction (4).

Under steady-state conditions the above mechanism leads to the following expression:



FIG. 1. Low-pressure photolysis cell for the investigation of free radicalsurface interactions. The 2 in. diameter fused-silica flat lies horizontally in the wafer compartment.

$$f(F_{C_{F_{s}}}^{i})/(F_{C_{2}F_{s}}^{o}) = 2 + (k_{e} + k_{w})k_{r}^{-1/2}V^{1/2}F_{C_{2}F_{s}}^{o}^{-1/2}, \qquad (5)$$

where  $F_{CF_3I}^i$  is the flow rate of CF<sub>3</sub>I into the reactor,  $F_{C_2F_6}^o$  is the C<sub>2</sub>F<sub>6</sub> flow rate out of the reactor, V is the volume, and f is the fractional depletion in the steady-state CF<sub>3</sub>I signal when the sample is irradiated. Thus, with the appropriate measurements of  $F_{CF_3I}^i$ , f, and  $F_{C_2F_6}^o$ , in conjunction with the known  $k_e$  and  $k_r$ , the CF<sub>3</sub> surface loss rate constant  $k_w$  may be obtained.

In fact, two methods were used to generate the  $CF_3$  radicals from the  $CF_3I$  percursor: (a)  $CO_2$  laser IR-multiphoton decomposition and (b) hot wire decomposition. The laser experiments led to quantitative kinetic studies. The hot-wire experiments were designed to obtain qualitative information on the origin of products. A hot nichrome wire (800– 1000 °C) effected  $CF_3I$  decomposition in the latter experiments.

Experiments consisted of flowing  $CF_3I$  through the reactor and measuring steady-state mass spectrometric signals of  $CF_3I$  and of stable gas-phase reaction products. These were followed with laser on/off, as functions of  $CF_3I$  flow rate and of substrate temperature.

The parameters for the VLP $\Phi$  reactor are:  $V = 250 \text{ cm}^3$ ; reactor wall area = 420 cm<sup>2</sup>; IR-beam pathlength = 10 cm. The escape rate constant for species with molecular weight, M, is given by  $k_e(M) = 0.475 (T/M)^{1/2}$ . The Pyrex reactor was gold coated (Engelhard Industries) to minimize wall losses. The fused silica substrate (2 in. diameter) rested on a heated stainless-steel block in the wafer compartment, and its temperature was monitored using a thermocouple.

A Tachisto 555 CO<sub>2</sub> laser was used with the following irradiation conditions:  $\bar{\nu} = 1076 \text{ cm}^{-1}$  [9.6 R(16) line], pulse repetition rate = 20 Hz, beam diameter = 1.1 cm, fluence = 0.8 J/cm<sup>2</sup>; fractional dissociation per pulse in irradiated volume = 3%-12%.

The molecular beam effusing from the cell was chopped by means of a tuning fork chopper and analyzed using a Balzers 311 quadrupole mass spectrometer. The technique discriminates against all background gases not originating from the cell. Mass spectral calibrations of signal versus flow rate were carried out for all the compounds of interest.

### III. RESULTS AND DISCUSSION

### A. Origin of Products

Typical experimental traces of the mass spectrometric signal of reactant and products, with laser on/off are shown in Fig. 2. The observable gas-phase products from the reaction of CF<sub>3</sub> with fused silica are CO, HF, CO<sub>2</sub>, COF<sub>2</sub>, and SiF<sub>4</sub>, in decreasing order of importance. In fact, the CO and HF are approximately 25–100 times larger in quantity than the other products. SiF<sub>4</sub> is the least important, about 100 times less than CO. These products all come from a surface reaction on the fused silica. The actual yields are not exactly reproducible over several weeks' measurements, however, general trends are reproducible. This is attributed to changing conditions on the surface. In addition to the surface reaction products there is the competing gas-phase recombination product  $C_2F_6$ . All of the products are observed only in



FIG. 2. Mass spectrometric data from laser experiments. Pulse repetition rate = 20 Hz.  $F_{CF,I}^{i} = 8 \times 10^{15} \, \mathrm{s}^{-1}$ .  $T_{SiO_{2}} = 230$  °C. The figure shows signals as functions of time as laser is turned on and off.

the presence of CF<sub>3</sub>I and with laser irradiation, thus ruling out the possibility of CF<sub>3</sub>I undergoing dissociative adsorption on the surface. (This is equivalent to a CF<sub>3</sub>I sticking coefficient,  $\gamma$ , of less than 10<sup>-5</sup> given our detection sensitivity.)

