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# Article

# Photocatalytic Cleavage of Aryl Ether in Modified Lignin to Non-phenolic Aromatics

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**ABSTRACT:** Depolymerization of lignin meets the difficulty in cleaving robust aryl ether bond. Herein through installing an internal nucleophile in  $\beta$ -O-4' linkage, the selective cleavage of aryl ether was realized by the intramolecular substitution on aryl rings affording non-phenolic arylamine products. In particular, nitrogen-modified lignin models and lignin samples were employed to generate the iminyl radical under photocatalytic reduction, which acted as the internal nucleophile inducing aryl migration from O to N atom. The following hydrolysis released primary arylamines and  $\alpha$ -hydroxy ketones. Mechanism studies including electron spin resonance (ESR), fluorescence quenching experiments and density functional theory (DFT) calculations proved the aryl migration pathway. This method enables access to non-phenolic arylamine products from lignin conversion.

KEYWORDS: lignin • photocatalytic • aryl ether cleavage • amination • aryl migration

### **INTRODUCTION**

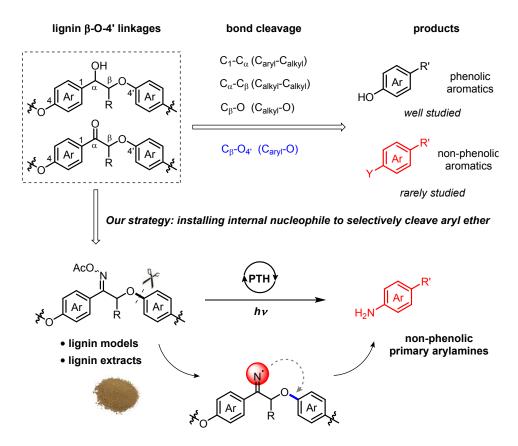
Lignin, the richest resource of aromatic polymers in nature, has a great potential to produce various aromatic compounds via sustainable pathways.<sup>1-7</sup> Phenolic units including syringyl (S), guaiacyl (G) and p-hydroxy phenyl (H) units are connected by many types of linkages, in which the  $\beta$ -O-4' linkage is the richest and its cleavage is the key to depolymerize lignin.<sup>8-11</sup> Hydrogenolysis using internal hydrogen donors<sup>12-14</sup> or external hydrogen donors<sup>15-26</sup> and hydrolysis methods<sup>27-30</sup> have been developed to cleave the aliphatic ether bond ( $C_{\beta}$ –O) affording the alkyl-substituted phenols, aromatic ketones, etc. Aerobic oxidation,<sup>31-40</sup> retro-aldol reaction,<sup>41</sup> decarbonylation<sup>42</sup> and hydrogenation<sup>43</sup> methods have been performed to cleave the aliphatic C-C bond and afford the aromatic acids, aldehydes, etc. Using sodium azide,<sup>44</sup> oxidation<sup>31</sup> and rearrangement reactions<sup>45</sup> could induce the cleavage of  $C_{arvl}$ - $C_{\alpha}$  bond. Despite their versatility, most of these transformations did not involve the cleavage of aryl ether bond  $(C_4, -O)$  thus the depolymerized products were limited to phenolic monomers. Obtaining the non-phenolic aromatics will extend the application of lignin conversion,<sup>46,47</sup> however, selective activation of desired aryl ether is retarded by its high bond energy and co-existed aliphatic ethers.<sup>48</sup>

Recently the cation radical-accelerated nucleophilic aromatic substitution was established to cleave the aryl ether bond, in which single electron oxidation activated the arene ring and imidazole as the external nucleophile finished the substitution of methoxy- and benzyloxy-groups.<sup>49</sup> Despite its feasibility partly shown by the cleaving aryl ether in lignin  $\beta$ -O-4' ketone models, complicated chemical environment

neighboring  $\beta$ -O-4' linkage may affect its application in lignin samples. In contrast, one alternative method using an internal nucleophile in  $\beta$ -O-4' linkage may overcome the effect of chemical environment via kinetically-favorable intramolecular reaction.<sup>50</sup> Pre-modification of lignin has emerged as a strategy to deliver functionalized monomers and polymers,<sup>51-53</sup> and we considered that the precursor of internal nucleophile could be constructed during modification.

