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# SPION-Smac mimetic nano-conjugates: Putative pro-apoptotic agents in oncology

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#### ABSTRACT

Non-covalent (**NP-1/3**) and covalent (**NP-A-1/3**) pro-apoptotic SPION-Smac mimetic nano-conjugates antitumor agents are reported. The solution synthesis of key Smac mimetics, their support onto SPIONs through non-covalent adsorption (**NP-1/3**) or APTES-mediated covalent binding (**NP-A-1/3**), the analytical characterization of SPION-Smac mimetic conjugates, their target affinity in cell-free assays, and their cytotoxicity against tumor cells are thoroughly described.

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Nanoparticles are popular tools in cancer detection and treatment, due to major advancements in nanosynthesis, bioengineering and imaging technology.<sup>1</sup> Super Paramagnetic Iron Oxide Nanoparticles (SPIONs) are particularly attractive as diagnostics (e.g. biomarker-targeted magnetic resonance imaging/MRI contrast agents) and therapeutics (e.g. magnetic nanoparticleenhanced hyperthermia).<sup>2</sup> Their large surface areas to conjugate targeting ligands and load therapeutic agents,<sup>3</sup> their unique magnetic properties,<sup>4</sup> their biocompatibility<sup>5</sup> and low toxicity<sup>6</sup> make them suitable technology platforms in oncology.

Anti-apoptotic Inhibition of Apoptosis Proteins (IAPs)<sup>7</sup> bind Cysteine ASPartic acid-specific proteASEs (caspases, CASP),<sup>8</sup> the major apoptotic effectors, through their baculovirus inhibitor repeat (BIR) domains. CASP-IAP interactions inactivate caspases and block apoptosis. The endogenous Smac protein<sup>9</sup> (Second Mitochondria-derived Activator of Caspases) binds to IAPs through its N-terminus AVPI sequence. The tetrapeptide binds to BIR3, the CASP-9 binding domain of IAPs, and prevents linker-BIR2-CASP-3/7 interactions through a weaker interaction.<sup>10</sup> Thus, Smac is a pro-apoptotic IAP ligand that restores caspase-dependent apoptosis in cancer cells. We introduced 4-substituted, aza-bicyclo [5.3.0] decane (ABD)based *N*-AVPI mimetics as potent, pro-apoptotic cytotoxic agents (see Fig. 1 for ABD structure and numbering). Monomers such as **1** (Smac136, Fig. 1) are potent, orally available BIR3 binders with moderate cytotoxicity.<sup>11</sup> Dimers such as **2** (Smac83, Fig. 1) bind IAPs on both BIR domains, resulting in a stronger cytotoxic activity, but possess a sub-optimal PK profile and cannot be administered orally.<sup>12</sup>

We reasoned that SPION-Smac mimetic nano-conjugates may take advantage of nanoparticle-specific access to cytosolic compartments (e.g. receptor-mediated endocytosis, macropinocytosis).<sup>13</sup> The spatial arrangement of monomeric Smac mimetics on the surface of SPIONs may allow, or even promote, the binding of two SPION-grafted Smac mimetics to a single IAP molecule (dimer-like binding). Finally, a single SPION-Smac mimetic nano-conjugate may bind several IAPs (multi-presentation mode). Thus, we decided to synthesize and biologically characterize a small library of SPION-Smac mimetic nano-conjugates.

4-CH<sub>2</sub>OR and 4-CH<sub>2</sub>NR<sub>1</sub>R<sub>2</sub>-substituted ABD Smac mimetics possess strong BIR/IAP affinity.<sup>11</sup> *N*-Boc-protected hydroxyacid **4** and *N*-Boc-protected aminoamide **5** were prepared from the tricyclic intermediate **3**<sup>14</sup> as previously reported<sup>11a</sup> (Scheme 1), although several reaction conditions were optimized. 4-Connected Smac-linker carboxylate constructs (**6**, ester connection, and **7**, amide connection) were obtained in good yields respectively from compounds **4** and **5** (Scheme 1).







