

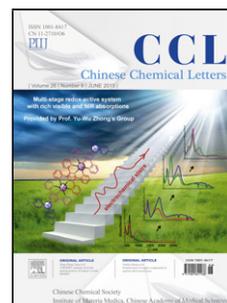
## Accepted Manuscript

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Authors: Zhihao Shen, Chao Pi, Xiuling Cui, Yangjie Wu

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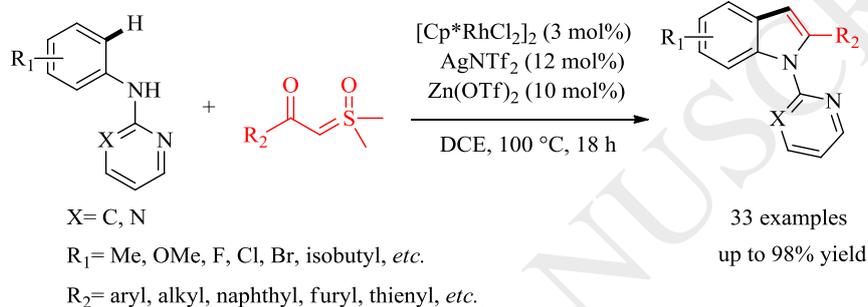
Communication

## Rhodium(III)-catalyzed intermolecular cyclization of anilines with sulfoxonium ylides toward indoles

Zhihao Shen, Chao Pi, Xiuling Cui\*, Yangjie Wu\*

Department of Chemistry, Henan Key Laboratory of Chemical Biology and Organic Chemistry, Key Laboratory of Applied Chemistry of Henan Universities, Zhengzhou University, Zhengzhou 450052, China

## Graphical Abstract



C2-substituted indoles were efficiently prepared with excellent regio-selectivity from *N*-phenylpyridin-2-amines and sulfoxonium ylides via cascade reaction of C–H alkylation/nucleophilic cyclization

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## ABSTRACT

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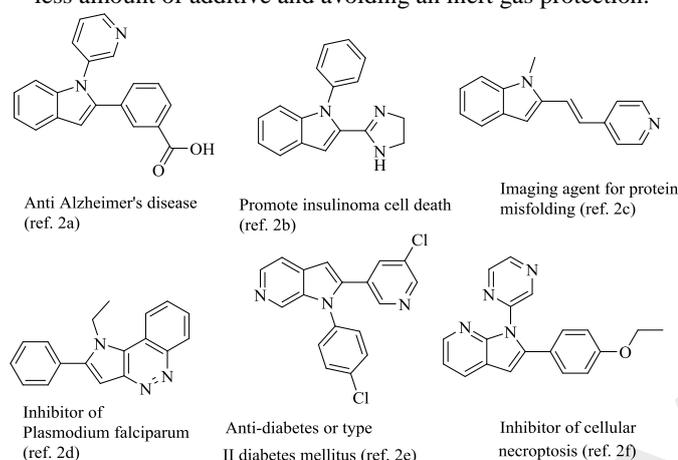
Indole

Rhodium(III)-catalyzed synthesis of indole derivatives has been realized via cascade reaction of C–H alkylation/nucleophilic cyclization starting from readily available *N*-phenylpyridin-2-amines and sulfoxonium ylides. Notably, this transformation could smoothly proceed with high yields, good regioselectivity, and feature broad group tolerance and under redox-neutral condition to avoid external oxidant. The titled products are potentially important building blocks in the organic synthesis through various chemical transformations.

Indoles, as important building blocks in organic synthesis, are commonly encountered in natural products, pharmaceutical molecules and functional materials [1], such as anti-Alzheimer's disease, imaging agent for protein misfolding, inhibitor of the plasmodium falciparum and cell necrosis (Fig. 1) [2]. Consequently, developing mild and efficient access to indole motif is of great significance. Organic chemists have been making great efforts to effectively synthesize indoles [3].

