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Simple Indole Synthesis by One-Pot Sonogashira Coupling—NaOH-Mediated Cyclization

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Dedicated to Prof. Dr. Josep Font on the occasion of his 70th birthday.

Abstract: Coupling of o-iodoanilines with terminal alkynes under standard Sonogashira conditions, and further treatment with NaOH under conventional heating or microwave irradiation, afford 2-substituted indoles in usually high yields. Functionalities such as halides, nitro, and cyano groups are tolerated under the reaction conditions

Key words: indoles, cyclizations, alkynes, microwave irradiation

The indole ring system is a prominent structural unit frequently found in numerous natural products and pharmaceutically active compounds.¹ The synthesis and functionalization of indoles has been a major challenge for synthetic organic chemists, and numerous methods for their preparation have been developed.² The implementation of practical, safe, and scalable methods for the largescale preparation of indoles is of interest and so, the development of practical methodologies that allow the synthesis of a great variety of substituted indoles is a current objective in organic synthesis. Among the many methods for indole-ring synthesis, the cyclization reactions of 2-alkynylaniline derivatives are some of the most useful procedures for the construction of the indole nucleus.³ In this field, an interesting access to 2-substituted indoles makes use of a metal-alkoxide-mediated cyclization of 2-alkynylaniline derivatives. Several examples of this methodology typically involved the use of NaOEt⁴ or KO*t*-Bu⁵ with N-substituted anilines, usually carbamates. In this context, Knochel reported a milder procedure which relied on the use of *N*-methyl-2-pyrrolidone (NMP) as the reaction solvent and KH or KOt-Bu as bases.⁶ This strategy has also been employed by other authors for the preparation of azaindoles,⁷ 2-alkynylindoles,⁸ as well as amino and nitroindoles.9 Most of these methods suffer from drawbacks like high temperatures, long reaction times, low yields, and the use of moisture-sensitive bases.¹⁰ During the last years we have been interested in the development of suitable methodologies for the synthesis of functionalized indoles.¹¹ Herein, we report a new method for the one-pot synthesis of 2-substituted indoles from o-iodoanilines using a NaOH-mediated 5-endo-dig cyclization of o-alkynylanilines as the key step. This basemediated cyclization takes place under conventional heating, or more conveniently, under microwave irradiation.

Our studies started with the model reaction of o-hex-1ynylaniline (1a) with finely powdered NaOH in DMF as solvent under different conditions. As shown in Table 1, the cyclization does not take place at room temperature using a high excess of NaOH (entry 1), and after some experimentation we determined that the reaction must be conducted at 140 °C with 3 equivalents of base to get complete conversion of the starting aniline 1a to 2-butylindole (2a, Table 1, entry 2). Due to the fact that microwave heating has emerged as a versatile method to speed up many chemical processes, getting cleaner reactions, and delivering high yields in a few minutes, 12 we decided to try our reaction under microwave irradiation (Table 1, entries 3 and 4). Under these conditions N,N-dimethylacetamide (DMA) gave cleaner results than DMF and, in this way, complete cyclization of 1a under optimized conditions took place in 8 minutes with only 1.5 equivalents of NaOH at 170 °C, affording 2a in high yield (Table 1, entry 4).

Having established the best reaction conditions for cyclization of **1a**, both under conventional heating and microwave irradiation, we then explored the scope of this indole synthesis with respect to the substituent at the C2-

Table 1 Sodium hydroxide Mediated Cyclization of o-Hex-1-ynylaniline (1a)^a

Entry	NaOH (equiv)	Heating	Temp (°C)	Time (min)	Ratio ^b 2a/1a	Yield (%)°
1	6	Δ	20	120	0:1	_d
2	3	Δ	140	150	1:0	85
3	6	MW	140	10	6:1	_d
4	1.5	MW	170	8	1:0	89

 $^{^{\}rm a}$ All reactions were carried out with 1a (0.5 mmol) in anhyd DMF or DMA (2 mL).

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^b Determined by ¹H NMR analysis of the crude product.

^c Isolated yield of **2a** after column chromatography.

d Not determined.

