AcOH system changes the course of the reaction and leads to the formation of $CH_3COCHClCOCH_3$, which can be oxidatively added to 1-hexene to give 3-acety1-3,5-dichloro-2-nonanone.

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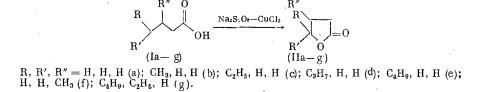
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DIRECT OXIDATION OF ALKANOIC ACIDS TO LACTONES

É. I. Troyanskii, I. V. Svitan'ko, and G. I. Nikishin

The reaction of carboxylic acids with oxidizing agents, for example, compounds of Pb(IV), Ag(II), Co(III), and of other metals of variable valence [1-3], or with oxidizing systems such as $S_2O_8^2$ -Ag⁺, $S_2O_8^2$ -Ag⁺-Cu²⁺, etc. [4, 5], leads to their oxidative decarboxylation and generation of free radicals. The retention of the carboxylic grouping of the acids in reactions of this type was observed only during their conversion into lactones, and only in two cases: by the action of peroxydisulfuryl difluoride [6], and in the oxidation of alkanoic acids with a tertiary γ -C atom by KMnO₄ in an alkaline medium [7].

We found that by using the sodium peroxydisulfate-copper chloride oxidizing system with equimolar amounts of the reagents, and by carrying out the reaction in water at 80-90°C, alkanoic acids (Ia-g) very selectively convert into γ -lactones (IIa-g)*



In the case of acids (Ib-e), not only γ -lactones (IIb-e) are formed, but also the corresponding δ -lactones: δ -valerolactone (IIIb), δ -methyl-, δ -ethyl-, and δ -propyl- δ -valerolactones (IIIc-e). Acids (Ia-g) partially undergo decarboxylation (Table 1).

The yield of γ -lactones (II) obtained from the n-alkanoic acids studied increases on transition from (Ia) to (Ib), and then decreases in the series of acids (Ic-e). 3-Methyl-butanoic acid (If) is oxidized to β -methyl- γ -butyrolactone (IIf) less effectively than acid

*Preliminary communication, see [8].

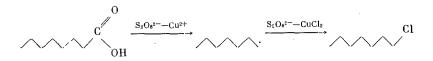
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2041

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(Ib) into γ -methyl- γ -butyrolactone (IIb). In the ability to be oxidized to γ -lactone (IIg), 4-ethyloctanoic acids (Ig) is inferior only to acid (Ib). Hence, the selectivity of oxidation of (I) into (II) is largely influenced by the substituent at the C⁴ atom of the acids: the contribution of the oxidative lactonization reaction is lowest when this atom is primary in (Ia) and (If), and appreciably increases when this atom is secondary in (Ib-e) or tertiary in (Ig).

With increase in the yield of lactones (II) and (III), the contribution of the oxidative decarboxylation reaction of acids (I) with the formation of CO_2 increases symbatically. In the oxidation of acid (Ie), 1-chloroheptane was also identified, which is a product of a reaction between the heptyl radical, formed during the decarboxylation of (If), and the Na₂S₂O₈-CuCl₂ oxidizing system

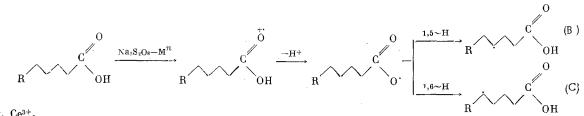


To find the mechanism of the oxidative lactonization of acids (I) into γ -lactones (II), and also to find other effective oxidizing systems, we studied the oxidation of acid (Ib) by the action of Na₂S₂O₈ in the presence of different salts (Table 2). From the data obtained it follows that acid (Ib) is most effectively and selectively oxidized to lactone (IIb) by the action of the Na₂S₂O₈-CuCl₂, Na₂S₂O₈-Ce₂(SO₄)₃, and Na₂S₂O₈-NaCl systems. Thus, increase in the amount of CuCl₂ leads to decrease in the selectivity of formation of (IIb), and increase in the contribution of the decarboxylation reaction. In the presence of Fe(II), Fe(III), and Co(II) compounds, the selectivity of oxidation of (Ib) to (IIb) markedly decreases. By the action of Na₂S₂O₈-NaCl system (1:2), acid (Ic) is 25% converted to lactones (IIc) and (IIIc) in a yield of 60 and 20%, respectively.

