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Asymmetric synthesis of (6R)-4-hydroxy-6-substituted- δ -lactones

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ABSTRACT

A novel approach for the asymmetric synthesis of (6R)-4-hydroxy-6-substituted- δ -lactones has been achieved using asymmetric reduction of a prochiral ketone in the presence of (S)-(-)-diphenyl-prolinol/borane as a key step. The enantiomeric purity of the products was determined by chiral GC.

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1. Introduction

Lactones bearing 4-hydroxy-5,6-dihydropyran-2-one ring are ubiquitous in natural products and various biological activities are associated with them, such as sex pheromone, highly potent anti-inflammatory, hypocholesterolemic,¹ anti-HIV, anti-bacterial, anti-fungal, anti-tumor anticonvulsant, muscle-relaxing, sedative and analgesic activities. The statin class of drugs contain (4R,6R)-4-hydroxy-6-substituted- δ -lactone moiety **1** or the corresponding open chain structure **2** (Fig. 1), which reduce cholesterol levels in blood by inhibiting the rate limiting step of cholesterol biosynthesis in humans by binding to the enzyme HMG Co-A reductase. Simvastatin (Zocor) **1**, atorvastatin (Lipitor) **2**, pitavastatin (Livalo), rosuvastatin (Crestor), and fluvastatin (Lescol) are some examples of statins and several combinations of statins are available.^{2–8}

1,7-Diarylheptanoids display pharmacological activities such as antioxidant, anticancer, inhibitory activity on nitric oxide production, anti-inflammatory and anti-leishmanial activities. (-)-Diospongins A **3** and B **4** are cyclic 1,7-diaryl-heptanoids, which have been isolated from the rhizomes of *Dioscorea spongiosa*, via a bioassay guided fractionation. Diospongins A **3** and B **4** possess a 2,6-*cis*- and 2,6-*trans*-substituted tetrahydro-2H-pyran-4-ol ring, respectively.^{9,10} The C1–C8-unit of antitumor (+)-discodermolide **5** contains the 4-hydroxy- δ -lactone with (4S,6R)-configuration.^{11–14}

Several syntheses of these molecules have been reported.^{11–14} The prominent key steps among these are the asymmetric dihydroxylation or epoxidation of 5,6-dihydropyranone; the selective reduction of the epoxide; lipase catalysed *trans*-esterification or asymmetric hydrolysis; D-2-deoxyribose-5-phosphate aldolase enzyme catalyzed sequential condensation of three aldehydes,⁹ stereocontrolled cyclization of 1,3-diols; asymmetric catalytic

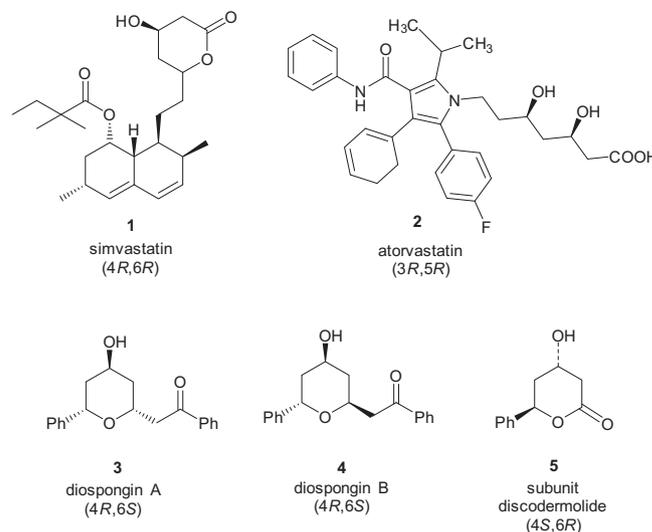


Figure 1. Bioactive 4-hydroxy- δ -lactones and analogues.

reduction of 3,5-diketo-esters, asymmetric addition of diketene to aldehydes; asymmetric addition of carbon monoxide to epoxides etc.^{12a,b}