Herein we developed the internal nucleophile to selectively cleave aryl ether in lignin based on nitrogen-modification (Scheme 1). The lignin  $\beta$ -O-4' linkage was modified from an alcohol structure to an acyl oxime structure, namely  $\alpha$ -oximated  $\beta$ -aryl ether, from which the iminyl radical was generated under photocatalytic conditions and participated in intramolecular amination of aryl ether to deliver non-phenolic primary arylamines. Aliphatic C–O bond kept stable and the  $\alpha$ -hydroxy ketones were obtained as another part of products. Both lignin models and preliminary modified lignin conversion exhibited the feasibility of this method in non-phenolic arylamines generation from lignin.

Otherwise, nitrogen-containing reagents have been utilized to promote the cleavage of lignin linkages where nitrogen-containing reagents could act as the strong nucleophile to attack the inert C–O bonds or C–C bonds.<sup>44,46,47,54</sup> Meanwhile, the generated nitrogen-containing products are useful chemicals such as aniline, which is the key chemical for the manufacture of polyurethane, etc.<sup>26,55</sup> In this work, primary arylamines instead of phenolic products were obtained via amination of aryl ethers and these products could be readily derived to other nitrogen-containing compounds, thus

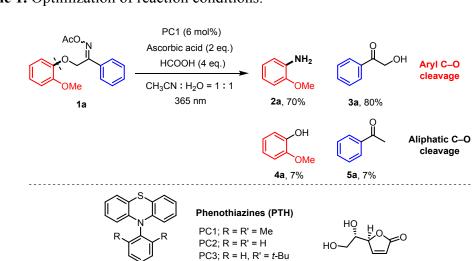


Scheme 1. Cleavage of different bonds in lignin  $\beta$ -O-4' linkage and the installing internal nucleophile strategy to cleave aryl ether bond.

this work may connect lignin conversion with production of abundant nitrogencontaining chemicals.

### **RESULTS AND DISCUSSION**

The  $\alpha$ -oximated  $\beta$ -aryl ether models which were prepared via oximation and acetylation of  $\beta$ -O-4' ketone models could be used to generate the iminyl radical under photocatalytic reduction. In previous reports the iminyl radical could act as a versatile intermediate to trigger useful transformations.<sup>56</sup> In this case, we explored the iminyl radical to induce the aryl migration from oxygen to nitrogen atom which cleaved the aryl ether bond and constructed aryl C–N bond, and following hydrolysis afforded primary arylamine and  $\alpha$ -hydroxy ketone. It was found that phenothiazine (PTH) used as the catalyst in previous photocatalytic reduction ( $E_{red}$  (PTH<sup>++</sup>/PTH<sup>\*</sup>) near -2.1 V vs SCE)<sup>57-60</sup> exhibited good results in the reductive cleavage of N–O bond for the generation of iminyl radical from acetyl oxime **1a**. The highest yields of primary arylamine **2a** (70%) and 2-hydroxyacetophenone **3a** (80%) were obtained from aryl ether cleavage when using PTH PC1 as the photocatalyst, ascorbic acid (HA) as the **Table 1.** Optimization of reaction conditions.