The origin of HF has to be elucidated first, since neither the reactant nor the substrate compositions contain any hydrogen. Another question concerns the balance of Si versus O in the reaction products. The only Si-containing product SiF<sub>4</sub> occurs in quantities  $\sim 100-200$  times smaller than the O-containing products CO, CO<sub>2</sub>, COF<sub>2</sub>, most of which is comprised by CO. If the oxygen in CO were coming directly from SiO<sub>2</sub>, then we would expect much more SiF<sub>4</sub> than observed to make up the balance, because SiF<sub>4</sub> was the only Sicontaining product under our experimental conditions.

In all of our mass spectra, we noticed the presence of  $H_2O$  in the cell background. The  $H_2O$  is independent of the laser and of the CF<sub>3</sub>I flow, but may show some variation from day-to-day. We believe the water originates from the bulk glass, i.e., the silica substrate and the Pyrex reactor. The mass spectral signals indicate apparent H<sub>2</sub>O flow rates between  $10^{14}$  and  $10^{15}$  s<sup>-1</sup>, depending on the substrate temperatures. In hot-wire experiments with  $CF_3I$ , we noticed that the heating of the wire and the formation of HF was accompanied by a noticeable decrease in the H<sub>2</sub>O, suggesting that the source of HF was H<sub>2</sub>O. This was clarified by experiments where D<sub>2</sub>O was deliberately flowed into the cell,  $(F_{D,O}^i = 3 \times 10^{15} \text{ s}^{-1}, F_{CF,I}^i = 1.1 \times 10^{15} \text{ s}^{-1})$ . As expected, DF was seen in appreciable quantities  $(F_{DF}^{o})$ ~8 $\times$ 10<sup>14</sup> s<sup>-1</sup>), confirming that the origin of HF was indeed H<sub>2</sub>O. The fact that the flux of HF or DF out of the Knudsen cell is small can be attributed to the well-known tendency of HF to physisorb to glass and metal surfaces. Once the source of HF is turned off, the flux lingers on for hours so that HF cannot be used as a probe for the surface reaction in a convenient way.

In addition to producing DF, the presence of D<sub>2</sub>O affected the other products. CO showed a factor of 1.7 increase, while SiF<sub>4</sub> decreased by a factor of  $\sim 3$ , as did C<sub>2</sub>F<sub>6</sub> (see Fig. 3). That CO increases in the presence of  $D_2O$  suggests that some of the CO may originate from water. We shall address this question below with oxygen-isotope measurements. The decrease in  $SiF_4$  with  $D_2O$  may come about because (1) the SiF<sub>4</sub> product reacts with  $D_2O$ , or (2) in the presence of  $D_2O$ , there is less  $CF_3$  available for the etching reaction to produce  $SiF_4$ , due to reaction of  $CF_3$  with  $D_2O$ . Under our conditions, possibility (1) is not important. Control experiments were carried out with small flow rates of SiF<sub>4</sub> and H<sub>2</sub>O at various substrate temperatures. The SiF<sub>4</sub> signal did not show any dependence on the presence of water. Explanation (2) accounts for the depletion in  $SiF_4$ : The  $SiO_2$  and the surface  $H_2O$  compete against each other for reaction with CF<sub>3</sub>. When H<sub>2</sub>O or D<sub>2</sub>O is present, there is less available CF<sub>3</sub> for the SiO<sub>2</sub> etching reaction thereby decreasing SiF<sub>4</sub>. Since there is less CF<sub>3</sub> available for the recombination reaction as well, we see a decrease also in the  $C_2F_6$  yield when water is present [Fig. 3(c)]. Thus, the etching reaction is inhibited by the presence of water.