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position in the final indole **2**. As shown in Table 2, 2-substituted indoles **2b**—**f** were synthesized in usually high yields. In general, slightly better yields were obtained under microwave irradiation (Table 2, entries 2, 4, 6, and 8), although the most important fact was the significant reduction in reaction time, from several hours to typically 10–20 minutes.

Table 2 2-Substituted-1*H*-indoles **2b**-**f** by NaOH-Mediated Cyclization of *o*-Alkynylanilines **1**^a

Entry	Starting aniline	R	Heating	Time	Product	Yield (%) ^b
1	1b	Ph	Δ	9 h	2b	74
2	1b	Ph	MW	20 min	2 b	81
3	1c	c-C ₆ H ₉ ^c	Δ	2 h	2c	87
4	1c	c-C ₆ H ₉ ^c	MW	7 min	2c	91
5	1d	3-Th ^d	Δ	6 h	2d	77
6	1d	3-Th ^d	MW	40 min	2d	78
7	1e	c-C ₆ H ₁₁	Δ	5 h	2e	72
8	1e	c-C ₆ H ₁₁	MW	20 min	2e	76
9	1f	n-C ₅ H ₁₁	Δ	2.5 h	2f	83

 $^{^{\}rm a}$ Reactions were carried out with 1 (1 mmol) in anhyd DMF (4 mL) under conventional heating or with 1 (0.5 mmol) in anhyd DMA (2 mL) under microwave irradiation.

Although the starting anilines 1 were easily synthesized by the Sonogashira cross-coupling of 2-iodoaniline 3a with 1-alkynes, 13 at this point we wondered if 2-substituted indoles 2 could be prepared by a one-pot, two step procedure from 3a. To this end, 3a was first treated with 1hexyne under standard Sonogashira conditions at room temperature and then, excess of NaOH (10 equiv) was added to the mixture and heated to 140 °C for several hours. Gratifyingly, indole 2a was obtained in 78% overall yield without isolation of intermediate 1a (Table 3, entry 1).14 In addition, this one-pot procedure for the synthesis of 2-substituted indoles 2a-k from 2-iodoaniline 3a could also be carried out under microwave irradiation. In this case, Sonogashira couplings took place in 10 minutes, 15 and for the cyclization step the microwave irradiation allowed the use of a lesser amount of NaOH (4 equiv), as well as the reaction times were reduced from several hours to 10-40 minutes (Table 3). As shown in Table 3, different 1-alkynes bearing aliphatic (entries 1, 2, 8, and 9), alkenyl (entries 5 and 6), aryl (entries 3, 4, and

Table 3 2-Substituted-1H-indoles **2** by One-Pot Sonogashira Coupling–NaOH-Mediated Cyclization of o-Iodoaniline **3a** with Terminal Alkynes^{a,17}

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Entry	Alkyne (R)	Solvent	Heating (temp)	Time	Prod.	Yield (%) ^b
1	n-Bu	DMF	Δ (140 °C)	3.5 h	2a	78
2	n-Bu	DMA	MW (170 °C)	10 min	2a	81
3	Ph	DMA	Δ (140 °C)	6 h	2b	76
4	Ph	DMA	MW (190 °C)	20 min	2b	77
5	c-C ₆ H ₉ ^c	DMF	Δ (140 °C)	2 h	2c	82
6	c-C ₆ H ₉ ^c	DMA	MW (170 °C)	10 min	2c	88
7	3-Th ^d	DMA	MW (180 °C)	40 min	2d	60
8	c-C ₆ H ₁₁	DMA	Δ (140 °C)	6 h	2e	69
9	<i>n</i> -C ₅ H ₁₁	DMF	Δ (140 °C)	4.5 h	2f	68
10	2-Py ^e	DMF	Δ (140 °C)	2.5 h	2g	76
11	$4-MeC_6H_4$	DMA	Δ (140 °C)	4 h	2h	70
12	$2-NH_2C_6H_4$	DMA	MW (180 °C)	50 min	2i	40
13	4-F-3-MeC ₆ H ₃	DMA	MW (180 °C)	40 min	2j	71
14	3-ClC ₆ H ₄	DMA	MW (180 °C)	40 min	2k	74

a Reactions were carried out with 3a (1 mmol) in anhyd DMF or DMA (5 mL) under conventional heating or with 3a (0.5 mmol) in anhyd DMA (2 mL) under microwave irradiation.

