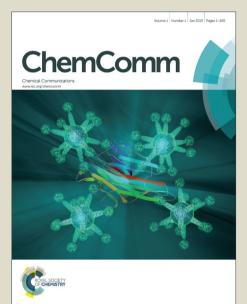


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# A chromogenic molecular capsule attributable to dipolar amide resonance structure

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A new chromogenic, self-assembled molecular capsule  $G@2_2$  is developed by introducing four (N,N-dimethyl-4-aminophenyl) azobenzyl moieties on the upper rim of a resorcin[4]arene-based amidoimino-cavitand. The tuning of conjugation between amido and (N,N-dimethyl-4-aminophenyl)azobenzyl groups by acid-base titration allows naked-eye detection of molecular capsule formation.

Interests in developing new self-assembled molecular capsules have been unabated for more than a decade. In the presence of suitable guest molecules self-assembled molecular capsules are spontaneously formed in solution by multiple hydrogen bonds, <sup>1</sup> metal-ligand interactions, <sup>2</sup> hydrophobic interactions, <sup>3</sup> and hybrid noncovalent interactions. <sup>4</sup> The characteristics of their nano-sized cavities as molecular receptor, sensor, reactor, storage, and delivery systems are widely reported. <sup>5</sup>

Although various molecular capsules with distinctive properties are well-studied, 'naked eye' detection of monomer-to-dimeric capsule and vice versa is not reported yet due to the difficulty in designing a sensitive capsular chromogenic system.

N,N-Disubstituted azobenzene dyes exist in acidic solution as an equilibrium mixture of two tautomers - ammonium form  $\bf A$  (yellow) and quinoid form  $\bf B$  (reddish purple). (Fig. 2(a)) The ammonium form  $\bf A$  is favorable in high pH, but the tautomeric equilibrium gradually shifts to favor  $\bf B$  as pH decreases. This tautomeric equilibrium in acidic solution was confirmed by Raman spectra (8(a)-(b) as well as  $^{15}N$  and  $^{13}C$  NMR (9).

Amide group exists as two resonance structures<sup>9</sup> - neutral structure **C** and dipolar structure **D**, and neutral structure **C** is favourable than dipolar structures in neutral pH. For instance, Kemnitz *et al.* reported that the relative concentrations of neutral structure **C** and dipolar structure **D** in acetamide are 60% and 25%, respectively. When an amide group hydrogen bonds, the dipolar structure **D** becomes favorable. <sup>10</sup>

If a protonated N,N-disubstituted azobenzene dye combines with an amide group, dipolar resonance structure  $\mathbf{D}$  could extend its conjugation up to the protonated N,N-disubstituted azobenzene dye (Fig. 1(c) tautomer  $\mathbf{E}$ ).

a)

$$N = N - N^{\dagger}$$
 $N = N - N^{\dagger}$ 
 $\lambda_{max} = 320 \text{ nm}$ 
 $\lambda_{max} = 320 \text{ nm}$ 
 $\lambda_{max} = 516 \text{ nm}$ 

Fig. 1 a) Two tautomers (A and B) of N,N-disubstituted azobenzene dye, b) two resonance structures (C and D) of amide group, c) tautomers E and F: the combinations of tautomer A or B and resonance structure D.

We have recently demonstrated that imino-cavitand 1 containing four benzamido moieties on its upper rim efficiently self-assembles into thermally inert molecular capsule  $G@1_2$  in the presence of complimentary guests such as toluene or 1,4-dimethoxy benzene via eight intermolecular amide N-H···O=C hydrogen bonds. (Fig. 1(a))<sup>1(k)</sup>

When a *N*,*N*-disubstituted azobenzene dye is coupled to cavitand 1, the extent of conjugation between amide group and a *N*,*N*-disubstituted azobenzene dye (tautomer **E**) may depend on the stable hydrogen bond of amide group and acid concentration. In this paper,

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we report the first naked-eye detection of the assembly and disassembly of a chromogenic, self-assembled molecular capsule.

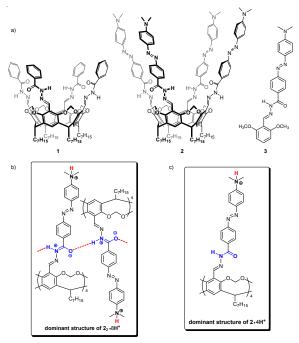


Fig. 2 a) Benzamido-iminocavitand 1 and 2 for self-assembled molecular capsule, and model compound 3 b) suggested structures of chromogenic molecular capsule 2,·8H<sup>+</sup>, c) protonated cavitand 2·4H<sup>+</sup>.