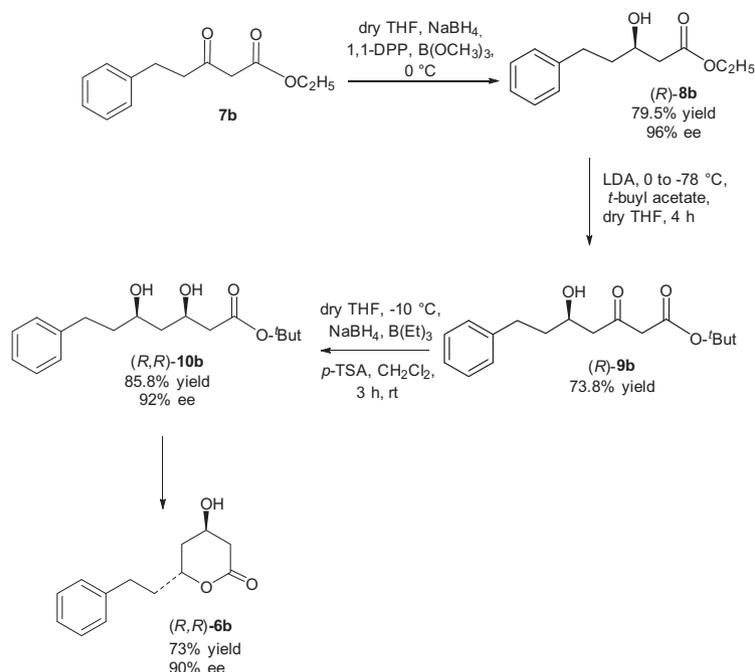
Herein we report an efficient synthesis of (4S,6R)-4-hydroxy-6-phenyl- δ -lactone **6a** and (4R,6R)-4-hydroxy-6-(phenyl-ethyl)- δ -lactone **6b** using an inexpensive asymmetric reduction¹⁵ catalyzed by (S)-(-)-1,1-diphenyl-pyrrolidin-2-yl-methanol / borane (oxazaborolidine) as the key step.

2. Result and discussion

The retrosynthetic analysis for the desired lactones is shown in Fig. 2.

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Scheme 2. Synthesis of (4R,6R)-tetrahydro-4-hydroxy-6-phenethylpyran-2-one.

Next, NaH (3.1 g, 0.129 mol) (55%) was added in small portions to the resulting solution at ambient temperature. After the addition, exotherm was observed. The reaction was carried out at rt for 30 min. The reaction mixture was then poured into ice water (100 mL) containing a small amount of acetic acid (1 mL). The resulting mixture was extracted with EtOAc (50 \times 2 mL). The extract was washed with water and dried over anhydrous Na_2SO_4 . The solvent was removed in *vacuum* and the residue was subjected to column chromatography to yield **7a**. Yield: 11.90 g (74.4%), colorless liquid; IR ν_{max} : 3063, 2983, 1742, 1683, 1598, 1449, 1360, 1265, 1198 cm^{-1} ; ^1H NMR (CDCl_3 , 60 MHz): δ 7.95–7.10 (m, 5H), 3.96 (q, 2H), 2.40 (s, 2H), 1.10 (t, $J = 7$ Hz, 3H); GCMS (m/z): 192 (M^+), 192, 146, 122, 105, 91, 77, 51; HRMS (m/z) calcd for $\text{C}_{11}\text{H}_{12}\text{O}_3$; 192.2140; found: 192.2132.

4.3. Synthesis of ethyl (R)-3-hydroxy-3-phenylpropanoate **8a**

To a stirred solution of NaBH_4 (2.03 g, 0.052 M) in dry THF (20 mL), cooled at 0°C under an N_2 atmosphere was added catalyst (S)-(-)-1,1-diphenyl-prolinol (0.554 g, 2.2 mM). The mixture was stirred for 5 min. To this solution was added trimethylborate (0.245 mL, 2.17 mmol) followed by the dropwise addition of 3-oxo-3-phenylpropanoate **7a** (10 g, 0.052 M), while maintaining the temperature at 0°C and the stirring was continued for 4 h. The reaction was quenched with 2 N HCl solution, after which THF was removed in *vacuum*. To the residue, EtOAc was added. Aqueous layer was extracted with EtOAc. The combined organic layer was washed with brine and dried over anhydrous Na_2SO_4 . The solvent was removed in *vacuum* and the residue was subjected to column chromatography on silica gel to yield hydroxyl compound **8a**. Yield: 7.40 g (73.20%), colorless liquid; $[\alpha]_{\text{D}}^{20} = +28.6$ (c 0.50, CHCl_3); (lit¹⁶ $[\alpha]_{\text{D}}^{20} = +34.7$ (c 1.4, CHCl_3); Chiral GC analysis: t_{R} (minor) = 15.67 min, t_{R} (major) = 15.90 min; IR ν_{max} : 3392, 3029, 2927, 1729, 1493, 1452, 1371, 1297 cm^{-1} ; ^1H NMR (500 MHz, CDCl_3): δ 7.40–7.26 (m, 5H), 5.13 (dd, $J = 3.65, 8.95$ Hz, 1H), 4.18 (q, $J = 7.5$ Hz, 2H), 3.34 (s, 1H), 2.75 (dd, $J = 9.0, 16.35$ Hz, 1H), 2.70 (dd, $J = 3.85, 16.35$ Hz, 1H), 1.27 (t, $J = 7.5$ Hz, 3H); ^{13}C NMR (125 MHz, CDCl_3): δ 172.6, 142.7, 128.7, 127.9, 127.7,

