



Synthesis of C3,C4-Disubstituted Indoles via the Palladium/ Norbornene-Catalyzed ortho-Amination/ipso-Heck Cyclization

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Utilizing *N*-benzoyloxy allylamines as the coupling partner, a cascade process involving *ortho*-amination and *ipso*-Heck cyclization takes place with *ortho*-substituted aryl iodides to afford diverse indole products. The reaction exhibits good functional group tolerance, in addition to tolerating a removable protecting group on the indole

• Divergent reactivity for internal olefins • Yields up to 83%

nitrogen. Divergent reactivity has been observed using the allylamine coupling partner containing more substituted olefins. Construction of the core framework of mitomycin has also been attempted with this strategy.

Indole and its closely related heterocycles are highly prevalent in natural products and drug molecules (Figure 1).¹ Consequently, they have been attractive scaffolds for



Figure 1. Indoles and their derivatives in natural products and pharmaceuticals

preparation and derivation.¹ While numerous effective indole synthesis methods have been developed to date, it remains nontrivial to prepare C3,C4-disubstituted indoles in a modular manner.² On the other hand, the palladium/norbornene cooperative catalysis, first reported by Catellani,³ represents an emerging useful tool for modular synthesis of polysubstituted arenes.⁴ In this transformation, an electrophile and a nucleophile are coupled at the arene *ortho* and *ipso* positions, respectively (Scheme 1a). Seminal work by Lautens in 2010 described a novel preparation of C2,C7-disubstituted indoles through an *ortho*-alkylation/*ipso*-amination cascade of aryl iodides with highly strained 2H-azirines (Scheme 1b).⁵ In 2018, the Liang group devised an elegant approach to synthesize indolines using widely available aziridines as the

reagent.⁶ The development of coupling with *N*-benzoyloxy amines as electrophiles in 2013 allows convenient installation of various amine moieties at the arene *ortho* position via the Pd/NBE catalysis.⁷ Recently, the Liang group disclosed an *ortho*-amination of 2-iodoanilines followed by *ipso*-cyclization with norbornadiene and then a retro-Diels–Alder reaction to access 4-aminoindoles.⁸ More recently, an *ortho*-amination followed by demethylative annulation with internal alkynes was reported for indole synthesis with moderate efficiency (Scheme 1c).⁹

In this communication, we describe a convenient method for preparing C3,C4-disubstituted indoles via an *ortho*-amination/ Heck cyclization cascade¹⁰ between common 2-substituted aryl iodides and readily available *N*-benzoyloxy allylamines (Scheme 1d). It can be envisioned that, upon forming the key aryl-norbornyl-palladacycle (ANP), the reaction with the electrophile should install an allylamine moiety at the *ortho* position of the arene. Upon NBE extrusion, an *ipso*-Heck is expected to take place; the resulting exocyclic alkene then isomerizes to the more stable internal position to deliver the indole product.¹¹

The investigation started with 2-iodoanisole (1a) and an *N*-methyl-allylamine derived coupling partner (2a) as the model substrates (Table 1). After careful evaluation of various reaction parameters, the desired indole product (3aa) was ultimately formed in 71% yield using $Pd(OAc)_2$ and tri(4-methoxyphenyl)phosphine as the optimal metal/ligand combi-

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Scheme 1. Indole Synthesis via the Pd/NBE Catalysis

(a) Pd/NBE cooperative catalysis



ortho-carbonation (since 1997)

(b) Indole/indoline synthesis via ortho-alkylation



(c) Indole synthesis via ortho-amination/demethylative coupling (2020)