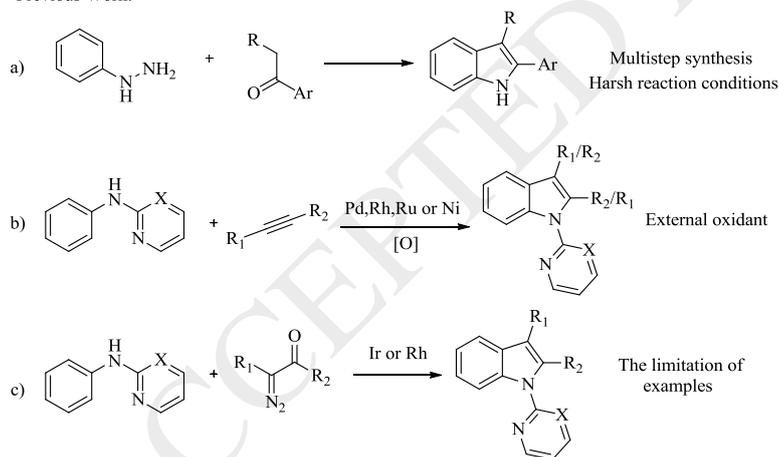
Conventional pathway involves the classical Fischer indole syntheses (Scheme 1a) [4], which suffer from tedious procedures, low atomic economy and relatively harsh reaction conditions. Recently, transition-metal-catalyzed direct C–H bond activation has emerged as a powerful tool for the synthesis of indole and its derivatives. In this context, indoles have been prepared from anilines by oxidative alkyne annulation via C–H/N–H bond functionalization catalyzed by transition-metals, such as palladium, rhodium, ruthenium and nickel (Scheme 1b) [5]. Unfortunately, these procedures were usually limited in regioselectivity when using

asymmetric alkynes as substrates and relied on the external oxidants. Kim, Wang, Li and Yao groups independently reported internal molecular cyclization of diazo compounds with anilines to access indoles catalyzed by Rh and Ir under redox-neutral conditions (Scheme 1c) [6]. Despite these approaches are highly efficient and step economic, the application of diazo compounds still remains limited, especially mono-substituted diazo compounds. Subsequently, Jana group demonstrated a ruthenium(II)-catalyzed steric controlled synthesis of 2-methylindole from anilines and allyl acetate [7]. Very recently, our group developed a palladium-catalyzed efficient cyclization reaction of anilines with vinyl azides [8], which delivered a straightforward approach to 2-arylindole derivatives with excellent regioselectivity and overcame the problem of chemo- and region-selectivity. In the meantime, sulfoxonium ylide has been developed as a versatile carbene source owing to its considerable higher security and being easily handled in comparison with diazo compounds and azides [9]. To further explore and enrich annulative synthesis of indoles, we herein proposed a protocol to various 2-substituted indoles starting from anilines using sulfoxonium ylides as carbene precursors through Rh(III) catalyzed a C–H alkylation–nucleophilic cyclization cascade reactions (Scheme 1d). During preparation of this manuscript, Huang reported a similar work [10]. Compared to Huang's work, this method is advantageous with higher yield, less amount of additive and avoiding an inert gas protection.

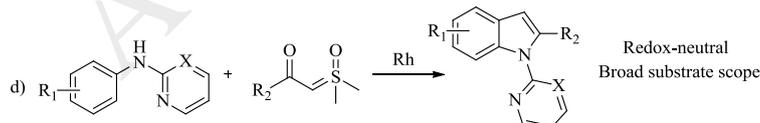


**Fig. 1.** Selected examples illustrating the importance of the compounds with indole.

Previous Work:



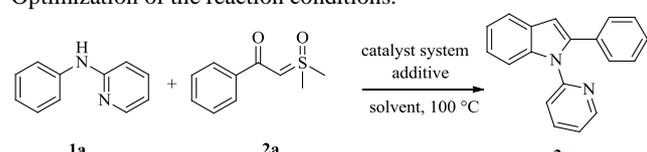
This Work:



**Scheme 1.** Synthetic strategies toward indole derivatives.

Initially, *N*-phenylpyridin-2-amine **1a** and sulfoxonium ylide **2a** were chosen as model substrates to optimize the reaction conditions (Table 1). By treating **1a** (0.1 mmol) and **2a** (0.12 mmol) in the presence of [Cp\*RhCl<sub>2</sub>]<sub>2</sub> (4 mol%) and AgSbF<sub>6</sub> (16 mol%) in DCE at 100 °C under air, the target product **3aa** was isolated in 34% yield (entry 1). The structure of **3aa** was confirmed by single-crystal X-ray diffraction analyses (CCDC 1874504). No desired product was detected using Co<sup>III</sup>, Ir<sup>III</sup>, Ru<sup>II</sup> and Pd<sup>II</sup> as catalysts (entries 2-5). To our delight, the yield of **3aa** was dramatically improved to 63% when AgNTf<sub>2</sub> was used (entries 6-8). Subsequently, a variety of additives including NaOAc, NaOTf, HOPiv and Zn(OTf)<sub>2</sub> were evaluated in the presence of [Cp\*RhCl<sub>2</sub>]<sub>2</sub>/AgNTf<sub>2</sub> catalyst system, and Zn(OTf)<sub>2</sub> was shown to be the most efficient additive (entries 9-12) [11]. Encouraged by these promising data, other solvents were screened under the identical reaction conditions, and the DCE solvent proved to be superior to others, such as CH<sub>3</sub>CN, THF, toluene and HFIP (entries 13-16). Surprisingly, decreasing the loading of Zn(OTf)<sub>2</sub> to 10 mol% led to increase of the yield of **3aa** (90%, entry 17). Further studies of different catalyst loadings revealed that only 3 mol% of catalyst system provided the best yield (entry 18). When the solvent was reduced to 1.5 mL, the desired product was obtained in 93% yield (entry 19). In addition, the similar result was given under N<sub>2</sub>. Finally, the optimal reaction conditions were identified as follows: *N*-phenylpyridin-2-amine (0.1 mmol), sulfoxonium ylide (0.12 mmol) in the presence of [Cp\*RhCl<sub>2</sub>]<sub>2</sub> (3 mol%)/AgNTf<sub>2</sub> (12 mol%) with Zn(OTf)<sub>2</sub> (10 mol%) in DCE (1.5 mL) at 100 °C under air for 18 h.

**Table 1**  
Optimization of the reaction conditions.<sup>a</sup>



Entry	Catalyst system	Additive (equiv.)	Solvent	Yield (%) <sup>b</sup>
1	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgSbF <sub>6</sub>	—	DCE	34
2	[Cp*IrCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	—	DCE	Trace
3	[Cp*Co(CO)L <sub>2</sub> ]/AgSbF <sub>6</sub>	—	DCE	NR
4	[Ru(p-cymene)Cl <sub>2</sub> ] <sub>2</sub> /AgSbF <sub>6</sub>	—	DCE	Trace
5	Pd(OAc) <sub>2</sub>	—	DCE	NR
6	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	—	DCE	63
7	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgOTf	—	DCE	55
8	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgBF <sub>4</sub>	—	DCE	42
9	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	NaOAc (0.5)	DCE	49
10	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	NaOTf (0.5)	DCE	66
11	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	HOPiv (1.0)	DCE	Trace
12	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.5)	DCE	75
13	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.5)	CH <sub>3</sub> CN	27
14	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.5)	THF	49
15	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.5)	toluene	53
16	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.5)	HFIP	NR
17	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.1)	DCE	90
18 <sup>c</sup>	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.1)	DCE	92
19 <sup>d</sup>	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.1)	DCE	93
20 <sup>d,e</sup>	[Cp*RhCl <sub>2</sub> ] <sub>2</sub> /AgNTf <sub>2</sub>	Zn(OTf) <sub>2</sub> (0.1)	DCE	93

<sup>a</sup> Reaction conditions: **1a** (0.1 mmol), **2a** (0.12 mmol), catalyst (4 mol%), additive and solvent (2 mL) at 100 °C for 18 h under air unless otherwise noted.

<sup>b</sup> Isolated yields.