Next,  $H_2O^{18}$  experiments were performed in order to find the source of oxygen in the O-containing products CO, CO<sub>2</sub>, and COF<sub>2</sub>, the two possible sources being SiO<sub>2</sub> and H<sub>2</sub>O. Labeled H<sub>2</sub>O<sup>18</sup> was flowed through the reactor for several hours and allowed to equilibrate before measurement. The mass spectrum of the products revealed the presence of



FIG. 3. Effect of water on products in hot-wire experiments. (a) SiF<sub>4</sub> signal at 85 m/e.  $F_{CF,I}^{i} = 1.1 \times 10^{15} \text{ s}^{-1}$ ;  $F_{D_{2O}}^{i} \cong 3 \times 10^{15} \text{ s}^{-1}$ ;  $T_{SiO_{2}} = 145$  °C. (b) CO signal at 28 m/e. Other conditions given in (a). (c) C<sub>2</sub>F<sub>6</sub> signal at 119 m/e.  $F_{CF,I}^{i} = 1.4 \times 10^{15} \text{ s}^{-1}$ ;  $F_{H_{2O}^{11}}^{i} \cong 1 \times 10^{15} \text{ s}^{-1}$ ;  $T_{SiO_{2}} = 100$  °C.

all isotopic combinations:  $CO^{18}$ ,  $CO^{18}_2$ ,  $CO^{18}O^{16}$ , and  $CO^{18}F_2$  appeared in addition to  $CO^{16}$ ,  $CO_2^{16}$ , and  $CO^{16}F_2$ . The quantitative results are shown in Table I. Notice that the abundance of each isotopic composition agrees with the  $H_2O^{18}/H_2O^{16}$  ratio. In the case of CO<sub>2</sub>, where two CO216: CO18O16:CO218 oxygens present, the are probability  $(H_2O^{16})^2$ : ratios follow the rule  $2(H_2O^{16} + H_2O^{18}):(H_2O^{18})^2$  very well.

The good agreement between the product ratios and the  $H_2O^{18}/H_2O^{16}$  ratio indicates that almost all of the CO, CO<sub>2</sub>, and COF<sub>2</sub> come from the reaction of CF<sub>3</sub> with water, and

that the contribution from  $CF_3 + SiO_2$  is very small indeed. Furthermore, if the latter had been important, a considerable amount of  $SiF_4$  would have to be expected in order to account for the silicon. Since we have very little  $SiF_4$  in our measurements, this supports the conclusion that the  $SiO_2$ etching reaction is not as important as the  $CF_3 + H_2O$  reaction under our conditions. It should be emphasized that while the majority of the reaction is traced to water, this is a surface reaction consuming  $CF_3$  and occurring on the fused silica surface, because the reaction products are only observable when  $CF_3$  radicals strike the heated surface.

### **B. Kinetics**

Figures 4, 5, and 6 show product concentrations as functions of  $[CF_3]_0$  for the laser experiments.  $[CF_3]_0$  is the steady-state  $CF_3$  concentration that would be available if there were no chemical losses [given by  $(f * F_{CF_3I}^i)/(V * k_e)$ ]. Notice that all products show positive dependence on the  $CF_3$  concentration. Notice in Figs. 4 and 5 that for a given  $[CF_3]_0$ , increasing temperature affects  $[C_2F_6]$  and [CO] in different ways. CO, representative of the surface reaction, increases with temperature while  $C_2F_6$  decreases. This suggests that at the higher surface temperatures the rates for the surface reactions increase, thereby throttling off the gas-phase recombination reaction. The other surface-related products,  $CO_2$ ,  $COF_2$ , and  $SiF_4$  show temperature dependence similar to CO (not shown in figure).

The temperature dependence may be seen more clearly in Fig. 7 showing the dependence of the product yields on substrate temperature with constant CF<sub>3</sub>I flow rate. Notice the strong increase in surface-related products CO, CO<sub>2</sub>, HF, SiF<sub>4</sub>, and COF<sub>2</sub> with temperature. We associate the increased yields of the surface-related products with strongly temperature-dependent surface-reaction rates. The decrease in the surface product COF<sub>2</sub> at the high temperatures is attributable to decomposition of that product following its formation on the surface. By contrast, the decrease in the  $C_2F_6$ yield at the higher temperatures is ascribed to a competition effect: the strongly temperature-dependent surface reaction competes against the nearly temperature independent gasphase recombination for the available CF<sub>3</sub> radicals, thereby decreasing the  $C_2F_6$  yield. These experiments are, in fact, not as straightforward as intended because the extent of decomposition f, and therefore  $[CF_3]_0$ , are temperature dependent as well, as is the reaction. The variation of f with temperature is shown in the inset in Fig. 7(a). Thus, these experiments were performed under conditions where  $[CF_3]_0$  and  $T_{SiO_2}$ were both changing and affecting reaction rates.