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Figure 1. Monomeric and dimeric Smac mimetics.



a) Ph<sub>2</sub>CHNH<sub>2</sub>.HCl, EDC.HCl, HOBt, DIPEA, dry CH<sub>2</sub>Cl<sub>2</sub>, N<sub>2</sub>, rt, 24hrs, 51%; b) succinic anhydride, cat. DMAP, DIPEA, dry CH<sub>2</sub>Cl<sub>2</sub>, N<sub>2</sub>, rt, 20hrs, 85%; c) neat HCOOH, rt, 3hrs, 75%; d) as in a), 53%; e) as in c), quantitative.





a) (R)-PheGlyOMe, EDC.HCI, HOBt, DIPEA, dry  $CH_2CI_2$ ,  $N_2$ , rt, 24hrs, 48%; b) 3N HCI in MeOH, rt, 20hrs, 95%; c) LiOH, H2O/1,4-dioxane, rt, 3hrs, then 2N aq. HCI, quantitative.

Scheme 2. Synthesis of C terminus-connected Smac-linker carboxylate construct 8.

BIR3 affinity is also preserved when the C terminus diphenylmethyl amide of Smac mimetic **1** is replaced by an (R)-phenylglycine amide. N-protected hydroxyacid **4** was used to prepare in good yields the C terminus-connected Smac-linker carboxylate construct **8** (Scheme 2). Smac-linker constructs **6–8** and all previously unreported intermediates were analytically and spectroscopically characterized (LC–MS, <sup>1</sup>H and <sup>13</sup>C NMR).

The carboxy group of Smac-linker constructs **6–8** is used to non-covalently adsorb the compounds onto surface-exposed OH



a) Naked SPIONs, H<sub>2</sub>O, sonication, 60°C, 4hrs, loading 0.272 mmol/g<sub>SPION</sub> (NP-1), 0.485 mmol/g<sub>SPION</sub> (NP-2);
 b) Naked SPIONs, dry toluene, sonication, 60°C, 4hrs, loading 0.168 mmol/g<sub>SPION</sub>.





a) Naked SPIONs, dry toluene, N<sub>2</sub>, sonication, 60°C, 4hrs, loading 0.836 mmol/g<sub>SPION</sub>; b) NP-A, EDC.HCl, dry CH<sub>3</sub> CN, N<sub>2</sub>, sonication, 60°C, 4hrs, loading 0.167 mmol/g<sub>SPION</sub>(NP-A-1), 0.308 mmol/g<sub>SPION</sub>(NP-A-2), 0.718 mmol/g<sub>SPION</sub>(NP-A-3).

Scheme 4. Synthesis of covalent SPION-Smac mimetic nano-conjugates NP-A-1/3.

groups of commercial SPIONs. Non-covalent nano-conjugates **NP-1/3** are obtained with moderate to good Smac mimetic loadings (Scheme 3), as judged by elemental analysis.

Commercially available- maghemite  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> SPIONs may be reacted with 3-aminopropyl triethoxysilane (APTES) to give the high loading SPION-APTES-NH<sub>2</sub> construct **NP-A** (Scheme 4). **NP-A** is then covalently coupled with the carboxy group of Smac-linker constructs **6–8**. Covalent nano-conjugates **NP-A-1/3** are obtained with moderate to good Smac loadings (Scheme 4) in unoptimized reaction conditions.



Figure 2. Reference Smac mimetics.

Non-covalent and covalent SPION-Smac mimetic nano-conjugates **NP-1/3** and **NP-A-1/3** were analytically and spectroscopically characterized (FT-IR, elemental analysis). Single reaction runs for each nanoconjugate often lead to good loadings (0.272–0.718 mmol/g<sub>SPION</sub>; **NP-1**, **NP-2**, **NP-A-2**, **NP-A-3**). Observed moderate loadings (<0.200 mmol/g<sub>SPION</sub>) could be improved by repeating the synthetic procedure for **NP-3** and **NP-A-1**.

The affinity of the six SPION-Smac mimetic nano-conjugates for mono-functional (BIR3 domains from X-linked IAP/XIAP, and from cellular IAPs/cIAP1 and cIAP2) and bi-functional IAP constructs (linker-BIR2-BIR3 multi-domain region from XIAP) was measured in a *cell-free* assay format. Standard reference compounds 4-acet-oxymethyl/9, 4-acetamidomethyl/10 and C terminus (*R*)-phenyl-glycinamide methyl ester/11 (Fig. 2) were prepared in solution as previously described.<sup>11a</sup> They were biologically tested as soluble analogues respectively of non covalent/covalent SPION-Smac mimetic nano-conjugate pairs NP-1/A-1 (9), NP-2/A-2 (10) and NP-3/A-3 (11).