<sup>c</sup> [Cp\*RhCl<sub>2</sub>]<sub>2</sub> (3 mol%)/AgNTf<sub>2</sub> (12 mol%).

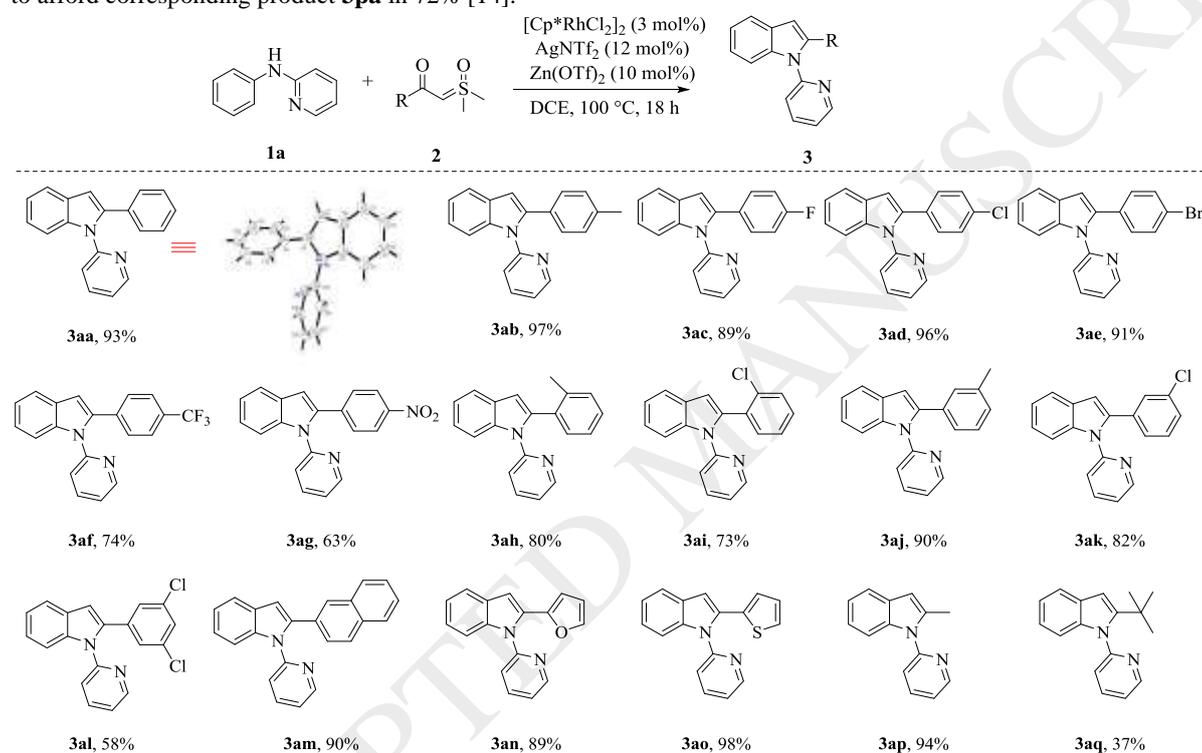
<sup>d</sup> In DCE (1.5 mL).

<sup>e</sup> Under N<sub>2</sub>. THF= tetrahydrofuran, HFIP=1,1,1,3,3,3-hexafluoro-2-propanol.