TABLE I. Oxygen-isotope abundances of products CO, CO<sub>2</sub>, and COF<sub>2</sub> in hot-wire experiments with H<sub>2</sub>O<sup>18</sup>.ª

Species	H <sub>2</sub> O <sup>16</sup>	H <sub>2</sub> O <sup>18</sup>	CO <sup>16</sup>	CO <sup>18</sup>	CO216	CO <sup>16</sup> O <sup>18</sup>	CO <sub>2</sub> <sup>18</sup>	CO <sup>16</sup> F <sub>2</sub>	CO <sup>18</sup> F <sub>2</sub>
<i>m/e</i> S(mV)	18 3.92	20 4.55	28 2.8	30 3.0	44 0.20	46 0.48	48 0.26	47 0.04	49 0.04
Ratio	1.0 :	1.16	1.0 :	1.07	1.0 :	2.4 :	1.3	1 :	1

 ${}^{\mathbf{a}}F_{\mathbf{H}_{0}\mathbf{O}^{10}}^{i} \simeq 1.0 \times 10^{15} \text{ s}^{-1}$ .  $T_{\mathrm{SiO}_{2}} = 100 \,^{\circ}\mathrm{C}$ .  $F_{\mathrm{CF},\mathrm{I}}^{i} = 1.4 \times 10^{15} \text{ s}^{-1}$ . (Increasing  $F_{\mathrm{CF},\mathrm{I}}^{i}$  from  $1.4 \times 10^{15}$  to  $8.2 \times 10^{15} \text{ s}^{-1}$  increased the product yields but did not change the isotopic ratios.)

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FIG. 4.  $[C_2F_6]$  as a function of  $[CF_3]_0$  for laser experiments at various substrate temperatures.  $[CF_3]_0$  was varied by changing  $F'_{CF_3I}$  from  $1 \times 10^{15}$  to  $1 \times 10^{16} \, \mathrm{s}^{-1}$ .

#### 1. CF<sub>3</sub> recombination and k.

As we pointed out in Sec. II,  $k_w$ , the net surface loss of CF<sub>3</sub> may be obtained from C<sub>2</sub>F<sub>6</sub> yields via Eq. (5). In effect, this method of obtaining  $k_w$  corresponds to measuring the extent to which C<sub>2</sub>F<sub>6</sub> formation departs from purely secondorder behavior with respect to CF<sub>3</sub>. The discrepancy is caused by CF<sub>3</sub> escape and surface loss, both of which are first order. Knowledge of  $k_r$ <sup>15</sup> (recombination) and  $k_e$  (escape) allow the determination of  $k_w$ .

Figure 8(a) shows the  $C_2F_6$  results of Fig. 4 plotted in the form of Eq. (5). These data conform nicely to expression 5, giving linear plots with intercept 2.0 for all the temperatures studied. Table II summarizes the information obtained from these results.  $k_w$  is obtained from the slopes, using  $k_r = 3 \times 10^{-12}$  cm<sup>3</sup> s<sup>-1</sup> and  $k_e$  in expression (5). ( $k_r$  used in evaluating  $k_w$  was taken independent of the surface temperature because it is a gas phase reaction, whose temperature it governed by that of the vessel walls. Since the hot active surface constitutes only 5% of the reactor wall area, the correction would be small. Furthermore, the dependence



FIG. 5. [CO] as a function of  $[CF_3]_0$  for various substrate temperatures. Conditions are the same as in Fig. 4.



FIG. 6. [SiF<sub>4</sub>], [CO<sub>2</sub>], and [COF<sub>2</sub>] as functions of [CF<sub>3</sub>]<sub>0</sub> for  $T_{SiO_2} = 260$  °C. Conditions are the same as in Fig. 4.

of k, on temperature is not very strong in this range.) Notice that  $k_w$  increases with increasing surface temperature indicating that increasing amounts of CF<sub>3</sub> are irreversibly lost in the surface reaction. An Arrhenius plot of ln  $k_w$  vs the reciprocal surface temperature is shown in Fig. 8(b), together with a least-squares fit. The resulting  $k_w$  is given by the following expression:  $k_w = 10^{2.9} \exp(-4700/RT) \, \mathrm{s}^{-1}$ , where R = 1.987 cal mol<sup>-1</sup> K<sup>-1</sup>.