11), heteroaryl (entries 7 and 10), and functionalized aryl (entries 12–14) substituents were appropriate substrates for this methodology. In general, satisfactory to high yields were obtained and, moreover, this one-pot procedure is amenable for the gram-scale synthesis of 2-substituted indoles $2.^{16}$

Once we had established the generality of this one-pot procedure for the synthesis of 2-substituted indoles **2** with respect to the alkyne counterpart, we decided to explore the scope of this synthetic strategy with regard to the *o*-iodoaniline moiety (Table 4). Again, reactions could be performed under conventional heating or under microwave irradiation. First, we observed that *N*-acetyl-2-iodoaniline (**3b**) behaves as a synthetic equivalent of 2-iodoaniline (**3a**) since N-deacetylation occurred under the basic conditions (Table 4, entries 1–3). This methodology is not restricted to N-unsubstituted starting anilines and

^b Isolated yield of indoles **2** after column chromatography.

^c 1-Cyclohexenyl.

^d 3-Thienyl.

^b Isolated yield of indoles **2** after column chromatography.

^c 1-Cyclohexenyl.

d 3-Thienyl.

e 2-Pyridyl.

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Table 4 Functionalized Indoles 2 by One-Pot Sonogashira Coupling-NaOH-Mediated Cyclization of o-Iodoanilines 3a,17

Entry	Starting aniline	\mathbb{R}^1	\mathbb{R}^3	\mathbb{R}^4	\mathbb{R}^5	Alkyne (R²)	Solvent	Heating (temp)	Time	Product	Yield (%) ^b
1	3b	COMe	Н	Н	Н	n-Bu	DMF	Δ (140 °C)	2.5 h	$2a R^1 = H$	89
2	3b	COMe	Н	Н	Н	c-C ₆ H ₉ ^c	DMF	Δ (140 °C)	3.5 h	$2c R^1 = H$	75
3	3b	COMe	Н	Н	Н	n-C ₅ H ₁₁	DMA	MW (180 °C)	20 min	$2f R^1 = H$	74
4	3c	Bn	Н	Н	Н	n-Bu	DMF	Δ (140 °C)	3.5 h	21	80
5	3c	Bn	Н	Н	Н	c-C ₆ H ₉ ^c	DMA	MW (170 °C)	20 min	2m	75
6	3d	Н	Н	Cl	Н	n-Bu	DMF	Δ (140 °C)	3 h	2n	88
7	3d	Н	Н	Cl	Н	c-C ₆ H ₉ ^c	DMF	Δ (140 °C)	3 h	20	75
8	3e	Н	Н	F	Н	n-Bu	DMF	Δ (140 °C)	3 h	2p	74
9	3e	Н	Н	F	Н	Ph	DMA	MW (180 °C)	40 min	2 q	66
10	3e	Н	Н	F	Н	c-C ₆ H ₉ ^c	DMF	Δ (140 °C)	3 h	2r	61
11	3f	Н	Cl	Н	Cl	c-C ₆ H ₉ ^c	DMF	Δ (140 °C)	2.5 h	2s	68 (66) ^d
12	3f	Н	Cl	Н	Cl	n-C ₅ H ₁₁	DMF	Δ (140 °C)	2.5 h	2t	78
13	3g	Н	Cl	Н	F	Ph	DMA	Δ (140 °C)	3 h	2u	81
14	3g	Н	Cl	Н	F	$n-C_5H_{11}$	DMF	Δ (140 °C)	3 h	2 v	83
15	3h	Н	CN	Н	Н	Ph	DMA	Δ (140 °C)	3 h	2w	85
16	3i	Н	NO_2	Н	Н	n-Bu	DMA	MW (180 °C)	20 min	2x	79

^a Reactions were carried out with **3** (1 mmol) in anhyd DMF or DMA (5 mL) under conventional heating or with **3** (0.5 mmol) in anhyd DMA (4 mL) under microwave irradiation.

so, *N*-benzyl-2-iodoaniline (**3c**) afforded the corresponding *N*-benzyl indole derivatives **2l** and **2m** in high yields (Table 4, entries 4 and 5). Furthermore, halo-2-iodoanilines **3d,e** and dihalo-2-iodoanilines **3f,g** allowed the synthesis of regioselectively halogenated indole derivatives **2n–v** in usually high yields (Table 4, entries 6–14). Finally, 2-iodoanilines **3h** and **3i** bearing cyano and nitro functionalities, respectively, also afforded the corresponding functionalized indoles **2w–x** (Table 4, entries 15 and 16), showing that several functional groups are tolerated under the reaction conditions.