(d) This work: indole synthesis via ortho-amination/intramolecular Heck



nation with Cs₂CO₃ as the base (Table 1, entry 1). A 1:4 ratio between Pd and the phosphine was found to be necessary to promote reproducibility of the reaction (Table 1, entries 2 and 3), though the exact reason is unclear (vide infra). Other monodentate phosphine ligands that are less electron-rich than tri(4-methoxyphenyl)phosphine or bidentate phosphine ligands proved to less efficient and generated more fourmembered side-product 4a (Table 1, entries 4-6 and Supporting Information, Schemes S1 and S2). It is likely that tri(4-methoxyphenyl)phosphine can significantly suppress undesired off-cycle reactivity observed when using the less electron-rich triarylphosphine ligands. Use of a 5,6-disubstituted norbornene (N4) was found to deliver similar results as simple NBE (entry 9).¹² Other structurally modified NBEs,¹³ such as C2-ester-14 and amide-substituted15 NBEs, showed much lower reactivity, likely due to their steric hindrance when reacting with bulky electrophile 2a (entries 7 and 8). Reducing the NBE loading to 50 mol % only gave slightly lower yield and selectivity (entry 10). Toluene was found to be the best solvent, which is also consistent with our prior observation with *ortho*-amination.^{7a} Increasing the polarity of the solvent by using a mixture of 1,4-dioxane and toluene or 1,4-dioxane

Table 1. Selected Optimization of the Reaction Conditions^a



entry	change from the "standard" condition	yield of 3aa (%) ^b	yield of 4a (%) ^b
1	none	71 (67) ^c	7
2	25 mol% ligand	51	14
3	30 mol% ligand	65	12
4	PPh ₃ as the ligand	64	15
5	$P(p-CF_3-Ph)_3$ as the ligand	56	13
6	P(2-furyl) ₃ as the ligand	38	22
7	N2 instead of NBE	17	_
8	N3 instead of NBE	n.d.	_
9	N4 instead of NBE	67	_
10	50 mol% NBE	69	9
11	1:1 1,4-dioxane/toluene as solvent	62	15
12	1.4-dioxane as solvent	52	19

^aUnless otherwise noted, all reactions were carried out with 1a (0.1 mmol) and 2a (0.2 mmol), in 1.0 mL of toluene for 18 h. ^bNMR yields determined using 1,1,2,2-tetrachloroethane as the internal standard; n.d. = not detected; - = not determined. ^cIsolated yield on a 0.2 mmol scale.



alone resulted in reduced yield and more side-product formation (entries 11 and 12).

Meanwhile, the kinetic profile of the reaction was measured (Figure 2). First, the reaction did not show any induction



Figure 2. Kinetic profile of the reaction. NBE (1 equiv) was used.

period, which likely benefited from the excess phosphine ligand to enable rapid reduction of Pd(II) to Pd(0). The side-product 4a was formed from the beginning of the reaction, alongside the desired product 3aa, which suggests competing pathways with the ANP intermediate: oxidative addition with Nbenzoyloxy amine 2a versus direct reductive elimination to give 4a. The reaction was nearly completed within 1 h, indicating a rapid reaction rate. Afterward, the coupling partner 2a slowly decomposed, possibly due to the basic reaction condition and elevated reaction temperature.

With these results in hand, we sought to explore the scope of the indole-forming reaction (Scheme 2). First, the isolated

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Scheme 2. Substrate Scope of the *ortho*-Amination/Heck Cyclization Cascade^a



^{*a*}Unless otherwise noted, all reactions were carried out with 1 (0.2 mmol) and 2 (0.4 mmol) in 2.0 mL of toluene for 18 h; all yields are isolated yields. ^{*b*}Carried out with Pd(TFA)₂ instead of Pd(OAc)₂, 1a (1.0 mmol), and 2a (2.0 mmol) in toluene (10.0 mL). ^{*c*}Carried out with 40 mol % of P(p-OMe-Ph)₃ as the ligand. ^{*d*}Carried out with 2a-2 (see the Supporting Information) instead of 2a and with PPh₃ as the ligand at 120 °C. ^{*c*}Run at 80 °C.

yield of the model product 3aa can reach 72% on the larger 1.0 mmol scale.¹⁶ In addition, a diverse range of functional groups, such as methyl (3ba) and benzyl ethers (3ia), an unprotected tertiary alcohol (3ca), ester (3ea), bromide (3da), chloride (3ma), nitro (3fa and 3oa), acetal (3ha), and tertiary amine (3la), were tolerated under the reaction conditions, affording various C3,C4-disubstituted indoles. Both electron-rich and -deficient substituents are compatible for this transformation. Notably, the yields for the highly electron-poor nitrosubstituted aryl iodides (3fa and 3oa) can be increased by changing the phosphine ligand to the electron-deficient tris-(4trifluoromethylphenyl)phosphine (see Supporting Information for more details). Up to 83% yield can be obtained for the 4chloroindole product (3ma). A clear trend can be observed: the yield of the indole product typically decreases if the orthosubstituent is larger in size, indicating that the reaction is