Having determined  $k_w$ , we now know all of the rate constants in scheme (1)-(4), and therefore can obtain the steady-state CF<sub>3</sub> concentration:

$$[CF_{3}]_{ss} = \frac{-(k_{e} + k_{w}) + [(k_{e} + k_{w})^{2} + 8k_{r}fF_{CF_{3}I}^{i}V^{-1}]^{1/2}}{4k_{r}}.$$
(6)

We find that  $[CF_3]_{ss}$  is between 5% and 20% of  $[CF_3]_0$  for the temperature range studied.

### 2. Formation of CO and k<sub>co</sub>

We have chosen the formation of CO as a marker for the surface reaction of CF<sub>3</sub> with H<sub>2</sub>O. Figure 9 shows the data in Fig. 5 plotted as [CO] vs the steady-state CF<sub>3</sub> concentration, [CF<sub>3</sub>]<sub>ss</sub>. For all the temperatures, [CO] is linear with respect to [CF<sub>3</sub>]<sub>ss</sub> and has no intercept, indicating a first-order reaction as would be expected from Eq. (4). The observed CO formation rate constant  $k_{CO}$  is obtained from the slope is each case (slope =  $k_{CO}/k_e^{CO}$ ); these are given in Table II. The resulting  $k_{CO}$  can be represented by the following Arrhenius expression:  $k_{CO} = 10^{3.7} \exp(-7500/RT)$  s<sup>-1</sup> [Fig. 9(b)].

Notice in Table II that the  $k_{CO}$  rate constants are smaller than the corresponding  $k_w$  based on the loss of CF<sub>3</sub> on the heated fused silica surface. The latter indicates that not all the CF<sub>3</sub> that is lost to the surface from the gas phase [reaction (4)] proceed to form CO. This results in an appar-



FIG. 7. Product concentrations as functions of  $T_{SiO_2}$  in laser experiments. (a) CO, HF, and  $C_2F_6$ . (b) COF<sub>2</sub>, CO<sub>2</sub>, and SiF<sub>4</sub>. The inset shows f, the fractional depletion in CF<sub>3</sub>I as a function of  $T_{SiO_2}$ ; see the text for details.  $F_{\rm CF,I}^i = 4.0 \times 10^{15} \, {\rm s}^{-1}.$ 

ent excess of  $CF_3$  on the surface, which must be removed by some other reaction.

The simplest mechanism that accommodates these results replaces Eq. (4) with Eqs. (4a), (4b), and (4c):

$$CF_3 + S \underset{k_d}{\overset{\beta\omega}{\rightleftharpoons}} CF_3 - S,$$
 (4a)

$$CF_3 - S \rightarrow CO$$
, (4b)

$$CF_3 - S \xrightarrow{\sim_2}$$
 other products. (4c)

Equation (4a) represents adsorption and desorption of CF<sub>3</sub> where  $\omega$  is the collision frequency of CF<sub>3</sub> with the active surface,  $\beta$  is the efficiency factor ( $\beta < 1$ ), and  $k_d$  is the rate constant for desorption. Reaction (4b) represents the for-



FIG. 8. (a)  $fF_{CF_{1}}^{i}/F_{C_{2}F_{6}}^{o}$  as a function of  $F_{C_{2}F_{6}}^{o}$  [see Eq. (5) in the text]. The straight lines correspond to least-squares fits to the data with intercept = 2.0. (b) ln  $k_w$  as a function of  $T_{siO_2}^{-1}$ . The line is the linear leastsquares fit, corresponding to  $k_{w} = 10^{2.9} \exp(-4700/RT)$ .

mation of CO, and reaction (4c) corresponds to the sum of all those reactions that make up for the CO deficiency. The experimentally observed quantities  $k_w$  and  $k_{CO}$  may be expressed in terms of mechanism [4(a)-4(c)] as follows:

$$k_{w} = \frac{\beta \omega (k_{1} + k_{2})}{(k_{d} + k_{1} + k_{2})},$$
(7)

$$k_{\rm CO} \text{ (observed)} = \frac{\beta \omega k_1}{(k_d + k_1 + k_2)} = \frac{k_1}{(k_1 + k_2)} k_w . (8)$$

Using our measured  $k_{CO}$  and  $k_{w}$ , we obtain for the branching ratio,

$$\frac{k_1}{(k_1+k_2)} = 10^{0.8} \exp(-2800/RT) \, .$$

In relation to our experiments, Eq. (4c) may include the observed products CO<sub>2</sub> and COF<sub>2</sub>. However, the latter can account for only 10% of the CO deficiency. Of course, Eq. (4c) may include reactions generating involatile products on the surface; these would not be determined by gas-phase analysis.