It should be noted that acid (Ib) is inert to oxidation, and does not lactonize by the action of $KMn0_4$ -NaOH system, which was previously used for the preparation of lactones from substituted alkanoic acids with tertiary γ -carbon atom [7].

The results show that the ability to oxidize alkanoic acids into γ -lactones is a specific property of the Na₂S₂O₈-CuCl₂ and N₂S₂O₈-NaCl, and also Na₂S₂O₈-Ce₂(SO₄)₃ systems.

We believe that the mechanism of formation of lactones includes one-electron oxidation of the alkanoic acids by the action of the $Na_2S_2O_8-M^nX_n$ systems to acyloxyl radicals (A), which are stabilized by coordination with a metal of variable valency, and can undergo an intramolecular rearrangement by the 1,5- and 1,6-migration of the H atom, to form 3- and 4- carboxyalkyl radicals (B) and (C)



 $M=Cu^{2+},\ Ce^{3+}.$

By the oxidation of radicals (B) and (C) into the corresponding carbonium ions, and cyclization of the latter, or by homolytic cyclization of (B) and (C) by intramolecular addition to the C=O group and subsequent oxidation ([9]), γ - and δ -lactones, respectively, are formed.

In the Na₂S₂O₈-CuCl₂ and Na₂S₂O₈-NaCl systems, acylhypochlorites $RCH_2CH_2(CH_2)C(0)OCl can also be formed (in analogy with [10]). During the homolytic dissociation of these acylhypochlorites at the O-Cl bond, radicals (A) are formed, which after rearrangement into (B) and (C), convert into <math>\gamma$ - and δ -lactones.

An alternative reaction scheme is that with the formation from $Na_2S_2O_8$, thermally or Cu^{2+} or Ce^{3+} -catalyzed, of sulfate anion radicals, and in the $Na_2S_2O_8$ -CuCl₂ and $Na_2S_2O_8$ -NaCl systems, also of Cl radicals, which nonselectively abstract H atoms from the alkyl fragment of the alkanoic acid with formation of carboxy-substituted alkyl radicals

TABLE 1. Oxidation of Alkanoic Acids (Ia-g) in the $\rm Na_2S_2O_8-CuCl_2$ System*

المتحا	Conversion,	Reaction pr based on re	oducts and their	yield, %
Acid	%	CO ₂	γ-lactone	δ-lactone
Butanoic (Ia) Pentanoic (Ib) Hexanoic (Ic) Heptanoic (Id) Octanoic (Ie) 3-Methylbutanoic (If) 4-Ethyloctanoic (Ig)	$\begin{array}{c} 44 \\ 45 \\ 45 \\ 40 \\ 31 \\ 30 \\ 22 \end{array}$	36 11 13 25 30 47 27	(IIa), 34 (IIb), 77 (IIc), 60 (IId), 45 (IIe), 30 (IIf), 50 (IIg), 64	(III ^b), 9 (III ^c), 22 (III ^d), 17 (III ^c), 12 –

*85-90°C, 5 h, 100 mmoles of (I), 100 mmoles of $Na_2S_2O_8$, 100 mmoles of $CuCl_2 \cdot 2H_2O$, 110 ml of water.

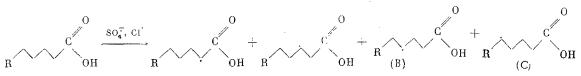
^{\dagger}l-Chloroheptane, obtained in a yield of 23% based on reacted (Ie), was also identified.

TABLE 2. Oxidation of Valeric Acid (Ib) by Na₂S₂O₈-MⁿX_n Systems

n_		Conversion of (Ib), %		on products on reacted (and their yield, % Ibi
M ⁿ X _n		01 (10), 70	CO_2	γ-lac- tone (IIb)	other products
$\begin{array}{c} CuCl_2\\ CuCl_2\\ CuSO_4\\ CuSO_4\\ FeCl_2 - FeSO_4\\ FeCl_3\\ CoCl_2\\ Ce_2 (SO_4)_3\\ NiCl_2 \end{array}$	1:1 1:2 1:1 1:1:0,1 1:1 1:1 1:1 1:1 1:1 1:1	$45 \\ 52 \\ 8 \\ 55 \\ 44 \\ 51 \\ 52 \\ 44 \\ 65$	11 40 60 † 28 † 14 8	77 38 Traces 55 40 47 30 80 55	(IIIb), 9 (IIIb), 4 (IIIb), 4 (IIIb), 4 (IIIb), 4 (IIIb), 8 (IIIb), traces $Cl(CH_2)_{4}COOH$ (IVa), 14 $C_2H_5CHCLCH_2COOH$
NaCl	1:2	37	8	76	(IV ^b), 15 (IIIb), 8

*85-90°C, 5 h, 100 mmoles of acid (Ib), 100 mmoles of $Na_2S_2O_8$, 110 ml of water.