sensitive to the steric environment of the Heck cyclization and subsequent aromatization. Moreover, a number of heteroarenederived iodides were competent substrates, including pyridines (3ja-c), quinoline (3qa), and benzofuran (3ra). Besides forming *N*-methyl indoles, by decreasing the reaction temperature to 80 °C, C3,C4-disubstituted indoles with removable protecting groups on the nitrogen, i.e., $-Bn^{17}$ (3jb) and $-PMB^{18}$ (3jc), can be constructed with this method.

Apart from simple allylamine electrophiles, we questioned whether *N*-benzoyloxy amines with a more substituted internal olefin would react in the same manner. Consequently, the coupling reagents containing a 1,2-disubstituted alkene (2d) and a trisubstituted alkene (2e) were prepared. Interestingly, 2d provided a separable mixture of the desired indole product (3ad) and an indoline isomer (3ad'), resulting in a combined yield of 65% (Scheme 3). In contrast, sole indoline product (3ae') was isolated in good yield when using the amine coupling partner with a trisubstituted olefin (2e).





^{*a*}All reactions were carried out with **1a** (0.2 mmol) and **2** (0.4 mmol) in 2.0 mL of toluene for 18 h; all yields are isolated yields.

The divergent reactivity with substituted allylamines provides useful insights into the reaction mechanism and selectivity, particularly regarding the ipso-Heck cyclization (Scheme 4). During the Heck coupling, the terminal monosubstituted alkene moiety undergoes kinetically favorable 5-exo-trig cyclization, followed by β -hydrogen elimination, to give an exocyclic alkene intermediate, which leads to the desired indole products. When a 1,2-disubstitued alkene is used, the moderate steric hindrance allows the β -hydrogen elimination to occur at either direction (inward and outward), resulting in a mixture of indole and indoline products. In contrast, in the case of the trisubstituted alkene, the inward elimination would be largely inhibited due to the strong steric repulsion between the ortho-substituent (i.e., -OMe) and the nearly coplanar alkene substituent (i.e., -Me). Therefore, high selectivity toward the indoline formation through a less bulky outward elimination is observed with the trisubstituted alkene.

Finally, to explore the potential synthetic application of this method, construction of the core carbon skeleton of the mitomycin family of natural products has been explored (Scheme 5).¹⁹ Utilizing a more complex 2-vinylpyrrolidine derived coupling partner (2f), the desired *ortho*-amination/*ipso*-Heck cyclization can indeed take place under the standard condition. On the basis of the crude NMR analysis, the indoline product with an exocyclic olefin was formed in 31%

Scheme 4. Divergent Reaction Pathways for Substituted Alkene Coupling Partners



Scheme 5. Attempt to Access the Skeleton of Mitomycin^a



^aConducted with 1a (0.1 mmol) and 2f (0.15 mmol) in 1.0 mL of toluene for 18 h.

yield. Unsurprisingly, during the chromatography purification on silica gel, the alkene was fully isomerized to deliver the more stable tricyclic indole product (**3af**). Efforts on systematically optimizing this transformation, trapping the indoline intermediate, and ultimately applying this method to mitomycin synthesis are ongoing.

In summary, an *ortho*-amination, *ipso*-Heck cyclization cascade of aryl iodides for the synthesis of C3,C4-disubstituted indoles has been developed. The reaction appears to be general for a diverse range of aryl iodides, typically with smaller *ortho* substituents delivering the desired indole products in greater yields than larger substituents. A broad range of functional groups and heterocycles can be tolerated. Additionally, a steric effect has been found to be responsible for the divergent reactivity with more substituted alkene-derived coupling partners. Currently, expansion of this platform toward synthesis of other pharmaceutically relevant heterocycles is underway.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.orglett.1c01165.

Experimental procedures and characterization data (PDF)

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Notes

The authors declare no competing financial interest.

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