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1 **Novel *Trans*-Ferulic Acid Derivatives Containing a Chalcone Moiety as**
2 **Potential Activator for Plant Resistance Induction**

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26 **ABSTRACT:** A series of novel *trans*-ferulic acid derivatives containing a chalcone
27 moiety were designed, and synthesized to induce plant resistance. Antiviral activities of
28 the compounds were evaluated. Bioassay results demonstrated that compounds **F3**, **F6**,
29 **F17**, and **F27** showed remarkable curative, protective and inactivating activities against
30 tobacco mosaic virus (TMV). With an 50% effective concentration (EC_{50}) value of 98.78
31 $\mu\text{g mL}^{-1}$, compound **F27** exhibited the best protective activity compared with
32 *trans*-ferulic acid ($328.6 \mu\text{g mL}^{-1}$), dufulin ($385.6 \mu\text{g mL}^{-1}$), and ningnanmycin ($241.3 \mu\text{g}$
33 mL^{-1}). This protective ability was associated with potentiation of defense-related enzyme
34 activity and activation of photosynthesis of tobacco at an early stage. This notion was
35 confirmed by up-regulated expression of stress responses and photosynthesis regulating
36 proteins. This work revealed that **F27** can induce resistance and enhance plant tolerance
37 to TMV infection. Hence, **F27** can be considered as a novel activator for inducing plant
38 resistance.

39 **KEYWORDS:** *trans-ferulic acid, chalcone, tobacco mosaic virus, antiviral activity,*
40 *plant resistance*

41

42 INTRODUCTION

43 Tobacco mosaic virus (TMV) is a well-studied plant virus worldwide and causes massive
44 crop loss; this virus infects more than 400 plant species of 36 families, including tobacco,
45 tomato, potato, pepper, and cucumber.¹ Viral infection is extremely difficult to control
46 under field conditions. Ningnanmycin (Figure 1) is the most effective plant virus
47 inhibitor and is used to prevent TMV disease, however, this antiviral is not widely used in
48 field trial because of the agent's photosensitivity and water stickiness.² In fact, no
49 chemical treatment can thus far absolutely inhibit TMV once it has infected a plant.³ Thus,
50 experts face the challenge of fully protecting plants from TMV infection.

51 In plants, resistance induced by plant activators can provide defense against pathogens.
52 Such resistance manifests as various defensive responses, such as oxidative burst,
53 cell-wall reinforcement, and phytoalexin synthesis.⁴⁻⁶ Some studies reported about plant
54 activators, including salicylic acid (SA) and its derivatives,⁷⁻⁹
55 benzo[*d*][1,2,3]-thiadiazole-7-carboxylic acid (CGA210007) and its derivatives,^{6,10} and
56 jasmonic acid (JA)¹¹ (Figure 1). These activators are metabolic products during plant
57 growth. Plant metabolic products are highly efficient and environment-friendly and
58 involve unique modes of action.^{12,13} As such, these metabolic products are considered
59 rich source of plant activators that help protect plants from TMV infection. Some
60 metabolic products exhibit good inhibitory activities against TMV, these metabolic
61 products include β -carbolines,^{14,15} quassinoids,¹⁶ limonoids,¹⁷ phenanthroindolizidine and
62 its analogues.¹⁸ However, only few products were applied in field trials because these
63 compounds difficult to be obtained from plants and are too complex to be synthesized.¹⁹
64 Our previous research showed that the aminophosphonate derivative, dufulin (DFL)
65 (Figure 1), displays good inhibitory activity against TMV, cucumber mosaic virus, and

66 other plant viruses. This derivative can activate SA signaling pathway to induce host
67 plants to generate antiviral responses.²⁰ This property was widely exploited in China to
68 prevent the spread of plant viral diseases. We also discovered a number of
69 α,β -unsaturated carbonyl derivatives that exhibit good antiviral activities.^{21–24}
70 *Trans*-ferulic acid is an important α,β -unsaturated carbonyl metabolic product of plant
71 (Figure 1); it is derived from cinnamic acid and can produce phytoalexins and SA through
72 biosynthesis for defense against pathogens.²⁵ Some *trans*-ferulic acid derivatives were
73 reported as anti-TMV agents.^{26–28} Aside from *trans*-ferulic acid, chalcones also exhibit
74 anti-TMV^{29,30} activities. However, these compounds present low antiviral activities, and
75 no study can explain their mechanism of action for plant resistance induction.

76 To further develop highly effective, full-scale antiviral agents, a series of novel
77 *trans*-ferulic acid derivatives containing a chalcone moiety (Figure 2 and Scheme 1) were
78 designed and synthesized to induce plant resistance in tobacco. Then, half-leaf method *in*
79 *vivo*³¹ was used to evaluate inhibitory activities of these agents against TMV. The present
80 work investigated plant defense response mechanisms of compound **F27**; such
81 mechanisms include enzyme activities, chlorophyll content, photosynthesis, and
82 differentially expressed proteins (DEPs). To the best of our knowledge, this study first
83 demonstrated that compound **F27** can enhance resistance in tobacco and can be
84 considered as novel activator for plant resistance induction.

85 MATERIALS AND METHODS

86 **Instruments and Chemicals.** Melting points were determined on an XT-4 binocular
87 microscope melting point apparatus (Beijing Tech Instrument Co., China; uncorrected).
88 Nuclear magnetic resonance (NMR) spectroscopy was performed on solvents CDCl₃ and

89 dimethyl sulfoxide- d_6 (DMSO- d_6) at 500 and 125 MHz using a JEOL-ECX 500 NMR
90 spectrometer (JEOL Ltd., Japan) and tetramethylsilane as internal standard.
91 High-resolution mass spectrometer (HRMS) was conducted using a Thermo Scientific Q
92 Exactive (Thermo, USA). *Trans*-ferulic acid (99% purity; CAS: 537-98-4) and other
93 reagents were purchased from Aladdin Company. All solvents were of analytical reagent
94 grade and were dried and purified in accordance with standard procedures before use.

95 **General Procedures for Preparing Intermediates.** *Trans*-ferulic acid ester
96 intermediates **1a–1d** were synthesized with *trans*-ferulic acid and various alcohols
97 (Scheme 1). Then, potassium carbonate (12.0 mmol) was added to a solution of
98 corresponding intermediate **1a–1d** (10 mmol) in butanone (30.0 mL), and resulting
99 mixture stirred for 1 h at room temperature. Next, 1,2-dibromoethane (15.0 mmol) was
100 added to the mixture, which was then warmed to 80 °C and stirred for 2–4 h. Upon
101 reaction completion (as indicated by thin-layer chromatography (TLC)), solids were
102 removed by filtration, and solvent was removed under reduced pressure. Residue was
103 purified by silica-gel column chromatography using petroleum ether/ethyl acetate (4:1,
104 *v:v*) to obtain intermediates **2a–2d**. Intermediate **3** was synthesized with
105 4-hydroxyacetophenone and various aromatic aldehydes in accordance with reported
106 procedures.³⁰

107 **General Synthetic Procedures for Title Compounds F1–F26.** Reaction mixture was
108 added to a solution of intermediate **3** (2.0 mmol) and potassium carbonate (2.4 mmol) in
109 dimethylformamide (DMF) (5.0 mL), and stirred at room temperature for 1 h. A solution
110 of corresponding intermediate **2** (1.9 mmol) in DMF (5.0 mL) was added to the mixture,
111 which was warmed to 60 °C afterward. Stirring was continued for 2 h to 4 h. Upon

112 completion of reaction (indicated by TLC), cold saturated salt solution was dropwise
113 added. Solids were filtered and washed with cold water. Crude product was recrystallized
114 from CH₂Cl₂/CH₃OH (1:1, v:v) to yield 72.2% to 93.3% of title compounds **F1–F26**.

115 **General Synthetic Procedures for Title Compounds F27–F30.** Reaction mixture
116 was added to a solution of corresponding acrylate (1.0 mmol) and sodium hydroxide (2.0
117 mmol) in H₂O (10.0 mL) and stirred at 60 °C until completion of reaction (indicated by
118 TLC). Mixture was then acidified by dropwise addition of aqueous HCl, filtered, and then
119 washed with cold water. Crude product was recrystallized from CH₃OH to obtain acrylic
120 acid compounds **F27–F30**.