 $PC4; R = H, R' = CF_3$ 

Ascorbic acid

|       | Deviation from above   | Yields from aryl C–O<br>cleavage, % |            | Yields from aliphatic<br>C-O cleavage, % |    |
|-------|--|-------------------------------------|------------|--|----|
| Entry |  |                                     |            |  |    |
|       |  | 2a                                  | <b>3</b> a | <b>4</b> a                               | 5a |
| 1     | CH <sub>3</sub> CN/H <sub>2</sub> O (4/1) as solvent                   | 67                                  | 40         | 9  | 11 |
| 2     | CH <sub>3</sub> CN as solvent  | 5                                   | 0          | 13                                       | 1  |
| 3     | Without ascorbic acid  | 10                                  | 23         | 0  | 1  |
| 4     | Na <sub>2</sub> S <sub>2</sub> O <sub>3</sub> instead of ascorbic acid | 46                                  | 61         | 4  | 1  |
| 5     | Without HCOOH  | 66                                  | 77         | 12                                       | 8  |
| 6     | NaH <sub>2</sub> PO <sub>4</sub> instead of HCOOH                      | 71                                  | 77         | 12                                       | 10 |
| 7     | PC2 instead of PC1   | 64                                  | 65         | 14                                       | 15 |
| 8     | PC3 instead of PC1   | 66                                  | 77         | 7  | 7  |
| 9     | PC4 instead of PC1   | 40                                  | 54         | 4  | 4  |
| 10    | Without PC1  | 28                                  | 33         | 4  | 4  |
| 11    | Dark reaction  | 0                                   | 0          | 0  | 0  |

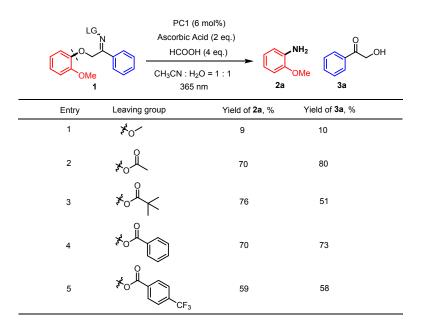
Conditions: substrate (0.05 mmol), solvent (1 mL), argon atmosphere, room temperature, 4 h. The yields were determined by HPLC with 4'-methylacetophenone as the internal standard.

reductant, formic acid, and a mixture of acetonitrile and water (1:1, v/v) as the solvent (Table 1). Only 7% yields of guaiacol and acetophenone, which were main products in previously reported photo-reduction of  $\beta$ -O-4' ketones, were generated from aliphatic C–O bond cleavage.<sup>61-63</sup> Substitution of adjacent methoxyl on phenolic motif was not observed under the optimal conditions, indicating the good selectivity of desired aryl ether cleavage.

Then control experiments were performed to identify the roles of each additive during this reaction. The formation of 2a and 3a were diminished or inhibited with decrease of water content, which may be ascribed to insufficient hydrolysis of the possible imine intermediate (Table 1, entries1 and 2). Further solvent screening indicated that  $CH_3CN/H_2O(1/1, v/v)$  was the better solvent than other solvents in this system (Supporting Information, Table S1). The desired products were produced in low yields in the absence of ascorbic acid (10% and 23%, 2a and 3a, respectively; entry 3). Replacing ascorbic acid with sodium thiosulfate retained the products moderately (entry 4), indicating the ascorbic acid could act as the reductant to participate in the oxidative cycling step of PTH catalyst.<sup>64</sup> The addition of formic acid was proposed to promote the hydrolysis of imine intermediate to release arylamine and ketone products. Without formic acid the yields of desired products slightly decreased (entry 5) and it could be replaced by sodium dihydrogen phosphate (entry 6). Other phenothiazines could also act as the efficient photocatalyst (entries 7-9), among which the catalysts bearing electron-donating groups (Me, t-Bu) behaved better than the catalyst bearing electron-withdrawing group (CF<sub>3</sub>). Less overlapping between the UV-Vis absorption

spectrum of PTH PC4 with the emission of selected lamp than that of PC1, PC2 and PC3 indicated PC4 might undergo a worse photo excitation under this irradiation condition (Supporting Information, Figure S1).<sup>59</sup> The reaction in the absence of photocatalyst delivered 28-33% yields of desired products which could be rationalized as a pure photoreaction since the substrate itself absorbed the LED irradiation (entry 10). No products were obtained under dark condition confirming this transformation to be photo-triggered (entry 11).

The effect of leaving group (LG) including ether and esters in oxime derivatives on this transformation was studied. Poor yields (9% and 10%, **2a** and **3a**, respectively; Table 2, entry 1) of desired products were obtained using methoxyl group as LG indicating methoxyl group was an inferior LG. Simple acetate (**2a**, 70%), pivalate (**2a**, **Table 2.** Investigation of leaving groups.