Interestingly, by using this methodology it is also possible to prepare 3-arylthio-2-substituted indoles **4**, due to the fact that the arylthio group could be selectively introduced at C-3 under the basic conditions required for the cyclization step (Scheme 1). Again, this one-pot, three-step procedure could be carried out under conventional thermal heating or under microwave irradiation. In the last

Scheme 1 Synthesis of 3-arylthio-2-substituted-1H-indoles **4** from o-iodoanilines **3**

^b Isolated yield of indoles **2** after column chromatography.

^c 1-Cyclohexenyl.

^d Yield in brackets refers to the reaction carried out under microwave irradiation.

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case, shorter reaction times were required. As shown in Scheme 1, highly functionalized indole derivatives **4a–c** were obtained in moderate to high yields from commercially available starting materials **3a,d**.

In summary, we have developed an efficient route to 2-substituted indoles using a NaOH-mediated 5-endo-dig cyclization of o-alkynylanilines as the key step. The reaction can be performed from 2-iodoanilines and alkynes in a one-pot process that involves an initial Sonogashira coupling followed by the cyclization. This one-pot procedure is compatible with the presence of several important functional groups onto the benzenoid moiety, and also it does work with N-unsubstituted anilines as well as with substituted ones. Moreover, an arylthio group can also be selectively introduced at the C-3 position without isolation of any intermediate. In addition, all the reactions can be carried out under conventional heating or under microwave irradiation with considerable reduction of reaction times.

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- (16) The amount of 2.31 g of indole **2a** (67% isolated yield) were easily prepared in one batch from 4.38 g (20 mmol) of 2-iodoaniline **3a**.
- (17) Typical Procedure for the One-Pot Synthesis of 2-Substituted Indoles 2 from o-Iodoanilines 3 under Conventional Heating Synthesis of 2-Cyclohex-1-enyl-1H-indole (2c; Table 3, Entry 5)

 A mixture of 2-iodoaniline (3a, 219 mg, 1 mmol)
 - A mixture of 2-iodoaniline (3a, 219 mg, 1 mmol), 1-ethynylcyclohexene (159 mg, 1.5 mmol), PdCl₂(PPh₃)₂ (21 mg, 0.03 mmol), CuI (9.5 mg, 0.05 mmol), and Et₂NH (110 mg, 1.5 mmol) in anhyd DMF (3 mL) was stirred under N₂ at r.t. for 1.5 h (the consumption of the starting material was monitored by GC-MS). Then, DMF (2 mL) and freshly powdered NaOH (400 mg, 10 mmol) were added to the reaction mixture, and it was heated at 140 °C for 2 h (the end of the cyclization was monitored by GC-MS). The reaction was cooled to r.t. and then, CH₂Cl₂ (15 mL) and HCl aq (20 mL of a 0.5M solution) were added. The separated aqueous phase was extracted with CH_2Cl_2 (2 × 15 mL), and the combined organic layers were washed with H₂O $(3 \times 50 \text{ mL})$. The organic phase was dried (Na_2SO_4) and concentrated under reduced pressure. The crude product was purified by column chromatography on SiO₂ (hexane-EtOAc, 10:1) to afford **2c** (162 mg, 82%) as a white solid; mp 137-139 °C (lit.²⁰ mp 140-141 °C). ¹H NMR (300 MHz, CDCl₃): $\delta = 8.06$ (br s, 1 H), 7.66 (d, J = 7.8 Hz, 1 H), 7.35 (dd, J = 7.8, 1.2 Hz, 1 H), 7.29-7.14 (m, 2 H), 6.53 (d,J = 1.7 Hz, 1 H, 6.15-6.09 (m, 1 H), 2.57-2.48 (m, 2 H),2.36-2.27 (m, 2 H), 1.92-1.82 (m, 2 H), 1.82-1.72 (m, 2 H). ¹³C NMR (75.4 MHz, CDCl₃): δ = 139.6 (C), 136.2 (C), 129.1 (C), 129.0 (C), 122.7 (CH), 122.0 (CH), 120.4 (CH), 119.8 (CH), 110.5 (CH), 98.7 (CH), 26.1 (CH₂), 25.6 (CH₂), 22.6 (CH₂), 22.3 (CH₂). LRMS (EI): m/z (%) = 197 (100) [M⁺], 182 (13), 168 (58), 130 (33). HRMS: m/z calcd for C₁₄H₁₅N: 197.1204; found: 197.1199.