The  $IC_{50}$  values determined for ABD-based Smac mimetics **9–11** and SPION-Smac mimetic non-covalent (**NP-1/3**) and covalent (**NP-A-1/3**) nano-conjugates are listed in Table 1.

SPION-Smac mimetic nano-conjugates generally maintain the nanomolar binding affinity of reference compounds **9–11** for single BIR3 domains from IAPs. Both non-covalent adsorption and covalent drafting of Smac mimetics onto SPIONs are compatible with IAP binding (Table 1). For example, the non-covalent conjugate **NP-1** and the reference standard **9** show similar binding strength in presence of BIR3 from XIAP and L-BIR2-BIR3 from XIAP; and the same behavior is shown by covalent conjugate **NP-A-3** and reference standard **11** (Table 1). Loading may influence affinity, as **NP-3** and **NP-A-1** (loading <0.2 mmol/g<sub>SPION</sub>) show extremely reduced affinity for IAP proteins. Unfortunately, there is no evidence of a dimer-like binding/increase of affinity (expected IC<sub>50</sub> < 10 nM for dimer-like compounds) for any nano-conjugate against the bifunctional L-BIR2-BIR3 construct from XIAP.

The cytotoxicity of cell free-active **NP-1/2** and **NP-A-2/3** nano-conjugates was tested on three human tumor cell lines (breast cancer, MDA-MB-231, ovarian carcinoma, IGROV-1, cervical

Table	1
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binding uninity on birt domains, reso (invi	Binding	affinity	on	BIR	domains,	IC <sub>50</sub>	(nM)
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Compd	BIR3 XIAP	BIR3 cIAP1	BIR3 cIAP2	L-BIR2-3 XIAP
9	400	NT <sup>a</sup>	NT	320
NP-1	360	38	78	300
NP-A-1	>2000	>2000	>2000	>2000
10	330	NT	NT	190
NP-2	560	150	62	240
NP-A-2	230	38	NT	120
11	760	160	180	190
NP-3	>2000	1400	>2000	410
NP-A-3	580	270	180	410

<sup>a</sup> Not tested.

cancer, HeLa cells). The nano-conjugates were generally inactive against tumor cells, with slight signs of cytotoxicity at the highest concentrations ( $\approx 25 \ \mu$ M). **NP-1/2** and **NP-A-2/3** show monomerlike cell free binding to IAP constructs, and reference monomers **9–11** show moderate cytotoxicity (IC<sub>50</sub> between 10 and 25  $\mu$ M against MDA-MB-231 cells). Thus, the weak cytotoxicity of nano-conjugates is likely due to a surprising lack of multimeric behavior that prevents their expected, dimer-like interaction with multiple bifunctional L-BIR2-BIR3 construct from XIAP.

In summary, we report here the synthesis, the spectroscopical and biological characterization of non-covalent (**NP-1/3**) and covalent (**NP-A-1/3**) SPION-Smac mimetic nano-conjugates as IAP-targeted pro-apoptotic agents. SPION-Smac mimetic nanoconjugates behave as their soluble monomer analogues, retaining monomer-like cell free binding affinity for IAP targets, while being almost inactive in cellular assays. Further efforts will aim to achieve cellular and in vivo activity for SPION-Smac mimetic nano-conjugates in suitable cellular apoptosis/oncology models. Namely, we will vary the connection between Smac mimetics and SPIONs (chemical bond, linker/spacer length, hydro/lipophilicity, etc.), and we will decorate SPIONs with more potent monomeric and dimeric Smac mimetics.

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### Supplementary data

Supplementary data (experimental procedures for the synthesis in solution of Smac mimetics **6–8** and **11**. LC–MS and NMR characterization of Smac mimetics **6–8** and **11**. Experimental procedures for the preparation of non-covalent (**NP-1/3**) and covalent (**NP-A-1/ 3**) SPION-Smac mimetic nano-conjugates. Elemental analysis and FT-IR of **NP-1/3** and **NP-A-1/3**. Experimental procedures for cellfree testing/binding affinity determination of soluble Smac mimetics and SPION-Smac mimetic nano-conjugates in presence of BIR domains/constructs from IAPs. Experimental procedures for cellular cytotoxicity testing of SPION-Smac mimetic nano-conjugates against human tumor cell lines) associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/ j.bmcl.2014.03.048.

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