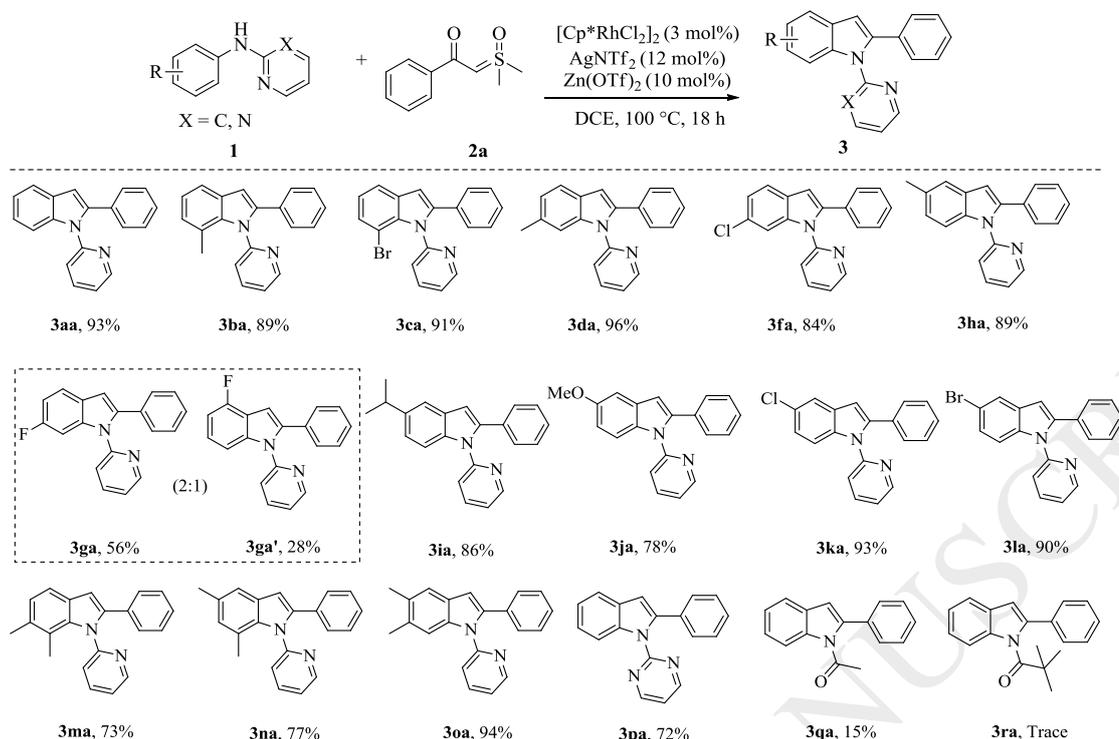
With the optimized reaction conditions in hand, we first explored the reactivity of various sulfoxonium ylides with *N*-2-pyridyl-substituted aniline (**1a**). As shown in Scheme 2, substituted benzoyl sulfoxonium ylides bearing donating group (4-methyl), withdrawing groups (4-CF<sub>3</sub> and 4-NO<sub>2</sub>) and halogens (4-F, 4-Cl and 4-Br) all coupled smoothly with *N*-phenylpyridin-2-amine to deliver the corresponding products in good to excellent yields (**3ab-3ag**). In addition, *ortho*- and

*meta*-substituted benzoyl sulfoxonium ylides were also suitable for this reaction, affording the corresponding products in high yields (**3ah-3ak**). Disubstituted benzoyl sulfoxonium ylide (**3al**) was coupled with **2a** to provide indole in 58% yield. Meanwhile, this transformation also displayed an excellent tolerance toward sulfoxonium ylides including naphthyl and heterocycles (**3am-3ao**). More importantly, methyl and *tert*-butyl-substituted sulfoxonium ylides afforded the corresponding products **3ap** and **3aq** in 94% and 37% yields, respectively. *tert*-Butyl group showed poor reactivity perhaps due to its steric hindrance [12].

Next, the scope of *N*-arylpyridin-2-amines was investigated as shown in Scheme 3. It was found that *N*-2-pyridyl-substituted anilines with electron-withdrawing or electron-donating groups at diverse positions of the aromatic ring all underwent smoothly to afford the corresponding products in good to excellent yields (**3ba-3fa**, **3ha-3fa**). These substituents have no significant effect on this reaction. Moreover, disubstituted *N*-phenylpyridin-2-amines also gave high yield (**3ma-3oa**). Moderate regioselectivity of **3ga:3ga'** (2:1) was detected for the substrate **2g**, and the major coupling product **3ga** corresponds to C-C coupling at a less hindered position [5g,8,13]. In addition, directing groups on the N-atom of anilines, such as acetyl and pivaloyl showed less reactivity under the optimized reaction conditions (**3qa** and **3ra**). *N*-Pyrimidinyl aniline was also found to be a good substrate for this annulation reaction to afford corresponding product **3pa** in 72% [14].

