## Communication

# Oxygen Activation by Co(II) and a Redox Non-Innocent Ligand: Spectroscopic Characterization of a Radical-Co(II)Superoxide Complex with Divergent Catalytic Reactivity 

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that each (L) $)^{3-}$ ligand coordinates to a single Co center in a novel tridentate pincer-like coordination mode. ${ }^{6}$


$[3]^{1-}$


Figure 1. Preparation of $[\mathbf{2}]^{2-}$ and $[\mathbf{3}]^{1-}$ from $[\mathbf{1}]^{2-}$. Thermal ellipsoid plot of $[2]^{2-}$ is shown at the $45 \%$ probability level, with H atoms and counter cations omitted for clarity.

Several spectroscopic techniques were employed to determine the first observable catalytically-relevant intermediate responsible for the divergent reactivity of $[\mathbf{1}]^{2-}$. Gas-uptake experiments indicate that $[\mathbf{1}]^{2-}$ reacts with $\mathrm{O}_{2}$ in a 1:2 stoichiometry (see SI), suggesting that one molecule of $\mathrm{O}_{2}$ is taken up per Co center. UV-Vis spectroscopy shows isosbestic behavior, indicating clean conversion of $[1]^{2-}$ to $[3]^{1-}$ via a short-lived intermediate (Figure S4). The resulting burgundy species [3] ${ }^{1-}$ can be isolated or used in-situ to perform stoichiometric oxidations with $\mathrm{PPh}_{3}$ and 2-PPA, generating the same products of catalytic oxidations using $[\mathbf{1}]^{2-}$ (see SI). Along with the monometallic structure of $\left(\mathrm{Et}_{4} \mathrm{~N}\right)_{2}[2]$, this result suggests that $[1]^{2-}$ reacts with $\mathrm{O}_{2}$ to form a monometallic $\mathrm{Co}-\mathrm{O}_{2}$ species, $\left[\mathrm{Co}(\mathrm{L}) \mathrm{O}_{2}\right]^{1-},[3]^{1-}$. The monomeric nature of $[3]^{1-}$ is further supported by MALDI-TOF mass spectrometry, which shows that new ions with $m / z=410.49$ or 412.47 amu are produced when $[\mathbf{1}]^{2-}$ reacts with 2 equivalents of ${ }^{16} \mathrm{O}_{2}$ or ${ }^{18} \mathrm{O}_{2}$, respectively (Figure S5). These mass values are consistent with formulations as $\left[3-{ }^{16 / 18} \mathrm{O}\right]^{-}$species, similar to mass spectral data observed for a recently reported five-coordinate $\mathrm{Co}-\mathrm{O}_{2}$ complex capable of $\mathrm{C}-\mathrm{H}$ bond activation via a postulated $\mathrm{Co}^{\mathrm{IV}}$-oxo intermediate. ${ }^{12}$ Liquid-cell IR techniques show that [3] has an $\mathrm{O}_{2}$ stretching feature at $1248 \mathrm{~cm}^{-1}$, which shifts to $1203 \mathrm{~cm}^{-1}$ upon ${ }^{18} \mathrm{O}_{2}$ labeling (Figure S6). These data are consistent with end-on Co-superoxide coordination. ${ }^{13}$

This molecular geometry is further supported by analysis of extended X-ray absorption fine structure (EXAFS) in the Co K-edge XAS of $[2]^{2-}$ and $[3]^{1-}$ (Figures S7-8, Table S1). The EXAFS of [2] $]^{2-}$ and $[3]^{1-}$ are qualitatively similar, yielding a Co coordination number of four with average Co-L distances of $1.98 \AA$ and $1.88 \AA$, respectively. These distances are in good agreement with the crystallographic and DFT-optimized average Co-L distances of $2.01 \AA$ and $2.04 \AA$ for $[2]^{2-}$, respectively, and are also in good agreement with the calculated average $\mathrm{Co}-\mathrm{L}$
distance for $[3]^{1-}$ at $1.87 \AA$. These results suggest a similar coordination geometry for $(\mathrm{L})^{3-}$ in $[2]^{2-}$ and $[3]^{1-}$, further indicating a monomeric $\operatorname{Co}(\mathrm{L})$ end-on superoxide structural unit for [3] ${ }^{1-}$.