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FIG. 9. (a) [CO] as a function of  $[CF_3]_{ss}$ .  $[CF_3]_{ss}$  was obtained using Eq. (6) (see the text for details). The straight lines correspond to least-squares fits to the data with intercept 0. (b)  $\ln k_{CO}$  as a function of  $T_{siO_2}^{-1}$ . The line is the linear least-squares fit corresponding to  $k_{CO} = 10^{3.7} \exp(-7500/RT)$ .

### 3. CF3 sticking coefficient on fused silica

 $\gamma$ , the sticking coefficient is given by  $k_{\omega}/\omega$  where  $\omega$  is the collision frequency of CF<sub>3</sub> with the silica surface.  $\gamma$  is the probability that a CF<sub>3</sub> impinging on this surface will be irreversibly lost. The values obtained for  $\gamma$  are listed in Table II. Notice that these are low, between 0.0014 and 0.017 depending on the temperature, in contrast to values in the literature, measured as 0.08–0.75 for CF<sub>3</sub> on *silicon* at room temperature.<sup>16</sup> In our case, a close-to-unity  $\gamma$  would have implied that the surface loss of CF<sub>3</sub> were competitive with  $\omega$ . Since

TABLE II.  $k_{\omega}$ ,  $k_{co}$ , and  $\gamma$  as functions of  $T_{SiO_2}$ .

$T_{SiO_2}$ (C)	$k_w (s^{-1})^*$	$k_{\rm CO} \ (\rm s^{-1})^{\rm b}$	ŕ	
70	0.85	0.103	0.0014	
160	2.79	0.401	0.0047	
205	5.87	2.16	0.0098	
260	10.1	3.84	0.017	

\*Obtained from slopes in Fig. 8(a) using Eq. (5).

<sup>b</sup>Obtained from Fig. 9(a); slope =  $k_{\infty}/k_{e}^{\infty}$ .

 $^{\circ}\gamma = k_{\omega}/\omega$  where  $\omega = 600 \text{ s}^{-1}$  for CF<sub>3</sub> collisions with the silica surface.

 $\omega \sim 600 \text{ s}^{-1}$  and the time scale for gas-phase chemistry is in the order of seconds, negligible gas-phase reaction (i.e., recombination) would have been expected under conditions where  $\gamma \sim 1$  and  $k_{\omega} \sim \omega$ . Our results indicate that this is not the case.

As a further test to confirm that  $\gamma$  is not close to unity, we carried out experiments where the gas-phase CF<sub>3</sub> radicals were trapped by Cl<sub>2</sub>, yielding CF<sub>3</sub>Cl. The resulting product yields vs Cl<sub>2</sub> flow rate are shown in Fig. 10. Notice that the surface reaction yielding CO is competitive with the gasphase trapping reaction yielding CF<sub>3</sub>Cl. Furthermore, behavior of the surface product CO resembles that of the gasphase recombination product C<sub>2</sub>F<sub>6</sub>. That surface chemistry competes with gas-phase chemistry indicates once again that the surface reaction is slow compared to  $\omega$  and that  $\gamma < 1$ .

The competition between the trapping reaction and the surface reaction may be seen more clearly by adding the trapping reaction (9) to the scheme depicted by Eqs. (1)-(4c):

$$CF_3 + Cl_2 \xrightarrow{k_r} CF_3Cl + Cl.$$
(9)

Equation (9) represents one more loss process for the CF<sub>3</sub> radicals formed in the reactor, in addition to recombination, escape and surface loss. The rate of loss of CF<sub>3</sub> radicals from the gas phase,  $k_{\text{loss}}^{\text{CF}_3}$ , is given by

$$k_{\text{loss}}^{\text{CF}_3} = k_T [\text{Cl}_2] + k_r [\text{CF}_3] + k_e + \gamma \omega.$$
(10)