121 **General Synthetic Procedures for Title Compounds F31–F35.** Reaction mixture
122 was added to a solution of corresponding acrylic acid (0.5 mmol) and potassium
123 carbonate (1.0 mmol) in CH₃CN (10.0 mL) and stirred at room temperature for 0.5 h,
124 Corresponding substituent benzyl chloride intermediate (0.5 mmol) was added to the
125 mixture, which was then warmed to 80 °C and stirred until completion of reaction. Then,
126 resultant mixture was filtered. Solvent was removed under reduced pressure, and crude
127 product was recrystallized from CH₂Cl₂/CH₃OH (1:1, v:v) to obtain the compounds
128 **F31–F35**. Section on Supporting Information list physical, NMR, and HRMS data of title
129 compounds.

130 **Antiviral Biological Assay.** *Nicotiana. tabacum* cv. K326 and *Nicotiana. tabacum* L.
131 plants were cultivated in a greenhouse. *N. tabacum* cv. K326 was used to determine
132 systemic TMV infection and *N. tabacum* L. was used as local lesion host when plants
133 grew to 5–6 leaf stage. TMV was purified by Gooding method,³² and *in vivo* modes of
134 compounds were determined through a reported technique; *in vivo* modes include

135 curative, protective, and inactivating activities.³¹ Positive controls included *trans*-ferulic
136 acid, commercial antiviral agent ribavirin, DFL, and ningnanmycin. Measurements were
137 performed in triplicates.

138 **Physiological and Biochemical Analysis.** *Plant growth and compound treatments.*
139 Similarly grown *N. tabacum* cv. K326 were selected at the seventh leaf stage, and 500
140 $\mu\text{g}\cdot\text{mL}^{-1}$ **F27** solution was smeared on whole leaves. Solvent and DFL were used as
141 negative (CK) and positive controls, respectively. Plant leaves were inoculated with the
142 virus after 12 h and cultivated in a greenhouse. Four treatments were adopted: CK,
143 CK+TMV, DFL+TMV, and **F27**+TMV. Tissue samples were collected at 1, 3, 5, and 7
144 days after inoculation treatment for assays on chlorophyll content, photosynthetic
145 characteristics, and defensive enzyme activities assay. Measurements were performed in
146 triplicates.

147 *Chlorophyll Content.* Using a modified reported method,³³ Chlorophyll contents of
148 samples were measured every two days. Test samples in triplicates of each treatment
149 were sliced into small uniform pieces by a hole puncher while avoiding the midrib,
150 Samples weighed 50 mg and were placed in 5 mL cold solution of 1:1 mixture of 85%
151 acetone and 85% ethanol (v/v). Samples were homogenized, incubated for 0.5 h at 35 °C
152 and centrifuged for 15 min at 6500 rpm. Absorbance spectra were recorded at 663 and
153 645 nm for chlorophyll a (C_a) and chlorophyll b (C_b), respectively, against a solution as
154 reference. C_a , C_b , and total chlorophyll content (C_t) were calculated as follows:

$$155 \quad C_a (\text{mg L}^{-1}) = 9.784OD_{663} - 0.990OD_{645}$$

$$156 \quad C_b (\text{mg L}^{-1}) = 21.426OD_{645} - 4.650OD_{663}$$

$$157 \quad C_t (\text{mg L}^{-1}) = C_a + C_b = 5.134OD_{663} + 20.643OD_{645}$$

158 Quantification (mg g^{-1} fresh weight) was performed using the following equation:

159
$$Q = CV/1000W$$

160 where C is concentration (mg L^{-1}); V is volume of solvent (mL); W refers to sample
161 fresh weight (g).

162 *Measurement of Photosynthetic Characteristics.* With slight modifications of a
163 described method,³⁴ photosynthetic characteristics of fully expanded leaves were
164 recorded using infrared gas analyzer (Li-6400, Li-COR, Lincoln, NE, USA); these
165 characteristics included net photosynthetic rate (P_n), stomatal conductance (G_s),
166 intercellular CO_2 concentration (C_i), transpiration rate (T_r), and chlorophyll fluorescence
167 (F_v/F_m) of plants treated with F27. Recording was conducted between 9:00 and 11:00
168 a.m. every two days for six plants covered by each treatment. During measurements,
169 photosynthetic active radiation, temperature, and CO_2 concentration were $300 \mu\text{mol m}^{-2}$
170 s^{-1} , $30 \text{ }^\circ\text{C}$, and $400 \mu\text{mol mol}^{-1}$, respectively. Chlorophyll fluorescence was measured
171 after adaptation to dark for 30 min to ensure complete relaxation of all reaction centers.
172 Minimum chlorophyll fluorescence (F_0) was determined by a measuring beam, whereas
173 maximum chlorophyll fluorescence (F_m) was measured after 0.8 s of saturation with
174 light pulse ($6000 \mu\text{mol m}^{-2} \text{s}^{-1}$). Actinic light ($300 \mu\text{mol m}^{-2} \text{s}^{-1}$) was applied for 1 min to
175 drive photosynthesis. Maximum quantum yield of photosystem II (PSII) (F_v/F_m) was
176 calculated as $(F_m - F_0)/F_m$.

177 *Determination of Defensive Enzyme Activities.* Activities of superoxide dismutase
178 (SOD), peroxidase (POD), catalase (CAT), and phenylalanine ammonia lyase (PAL)
179 were measured and calculated with enzyme assay reagent kits in accordance with
180 manufacturer's instructions (Suzhou Comin Bioengineering Institute, China).

181 **DEP Analysis.** *Protein Extraction.* Total tobacco proteins were extracted in
182 accordance with reported methods with slight modifications.^{35,36} Leaf sample (1.0 g)
183 was ground to power in liquid nitrogen, homogenized, and suspended in 5 mL of
184 ice-cold extraction buffer (0.7 M sucrose, 0.5 M Tris-HCl, 0.1 M KCl, 50 mM ethylene
185 diamine tetraacetic acid, 40 mM dithiothreitol (DTT), pH 7.5) at room temperature for
186 10 min. An equal volume of phenol saturated with Tris-HCl pH 7.5 was added. Mixture
187 was shaken at 4 °C for 30 min. After centrifugation at 5000 rpm for 10 min, the upper
188 phenol layer was transferred to a new tube. Five volumes of 100 mM ammonium acetate
189 in methanol were added, and resulting mixture was stored at -20 °C for 12 h. Mixture
190 was then centrifuged at 5000 rpm and 4 °C for 20 min. Precipitate was collected and
191 washed thrice with ice-cold 80% acetone at -20 °C. Then, precipitate was air-dried and
192 solubilized in 150 μ L rehydration solution (8 M urea, 0.1 M Tris, 10 mM DTT, PH 8.5)
193 at 37 °C for 1 h. Protein concentration was determined using the Bradford method.
194 Afterward, solutions containing 100 μ g protein were collected. An equal volume of 55
195 mM iodoacetamide was added, and mixture was incubated for 30 min at room
196 temperature in the dark. Mixture was then centrifuged at 12000 rpm and 4 °C for 20 min
197 with 3 kDa Millipore. Protein extract was washed six times with diluent rehydration
198 solution and dissolved in 100 μ L Milli-Q water. Then, sample was incubated with 12.5
199 μ g trypsin (Sigma, USA) at 4 °C for 30 min and then at 37 °C for at least 12 h. Mixture
200 was centrifuged at 12000 rpm for 20 min at 4 °C. Peptide solution was collected,
201 air-dried and solubilized in 50 μ L high-performance liquid chromatography grade H₂O
202 containing 0.1% formic acid (FA) for liquid chromatography–tandem MS (LC–MS/MS)
203 analysis.