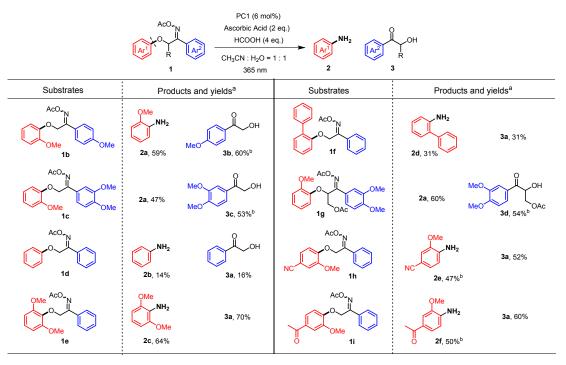
Conditions: substrate (0.05 mmol), solvent (1 mL), argon atmosphere, room temperature, 4 h. The yields were determined by HPLC with 4'-methylacetophenone as the internal standard. LG, leaving group.

### ACS Catalysis

76%) and benzoate (**2a**, 70%) behaved better than 4-(trifluoromethyl) benzoate (**2a**, 59%) even though electron-deficient benzoate exhibited the unique validity in previous Iridium photocatalytic systems,<sup>65</sup> which could be explained by the more negative reduction potential of excited PTH catalyst.<sup>58</sup>

After confirmation of optimal conditions, diverse  $\alpha$ -oximated  $\beta$ -aryl ethers based on lignin models were investigated (Table 3). Methoxyl group on the benzene ring Ar<sup>2</sup> adjacent to oxime (**1b** and **1c**) showed a minor effect on the aryl migration. It is well accepted that  $\sigma$ -type unpaired electron of iminyl radical could not be delocalized into  $\pi$ -systems of aryl iminyl.<sup>66</sup> Therefore limited effect from substituents on benzene ring Ar<sup>2</sup> will be shown on the property of iminyl radical. However, substituents on migrated benzene ring Ar<sup>1</sup> obviously affected the intramolecular amination. Substrate **1d** delivered **2b** in poor yield (14%), where less steric phenolic motif might be not favorable to formation of spiro intermediate. In contrast, dimethoxy substituted Ar<sup>1</sup> (**1e**) proceeded the amination smoothly (**2c**, 64% yield). Model **1f** containing an *ortho*phenyl on Ar<sup>1</sup> was a modest substrate compared to **1a**. Then complex model **1g** was also tested. Gratifyingly, selective cleavage of aryl ether bond proceeded over cleavages of aliphatic ether and ester to deliver 54% yield of **3d** and 60% yield of **2a**.

Model **1h** and **1i** were prepared to mimic the possible fragments during modified lignin conversion. 47-50% yields of corresponding primary arylamines were afforded indicating the conjugated structure induced by carbonyl or cyano group did not affect the migration of  $Ar^1$  motif. Product **2f** is of particular note, as the ketone carbonyl group of **2f** would not be tolerated under the reductive amination conditions.<sup>67,68</sup> During the

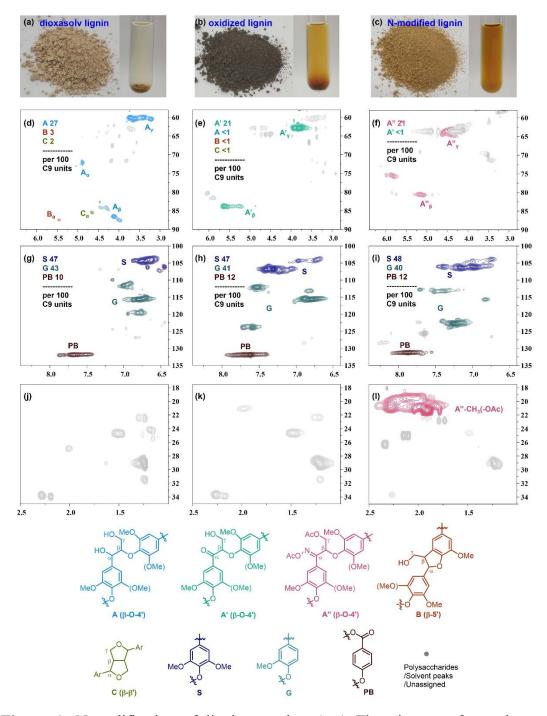


# Table 3. Amination of lignin models.

<sup>a</sup> HPLC yields on 0.05 mmol scale. <sup>b</sup> Isolated yields on 0.3 mmol scale.