Scheme 1. Synthesis of cavitand 2.

In order to introduce azobenzene moiety on the upper rim of iminocavitand 1, diazo-benzoic hydrazide derivative 6 was synthesized in two steps from ethyl 4-aminobenzoate 4. The diazonium salt obtained from the reaction of ethyl 4-aminobenzoate 4 with NaNO<sub>2</sub> in the presence of HCl at 0 °C was in situ added to a THF solution of N,N-dimethyl aniline to give diazo-compound 5 as a red solid in 45% yield. Diazo-benzoic hydrazide 6 was prepared in 56% yield from the reaction of 5 with excess hydrazine.  $C_4$ -symmetric iminocavitand 2 was obtained in 85% yield from the condensation reaction between tetraformyl cavitand  $7^{11}$  and hydrazide 6 in a mixture of MgSO<sub>4</sub> and dry DMF at room

temperature. The structure of cavitand **2** was fully characterized by <sup>1</sup>H NMR, <sup>13</sup>C-NMR spectroscopy, high-resolution MALDI-TOF mass spectrometry, and elemental analysis.

Cavitand **2** forms a stable molecular capsule, toluene- $d_8$ ( $\mathbf{2}_2$ ), in toluene- $d_8$ , whose structure was confirmed using <sup>1</sup>H NMR and 2D-NOESY experiments (Fig. S3, ESI).

To examine whether hydrogen-bond-induced dipolar amide (resonance structure **D**) forms a significant electronical conjugation with protonated N,N-dimethylamino group as shown Fig. 2 (b), UV-Vis absorption shift of molecular capsule toluene@ $\mathbf{2}_2$  was investigated through CH<sub>3</sub>SO<sub>3</sub>H titration in toluene (Fig. 3).

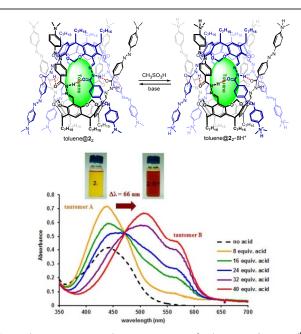


Fig. 3 Changes in UV-Vis absorption spectra of toluene@ $\bf 2_2$  (4.7 imes  $\bf 10^{-6}$  M in toluene) upon addition of CH $_3$ SO $_3$ H.

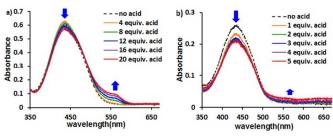
Upon addition of 8.0 equiv. of  $CH_3SO_3H$ , the protonated capsule toluene@ $\mathbf{2}_2 \cdot 8H^+$  shows a distinct hyperchromic effect with 1.7-fold enhancement of  $\varepsilon$  at  $\lambda_{max} = 440$  nm compared to that of capsule toluene@ $\mathbf{2}_2$  (yellow solution). As more acid was added up to 40.0 equiv., this band ( $\lambda_{max} = 440$  nm) shifted to 506 nm ( $\Delta\lambda = 66$  nm) with isosbestic point at 471 nm (red solution). Also a band at  $\lambda_{max} = 564$  nm (from quinoid tautomer F) increased gradually. This process can be reversed by addition of organic bases such as pyridine, triethylamine, and DBU. These phenomena indicate that the strong intermolecular hydrogen-bond-induced dipolar amide resonance of molecular capsule toluene@ $\mathbf{2}_2 \cdot 8H^+$  (Fig. 2(b)) becomes more predominant upon addition of acid. And the heavily extended conjugation explains the color change as well as hyperchromic effect.

In order to prove that the strong hydrogen bond-assisted dipolar amide resonance structure  $\bf D$  as shown Fig. 2 (b) is important for the bathochromic shift, acid titration experiments of cavitand  $\bf 2$  and model compound  $\bf 3$  were performed (Fig. 4). Cavitand  $\bf 2$  in 8% methanol/toluene cannot form molecular capsule (Fig. 6(c)) and neutral amide resonance  $\bf C$  is favorable in this condition (Fig. 2(c)). When  ${\rm CH_3SO_3H}$  was added to a solution of cavitand  $\bf 2$  in 8% methanol/toluene, no bathochromic shift was observed. Only slight equilibrium shift to quinoid tautomer  $\bf B$  was gradually observed as the acid concentration increased (Fig. 4(a)). Similar result was observed for model compound  $\bf 3$  in toluene (1.4 × 10<sup>-4</sup> M) (Fig. 4(b)).