204 *LC-MS/MS Analysis.* Peptides were analyzed by Nano-LC-MS/MS using a Triple
205 Time-of-flight (TOF) 5600 mass spectrometer (Foster City, CA, USA). For each sample,
206 8 μL peptide solution was injected using full-loop injection, and was desalted on a
207 ChromXP Trap column (Nano LC TRAP Column, 3 μm C18-CL, 120 \AA , 350 $\mu\text{m}\times 0.5$
208 mm, Foster City, CA, USA) with equilibration of 1% acetonitrile (ACN) and 0.1% FA
209 in water. Next, each sample was washed for 10 min at 300 nL min^{-1} flow rate. Then,
210 each sample was eluted by reverse-phase column chromatography (Nano LC C₁₈, 3 μm
211 C18-CL, 75 $\mu\text{m}\times 15$ cm, Foster City, CA, USA) using linear gradient formed by mobile
212 phase A (5% ACN, 0.1% FA) and mobile phase B (95% ACN, 0.1% FA) over 120 min
213 at a flow rate of 300 nL min^{-1} . Eluted peptides were directed by Triple TOF 5600 MS in
214 data-dependent mode to automatically switch between TOF-MS and product ion
215 acquisition using Analyst (R) Software (TF1.6). β -Galactosidase digest was used to
216 calibrate each pair of samples by 10 min of elution and 30 min of identification.

217 *Proteomics Data Analysis.* LC-MS/MS data were analyzed and quantified using
218 MaxQuant³⁷ version 1.5.2.8 by Andromeda search engine and based on tobacco
219 proteome downloaded from UniProt. Results of MaxQuant analysis included an initial
220 search at a precursor mass tolerance of 20 ppm, which is also used for mass
221 recalibration.³⁸ The search comprised variable modifications of methionine oxidation,
222 *N*-terminal acetylation, and cysteine carbamidomethylation. Precursor mass and
223 fragment mass presented initial mass tolerance values of 6 and 20 ppm, respectively, in
224 the main Andromeda search. Peptide length ranged from seven amino acids to two
225 miscleavages, and false discovery rate was set to 0.01. Normalized protein intensity was
226 determined using label-free quantification with minimum of two ratio counts.³⁸ iBAQ

227 algorithm was used to rank absolute abundance of DEPs within a single sample.³⁹
228 Protein tables were filtered by eliminating identifications of common contaminants and
229 reverse database. Unpaired *t*-test of iBAQ data of two-samples was used to identify
230 differentially accumulated proteins between treatment groups and control.

231 *Bioinformatics and annotations.* Classification of DEPs was conducted with gene
232 ontology (GO) annotation on Kyoto Encyclopedia of Genes and Genomes (KEGG) by
233 Uniprot software (<http://www.uniprot.org/>). GO items lacking corresponding
234 annotations were first removed from protein list. Subsequently, ID of listed proteins
235 were plotted at levels of biological process, cellular component, and molecular
236 function.⁴⁰ DEPs (expression level > 1.5 fold) were mapped to GO database
237 (<http://www.geneontology.org/>), and the number of proteins at each GO term was
238 computed. Results that came from label-free proteomics were used as the target list.
239 Background list was generated by downloading the GO database.

240 **Statistical analysis.** Each measurement was performed in triplicates and arranged
241 with completely randomized design. Experimental data were analyzed using SPSS
242 Version 16.0 (SPSS, Chicago, IL, USA). Multiple comparisons were performed among
243 different samples with Duncan's multiple-range tests ($P < 0.05$).

244 RESULTS AND DISCUSSION

245 **Chemistry.** Scheme 1 displays synthetic routes of *trans*-ferulic acid derivatives
246 containing a chalcone group. *Trans*-ferulic acid methyl, ethyl, propyl, and isopropyl
247 esters **1a–1d** were synthesized to determine the effects of different esters on antiviral
248 activity. Afterward, key intermediates **2a–2d** were obtained from corresponding
249 intermediates **1a–1d** and reacted with potassium carbonate and 1,2-dibromoethane in

250 butanone with a yield of 52.5% to 63.5%. Acetone, acetonitrile, tetrahydrofuran, and
251 DMF were each used as solvents and were investigated. Low yields were acquired from
252 the first three solvents. By contrast, compound **2** was obtained at 50% yield in DMF, but
253 was difficult to purify with excess amounts of the chemical. Thus, butanone was
254 considered as suitable solvent, and ratio of reactant to solvent was optimized as 1:10.
255 Using a similar method from our previous work,⁴¹ title compounds **F1–F26** were
256 synthesized with yield of 72.2% to 93.3%. Most plant activators are carboxylic acid and
257 their derivatives. Hence, carboxylic acid compounds **F27–F30** were prepared by
258 hydrolyzing corresponding acrylates. To explore the effect of bulky groups on antiviral
259 activity, acrylates **F31–F35** containing bulky groups were synthesized with
260 corresponding acrylic acid and substituent benzyl chloride intermediates. Compounds
261 structures were confirmed by ¹H NMR, ¹³C NMR, and HRMS; data are reported in
262 Supporting Information. In the present study, we considered **F1** as representative
263 example. Accordingly, the main characteristic of ¹H NMR spectra of title compound was
264 presence of four low-frequency downfield doublets at δ 7.93 (d, 1H, J = 16.0 Hz), 7.68 (d,
265 1H, J = 15.5 Hz), 7.58 (d, 1H, J = 16.0 Hz), and 6.56 (d, 1H, J = 16.0 Hz) ppm. These
266 results reveal the presence of four *trans* =C–H protons. Two broad singlets at 4.42 and
267 4.35 ppm indicate that **F1** contains two O–CH₂– protons. Two singlets at 3.77 and 3.68
268 ppm signify that the structure contains two –OCH₃. Typical chemical shifts near δ 187.78
269 and 167.52 ppm of ¹³C NMR spectra indicate the presence of C=O. Meanwhile, shifts
270 near δ 67.43 and 67.23 ppm confirm the presence of –OCH₂–. Typical shifts near δ 56.12
271 and 51.87 ppm also verify the presence of –OCH₃. Electrospray ionization–HRMS
272 showed [M+Na]⁺ mass to be 499.15277; this value is consistent with calculated value for

273 $C_{28}H_{25}O_6FNa$ $[M+Na]^+$ 499.15329.

274 **Antiviral Activity against TMV *in Vivo*.** Antiviral activities of title compounds
275 **F1–F35** against TMV were tested and are reported in Table 1. Some title compounds
276 exhibited remarkable antiviral activities against TMV at $500 \mu\text{g mL}^{-1}$. **F3, F6, F12, F17,**
277 **and F19** exhibited superior curative activities with values of 63.9%, 61.9%, 56.7%,
278 56.3%, and 57.2%, respectively, compared with those of *trans*-ferulic acid (41.5%), DFL
279 (43.8%), and ningnanmycin (54.5%). **F3, F6, F12, F13, F16, F17,** and **F19** exhibited
280 significantly greater protective activities against TMV with values of 64.6%, 64.4%,
281 68.1%, 66.8, 69.4%, 68.0%, and 66.5%, respectively, than *trans*-ferulic acid (53.5%),
282 DFL (51.4%), and ningnanmycin (62.8%). **F3, F6, F9, F13,** and **F17** showed superior
283 inactivating activities with values of 92.3%, 95.3%, 92.1%, 92.6%, and 93.6%,
284 respectively, compared with *trans*-ferulic acid (78.6%), DFL (76.5%), and ningnanmycin
285 (91.5%). Among these compounds, **F3** (Ar = 2-F-Ph), **F6** (Ar = 4-F-Ph), and **F17** (Ar =
286 4-Cl-Ph) exhibited greater curative, protective and inactivating activities than
287 *trans*-ferulic acid, DFL, and ningnanmycin. These findings implied that
288 electron-withdrawing groups of aromatic ring can enhance antiviral activity. Further
289 antiviral assay of carboxylic acids containing electron-withdrawing groups of aromatic
290 rings showed that compounds **F27–F30** displayed better protective activities against
291 TMV than ningnanmycin. Notably, **F27** exhibited excellent curative, protective, and
292 inactivating activities with values of 55.6%, 71.2%, and 92.4%, respectively, which were
293 superior to ningnanmycin. However, **F31–F35** demonstrated lower antiviral activities
294 against TMV compared with ningnanmycin. These results reveal that bulk groups are
295 unfavorable for antiviral activity of acrylate. EC_{50} values of protective activities suggest

296 that compounds **F3**, **F6**, **F12**, **F13**, **F16**, **F17**, **F19**, and **F25–F30** hold remarkable
297 protective activities against TMV. EC_{50} values ranged from $98.7 \mu\text{g mL}^{-1}$ to $224.2 \mu\text{g}$
298 mL^{-1} . In particular, **F27** displayed the best protective activity with EC_{50} value of $97.8 \mu\text{g}$
299 mL^{-1} . This value was superior to those of *trans*-ferulic acid ($328.6 \mu\text{g mL}^{-1}$), DFL (385.6
300 $\mu\text{g mL}^{-1}$) and ningnanmycin ($241.3 \mu\text{g mL}^{-1}$).