amination reaction,  $\alpha$ -hydroxy ketones were generated in similar yields with primary arylamines.

After the test of lignin models, we explored the application of this amination method in modified lignin. The modified poplar lignin was prepared via pre-oxidation, oximation and acylation based on dioxasolv poplar lignin (Supporting Information). Among these steps, the oxidation step was carried out using the stoichiometric 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ),<sup>69</sup> which could provide the consecutive oxidized  $\beta$ -O-4' motif avoiding the possible disconnected oxidized lignin species in other oxidation systems.<sup>35</sup> The modified lignin exhibited a better solubility in acetonitrile than dioxasolv lignin and oxidized lignin (Figure 1, a-c). Previous studies indicated during the acylation step all hydroxyl groups including aliphatic and phenolic hydroxyls could be acetylated leading to decreased exposed-hydroxyl groups and thus

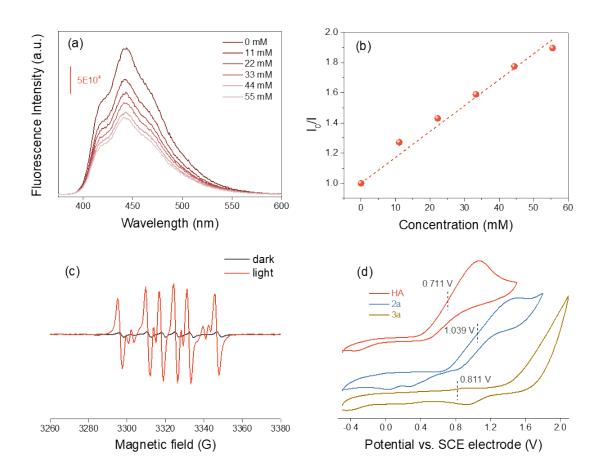


**Figure 1.** N-modification of lignin samples. (a-c) The pictures of samples and corresponding CH<sub>3</sub>CN solutions from dioxasolv lignin, pre-oxidized lignin and modified lignin, respectively. (d-l) 2D NMR spectra of lignin samples in DMSO-d<sub>6</sub>. (d, g and i) from dioxasolv lignin. (e, h and k) from pre-oxidized lignin. (f, i, and l) from N-modified lignin.

acylated lignin showed a good solubility in most organic solvents.<sup>70</sup>

Then qualitative and semi-quantitative analysis was conducted on lignin samples by 2D <sup>13</sup>C-<sup>1</sup>H HSQC NMR, where the peaks were assigned based on the lignin model (Supporting Information, Figure S2) and previous literatures.<sup>54,69,71,72</sup> The lignin sidechain regions indicated that  $\beta$ -carbon and  $\gamma$ -carbon in  $\beta$ -O-4' linkage were stepwise shifted after oxidation and acylation steps (Figure 1, d-f). The amount of total  $\beta$ -O-4' linkage per 100 aromatic units decreased from 27 to 21 after modification, which suggested the theoretical yield of monomers based on  $\beta$ -O-4' linkage cleavage would decrease from 7.3% to 4.4%. The aromatic regions indicated these lignin samples were rich in S and G units, and S/G ratio was 1.1-1.2 (Figure 1, g-i). Meanwhile, the amount of H unit per 100 aromatic units was 10-12 in the form of *p*-hydroxybenzoate (PB). In addition, strong methyl peaks appeared in the aliphatic regions which proved the modified lignin was successfully acetylated (Figure 1, j-I).

