

### Stereochemical Assignments

Due to the presence a  $sp^3$  hybridized N atom in saturated 19-nor-10-azasteroids, one of the problems encountered in assigning their relative stereochemistry is the determination of the type (i.e. cis or trans) of fusion between rings A and B. In benzo[c]quinolizin-3-ones **1-18**, lacking the conjugation with the 3-oxo group through a double bond in the A ring, the bridgehead N atom should share its lone pair with the aromatic ring, thus changing its hybridization toward  $sp^2$ . As a consequence, in these compounds only the relative stereochemistry of the substituents on the A and B ring to the 4a-H hydrogen atom must be determined. Semi-empirical AM1 studies on model compound **1** confirmed our initial assumption, since in the global minimum conformer the nitrogen atom has an intermediate hybridization between  $sp^2$  and  $sp^3$  with bond angle values of 111.4, 117.0, and 117.7°. In this conformer, the A ring is in a chair-like conformation (Figure 1). The  $^1\text{H}$  NMR spectrum of compound **1**, after the unambiguous attribution of all signals by HETCOR, and COSY experiments, is consistent with the results of the calculations. In particular, concerning the A ring, two sets of signals at 4.22 and 3.17 ppm are attributable to the protons on C-1 while the multiplet at 3.48 ppm is assignable to the bridgehead 4a-H. The signal at 4.22 ppm is a ddd, with  $J = 13.6, 6.2,$  and  $3.3$  Hz. The occurrence of only one large (13.6 Hz) geminal coupling constant with the other proton on C-1, and two small vicinal ones (6.2 and 3.3 Hz) with the protons on C-2, can be accounted for by assuming an equatorial position for the proton resonating at 4.22 ppm ( $1\text{-H}_{eq}$ ). The other proton on C-1 ( $1\text{-H}_{ax}$ ) must be axially orientated since, besides the large geminal coupling (13.6 Hz), its signal is further split by another large constant (11.4 Hz) attributable to a diaxial coupling with a proton on C-2. The last  $J$  is small (3.7 Hz) corresponding to an axial-equatorial type coupling. The values of  $J$  measured for the protons on the A ring are consistent with the predicted chair-like conformation for the A ring and the calculated coupling constant for the global minimum conformer resulted very close to those experimentally found. In a possible boat-like conformation, apart from the geminal coupling, both protons on C-1 would presents small coupling constants with the protons on C-2 due to the axial-equatorial or equatorial-equatorial relationship. The NOESY cross-peak between 4a-H and  $1\text{-H}_{ax}$  further confirm the axial orientation of both protons.

The equatorial or axial orientation of the substituents (methyl groups) on the A and B rings in saturated compounds **4-18** could be assigned by the coupling constant values in the  $^1\text{H}$  NMR spectrum. However, this is not always possible due to the complexity of the spectra of the saturated compounds.

Instead, the stereochemistry may be easily assigned in the corresponding  $\Delta^{1,2}$  unsaturated compounds (Figure 1), wherein the relative orientation of the 4- and 5-methyl groups to the proton on 4a does not change after the oxidation. The oxidation to the corresponding  $\Delta^{1,2}$  compounds causes in fact deshielding of the proton on C-4a therefore making the determination of the coupling constants of this proton with the other vicinal one much easier. For the diastereomeric pairs 4-5, 6-7, and 8-9, the relative stereochemistry generated by the introduction of a methyl group on C-4 is not attributable through the analysis of the coupling constants between the proton on C-4 and C-4a but on the basis of some considerations concerning the chemical shifts of the protons. In 4 $\alpha$ -Me isomers 4, 6, and 8 the equatorial methyl group causes a noticeably shielding of axial 4a-H that resonates at about 3.1 ppm (this proton resonates at  $\sim$  3.5 ppm in 1-3). The same effect of shielding of an equatorial methyl group on 4a-H is observed in all 5 $\alpha$ -Me derivatives (see later). Moreover, in compounds 5, 7 and 9, the axial 5 $\beta$  methyl group is on the same side of the residual N lone pair and resonates at lower fields ( $\sim$  1.2 against  $\sim$  1.0 ppm) than in their equatorially substituted isomers. This attribution was confirmed after oxidation of the saturated compounds 4-5 and 6-7 to the corresponding  $\Delta^{1,2}$  pairs 22-23 and 24-25: the 4a-H proton resonates at  $\sim$  3.63 ppm in 22 and 24 as a ddd with two large ( $\sim$  12.5 Hz) and one small ( $\sim$  4.5 Hz) coupling constants, whereas in 23 and 25 4a-H is a ddd at  $\sim$  4 ppm with two coupling constant values lower than 5 Hz and one large (about 12 Hz). Being 4a-H axially orientated, these data can be accounted for only by assuming that the methyl group in 22 and 24 is equatorial (and axial in 23 and 25). Moreover, in compounds 23 the proton on C-4 presents a small allylic coupling (1.1 Hz) with the proton on C-2, which is possible only if 4-H is in the plane of the C-1—C-2 double bond, i.e. equatorial. In the case of compound 26, deriving from the oxidation of 9, the  $\beta$  axial orientation for the 4-methyl group can be assigned in a similar fashion, since 4a-H is a multiplet at 4.03 ppm with two  $J$  values lower than 5 Hz and one  $\sim$  13 Hz. Moreover, 2-H is a doublet of doublets because of the allylic coupling with the equatorial 4-H proton. Interestingly, the equatorial methyl group in 22 and 24 is more deshielded by 0.1-0.2 ppm compared to the methyl in 23 and 25, perhaps due to the fact that it lies on the plane of the molecule.