301 Results of preliminary structure-activity relationships showed that
302 electron-withdrawing groups of aromatic rings favor antiviral activity at the same
303 position. These findings were proven by the following activity order: **F1** (Ar = 4-F-Ph) >
304 **F5** (Ar = 4-OCH₃-Ph), **F6** (Ar = 4-F-Ph) > **F10** (4-OCH₃-Ph) and **F12** (Ar = 4-Cl-Ph) >
305 **F23** (Ar = 4-(CH₃)₃-Ph). Bulky group of aromatic rings does not favor antiviral activity.
306 This notion was supported by the activity order, **F6** (Ar = 4-F-Ph) > **F7** (Ar = 4-Cl-Ph),
307 **F27** (Ar = 4-F-Ph) > **F28** (Ar = 4-Cl-Ph) and **F12** (Ar = 4-Cl-Ph) > **F10** (Ar =
308 4-OCH₃-Ph) > **F23** (Ar = 4-(CH₃)₃-Ph). Introducing an aromatic heterocycle also
309 disfavors for antiviral activity. This finding was confirmed by the following activity order:
310 **F4** (Ar = benzene) > **F11** (Ar = thiophen) > **F15** (Ar = furan). Acrylates involving
311 suitable steric hindrance of their groups favor antiviral activity, as shown by the
312 following activity order: **F16** (R = *i*-Pr) > **F6** (R = Et) > **F1** (R = Me) > **F32** (R =
313 CH₂Ph) > **F34** (R = CH₂(3-CH₃-Ph)). Results also revealed that carboxylic acid
314 compounds hold greater protective activities against TMV than those of their
315 corresponding acrylates. This notion explains why most plant activators contain carboxyl
316 and indicates that *trans*-ferulic acid derivatives containing a chalcone group may serve as
317 potential anti-TMV agents.

318 **Physiological and Biochemical Responses of Tobacco.** Plants face infection by

319 various aggressive pathogens over their lifetime; to protect themselves during pathogenic
320 infections, plants evolved unique immune systems and developed various defense
321 responses; such defense mechanisms include those involving chlorophyll content, defense
322 enzyme activity,⁴² and photosynthetic rate.⁴³ That is, virus-infected plants develop strong
323 physiological and biochemical alterations.

324 *Effect on Chlorophyll Contents.* As a special and the most basic life process of green
325 plants, photosynthesis can provide necessary plant growth and energy. Chlorophylls make
326 up core components of chloroplasts, which play a major role in photosynthesis.⁴⁴ In our
327 study, chlorophyll content of tobacco plant (Figure 3) decreased gradually with TMV
328 inoculation; these chlorophylls included C_a , C_b , and C_t (Figure 3A, 3B, 3C). However, in
329 TMV-inoculated tobacco leaves treated with **F27**, chlorophyll content increased from day
330 1 to day 5 and reached the highest value at day 5. Variation in trends of **F27**+TMV
331 treatment surpassed that of DFL+TMV treatment. Chlorophyll content of **F27**-treated
332 tobacco decreased gradually. When chlorophyll content was measured, similar variation
333 tendencies were observed in chlorophyll a/b ratios of all treatments (Figure 3D). That is,
334 C_a and C_b , were altered simultaneously. Hence, **F27** may increase chlorophyll content,
335 improve photosynthesis, and thereby enhance plant host resistance to diseases.

336 *Effect on Photosynthesis.* Photosynthesis is a high-rate redox metabolic process and
337 causes reactive oxygen species (ROS) to be released in plants.⁴⁵ This process can avoid
338 damage to plants due to excessive oxygen. In this study, we found that TMV and
339 compound **F27** affected photosynthetic functions at various levels. P_n and T_r significantly
340 reduced in TMV-inoculated tobacco leaves (Figure 4 A, 4C). However, responses of P_n
341 and T_r increased in **F27**+TMV treatment group and reached their highest values on days 5

342 and 3, respectively. Afterward, P_n and T_r significantly declined. P_n and T_r in **F27**+TMV
343 treatment group increased by 33.4% and 35.1%, respectively, relative to those of CK. G_s
344 increased gradually and then decreased after treatments (Figure 4 B). C_i decreased in
345 **F27**+TMV treatment at day 1 to day 5 and then significantly increased afterward (Figure
346 4 D). F_v/F_m measurement is a frequently used parameter in studies of plant ecophysiology.
347 No significant change in F_v/F_m was noted among treatments. Notably, F_v/F_m increased
348 after **F27**+TMV treatment (Figure 5). Photosynthesis assay results indicate that **F27** may
349 enhance photosynthesis.

350 *Effect on Defensive Enzyme Activities.* Induced resistance is significantly related to
351 enhanced activities of defensive enzymes, such as SOD, POD, and PAL.^{42,45} Antioxidant
352 defense machinery protects plant cells from oxidative damage induced by ROS.
353 Furthermore, ROS generation can reflect the state of photosynthesis.⁴⁵ Therefore, we
354 analyzed defensive enzyme activities of **F27**-treated tobacco. SOD activity of **F27**+TMV
355 treatment group increased at day 1 to day 3 and reached the highest value on the third day.
356 SOD activity of **F27**+TMV treatment group was higher than that of TMV-inoculated
357 group. Then, activity decreased at day 3 to day 7 (Figure 6 A). POD activities of
358 CK+TMV, DFL+TMV, and **F27**+TMV treatment groups were higher than that of CK.
359 Notably, POD activity of **F27**+TMV treatment group was visibly higher than that of CK
360 treatment group and reached the highest value (58.7%) at day 5 (Figure 6 B). As a
361 defense gene, POD can induce biosynthetic pathway of SA, lignin, and phytoalexins,
362 which can activate SAR⁴⁶ and promote cell-wall reinforcement and pathogen inhibition.⁴⁷
363 CAT can catalyze decomposition of hydrogen peroxide to water and oxygen. This process
364 can protect cells against oxidative damage from ROS. CAT activities increased

365 significantly in DFL+TMV and **F27**+TMV treatment groups and reached their maximum
366 values at day 3 (Figure 6 C). Activities (90.4% and 78.5%, respectively) were higher than
367 that of CK treatment. In **F27** and TMV treatment groups, PAL activity of tobacco leaves
368 increased at day 1 to day 5, reached maximum on the fifth day, and then dropped at day 5
369 to day 7 (Figure 6 D). PAL activity of **F27**+TMV treatment group was higher (80.0%)
370 than that of CK group on the fifth day. PAL is a catalytic enzyme involved in biosynthesis
371 of phenylpropanoids to cinnamic acid. Such process can produce SA for defense against
372 pathogens.²⁵ Results of defensive enzyme activity assay demonstrated that **F27** can
373 improve disease resistance of tobacco through induction defensive responses in the form
374 of enzymes.