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- (19) Typical Procedure for the One-Pot Synthesis of 3-Arylthio-2-Substituted Indoles 4 from o-Iodoanilines 3 under Microwave Irradiation - Synthesis of 6-Chloro-2pentyl-3-phenylsulfanyl-1*H*-indole (4c) A mixture of 5-chloro-2-iodoaniline (3d, 127 mg, 0.5 mmol), 1-heptyne (72 mg, 0.75 mmol), PdCl₂(PPh₃)₂ (10.5 mg, 0.015 mmol), CuI (4.8 mg, 0.025 mmol), and Et₂NH (55 mg, 0.75 mmol) in DMA (2 mL) was charged under air in a 35 mL thick-walled glass sealed tube and irradiated, under stirring, at 70 °C in the microwave cavity for 10 min (CEM Focused Microwave System, Discover S-Class). Temperature measurements were conducted using an IR sensor located below the microwave-cavity floor, and reaction times refer to the total hold time at the indicated temperature. The maximum wattage supplied was 70-80 W). After cooling, freshly powdered NaOH (80 mg, 2 mmol) was added to the reaction mixture and it was heated at 180 °C in the microwave cavity for 20 min. The reaction mixture was cooled to r.t. and then, Ph₂S₂ (131 mg, 0.6 mmol) was added to the mixture, and it was heated at 140 $^{\circ}\text{C}$ in the microwave cavity for 20 min. The reaction was cooled
- to r.t. and then, CH₂Cl₂ (15 mL) and HCl aq (20 mL of a 0.5 M solution) were added. The separated aqueous phase was extracted with CH₂Cl₂ (2 × 15 mL), and the combined organic layers were washed with H_2O (3 × 50 mL). The organic phase was dried (Na₂SO₄) and concentrated under reduced pressure. The crude product was purified by column chromatography on SiO₂ (hexane–EtOAc, 15:1) to afford 4c (133 mg, 81%) as a light brown oil; $R_f = 0.27$ (hexane– EtOAc, 15:1). ¹H NMR (300 MHz, CDCl₃): δ = 8.37 (br s, 1 H), 7.47 (d, J = 8.4 Hz, 1 H), 7.32 (d, J = 1.7 Hz, 1 H), 7.22-7.15 (m, 2 H), 7.15-7.02 (m, 4 H), 2.89 (t, J = 7.5 Hz, 2 H), 1.71-1.60 (m, 2 H), 1.36-1.25 (m, 4 H), 0.86 (t, J = 6.9Hz, 3 H). 13 C NMR (75.4 MHz, CDCl₃): $\delta = 146.4$ (C), 139.2 (C), 135.9 (C), 128.9 (C), 128.8 (2 × CH), 128.0 (C), 125.5 (2×CH), 124.7 (CH), 121.4 (CH), 120.0 (CH), 111.0 (CH), $99.2 \; (C), \, 31.5 \; (CH_2), \, 29.2 \; (CH_2), \, 26.5 \; (CH_2), \, 22.4 \; (CH_2), \,$ 14.0 (CH₃). LRMS (EI): m/z (%) = 331 (37) [M⁺ + 2], 329 (92) [M⁺], 272 (57), 236 (100), 204 (38), 164 (60). IR (neat): 3411, 2931, 1582, 1454, 808, 740, 690 cm⁻¹. HRMS: *m/z* calcd for $C_{19}H_{20}CINS$: 329.1005; found: 329.1004.
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