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These tautomeric equilibrium shift is characteristic for N,N-disubstituted azobenzene dyes in acidic solution. <sup>6-8</sup>

These results prove that strong hydrogen bond-assisted dipolar amide group of molecular capsule toluene@ $\mathbf{2}_2 \cdot 8H^+$  (Fig. 2(b)) is the key to its chromogenic phenomena.



**Fig. 4** a) Changes in UV-Vis absorption spectra upon addition of  $CH_3SO_3H$ : a) cavitand **2** (4.7 × 10<sup>-6</sup> M in 8% methanol/toluene), b) model compound **3** (1.4 × 10<sup>-4</sup> M in toluene).

Fig. 5 shows the UV-Vis absorption shift of the protonated molecular capsule, toluene  $(2_2 \cdot 8H^+)$  by addition of methanol. As methanol increases, the band with  $\lambda_{max} = 506$  nm disappears and a new blue-shifted ( $\Delta\lambda = -72$ nm) absorption band with  $\lambda_{max} = 434$  nm appears with isosbestic point at 458 nm. This blue shift implies that the protonated molecular capsule, toluene  $(2_2 \cdot 8H^+)$  dissociates to cavitand  $(2 \cdot 4H^+)$  upon methanol addition, losing electrical conjugation. As a result, the red color of molecular capsule,  $(2 \cdot 8H^+)$  turned yellow.

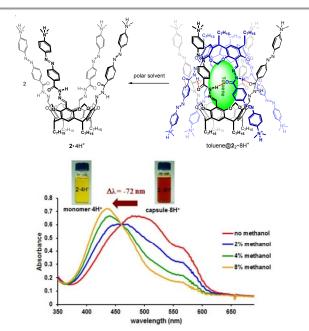
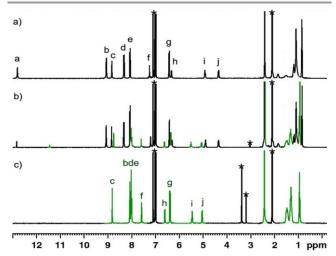


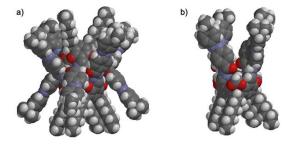
Fig. 5 Changes in UV-Vis absorption spectra of toluene@ $\mathbf{2}_2$ ·8H $^+$  (4.7  $\times$  10 $^-$ 6 M in toluene) upon addition of methanol.

The conversion from capsule to cavitand was also observed in  ${}^{1}\text{H NMR}$ .  ${}^{1}\text{H NMR}$  spectrum of molecular capsule  $\mathbf{2}_{2} \cdot 8\text{H}^{+}$  in toluene- $d_{8}$  shows sharp and highly symmetrical proton signals (Fig. 6(a)). The intermolecular hydrogen-bonding amide N-H protons of molecular capsule appear as a singlet at 12.74 ppm, and the signals of inner  $(H_{h})$  and outer  $(H_{j})$  protons of the dioxymethylene bridge and methine protons  $(H_{i})$  appear at 4.35, 6.40, and 4.88 ppm as a pair of doublets and a triplet, respectively. And the methyl protons of heptyl feet are observed at 0.84 ppm as a triplet. Adding CD<sub>3</sub>OD to

the this molecular capsule solution broke intermolecular amide N–H···O=C hydrogen bonds due to the competitive hydrogen-bonding ability of CD<sub>3</sub>OD, and the conversion from molecular capsule to cavitand can be observed by  $^1H$  NMR spectrum. The  $^1H$  NMR spectrum in the presence of 2% CD<sub>3</sub>OD (Fig. 6(b)) showed both signals of dimeric capsule  $\mathbf{2}_2 \cdot 8H^+$  (black) and dissociated cavitand  $\mathbf{2} \cdot 4H^+$  (green). Capsule  $\mathbf{2}_2 \cdot 8H^+$  and cavitand  $\mathbf{2} \cdot 4H^+$  exist as an equilibrium mixture in a 62:38 ratio, which is inferred from comparing  $^1H$  NMR integration ratios.