375 **Identification of DEPs of Tobacco in Response to F27**

376 In physiological and biochemical assays, the highest values of most parameters were
377 observed on day 3. To determine the effect of proteins on TMV-inoculated tobacco after
378 **F27** treatment, total proteins of samples on day 3 were extracted and identified through
379 label-free LC-MS/MS. Label-free analysis revealed significant changes in proteins of
380 treatment groups with respect to those of the control group. In total, 270 and 283
381 proteins were identified in CK+TMV and **F27**+TMV treatment groups, respectively
382 (Supporting Information Table S1). A total of 244 proteins (79.0%) were common in
383 both groups. A total of 26 and 39 proteins were unique in CK+TMV and **F27**+TMV
384 treatment groups, respectively (Figure 7), 25 and 6 proteins were up- (**F27**+TMV versus
385 CK+TMV ratio > 1.5, $P < 0.05$) and down-regulation (**F27**+TMV versus CK+TMV
386 ratio < 0.7, $P < 0.05$), respectively (Supporting Information Table S2).

387 **Functional classification by GO**

388 To assign functional information to DEPs between TMV plants and those treated with
389 **F27**+TMV, GO analysis was carried out; this analysis can provide hierarchical
390 relationships for representation of information on cellular components, molecular
391 function, and biological processes.⁴⁸ As shown in Figure 8, Go term enrichment analysis
392 of DEPs ($P < 0.05$) showed that main cellular components involved “membrane”,
393 “membrane part”, “thylakoid”, “plastid thylakoid”, “plastid thylakoid membrane”,
394 “chloroplast thylakoid”, “chloroplast thylakoid membrane”, “chloroplast envelope”,
395 “photosystem”, “photosystem II”, and “photosynthetic membrane”. Molecular function
396 included “cation binding”, “metal-ion binding”, “ion binding”, “calcium-ion binding”,
397 and “electron carrier activity”. Main biological process were “electron transport chain”,
398 “cation transport”, “regulation of defense response”, “regulation of response to stress”,
399 “response to toxin”, and “regulation of response to stimulus”. Protein ratio of regulating
400 immune response accounted for 22.6% of total DEPs, including heat shock protein 26,
401 constitutive plastid-lipid-associated protein, SOD (Cu-Zn), putative glutathione
402 S-transferase, and dehydroascorbate reductase. Results of GO analysis indicated that
403 compound **F27** can strongly reshape tobacco proteome by influencing many aspects of
404 plant physiology; some of these aspects include stress responses and photosynthesis.

405 **Functional classification by KEGG**

406 To further investigate the relationship between DEPs and biological functions, KEGG
407 was used to identify potential biological pathways of DEPs between CK+TMV and
408 **F27**+TMV treatment groups. DEPs were mapped to KEGG database categories at $P <$
409 0.05. Only two pathways were enriched: photosynthesis (pathway ID, ko00195) and
410 amyotrophic lateral sclerosis (pathway ID, ko00195). Enriched pathways included 10 and

411 2 DEPs, respectively. These results revealed that photosynthesis is a significant pathway
412 for DEPs, as shown in Table 2 and Figure 9. Photosynthesis regulated by seven up- and
413 three down-regulated expressed proteins, respectively.

414 **Identification of proteins related to photoreaction system**

415 Photosynthesis is one of the most important metabolic processes in plants; it requires
416 four protein components of photosynthetic electron transport chain, which is responsible
417 for electron transfer from water to oxidized form of nicotinamide adenine dinucleotide
418 phosphate, including PSII, PSI, cytochrome complex, and adenosine triphosphate
419 synthase. As shown in Figure 9 and Table 2, 10 DEPs were observed in **F27**+TMV versus
420 CK+TMV treatment groups. These DEPs included ETR12 (2.28-fold), PsbP2 (2.10-fold),
421 PsbQ (2.07-fold), PsbP3 (1.64-fold), PetC2 (1.59-fold), PsbO (1.54-fold), and PsaEB
422 (1.51-fold); they were distinctly up-regulated in **F27**+TMV than in CK+TMV treatment
423 group. However, only three down-regulated proteins were found in **F27**+TMV versus
424 CK+TMV treatment groups; this down-regulation caused changes in structure and
425 function of the four protein components in photosynthetic organism pathway. As extrinsic
426 proteins, PsbO, PsbP, and PsbQ are subunits of oxygen-evolving complex, which is a
427 core of PSII in high plants. These extrinsic proteins are located at luminal surface of PSII
428 and are attached to its intrinsic subunits.⁴⁹ PsbO protein is termed as
429 manganese-stabilizing protein and is involved in regulation of PSII affinity for Mn. PsbO
430 protein is also required for PSII assembly/stability in higher plants and protects CP43 and
431 CP47 from proteolytic attack.⁵⁰ PsbP and PsbQ are involved in increasing binding
432 affinities for both calcium and chloride, which are essential cofactors for oxygen
433 evolution.⁵¹ Results indicated that compound **F27** increased expression quantity of

434 protein-regulated photoreaction system. **F27** also activated immunity system and
435 enhanced plant tolerance to TMV infection.

436 In summary, 35 novel *trans*-ferulic acid derivatives containing a chalcone moiety were
437 designed and synthesized to induce plant resistance. Results showed that compounds **F3**,
438 **F6**, **F17**, and **F27** exhibited better curative, protective and inactivating activities than
439 those of ningnanmycin. Especially, compound **F27** exhibited the best protective activity
440 among title compounds. This protective ability was associated with potentiation of
441 defensive enzyme activity, chlorophyll content, and photosynthesis of tobacco after
442 treatment with **F27**. This finding was confirmed by up-regulated expression of stress
443 responses and photosynthesis regulatory proteins. This study demonstrated that **F27** can
444 induce resistance and enhance plant tolerance to TMV infection. **F27** can also be
445 considered as novel potential activator in field of plant protection.

446 **ASSOCIATED CONTENT**

447 **Supporting Information**

448 Supporting information illustrates synthesis, characterizations, physical, and analytical
449 data of intermediate **2a–2d** and **3**, target compounds **F1–F35**, and DEPs of **F27**+TMV
450 versus CK+TMV. This material is available free of charge via the Internet at [http://](http://pubs.acs.org)
451 pubs.acs.org.

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458 **Notes**

459 The authors declare no competing financial interest.

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614

615 Table, Scheme and Figure Captions

616 Table 1. *In Vivo* Antiviral Activities of Test Compounds against TMV

617 Table 2. DEPs Involved in Photoreaction Systems

618

619 Scheme 1. Synthetic Route for Title Compounds

620

621 Figure 1. Structures of some plant activators and antiviral compounds.

622 Figure 2. Design of title compounds.

623 Figure 3. Effects of compound **F27** on C_a (A), C_b (B), C_t (C), and Chlorophyll a/b (D) in
624 tobacco leaves. Vertical bars refer to mean \pm SD ($n = 3$).

625 Figure 4. Effects of compound **F27** on P_n (A), G_s (B), T_r (C), and C_i (D) in tobacco leaves.
626 Vertical bars refer to mean \pm SD ($n = 3$).

627 Figure 5. Effects of compound **F27** on F_v/F_m in tobacco leaves. Vertical bars refer to
628 mean \pm SD ($n = 3$).

629 Figure 6. Effects of compound **F27** on SOD (A), POD (B), CAT (C), and PAL (D)
630 activity in tobacco leaves. Vertical bars refer to mean \pm SD ($n = 3$).

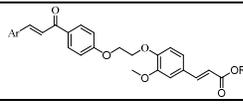
631 Figure 7. Changed proteome distribution between **F27**+TMV and CK+TMV, Venn
632 diagram showing unique and shared proteins.

633 Figure 8. Cellular components, molecular functions, and biological processes involving
634 DEPs in **F27**+TMV versus CK+TMV.

635 Figure 9. KEGG map of photosynthetic pathway of DEPs in **F27**+TMV versus CK+TMV.
636 Boxes with red frame indicate the corresponding DEPs were up-regulated in **F27**+TMV
637 samples. Boxes with green frame indicate that corresponding DEPs were down-regulated
638 in **F27**+TMV samples.