127.1, 125.8, 70.5, 61.0, 43.5, 14.3; GCMS (m/z): 194 (M^+), 194, 165, 147, 120, 107, 91, 79, 60, 43; HRMS (m/z) calcd for $\text{C}_{11}\text{H}_{14}\text{O}_3$; 194.2298; found: 194.2292.

4.4. Synthesis of *t*-butyl (R)-5-hydroxy-3-oxo-5-phenylpentanoate **9a**

A mixture of diisopropyl amine (4.97 g, 22.4 mmol) and dry THF (40 mL), cooled to 0°C under an N_2 atmosphere was added *n*-BuLi (48 mL, 73.4 mmol) over 10 min, after which stirring was continued for 20 min. After 20 min, the solution was cooled to -78°C and *t*-butyl acetate (6.38 g, 47.0 mmol) was added over 5 min. After 20 min, the resulting solution at -78°C was transferred via syringe over 5 min to a solution of the reactant (2.3 g, 11.0 mmol) and THF at 0°C . The reaction flask was placed in a water bath and stirred for 1.5 h at room temperature. The reaction was quenched with saturated NH_4Cl solution (1.0 mL). THF was removed in *vacuum* and the residue was extracted in EtOAc. The aqueous layer was washed by EtOAc. The solvent was removed in *vacuum* and the residue was subjected to column chromatography on silica gel to yield **9a** 2.26 g (71.50%), colorless liquid; $[\alpha]_{\text{D}}^{20} = +36.95$ (c 0.4385, CHCl_3); lit^{12d} $[\alpha]_{\text{D}}^{20} = +32.3$ (c 0.1, CHCl_3); Chiral GC analysis: t_{R} (major) = 24.13 min; IR ν_{max} : 3392, 3030, 2930, 1728, 1714, 1493, 1453, 1369, 1154, 1078, 1029, 899, 767 cm^{-1} ; ^1H NMR (500 MHz, CDCl_3): δ 7.42–7.26 (m, 5H), 5.14 (dd, $J = 3.75, 8.85$ Hz, 2H), 4.13 (d, $J = 6.70$ Hz, 1H), 3.40 (s, 1H), 2.78 (dd, $J = 5.45, 16.25$ Hz, 1H), 2.71 (dd, $J = 3.80, 16.25$ Hz, 1H), 0.92 (s, 9H); ^{13}C NMR (125 MHz, CDCl_3): δ 199.8, 172.6, 142.7, 133.2, 128.7, 128.5, 128.3, 127.8, 70.4, 64.8, 45.5, 43.4, 30.7, 19.2, 13.8; GCMS (m/z): 264 (M^+), 265, 241, 207, 161, 130, 115, 88, 69, 43; HRMS (m/z) calcd for $\text{C}_{15}\text{H}_{20}\text{O}_4$; 264.3206; found: 264.3202.

4.5. Synthesis of *t*-butyl (3S,5R)-3,5-dihydroxy-5-phenylpentanoate **10a**

To a stirred solution of compound **9a** (0.8 g, 3.03 mmol) in dry THF (15 mL), cooled at -10°C under an N_2 atmosphere was added triethylborane (0.278 mL, 2.7 mmol). The reaction was stirred for