Ground state electronic configurations of $[2]^{2-}$ and $[3]^{1-}$ were established from their magnetic properties. For [2] ${ }^{2-}$ , the $\mu_{\text {eff }}$ value of $4.27(3) \mu_{\mathrm{B}}$ at 298 K in $\mathrm{CDCl}_{3}$ is indicative of an $S=3 / 2$ ground state. EPR data (Figure 2a) confirm this unusual high-spin state, with observed (effective) $g$ values of $g_{x}=4.53, g_{y}=3.97$, and $g_{z}=1.95$, indicating $D>h \nu$. Despite the clear indication of an $S=3 / 2$ ground state for $[2]^{2-}$, the EPR spectrum for $[3]^{1-}$ is surprisingly characteristic of an $S=1 / 2$ species, best simulated by $g_{x}=2.20, g_{y}=2.00, g_{z}=1.975\left(\mu_{\text {eff }}=2.13 \mu_{\mathrm{B}}\right.$ at 298 K in $\mathrm{CH}_{3} \mathrm{CN}$ ). The observation of a "high-spin" complex of cyanide, a strong-field ligand, is unusual ${ }^{14}$ but consistent with the low coordination number. Even more unusual is that the weaker field $\mathrm{O}_{2}^{-}$complex, $[3]^{1-}$, appears low spin. Co $\mathrm{K} \beta$ X-ray emission spectra (XES) of $[2]^{2-}$ and $[3]^{1-}$ were measured as a probe of the local spin at Co (Figure 2b). Splitting of $K \beta(3 p \rightarrow 1 s)$ main lines into $K \beta^{\prime}$ and $K \beta_{1,3}$ features is a useful metric of spin population since electron delocalization out of metal 3d orbitals results in attenuation of the $3 \mathrm{~d}-3 \mathrm{p}$ exchange energy. ${ }^{15} \mathrm{~K} \beta$ main line splitting is markedly decreased in $[3]^{1-}$ compared to $[\mathbf{1}]^{2-}$ and $[2]^{2-}$, consistent with a decreased local Co spin population in $[3]^{1-}$.


Figure 2. (a) Experimental EPR data and simulations for [2] $]^{2-}$ and $[3]^{1-}$. (b) Co K $\beta$ XES main lines of $[\mathbf{1}]^{2-}-[\mathbf{3}]^{1-}$. (c) Co Kedge XANES of $[\mathbf{1}]^{2-}-[\mathbf{3}]^{1-}$. Inset: Magnification of the Co $1 \mathrm{~s} \rightarrow$ (Co 3d + L) pre-edge features. (d) Overlay of calibrated TDDFT-calculated (B3LYP/def2-TZVP-ZORA) Co K-edge XANES pre-edge peaks for [3] ${ }^{1-}$.

To defuse this spin state conundrum, DFT calculations were employed to produce an electronic structure picture consistent with the aggregate structural and spectral data.

To this end, we evaluated multiple electronic configurations for $[2]^{2-}$ and $[3]^{1-} .{ }^{16}$ The quartet state for $[2]^{2-}$ was energetically favored over the doublet state by 26.7 $\mathrm{kJ} / \mathrm{mol}$, in agreement with the EPR data and the similarity of the Co XANES pre-edge energy of [2] $]^{2-}$ with that of $[1]^{2-}$, for the $\mathrm{Co}^{\mathrm{II}}$ centers in $[1]^{2-}$ are high-spin ${ }^{6}$ (Figure $2 \mathrm{c})$. The optimized geometry for $[2]^{2-}$ as a quartet is also a superior match to the crystallographic data (Table S5). Additionally, the cyanide $\mathrm{C} \equiv \mathrm{N}$ stretch predicted to occur at $2211 \mathrm{~cm}^{-1}$ is experimentally measured at $2109 \mathrm{~cm}^{-1}$, in decent agreement given the well-known tendency for DFT to overestimate vibrational frequencies. ${ }^{17}$
For [3] ${ }^{1-}$, calculations support an $S=1 / 2$ end-on superoxide species as the configuration with lowest energy (Table S6), ${ }^{18}$ in accord with experimental data. For this species, a spin-coupled electronic structure is obtained. There are two low-lying doubly-occupied Co-centered $e$ type orbitals of the pseudotetrahedral Co $d$ orbital manifold and three singly-occupied orbitals for the $t_{2}$-derived set (Figure 3, S13). The superoxide ligand has two $\pi^{*}$ valence orbitals-doubly-occupied $\pi_{1} *$ and singly-occupied $\pi_{2}{ }^{*}$-that interact with the $\operatorname{Co} t_{2}$-derived orbitals. The $\pi_{1}{ }^{*}$ orbital engages in a 3-electron $\sigma$ interaction with one Co orbital, while the $\pi_{2} *$ unpaired electron is coupled with another Co electron. A second antiferromagnetic interaction exists between the third Co $t_{2}$-derived electron and a ligand-based orbital having significant character from the central N atom of L . Therefore, as with [2] ${ }^{2-}$, the metal center in [3] ${ }^{1-}$ contains a high-spin $S=3 / 2 \mathrm{Co}^{\text {II }}$ center. In this case, however, two of the three unpaired electrons of the $\mathrm{Co}^{\mathrm{II}}$ center couple with an L radical and a superoxide radical to yield an overall $S=1 / 2$ ground state. This electronic structure explains the divergent reactivity of $\left(\mathrm{Et}_{4} \mathrm{~N}\right)[3]$ (vide supra), for the half-filled $\mathrm{O}_{2} \pi_{2}{ }^{*}$ orbital can be either a donor orbital for nucleophilic reactivity or an acceptor orbital for electrophilic reactivity.