639

640 **Table 1.** *In Vivo* Antiviral Activities of Test Compounds against TMV^a

Compd.			Curative activity ^b (%)	Protective activity ^b (%)	Inactivating activity ^b (%)	EC ₅₀ of protective activity (μg mL ⁻¹)
	R	Ar				
F1	Me	4-F-Ph	40.0±2.1	58.2±2.4	89.3±2.3	304.7±3.1
F2	Me	4-Cl-Ph	50.4±3.9	51.4±0.6	89.0±1.5	442.5±3.6
F3	Me	2-F-Ph	63.9±3.0	64.6±1.1	92.3±1.9	214.2±1.9
F4	Me	-Ph	52.4±2.3	61.1±1.8	88.9±3.7	281.1±1.4
F5	Me	4-OCH ₃ -Ph	21.5±1.8	56.4±2.0	87.5±2.3	311.4±5.1
F6	Et	4-F-Ph	61.9±3.4	64.4±3.1	95.3±1.1	217.6±2.1
F7	Et	4-Cl-Ph	43.5±4.1	49.7±3.3	61.7±2.3	487.9±3.7
F8	Et	2-F-Ph	39.8±3.5	55.9±1.9	81.4±1.9	333.3±2.8
F9	Et	-Ph	30.0±3.9	58.5±3.8	92.1±0.4	330.5±1.9
F10	Et	4-OCH ₃ -Ph	32.7±2.3	56.7±3.1	79.6±2.6	279.1±2.1
F11	Me	thiophen	46.6±1.9	49.8±3.6	80.7±1.4	549.1±2.5
F12	<i>n</i> -pr	4-Cl-Ph	56.7±2.7	68.1±3.5	85.8±4.5	208.3±1.6
F13	<i>n</i> -pr	2-F-Ph	31.0±2.3	66.8±6.7	92.6±1.6	210.1±2.9
F14	<i>n</i> -pr	-Ph	43.8±5.1	54.7±1.3	91.3±2.9	346.0±3.2
F15	Me	furan	43.8±2.8	45.8±2.3	84.7±2.1	534.4±3.1
F16	<i>i</i> -Pr	4-F-Ph	32.9±4.6	69.4±1.9	87.6±2.7	193.5±2.2
F17	<i>i</i> -Pr	4-Cl-Ph	56.3±1.2	68.0±2.5	93.6±1.0	162.2±1.9
F18	<i>i</i> -Pr	2-F-Ph	40.3±3.9	57.7±5.0	90.4±2.5	269.4±4.2
F19	Me	3-F-Ph	57.2±1.9	66.5±2.6	87.9±1.9	224.2±1.2
F20	Me	2,4-diF-Ph	45.6±2.1	53.7±2.7	85.8±1.7	414.4±4.0
F21	Me	2,6-diF-Ph	41.3±1.7	51.4±1.9	89.9±0.7	403.1±3.2
F22	<i>n</i> -pr	2,4-diOCH ₃ -Ph	33.1±3.2	56.5±2.9	89.5±2.3	369.3±1.9
F23	<i>n</i> -pr	4-C(CH ₃) ₃ -Ph	18.7±2.8	54.3±3.4	78.8±1.9	405.4±4.0
F24	<i>i</i> -Pr	2,4-diCl-Ph	27.8±2.1	62.3±2.7	90.2±2.8	292.5±3.2
F25	Me	4-Br-Ph	45.2±1.5	64.3±2.5	87.2±2.9	201.5±2.2
F26	Me	2-Cl-Ph	48.5±3.1	65.7±2.4	79.2±2.2	189.6±3.5
F27	H	4-F-Ph	55.6±3.3	71.2±2.9	92.4±0.8	98.7±1.5
F28	H	4-Cl-Ph	51.2±2.6	68.5±2.8	81.7±1.6	185.4±1.2
F29	H	2-F-Ph	52.6±4.1	68.7±1.5	74.3±2.4	164.9±3.7
F30	H	2-Cl-Ph	48.5±2.2	65.7±3.1	75.8±3.5	183.4±5.1
F31	CH ₂ Ph	2-F-Ph	49.2±3.7	62.5±3.3	93.5±1.5	248.5±1.7
F32	CH ₂ Ph	4-F-Ph	51.1±3.2	60.5±1.1	90.1±0.3	265.6±3.1
F33	CH ₂ (2,4-diCl-Ph)	4-F-Ph	53.4±5.1	54.8±3.1	87.1±0.9	312.2±3.1
F34	CH ₂ (3-CH ₃ -Ph)	4-F-Ph	46.3±4.5	49.5±1.6	88.3±1.7	458.2±3.2
F35	CH ₂ (2,4-diCl-Ph)	4-Cl-Ph	52.8±2.4	60.1±0.6	89.4±0.5	298.8±2.6
	<i>trans</i> -ferulic acid ^c		41.5±2.7	53.5±1.8	78.6±2.5	328.6±3.1
	dufulin ^d		43.8±2.5	54.1±1.6	76.5±2.8	385.6±3.9
	ningnanmycin ^d		54.5±2.3	62.8±1.9	91.5±2.1	241.3±1.2

641 ^aAverage of three replicates. ^bConcentration of compounds is 500 μg·mL⁻¹. ^cPurity ≥ 99%.642 ^dCommercial antiviral agent ribavirin and ningnanmycin as positive control.

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644 **Table 2.** DEPs Involved in Photoreaction Systems

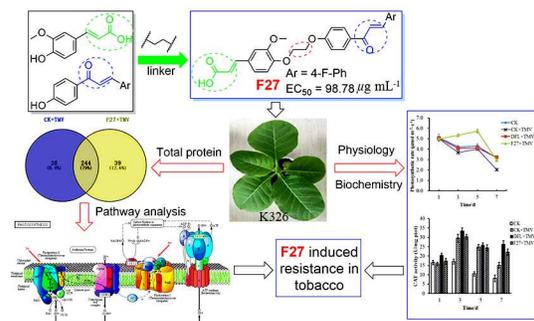
Accession	Protein Name	F27+TMV:TMV	<i>p</i> -value	regulated
Q6RUN4	ETR12	2.28	3.07E-07	up
P18212	PsbP2	2.10	2.24E-07	up
Q53UI6	PsbQ	2.07	2.45E-08	up
Q04127	PsbP3	1.64	3.95E-07	up
Q02585	PetC2	1.59	5.65E-09	up
Q40459	PsbO	1.54	1.52E-11	up
Q41229	PsaEB	1.51	7.91E-08	up
Q40432	PsaH	0.51	9.50E-07	down
G3LV29	PsbB	0.57	9.70E-10	down
G3LUY2	atpF-b	0.61	8.02E-10	down

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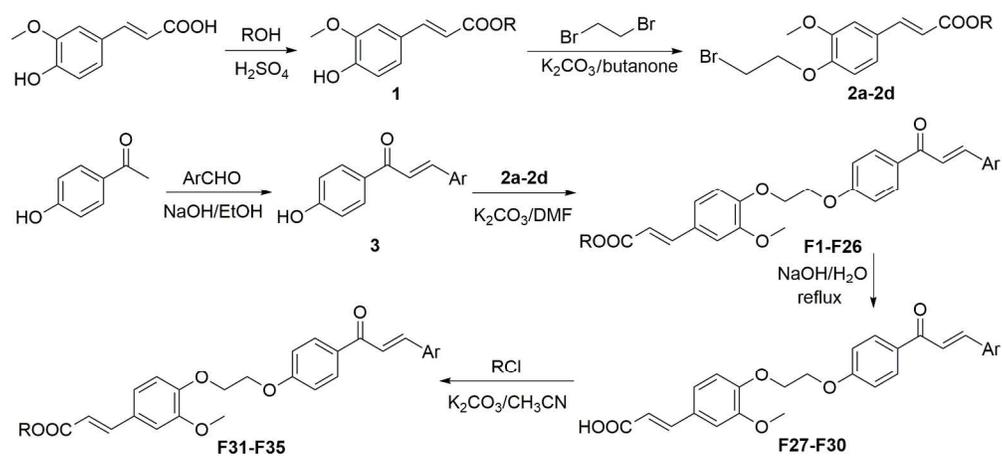
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647 **TOC graphic**

TOC graphic



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Scheme 1. Synthetic Route for Title Compounds

193x88mm (300 x 300 DPI)