[†]Not determined.



From radicals (B) and (C) γ - and δ -lactones are formed,

To more precisely clarify the proposed oxidation mechanism of acids (I) into lactones (II) and (III), we studied the reaction of methyl valerate (V) with the $Na_2S_2O_8$ -CuCl₂ system. Under the conditions when there is practically no hydrolysis of ester (V) to acid (Ib), lactones (IIb) and (IIIb) are not formed, and the conversion of (V) is 8%. Under similar reaction conditions, the conversion of (Ib) is 29%, and the yield of (IIb) is 24%. Obviously, the CH₃CH₂CH₂CH₂ fragments in (Ib) and (V) practically do not differ in reactivity with respect to SO4. and Cl' radicals, and radicals CH3CHCH2CH2C(0)OCH3, analogs of (B), formed from ester (V), can also in similar Na₂S₂O₈-containing oxidizing systems be oxidized to a γ -lactone (IIb) (cf. [11]). We believe that due to the observed inertness of (V) to the action of the $Na_2S_2O_8$ -CuCl₂ system, the mechanism of formation of (IIb) as the result of an initial attack of SO4. or Cl radicals at the alkyl fragment of (Ib) is not possible. This scheme is also improbable because of the high regioselectivity of formation of y-lactones (II), compared with δ -lactones (III). Since the abstraction of H atoms by electrophilic radicals, in general, proceeds preferentially at the ($\omega = 1$)-C atom of the alkyl residue [12], in the reaction of acid (Ic) with electrophilic SO4. and Cl, obviously, a radical CH3CH(CH2)3COOH, a predecessor of δ -lactone (IIIc), stabilized by the reaction with the CH₃ group, should mainly be formed; this radical is, however, obtained in a much smaller amount than the corresponding γ -lactone (IIc).

We thus believe that the most probable mechanism is the one whose key stage is the generation of O-centered acyloxyl radicals and their rearrangement with 1,5- and 1,6-migration of the H atom into the 3- and 4-carboxyalkyl radicals. In accordance with the general principles of rearrangements in radical systems, the 1,5-migration predominates over the 1,6-migration [13], which also determines the regioselective formation of γ -lactones (II). According to this scheme, the maximal yield of γ -lactone is observed in the oxidation of acid (Ib) when 1-methyl-3-carboxypropyl radical is formed, which is stabilized by conjugation with the CH₃ group. The low yield of (IIa) from (Ia) is due to unfavorable rearrangement of radical CH₃CH₂CH₂C(0)O' into a primary 3-carboxypropyl radical.

In contrast to the $Na_2S_2O_8$ -CuCl₂, $Na_2S_2O_8$ -NaCl, and $Na_2S_2O_8$ -Ce₂(SO₄)₃ systems, in the oxidation of acid (Ib) in the $Na_2S_2O_8$ -NiCl₂ system, according to identification of chloro-acids (IVa, b), a definite contribution is apparently introduced by a mechanism including an initial abstraction of the H atom from the alkyl fragment.

EXPERIMENTAL

The GLC analysis was carried out on an LKhM-8MD chromatograph with a flame-ionization detector in an N_2 current, using a 300 × 0.3 cm column (stainless-steel) with a 10% Carbowax 20 M on a Celite-545 (52-60 mesh) pretreated by H_3PO_4 [14]. The PMR spectra of the solutions in CCl₄ were measured on a Tesla BS-497 spectrometer (100 MHz) with reference to HMDS. The mass spectra were run on a Varian MAT CH-6 apparatus with direct introduction of the sample into the ionic source, and with an energy of the ionizing electrons of 70 eV. The IR spectra were obtained on a Perkin-Elmer apparatus in a thin layer and in CCl₄ solution. The chromatographic introduction of the sample into the ionic source, analysis was carried out on a Varian MAT CH-111 (Gnom) apparatus, with chromatographic introduction of the sample into the ionic source, and with energy of ionizing electrons of 80 eV. AR grade Na₂S₂O₈ was used as the oxidizing agent, and pure grade CuCl₂, FeCl₂, FeCl₃, CoCl₂, NiCl₂, Ce₂(SO₄)₃, NaCl, and KMnO₄ preparations were used without additional purification. Pure grade alkanoic acids (Ia-g) were purified by distillation in vacuo. Once-distilled water was used.