Then modified lignin was subjected to the amination conditions. To dissolve the modified lignin sufficiently, CH<sub>3</sub>CN/H<sub>2</sub>O/HCOOH (18:1:1, v/v/v) was used as the solvent. Under this condition, 1.9 wt% primary arylamine monomers (1.5 wt% G monomer and 0.4 wt% S monomer) were obtained (Supporting Information, Figure S3). By-products were phenol monomers (2.7 wt%) and 4-acetoxybenzoic acid (1.8 wt%) as the product of PB unit. The moderate yields of desired arylamine monomers could be ascribed to low percentage of  $\alpha$ -oximated  $\beta$ -aryl ethers after modification and more restricted polymerized structures in real lignin than free dimer models. Besides, the isolated large fragments also contained trace amount of arylamine motifs which could



**Figure 2.** Mechanism studies. (a) and (b) Stern-Volmer quenching study with substrate **1a** (quencher) and PC1 (3.15 mM in CH<sub>3</sub>CN). (c) The ESR spectra of DMPO capturing radical produced by substrate **1a** and PC1 with or without light irradiation. (d) Cyclic Voltammetry curves of ascorbic acid, product **2a** and **3a**.

not be further depolymerized under this system (Supporting Information, Figure S4).

Several experiments were conducted to get insight into the mechanism of the reaction. Firstly, the electron transfer event between PC1 and substrate **1a** was studied. The emission intensity of the excited state of PC1 was weakened in the presence of **1a** which is followed by the linear Stern–Volmer behavior (Figure 2, a-b). Photo-induced generation of radical was observed using electron spin resonance (ESR) in the presence of 5,5-dimethyl-1-pyrroline N-oxide (DMPO) as the trapping agent compared with the

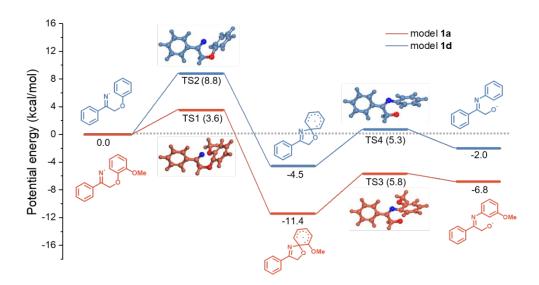
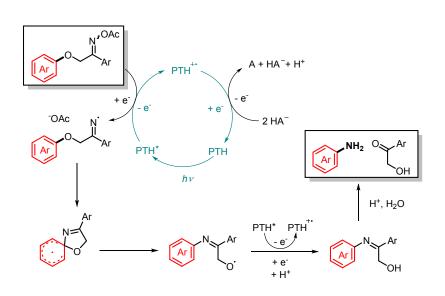


Figure 3. DFT calculations for the aryl migration pathway from oxygen to nitrogen.

signal under dark condition (Figure 2, c).<sup>73</sup> Analysis for the mixture of ESR experiments by HPLC-MS (Supporting Information, Figure S5) proved the existence of several DMPO adducts with the radicals (MW. 240). To further prove the role of HA, the oxidation potentials were measured by cyclic voltammetry (Figure 2, d). Compared to **2a** and **3a**, HA exhibited a lower oxidation potential, implying HA could act as the reductant to protect the products from oxidation in the cycling of PTH catalyst.

The aryl migration from oxygen to nitrogen was further investigated by DFT calculations and comparison of migration pathways between **1a** and **1d** was used to elucidate their different reaction behaviors (Figure 3). The spiro-cyclization and aryl C–O bond cleavage for both substrates are exothermic. However, the whole migration pathway of substrate **1a** is more thermodynamically favorable. Compared to **1a**, the spiro cyclization of substrate **1d** exhibits a higher activation barrier (TS1 and TS2), and activation energies of both following C–O bond cleavages are similar (TS3 and TS4). Therefore, the methoxyl substituent at *ortho* position could favor the twisting of phenol



Scheme 2. Proposed pathway for amination of aryl ether to primary arylamine.

segment to achieve spiro-cyclization. These calculation results accorded well with the experimental results that both aminations occurred while **1a** afforded better yields of desired products. When the electron-withdrawing groups coexist with *ortho*-methoxyl on the migrated ring (**1h** and **1i**), moderate yields of desired products could be still obtained which suggested the effect of *ortho*-methoxyl could be mainly ascribed to the steric effect rather than the electronic effect.