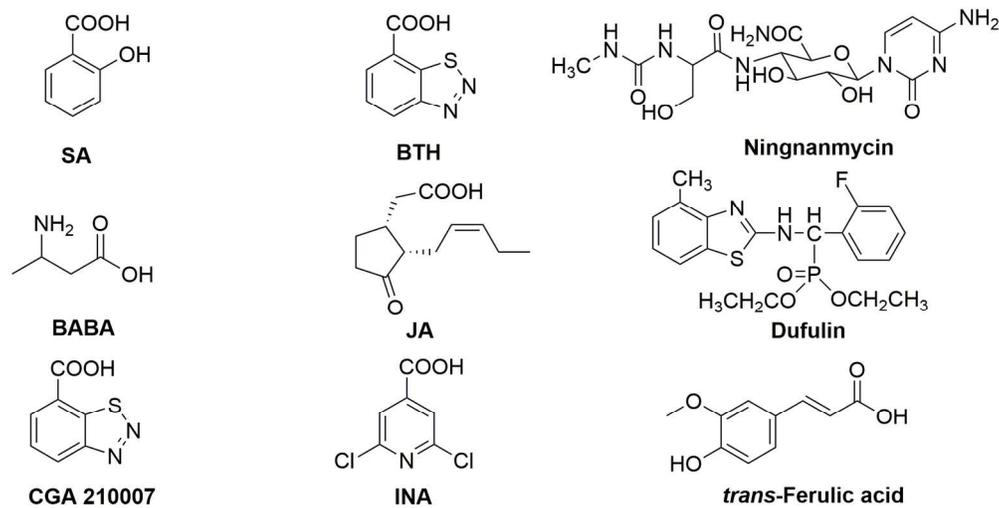


Figure 1. Structures of some plant activators and antiviral compounds.

161x83mm (300 x 300 DPI)

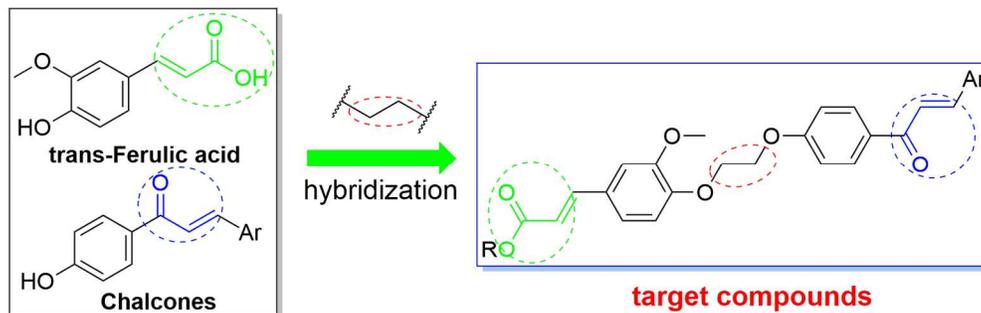


Figure 2. Design of title compounds.

152x49mm (300 x 300 DPI)

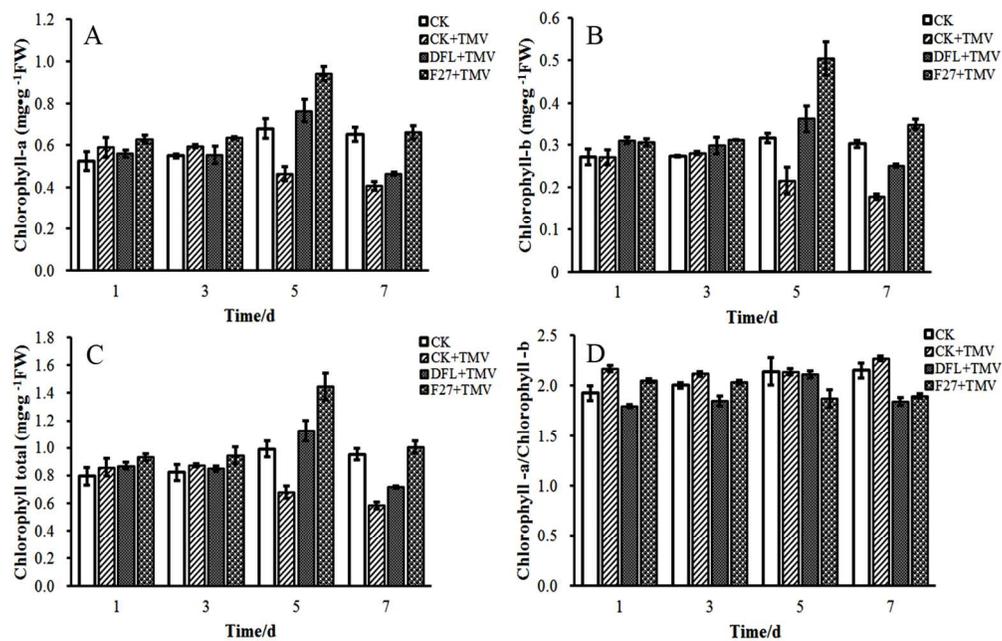


Figure 3. Effects of compound F27 on Ca (A), Cb (B), Ct (C), and Chlorophyll a/b (D) in tobacco leaves. Vertical bars refer to mean \pm SD (n = 3).

130x83mm (300 x 300 DPI)

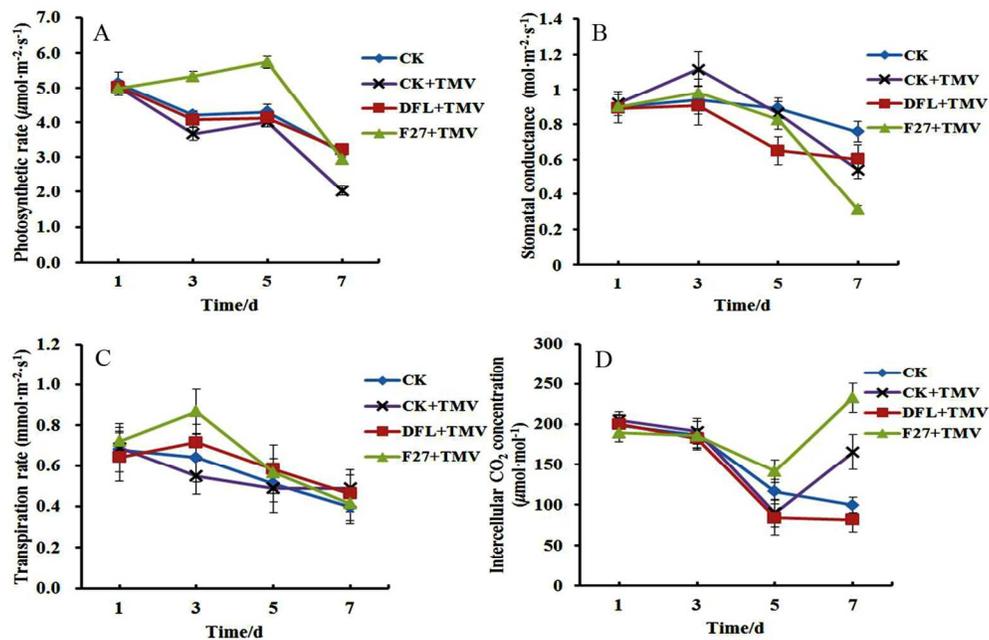
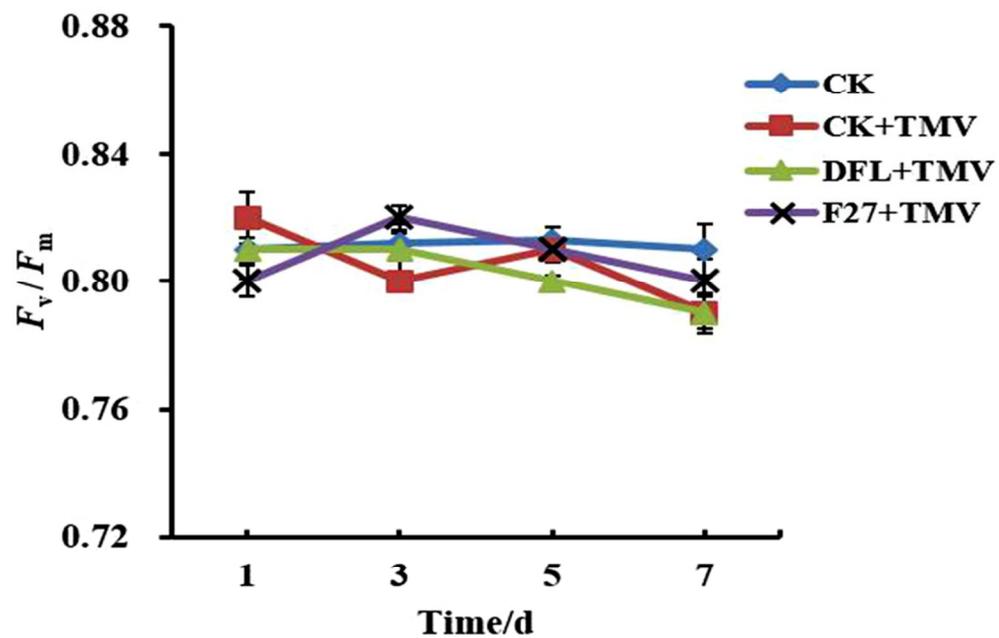