15 min. To this mixture was added dropwise a solution of NaBH₄ (0.238 g, 6.1 mmol) in dry THF (10 mL). The reaction mixture was allowed to stir for 4 h. The reaction was monitored by TLC, then quenched with water, and extracted with EtOAc, washed with brine and dried over anhydrous Na₂SO₄. The solvent was removed in *vacuum* and residue was subjected to column chromatography on silica gel to give **10a**, Yield: 0.693 g (84.7%); $[\alpha]_D^{20} = -20.2$ (c 0.54, CHCl₃); IR ν_{\max} : 3414, 2978, 1732, 1404, 1224, 1049, 758, 700 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): δ 7.35–7.15 (m, 5H), 4.97 (dd, *J* = 3.0, 9.0 Hz, 1H), 4.30 (m, 1H), 3.62 (bs, 1H), 2.41 (m, 1H), 1.96–1.72 (m, 2H), 1.46 (s, 9H); ¹³C NMR (125 MHz, CDCl₃): δ 172.4, 144.7, 128.5, 127.6, 125.8, 81.5, 74.4, 68.8, 44.2, 42.7, 28.3; GCMS (*m/z*): 266 (M⁺), 251, 236, 221, 209, 193, 177, 165, 151, 134, 121, 107, 90, 77, 64, 51, 40; HRMS (*m/z*) calcd for C₁₅H₂₂O₄; 266.3364; found: 266.3358.

4.6. Synthesis of (4*S*,6*R*)-tetrahydro-4-hydroxy-6-phenylpyran-(2*H*)-2-one **6a**

A catalytic amount of *p*-toluenesulfonic acid (0.052 g) was added to a stirred solution of **10a** (0.5 g, 1.87 mmol) in dry CH₂Cl₂ (10 mL) under an N₂ atmosphere and the reaction mixture was stirred at rt for 3 h. After completion of the reaction, the reaction mixture was quenched by the addition of solid NaHCO₃ and the solvent was removed under *vacuum*. The crude residue was subjected to column chromatography to give lactone **6a**, Yield: 0.216 g (60.0%); $[\alpha]_D^{20} = +5.6$ (c 0.16, CHCl₃); lit.⁸ (4*R*,6*S* isomer, $[\alpha]_D^{20} = -9.4$ (c 1.3, CHCl₃); Chiral GC analysis: *t*_R(major) = 34.76 min; IR ν_{\max} : 3430, 3015, 2927, 1728, 1495, 1455, 1348, 1257, 1044, 860, 768 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): δ 7.40–7.35 (m, 5H), 5.76 (dd, *J* = 3.0, 11.50 Hz, 1H), 4.45 (q, *J* = 3.9, 1H), 2.86 (dd, 4.9, 17.5, H), 2.74 (ddd, *J* = 1.5, 3.5, 17.5 Hz, H), 2.25–2.05 (m, 3H); ¹³C NMR (125 MHz, CDCl₃): δ 170.8, 139.4, 128.9, 128.8, 128.5, 125.8, 76.9, 62.6, 38.7, 38.4; GCMS (*m/z*): 192 (M⁺), 176, 160, 148, 134, 117, 104, 90, 77, 65, 52, 40; HRMS (*m/z*) calcd for C₁₁H₁₂O₃; 192.2140, found: 192.2132.

4.7. Synthesis of ethyl 3-oxo-5-phenylpentanone **7b**

To a solution of diisopropyl amine (3.84 g, 27.0 mmol) in dry THF (20 mL), cooled to –50 °C under an N₂ atmosphere was added *n*-BuLi (20 mL, 30.6 mmol) over 10 min, after which stirring was continued for 20 min, while the reaction mixture was maintained at –50 °C. Next, ethyl acetoacetate (2 g, 15.4 mmol) was added dropwise within period of 15 min, and stirring was continued for 30 min. A solution of benzyl bromide (2.63 g, 15.4 mmol) and dry THF (6 mL) was added dropwise within 20 min and the reaction was maintained at –50 °C for 3 h. After completion of the reaction, it was quenched with saturated NH₄Cl (1 mL). THF was removed in *vacuum*. The residue was extracted in EtOAc and washed with water (10 mL), and dried over anhydrous Na₂SO₄. The solvent was removed in *vacuum* and residue was subjected to column chromatography to give compound **7b** 2.31 g (63.0%), liquid; IR ν_{\max} : 3028, 2984, 1743, 1714, 1497, 1454, 1368, 1317, 1031, 757 cm⁻¹; ¹H NMR (60 MHz, CDCl₃): δ 7.27 (m, 5H), 4.10 (q, *J* = 7.0 Hz, 3H), 3.40 (s, 2H), 2.90 (m, 4H), 1.22 (t, *J* = 7.0 Hz, 2H); GCMS (*m/z*): 220 (M⁺), 202, 177, 159, 147, 131, 119, 104, 91, 78, 65, 41; HRMS (*m/z*) calcd for C₁₃H₁₆O₃; 220.2676; found: 220.2668.