Based on the mechanism studies and previous reports,<sup>50,56,74</sup> a possible pathway for the intramolecular amination of aryl ether was proposed (Scheme 2). This reaction starts with the reduction of N–O bond by the excited PTH, then the generated iminyl radical attacks the aryl C–O bond where *ortho*-substituent promotes the spiro-cyclization. After aryl C–O bond cleavage, primary arylamine and  $\alpha$ -hydroxy ketone are released via further hydrogen abstraction and hydrolysis. HA could act as the final reductant and protect the products from being oxidized.

# CONCLUSIONS

In conclusion, we developed the aryl ether cleavage to obtain non-phenolic products by installing an internal nucleophile in modified lignin models and extracts. Through constructing the acetyl oxime in modified lignin, the internal iminyl radical was generated under photocatalytic reduction and induced intramolecular spiro cyclization to cleave the aryl ether bond in  $\alpha$ -oximated  $\beta$ -aryl ethers. The obtained non-phenolic primary arylamines are readily derived to other nitrogen-containing compounds thus this method enables the access of non-phenolic nitrogen-containing aromatics from lignin conversion.

## **EXPERIMENTAL SECTION**

**Chemicals and Materials.** All chemicals were of analytical grade, purchased from Aladdin Chemicals and used without further purification. The synthesis of lignin models and modified lignin, NMR spectra and HRMS were provided in the Supporting Information.

**Catalytic Test.** Typically, a 6 mL quartz reactor tube equipped with a stir bar was loaded with substrate (0.05 mmol), catalyst, and additives. Solvent was added and the atmosphere was changed to argon before sealing the tube. The reaction mixture was irradiated at room temperature with lamps ( $\lambda_{max}$ = 365 nm) for specified time. After the completing of the reaction, internal standard was added. Reaction mixture was analyzed by HPLC after 5 times dilution. Quantification of 2-hydroxyacetophenone, 2-methoxyaniline, 2, 6-dimethoxyaniline, 2-phenylaniline, and aniline were performed using corresponding standard work curves. Quantification of other compounds were performed using isolated yields.

#### ACS Catalysis

As to the modified lignin conversion, a 6 mL quartz reactor tube equipped with a stir bar was loaded with lignin sample (30 mg), PC1 (1 mg), and ascorbic acid (17.6 mg). Solvent (CH<sub>3</sub>CN/H<sub>2</sub>O/HCOOH, 18:1:1, 2 mL) was added and the atmosphere was changed to argon before sealing the tube. The reaction mixture was irradiated at room temperature with lamps ( $\lambda_{max} = 365$  nm) for 8 h. After the completing of the reaction, internal standard (vanilline) was added. Reaction mixture was analyzed by GC-MS after 5 times concentration.

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### ASSOCIATED CONTENT

### **Supporting Information**

ESR, fluorescence quenching experiments, UV-Vis absorption spectra, cyclic voltammetry, HPLC-MS and DFT calculations were provided in the Supporting Information.

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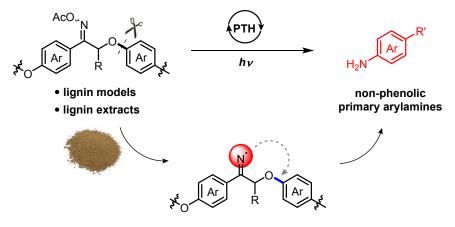
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The photocatalytic amination of aryl ether in modified lignin models and extracts to non-phenolic primary arylamines was developed. Through constructing the acetyl oxime in modified lignin, internal iminyl radical was generated under photocatalytic reduction and induced intramolecular aryl migration to cleave the aryl ether bond in  $\beta$ -O-4' linkages.

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