**Fig. 6** <sup>1</sup>H NMR spectra (400 MHz) in toluene- $d_8$  at 298 K of: a) toluene- $d_8$ @**2**<sub>2</sub>·8H<sup>\*</sup> b) after the addition of 2% CD<sub>3</sub>OD, c) after the addition of 8% CD<sub>3</sub>OD. [**2**<sub>2</sub>] = 5 mM. The signals of capsule **2**<sub>2</sub>·8H<sup>\*</sup> (**black**) and cavitand **2**·4H<sup>\*</sup> (**green**) are highlighted. The residual peaks of solvents are marked "\*".



**Fig. 7** Energy minimized structures (Semi-Empirical PM3 level, Spartan06 V112) of: a) capsule  $\mathbf{2}_2 \cdot 8 \mathbf{H}^{\dagger}$ , b) cavitand  $\mathbf{2} \cdot 4 \mathbf{H}^{\dagger}$ .

Broken intermolecular hydrogen-bonding of the dissociated cavitand  $2.4\text{H}^+$  caused an upfield shift ( $\Delta \delta = -0.93$  ppm) of the amide N-H protons and relatively fast deuterium exchange compared to those of dimeric capsules. Notably, the methine protons  $(H_i)$  are observed at 5.50 ppm, which shows that cavitand 2.4H<sup>+</sup> exists as more  $C_{4\nu}$ -symmetric vase conformer than dimeric capsule  $2_{2}\cdot 8\text{H}^{+.12}$ The energy-minimized structure of cavitand 2.4H<sup>+</sup> shows that it prefers a  $C_{4\nu}$ -symmetric vase conformer and four azobenzene moieties are arranged perpendicular to each other (Fig 7). When cavitand 2.4H<sup>+</sup> self-assembles to molecular capsule 2.8H<sup>+</sup>, two vase-shaped cavitands should partially open to kite-shaped cavitands to embrace each other, forming strong eight intermolecular hydrogen-bonds and resulting in a downfield shift ( $\Delta \delta = 0.62$  ppm) of  $H_i$  in cavitand  $2.4H^{+13}$  For the same reason, the signals of inner  $(H_h)$  and outer  $(H_i)$  protons of the dioxymethylene bridge in dissociated cavitand 2·4H<sup>+</sup> were shifted downfield by 0.32 and 0.71 ppm, respectively. The aromatic protons ( $H_{\rm b}$  and  $H_{\rm d}$ ) in the dissociated cavitand  $2.4\text{H}^+$  showed an upfield shift ( $\Delta\delta = -1.00$  and -0.28 ppm) because these protons are located inside the magnetic shielding zone of adjacent azobenzene units. Interestingly, the peaks of heptyl feet in dimeric capsules  $2_{2} \cdot 8H^{+}$  are shifted to upfield relative to those of cavitand 2.4H<sup>+</sup> due to the aromatic shielding effect of the long azobenzene pendants of a counter cavitand. The addition of > 8% CD<sub>3</sub>OD completely dissociated capsule  $2_{2} \cdot 8H^{+}$  to cavitand 2.4H<sup>+</sup> (Fig. 6(c)), and the peak of amide N-H disappeared due to the fast deuterium-exchange with CD<sub>3</sub>OD. These changes by methanol addition are consistent with UV-Vis experiment and the dissociation process can be observed visually via color change.

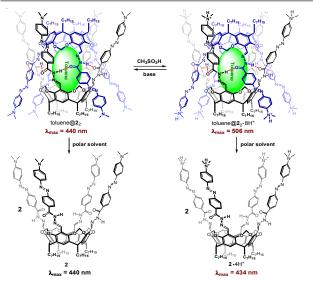


Fig. 8 The assembly and disassembly of a chromogenic molecular capsule.

In summary, a new chromogenic, self-assembled molecular capsule  $2_2$  based on amidoimino-cavitand containing four (N,Ndimethyl-4-aminophenyl)azobenzyl moieties is characterized. Naked-eye detection of assembly and disassembly of a molecular capsule by tuning the conjugation of amido group with (N,Ndimethyl-4-aminophenyl)azobenzyl group is expected to promote the research on chromogenic molecular capsules.

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#### Notes and references

Department of Chemistry, Soongsil University, Seoul 156-743, Korea. E-mail: kpaek@ssu.ac.kr; Fax: +82-2-822-2362; Tel: +82-2-820-0435 Electronic Supplementary Information (ESI) available: Synthetic procedures, characterization data for all the compounds, results of various NMR spectra, UV- and data. See DOI: 10.1039/c000000x/

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