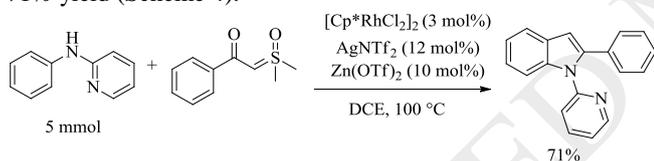


**Scheme 2.** Scope of sulfoxonium ylides. Reaction conditions: **1a** (0.1 mmol), **2** (0.12 mmol), [Cp\*RhCl<sub>2</sub>]<sub>2</sub> (3 mol%)/AgNTf<sub>2</sub> (12 mol%), Zn(OTf)<sub>2</sub> (10 mol%), DCE (1.5 mL), 100 °C, 18 h. Isolated yields.



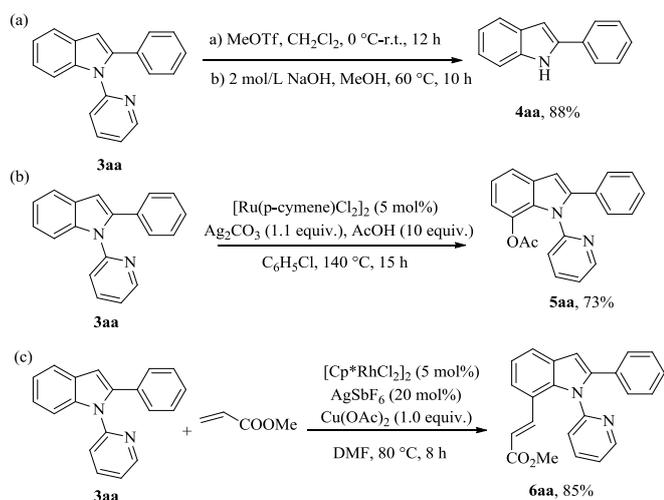
**Scheme 3.** Substrate scope of *N*-substituted amines. Reaction conditions: **1** (0.1 mmol), **2a** (0.12 mmol),  $[\text{Cp}^*\text{RhCl}_2]_2$  (3 mol%)/ $\text{AgNTf}_2$  (12 mol%),  $\text{Zn}(\text{OTf})_2$  (10 mol%), DCE (1.5 mL) at 100 °C for 18 h. Isolated yields.

To show the utility of this catalytic system, a gram-scale synthesis of **3aa** was performed under standard reaction condition with 71% yield (Scheme 4).



**Scheme 4.** Large-scale synthesis.

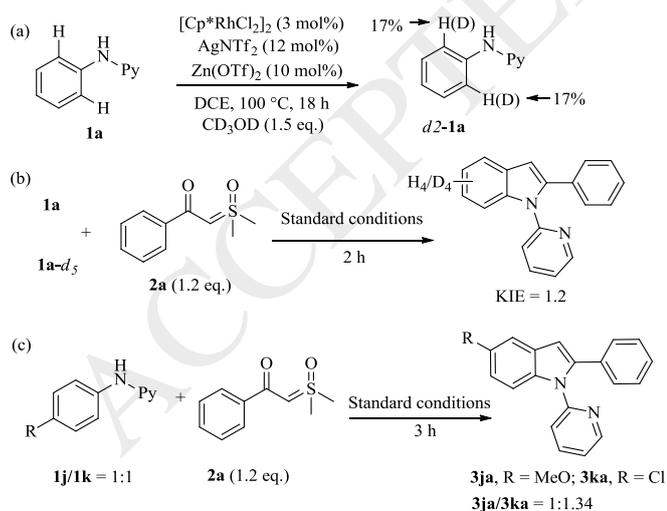
Next, the deprotection of the pyridyl group of **3aa** was carried out under certain conditions, affording free *N*-H indole derivatives in 88% yield (Scheme 5a) [15]. Subsequently, considering the privileged structural features and biological activities of *N*-pyridyl-2-arylindoles, we attempted to develop the direct C-H functionalization at the C7-position. As shown in Scheme 5, the acetoxylation and alkenylation of the obtained product delivered the corresponding products catalyzed by Ru(II)/Rh(III) in good yields respectively (Schemes 5b and c) [16,17]. These transformations further confirmed the practicability of the protocol.