4.8. Synthesis of ethyl (R)-3-hydroxy-5-phenylpentanoate **8b**

To a stirred solution of NaBH₄ (0.740 g, 14.2 mmol) in dry THF (15 mL), cooled at 0 °C under an N₂ atmosphere was added (S)-(-)-1,1-diphenyl-prolinol (0.290 g, 1.2 mmol). The mixture was stirred for 5 min. To this solution was added trimethylborate (0.2–0.3 mL) followed by the dropwise addition of ethyl 3-oxo-5-

phenylpentanone **7b** (1.5 g, 6.8 mmol) while maintaining the temperature at 0 °C and the reaction was kept stirring for 4 h. The reaction was quenched with NH₄Cl solution. THF was removed in *vacuum*. The residue was extracted with EtOAc and the aqueous layer was separated and extracted with EtOAc. The combined organic layer was washed with brine and dried over anhydrous Na₂SO₄. Solvent was removed in *vacuum* and the residue was subjected to column chromatography on silica gel to give hydroxy compound **8b**, Yield: 1.20 g (79.50%); $[\alpha]_D^{20} = +5.2$ (c 0.24, CHCl₃); lit.¹⁷ $[\alpha]_D^{20} = +1$ (c 1, CHCl₃); chiral GC analysis: *t*_R(minor) = 32.53 min, *t*_R(major) = 33.65 min; IR ν_{\max} : 3429, 3027, 2931, 1732, 1603, 1495, 1454, 1373, 1320, 1183 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): δ = 7.24 (m, 5H), 4.17 (q, *J* = 7.5 Hz, 2H), 4.05–3.95 (m, 1H), 3.05 (bs, -OH), 2.50 (dd, *J* = 3.5, 16.5 Hz, 1H), 2.43 (dd, *J* = 8.5, 16.5 Hz, 1H), 2.86–2.79 (m, 1H), 2.73–2.67 (m, 1H), 1.89–1.70 (m, 2H), 1.27 (t, *J* = 7.5 Hz, 3H); ¹³C NMR (125 MHz, CDCl₃): δ = 173.0, 141.8, 128.5, 128.5, 125.9, 67.3, 60.7, 41.4, 38.2, 31.8, 28.0, 14.2; GCMS (*m/z*): 222 (M⁺), 204, 176, 159, 145, 130, 117, 104, 91, 77, 60, 43. HRMS (*m/z*) calcd for C₁₃H₁₈O₃; 222.2834; 222.2842.

4.9. Synthesis of *t*-butyl (R)-5-hydroxy-3-oxo-7-phenylheptanoate **9b**

To a mixture of diisopropyl amine (2.26 g, 0.022 mol) and dry THF (20 mL), cooled to 0 °C under an N₂ atmosphere was added *n*-BuLi (14.12 mL, 0.021 M) over 10 min, stirring was continued for 20 min. After 20 min, the solution was cooled to –78 °C and *t*-butyl acetate (2.5 g, 5.4 mmol) was added over 5 min. The stirring was continued for 20 min, and the resulting solution at –78 °C was transferred *via* syringe over 5 min to a solution of compound **8b** (1.2 g, 5.4 mmol) and THF at 0 °C. The reaction flask was placed in a rt water bath and stirred for 1.5 h. The reaction mixture was quenched with saturated NH₄Cl solution. THF was removed in *vacuum* and the residue was extracted in EtOAc. The aqueous layer was washed with EtOAc. The solvent was removed in *vacuum* from the combined extracts and the residue was subjected to column chromatography on silica gel to give **9b**, Yield: 1.15 g (73.80%), liquid; $[\alpha]_D^{20} = -12.8$ (c 0.45, CHCl₃); lit.^{11a} ethyl ester $[\alpha]_D^{20} = -12.5$ (c 1, CHCl₃); Chiral GC analysis: *t*_R(minor) = 26.4 min, *t*_R(major) = 27.5 min; IR ν_{\max} : 3428, 2932, 1730, 1714, 1603, 1495, 1454, 1370, 1258, 1154, 1030, 843, 754 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): δ 7.33–7.18 (m, 5H), 4.16 (t, *J* = 4.45 Hz, 2H), 4.06–3.99 (m, 1H), 2.86–2.67 (m, 2H), 2.50 (dd, *J* = 3.45, 16.45 Hz, 1H), 2.46 (dd, *J* = 8.7, 16.4 Hz, 1H), 3.16 (bs, 1H), 1.90–1.70 (m, 2H), 1.48 (s, 9H); ¹³C NMR (125 MHz, CDCl₃): δ 192.2, 173.2, 141.8, 128.9, 128.4, 126.6, 126.4, 67.3, 64.7, 49.4, 38.3, 31.9, 30.7, 28.2, 19.2, 14.1. HRMS (*m/z*) calcd for C₁₇H₂₄O₄; 292.3742; found: 292.3736.