Figure 3. Molecular orbital interactions for $[3]^{1-}$. (left) Green, red, and blue arrows represent electrons in $\mathrm{Co}-, \mathrm{O}_{2}{ }^{-}$, and ligand-based orbitals, respectively. The red and blue brackets show antiferromagnetic coupling between the $\mathrm{O}_{2}-$ and N -based ligands with Co d electrons. The green dashed bracket shows the 3 -electron $\sigma$ interaction between a Co electron and the $\pi_{1}{ }^{*}$ of the $\mathrm{O}_{2}^{-}$ligand. (right) Representation of ligand- and $\mathrm{O}_{2}$-based orbitals.

The Co K-edge XANES of $[\mathbf{1}]^{2-}[\mathbf{3}]^{1-}$ (Figure 2c) deserve further comment. All of the other experimental and computational data clearly indicate that the $\mathrm{Co}^{\mathrm{II}}$ oxidation
state remains constant throughout this series, but the preedge features in the spectra of $\left(\mathrm{Et}_{4} \mathrm{~N}\right)_{2}[\mathbf{1}]$ and $\left(\mathrm{Et}_{4} \mathrm{~N}\right)_{2}[\mathbf{2}]$ effectively superimpose at 7709.7 eV , while that for $[3]^{1-}$ is shifted in energy to 7710.2 eV . Furthermore, rising edges distinguish all three compounds (Figure S9).

TD-DFT analysis of XANES pre-edge features, accomplished via calibration to a set of model compounds (Figure S 10 ), was performed to reconcile the XANES features with the electronic structures of $[\mathbf{1}]^{2-}-[3]^{1-}$. For $[2]^{2-}$, this led to a straightforward assignment of the pre-edge transition as arising from the Co 1 s to the valence " $t_{2}$ "-derived set. In the case of $[3]^{1-}$ (Figure 2d), the acceptor orbitals participating in this excitation have substantial $\mathrm{O}-\mathrm{O} \pi^{*}$ admixture. Comparison of the spin density plots of [2] $]^{2-}$ vs [3] ${ }^{1-}$ shows this effect quite clearly; while the spin density in $[2]^{2-}$ is highly localized on the metal center with orbitals having roughly $70 \%$ metal character, the spin for [3] ${ }^{1-}$ is more delocalized and the orbitals are closer to $50 \%$ metal in character (Figure S11).

This delocalization of electron density manifests in the calculated Co atomic charges $0.47,0.55$, and 0.64 for $[\mathbf{1}]^{2-},[2]^{2-}$, and $[3]^{1-}$, respectively. These values correlate to a reasonable degree $\left(\mathrm{R}^{2}=0.94\right)$ with the corresponding rising edge inflection points (Figure S9). Moreover, the trend line extrapolates to $7706 \pm 3 \mathrm{eV}$ at a charge of 0 , consistent with the rising edge inflection of Co metal (7709 eV). Consequently, variations in the XANES of [3] ${ }^{1-}$ from the other compounds do not necessarily reflect a change in the physical oxidation state at Co after reaction with $\mathrm{O}_{2}$. The difference in energy of the Co K-edge XANES pre-edge features is due to a difference in the nature of the acceptor orbital when comparing [1] ${ }^{2-}$ and $[2]^{2-}$ to $[3]^{1-}$, as has been seen previously for Cu complexes. ${ }^{19}$ The shift to higher energy of the rising edge inflection point in [3] ${ }^{1-}$ likely reflects the highly covalent interaction of Co with an electronegative O-donor. Although XANES is widely used as a metric of physical oxidation states of transition metal complexes, we emphasize here that the nature of the coordinated ligands also has a strong influence over the spectral profiles.

In summary, the reaction of [1] ${ }^{2-}$ with 2 equivalents of $\left[\mathrm{Et}_{4} \mathrm{~N}\right] \mathrm{CN}$ yields the unusual, high-spin $\mathrm{Co}^{\mathrm{II}}$ complex $[2]^{2-}$, which provides structural insight toward the catalytically relevant intermediate $[3]^{1-}$. Compound $[3]^{1-}$ is determined to be a monomeric $\mathrm{Co}^{\text {II }}$ superoxide complex supported by the redox non-innocent ligand L in its sin-gly-oxidized radical form. The local spin state of $\mathrm{Co}^{\mathrm{II}}$ is $S$ $=3 / 2$, but these electrons couple with unpaired electrons on L as well as the $\mathrm{O}_{2}{ }^{-}$ligand to yield an overall $S=1 / 2$ state, as seen via EPR spectroscopy. The catalytic utility of [3] ${ }^{1-}$ is therefore attributable to its redox non-innocent L supporting ligand, allowing Co to remain high-spin upon activation of $\mathrm{O}_{2}$ and avoiding the kinetic quagmire that is a low-spin $\mathrm{Co}^{\text {III }}$ complex.

## ASSOCIATED CONTENT

Synthetic and spectroscopic methods, full MO diagrams, Cartesian coordinates, and CIF for [2] ${ }^{2-}$. This material is available free of charge via the Internet at http://pubs.acs.org.

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