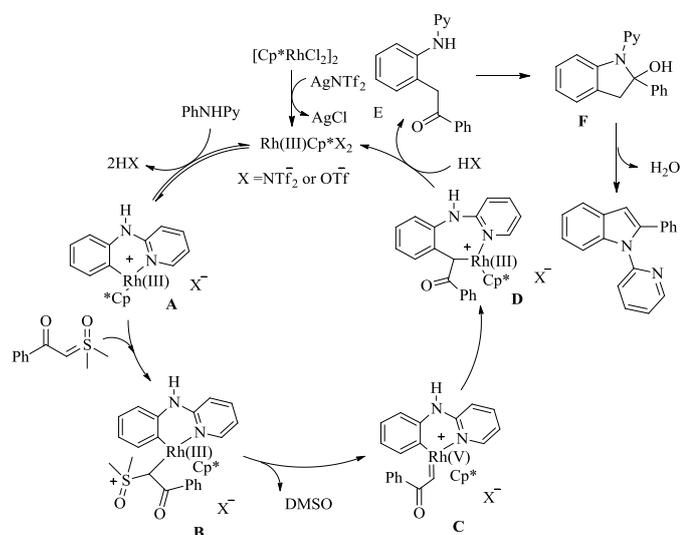
**Scheme 5.** Chemical transformation of the product.

To better understand the reaction mechanism, several control experiments were carried out (Scheme 6). Initially, when performing the H/D exchange of *N*-phenylpyridin-2-amine (**1a**) with CD<sub>3</sub>OD under standard condition in the absence of sulfoxonium ylide (**2a**), incorporation (17% D) at the *ortho*-position of **1a** was observed, suggesting the reversibility of *ortho*-metalation step (Scheme 6a). Next, the kinetic isotope effect *via* intermolecular competition reaction of **1a** and **1a-d<sub>5</sub>** with **2a** was investigated under standard reaction condition and  $k_H/k_D = 1.2$  was obtained, which indicates that the C-H bond cleavage may not be involved in the rate-determining step (Scheme 6b). Finally, intermolecular competition experiments with differently substituted anilines displayed electron-withdrawing aniline (**1k**) to be converted preferentially (Scheme 6c).

Based on the control experiments and literature reports [9c,11a,18], a plausible reaction mechanism is proposed (Scheme 7). An active Rh(III) complex **A** is generated from the reaction of aniline and [Cp\*RhCl<sub>2</sub>]<sub>2</sub> in the presence of AgNTf<sub>2</sub> or Zn(OTf)<sub>2</sub> *via* the direct *ortho*-metalation process. Subsequent nucleophilic attack of sulfoxonium ylide on Rh(III) complex **A** gives the intermediate **B**, which successively undergoes the  $\alpha$ -elimination of DMSO to produce a Rh(V) carbene **C**. Then migratory insertion affords the six-membered rhodacyclic intermediate **D**. The protodemetalation of species **D** delivers *ortho*-alkylate **E** and regenerates the active Rh(III) complex for the next catalytic cycle. Finally, the desired product is obtained by an intramolecular nucleophilic attack and the release of H<sub>2</sub>O.



**Scheme 6.** Control experiments.



**Scheme 7.** Plausible catalytic cycle.

In conclusion, we have realized a rhodium(III)-catalyzed redox-neutral coupling of *N*-functionalized anilines with sulfoxonium ylides, which provides an efficient method for the synthesis of indole derivatives. Mechanistically, the indoles are obtained through a C–H alkylation–nucleophilic cyclization cascade. Moreover, this transformation could smoothly proceed with high yields, good regioselectivity, no external oxidants, broad substrate scope and excellent functional group tolerance.

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