The assignment of the exact stereochemistry in 1-methyl substituted compounds is of secondary importance, since 13 and 14 are obtained as an inseparable mixture then oxidized to final compounds 30 and 44 which do not present any relative stereochemistry between the methyl group and 4a-H.

In 5-methyl substituted benzo[c]quinolizin-3-one 27, the coupling constant value (10.9 Hz) of 4a-H (at 3.61 ppm) with 6-H is consistent with the equatorial  $\alpha$  stereochemistry of the methyl group. The

same stereochemistry can be thus attributed to corresponding saturated compound **10**, in the spectrum of which it is also appreciable the shielding effect of the equatorial  $5\alpha$ -Me on 4a-H. In the corresponding  $5\beta$  isomer (from the crude reaction mixture) 4a-H resonates at 4.07 ppm due to the deshielding effect of the axial  $5\beta$  methyl group and presents a low coupling constant (4.0 Hz) with 6-H consistent with the axial orientation of the methyl.

The stereochemistry of 6-methyl derivatives has been assigned on  $\Delta^{1,2}$  unsaturated compounds **28-29** by the usual analysis of the coupling constants of 6-H (resonating at 3.0 ppm) with the two vicinal protons: two small  $J$  values ( $< 4$ -5 Hz) are consistent with the equatorial position of this proton, i.e. the  $\alpha$  axial orientation of the methyl group. The same  $6\alpha$ -Me stereochemistry must therefore be assigned to the corresponding saturated compounds **11** and **12**.

The relative stereochemistry to the 4,5-dimethyl and 4,6-dimethyl derivatives has been once again assigned only on  $\Delta^{1,2}$  unsaturated compounds on the basis of the coupling constant values. In 4,5-dimethyl derivative **31** the proton on C-4a resonates at 3.15 ppm as a doublet of doublets and has two large  $J$  values (8.4 and 8.5 Hz) with the axial vicinal protons on C-4 and C-6, consistent with the  $\alpha$  equatorial position of both the methyl groups on C-4 and C-5. In its epimer **32** proton 4a-H resonates at 3.64 ppm as a doublet of doublets with one large diaxial coupling constant with the proton on C-6 (11.4 Hz) and one small (3.0 Hz) with the equatorial proton on C-4. Thus, in **32**, the methyl on C-4 is  $\beta$  axially orientated. Consistent with this attribution, the  $\alpha$  equatorial 4-methyl group in **31** is more deshielded and resonates at 1.29 ppm, while the  $\beta$  axial one in **32** at 1.15 ppm, being out of the plane of the molecule. On the other hand, since its stereochemistry does not change, the methyl group on C-5 resonates at 1.00 ppm in both compounds. In the case of saturated compound **15** the assignment of relative  $4\alpha,5\alpha$  stereochemistry was possible also on the saturated compound: the combined shielding effect of the two equatorial methyl groups cause a strong shielding of 4a-H to  $\sim 2.50$  ppm. Moreover, both the methyl groups resonate at  $\sim 1.0$  ppm, being on the opposite site of the N lone pair, whereas in compound **16** the  $4\beta$  methyl group resonates at 1.10 ppm.

In 4,6-dimethyl derivatives **33** and **34**, the  $\alpha$  axial position of the methyl group at position 6 has been assigned based on the coupling constant of 6-H with the vicinal protons on C-5. The occurrence of no large coupling constants with any of the protons on C-5 ( $J$  values of 6.2-6.9 Hz with the methyl group, all the other lower than 4 Hz) appears consistent with the above attribution and, again, with the preferred anti approach of the diene to the methyl group at position 5 or 6. Moreover 6-H resonates at 3.0 ppm and the geminal methyl group at 1.20-1.30 ppm, as in compounds **28** and **29** which present the

same stereochemistry concerning the B ring. Finally, the  $\alpha$  equatorial orientation of the 4-methyl group in both the products is assigned on the basis of the large  $J$  value between 4-H with 4a-H (16.8 Hz) which are in a trans diaxial relationship.

Figure 1