Oxidation of Alkanoic Acids (Ia-g). A solution of 100 mmoles of $Na_2S_2O_6$ in 50 ml of water was added dropwise at 85-90°C (0.5-1 h) to a mixture of 100 mmoles of the alkanoic acid and other components (in amounts indicated in Tables 1 and 2) in 90 ml of water, and the mixture was heated for 4-4.5 h at 85-90°C with vigorous stirring. The mixture was cooled and extracted by ether (3 × 100 ml). The ether extract was dried over MgSO₄, and evaporated, and the residue was analyzed by GLC, using propionic acid as a standard. To isolate lactones (II) and (III), the residue was neutralized by a saturated solution of Na_2CO_3 , and extracted by ether (3 × 100 ml). The ether extract was dried over MgSO₄, evaporated, and distilled in vacuo. The properties and spectral characteristics of the reaction products are given in Table 3.

<u>Reaction between Methyl Valerate (V) and the $Na_2S_2O_8$ -CuCl₂ System. A solution of 100 mmoles of $Na_2S_2O_8$ in 50 ml of water was added in the course of 30 min at 85-90°C to a mixture of 100 mmoles of (V) and 100 mmoles of $CuCl_2 \cdot 2H_2O$ in 90 ml of water. The mixture was heated at 85-90°C for 90 min, cooled, and extracted by ether (3 × 100 ml). The extract was dried over MgSO₄, and evaporated, and by GLC the residue was found to contain 92 mmoles of ester (V).</u>

Oxidation of Valeric Acid (Ib) by the $Na_2S_2O_8$ -NiCl₂ System. A solution of 100 mmoles of $Na_2S_2O_8$ in 50 ml of water was added dropwise at 85-90°C in the course of 1 h to a mixture of 100 mmoles of (Ib) and 100 mmoles of NiCl₂ in 90 ml of water. The mixture was heated for 4 h at 85-90°C with vigorous stirring, cooled, treated with a saturated solution of Na_2CO_3 to pH 9, and extracted by ether (3 × 30 ml). The extract was dried over MgSO₄, evaporated, and in the residue the amount of γ -valerolactone (IIb) was determined by GLC. The aqueous phase was acidified to pH 3-4, and extracted by ether (3 × 50 ml). The extract was dried over MgSO₄, evaporated, and the residue was distilled in vacuo to yield 3- and 5-chlorovaleric acids (IVa, b).

Reaction between (Ib) and the KMnO₄-NaOH System. A solution of 100 mmoles of KMnO₄ in 100 ml of water was added at $85-90^{\circ}$ C, with stirring, in the course of 1 h to a mixture of 100 mmoles of (Ib) and 120 mmoles of NaOH in 150 ml of water. The mixture was heated for 4 h at $85-90^{\circ}$ C, cooled, treated with Na₂CO₃ to the discoloration of the solution, acidified to pH 3-4 by dilute H₂SO₄, and extracted by ether (3 × 100 ml). The ether extract was dried over MgSO₄, and evaporated, and the residue was analyzed by GLC and spectral methods. Ninety five mmoles of unreacted acid (Ib) were found.