4.10. Synthesis of *t*-Butyl (3*R*,5*R*)-3,5-dihydroxy-7-phenylheptanoate **10b**

To a stirred solution of compound **9b** (0.668 g, 2.28 mmol) in dry THF (15 mL), cooled at –10 °C under an N₂ atmosphere was added triethylborane (0.278 mL, 2.7 mmol). The reaction was stirred for 15 min. To this mixture was added dropwise a solution of NaBH₄ (0.180 g, 4.6 mmol) in dry THF (5 mL). The reaction mixture was allowed to stir for 4 h. The reaction was monitored on TLC. It was then quenched with water and extracted with EtOAc, washed with brine and dried over anhydrous Na₂SO₄. The solvent was removed in *vacuum* and the residue was subjected to column chromatography on silica gel to give **10b**, Yield: 0.577 g (85.80%), viscous liquid; $[\alpha]_D^{20} = -5.5$ (c 0.51, CHCl₃); lit.^{11a} ethyl ester $[\alpha]_D^{20} = -4.8$ (c 1, CHCl₃); Chiral GC analysis: *t*_R(minor) = 29.8 min, *t*_R(major) = 30.4 min; IR ν_{\max} : 3435, 3018, 2981, 1713, 1495, 1454, 1394, 1369, 1216, 1152, 1085, 740; ¹H NMR (500 MHz,

CDCl₃): δ 7.30–7.15 (m, 5H), 4.25–4.19 (m, 1H), 3.92–3.86 (m, 1H), 2.84–2.60 (m, 2H), 2.41–2.38 (m, 2H), 1.87–1.53 (m, 4H), 1.48 (s, 9H); ¹³C NMR (125 MHz, CDCl₃): δ 172.2, 142.2, 128.9, 128.6, 128.5, 128.4, 125.9, 81.6, 71.4, 69.3, 42.7, 39.5, 31.8, 28.1; GCMS (*m/z*): 294 (M⁺), 281, 257, 234, 204, 189, 177, 159, 147, 131, 117, 104, 91, 78, 65, 45; HRMS (*m/z*) calcd for C₁₇H₂₆O₄; 294.3900; found: 294.3894.

4.11. Synthesis of (4R,6R)-tetrahydro-4-hydroxy-6-phenethylpyran-2-one 6b

A catalytic amount of *p*-toluenesulfonic acid (15 mg) was added to a stirred solution of **10b** (0.150 g, 0.51 mmol) in dry CH₂Cl₂ (5 mL) under an N₂ atmosphere and stirring was continued at rt for 3 h. After completion of the reaction, the reaction mixture was quenched by the addition of NaHCO₃ (0.092 g). The mixture was filtered, and the solvent was removed in *vacuum*. The crude residue was subjected to column chromatography to give lactone **6b**. Yield: 0.082 g (73.0%); [α]_D²⁰ = +37.1 (c 0.10, CHCl₃); lit^{11a} [α]_D²⁰ = +47 (c 0.3, CHCl₃); Chiral GC analysis: *t*_R(major) = 34.56 min; IR ν_{max} : 3399, 3019, 2927, 1722, 1407, 1215, 1022, 720, 668 cm⁻¹; ¹H NMR (500 MHz, CDCl₃): δ 7.45–7.30 (m, 5H), 4.80–4.55 (m, 1H), 4.20 (m, 1H), 3.10–2.40 (m, 4H), 2.30–1.80 (m, 4H), 1.30 (br s, 1H). ¹³C NMR (125 MHz, CDCl₃): δ 171.4, 140.9, 128.7, 128.7, 128.6, 128.5, 128.4, 76.8, 74.2, 38.4, 37.5, 36.0, 32.0; HRMS (*m/z*) calcd for C₁₃H₁₆O₃; 220.2676; found: 220.2668.

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A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.tetasy.2016.12.006>.

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