Notice in Eq. (10) that the homogeneous  $Cl_2$  trapping reaction is in direct competition with the  $CF_3$  surface loss  $\gamma\omega$ , as well as with escape  $(k_e)$  and recombination  $(k_r [CF_3])$ . Varying  $[Cl_2]$  allows for varying degrees of competition, as seen in Fig. 10. Notice that even a small concentration of  $Cl_2$  affects both the recombination product  $C_2F_6$  and the surface reaction product CO. The fact that the surface product yield is reduced by such a small amount of  $Cl_2$  indicates that trapping by  $Cl_2$  and the surface reaction are competitive, leading to  $\gamma \ll 1$ . Although the data shown in Fig. 10 may be modeled



FIG. 10. Mass spectral signals of products CF<sub>3</sub>Cl, CO, and C<sub>2</sub>F<sub>6</sub> as functions of Cl<sub>2</sub> flow rate for trapping experiments.  $F'_{\rm CF_3I} = 3.1 \times 10^{15} {\rm s}^{-1}$ ,  $T_{\rm SiO_2} = 110$  °C. [Cl<sub>2</sub>] (cm<sup>-3</sup>) is given by  $4.1 \times 10^{-3} F'_{\rm Cl_3}$  (s<sup>-1</sup>).

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by including reaction (9) in our earlier reaction scheme, this will have to wait for reliable values of  $k_T$ . However, the trapping experiments demonstrate the usefulness of our approach for obtaining  $\gamma$ .

#### **IV. CONCLUSIONS**

The present study reveals that the major reactivity of  $CF_3$  radicals on the silica surface is with  $H_2O$  and not with  $SiO_2$ . Under these conditions, where  $H_2O$  is always present on the surface, the  $CF_3 + SiO_2$  reaction accounts for only  $\sim 1\%$  of the reactivity of  $CF_3$  with  $H_2O$ . Added water inhibits the  $CF_3 + SiO_2$  etching reaction even further. This is an important result that may be significant in applications. For example, presence of water in plasma etching systems may inhibit etching by radicals and may affect the final results. In the future, we plan to eliminate water in our experiments by using a stainless steel reactor and will then direct our attention to the  $CF_3 + SiO_2$  reaction as well as other etching reactions.

It should be mentioned that we also investigated the reaction between CF<sub>2</sub> radicals and the SiO<sub>2</sub> surface. These were hot-wire experiments where CF<sub>2</sub>HCl was decomposed, yielding CF<sub>2</sub> radicals. By contrast to our work with CF<sub>3</sub>, we did not see any  $SiF_4$ , nor any other surface-related products in the CF<sub>2</sub> experiments. This result is in contrast to those of Brannon,<sup>8</sup> and of Loper and Tabat<sup>4</sup> who found that CF<sub>2</sub> radicals etched SiO<sub>2</sub> considerably while etching by CF<sub>3</sub> was not observed. In their experiments, the surface as well as the gas was irradiated. Recent results of Brannon<sup>17</sup> indicate that when the surface is not irradiated, CF<sub>2</sub> radicals do not etch SiO<sub>2</sub>, but rather deposit onto the surface as a fluorocarbon layer. The latter results are compatible with our findings for  $CF_2$ . The fact that etching by  $CF_3$  was not observed by either of the abovementioned workers may seem to contradict our results for CF<sub>3</sub>. However, we suspect that under the experimental conditions of those studies, there may have been a negligible concentration of CF3 radicals. In the case of Brannon's study,<sup>8</sup> CF<sub>3</sub> radicals were produced in a focused beam and the high intensities may have led to secondary decomposition of CF<sub>3</sub>. In the work of Loper and Tabat,<sup>4</sup> where high pressures (50-100 Torr) were employed, it is possible that second-order recombination yielding C<sub>2</sub>F<sub>6</sub> consumed most of the CF<sub>3</sub> radicals, so that etching by CF<sub>3</sub> was not observed.

Our results demonstrate that the VLP $\Phi$  method may be successfully used to study radical-surface reactions. Not only qualitative information on gas-phase products, but also quantitative information on the reaction kinetics may be obtained. The technique allows for determination of the order of the surface reaction, the rate constant and its temperature dependence, and also,  $k_w$ , the surface loss constant for the reactive radical. Knowledge of  $k_w$  leads directly to  $\gamma$ , the sticking coefficient for the radical of interest.

In future experiments, we plan to extend the technique to include *in situ* detection of radicals by multiphoton ionization. This will allow for the determination of local real-time concentrations of radicals within the reactor. In addition to gas-phase product analysis, we also plan to probe the surface using surface analysis techniques, such as SALI (surface analysis by laser ionization) recently developed at SRI.<sup>18</sup>

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