TABLE 3. P Acids (IV)	Physicochemical 7)		Properties and	l Spectral		Characteristics of Lactones	(II), (III), and Chloro-
	bp. °C (p.	Empirical	Found/Calculated,	Iculated,	IR spectrum	PMR spectrum	Mass spectrum, m/z (rela-
Compound	(gH mm	formula	υ	H	$(u, \operatorname{cm}^{-1})$	(δ, ppin)	tive intensity, σ_{0})
(11a)	100(27)	$C_4H_6O_2$	55,69 55,81	6 ,89 7 ,00	1780	$\begin{array}{l} 2,10\ \mathrm{m}\ (2\mathrm{H},\ \mathrm{CH}_{2}\mathrm{CH}_{2}\mathrm{CH}_{2}),\\ 2,35\ \mathrm{t}\ (2\mathrm{H},\ \mathrm{CH}_{2}\mathrm{CO})\\ 4,28\ \mathrm{t}\ (2\mathrm{H},\ \mathrm{CH}_{2}\mathrm{O}) \end{array}$	1
(qII)	105107 (26)	$C_5 II_8 O_2$	59,53 60,00	7,72 8,00	1780	$1,25 d (3H, CH_3)$ $2,25 m (4H, CH_2CH_2)$ 4,50 m (1H, CHO)	Similar to that in [15]
(11c)	102-104(20)	C ₆ H ₁₀ O ₂	62,50 63,16	8,58 8,62	1770	$\begin{array}{c} 1.10t (3H, \ CH_3) \\ 1.70m (2H, \ CH_3CH_2CH) \\ 2.25m (4H, \ CH_3CH_2) \\ 4.50m (1H, \ CHO) \end{array}$	*
(11d)	109(16)	C7H12O2	65,16 65,62	9,15 9,47	1770	$ \begin{array}{c} 1,05 \ t \ (3H, \ CH_3) \\ 1,65m \ (4H, \ CH_3CH_2CH_2) \\ 2,25 \ m(4H, \ CH_2CH_2) \\ 4,50 \ m(1H, \ CHO) \end{array} $	*
(Ife)	115 (36)	$G_5H_8O_2$	59,64 6 0,00	7,85 8,00	1780	1,17d (3H, CH ₃) 2,05d and 2,43 d (2H, CH ₂ CO) 2,55 m(1H, CH) 3,75 m and 4,22 m(2H, CH ₂ O)	$\begin{array}{c} 41 \ (100), \ 42 \ (95), \ 56 \ (65), \ 39 \ (50), \ 55 \ (20), \ 57 \ (7), \ 100 \ (M^+, \ 7) \ 85 \ (2) \end{array}$
(IIF)	104(10)	C10H18O2	70,28	10,46 10,56	1775	0.90t (6H, CH ₃) 1.25-1.8 m(10 H) 2.40t (2H, CH ₂ CO)	29(100), 41(95), 57(90), 143(90), 55(86), 43(40), 141(40), 56(35), 85(25), 69(25)
(111b)	i	1	I	1	1730	1	Similar to that in [15]
(111c)	1	1	1	1	1725		*
(111d)	1	ł	I	ł	1730	ł	۶
(IVa)	149152(13)	C ₅ H ₉ ClO ₂	43,82 43,95	6,58 6,58	790, 1710	2,00 d (4H, CH ₂ CH ₂) 3,60 t (2H, CH ₂ CI) 2,30t (2H, CH ₂ CO)	73(100), 55(90), 41(80) 43(70), 60(70), 56(60), 100(60), 54(50), 12(50)
(IVb)	143-145(15)	C ₅ H ₆ ClO ₂	43,82 43,95	6,48 6,58	790, 1710	1,08 t (3H, CH ₃) 2,05m (2H, CH ₅ CH ₂) 4,10 m (1H, CHCl)	60(1100), 42(75), 41(70), 55(65), 56(60), 43(60), 73(30), 100(20)

CONCLUSIONS

1. By the action of the $Na_2S_2O_8$ —CuCl₂ system, alkanoic acids RR'CHCH(R")CH₂COOH convert into γ -lactones RR'CCH(R")CH₂C(O)O with high regioselectivity.

2. A reaction mechanism was proposed, including the formation from alkanoic acids of alkylcarbonyloxyl radicals, their rearrangement with 1,5-migration of the H atom into 3-carboxylalkyl radicals, and oxidative cyclization of the latter into γ -lactones.

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CYCLOKETONIZATION AND LINEAR POLYKETONIZATION OF α, ω -DICARBOXYLIC ACIDS. COMMUNICATION 7. PREPARATION AND REACTIONS OF ZINC SALTS OF UNBRANCHED DICARBOXYLIC ACIDS

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Ketonization of Mn and Cd salts of dicarboxylic acids of composition C₆ and higher is a complex process including two main reactions, namely, cycloketonization and linear polyketonization, and two secondary reactions, namely, crotonic condensation of cyclopentanone and cyclohexanone on MnO [1, 2] and crotonic condensation of the polyketodicarboxylic acids formed during linear polyketonization in the presence of CdO [2-4]. The investigation of the ketonization of Zn salts of dicarboxylic acids was intended to elucidate the generalness and specific differences of the mechanism of ketonization of dicarboxylic acid salts with different cations and also the possibility of elucidating the yields of cycloalkanones due to inhibition of linear polyketonization and secondary reactions. The data [5-7] on the ketonization of Zn salts of dicarboxylic acids are very contradictory.

In the present paper, we have studied the ketonization of Zn salts of adipic, pimelic, suberic, azelaic, and sebacic acids. The reaction was carried out according to [8] with due regard for the improvements mentioned in [4] and [9]. To obtain Zn salts of dicarboxylic

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