Figure 4. Effects of compound F27 on Pn (A), Gs (B), TR (C), and Ci (D) in tobacco leaves. Vertical bars refer to mean \pm SD ($n = 3$).

124x81mm (300 x 300 DPI)



Vertical bars refer to mean \pm SD ($n = 3$).
Figure 5. Effects of compound F27 on F_v/F_m in tobacco leaves. Vertical bars refer to mean \pm SD ($n = 3$).

87x58mm (300 x 300 DPI)

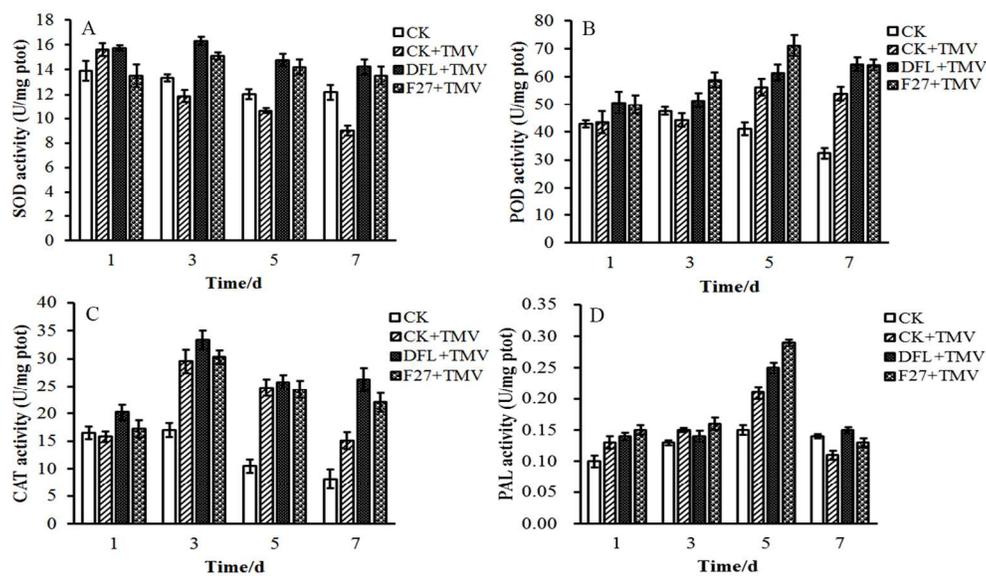


Figure 6. Effects of compound F27 on SOD (A), POD (B), CAT (C), and PAL (D) activity in tobacco leaves. Vertical bars refer to mean \pm SD ($n = 3$).

134x76mm (300 x 300 DPI)

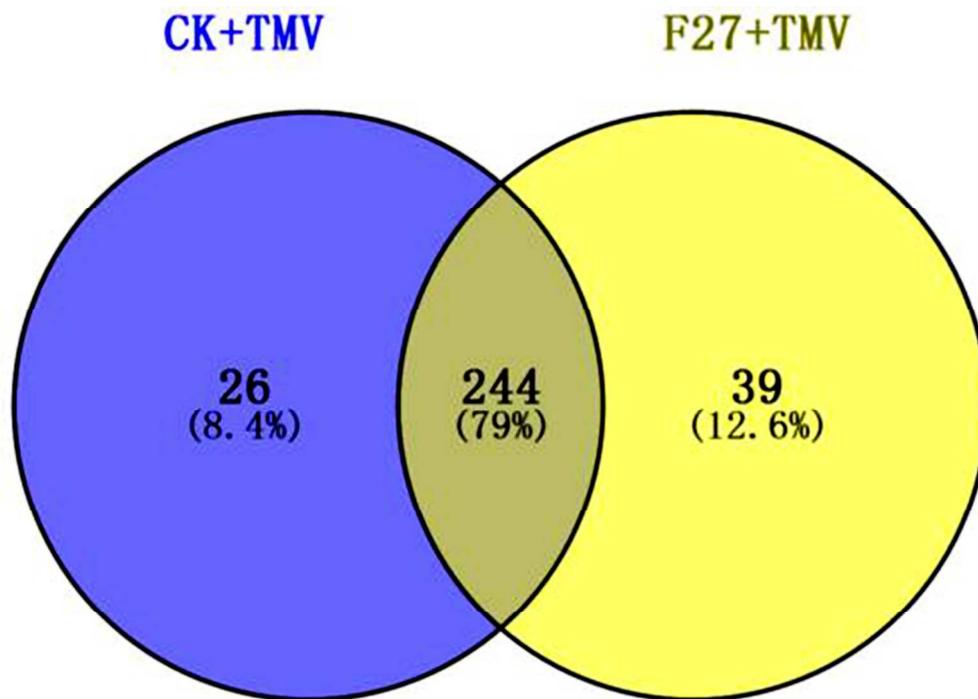


Figure 7. Changed proteome distribution between F27+TMV and CK+TMV, Venn diagram showing unique and shared proteins.

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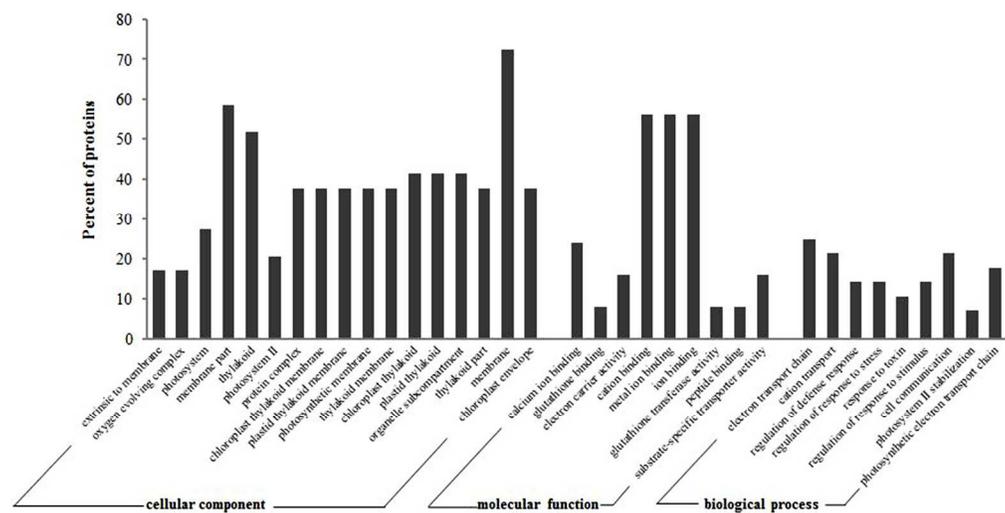


Figure 8. Cellular components, molecular functions, and biological processes involving DEPs in F27+TMV versus CK+TMV.

229x116mm (300 x 300 DPI)

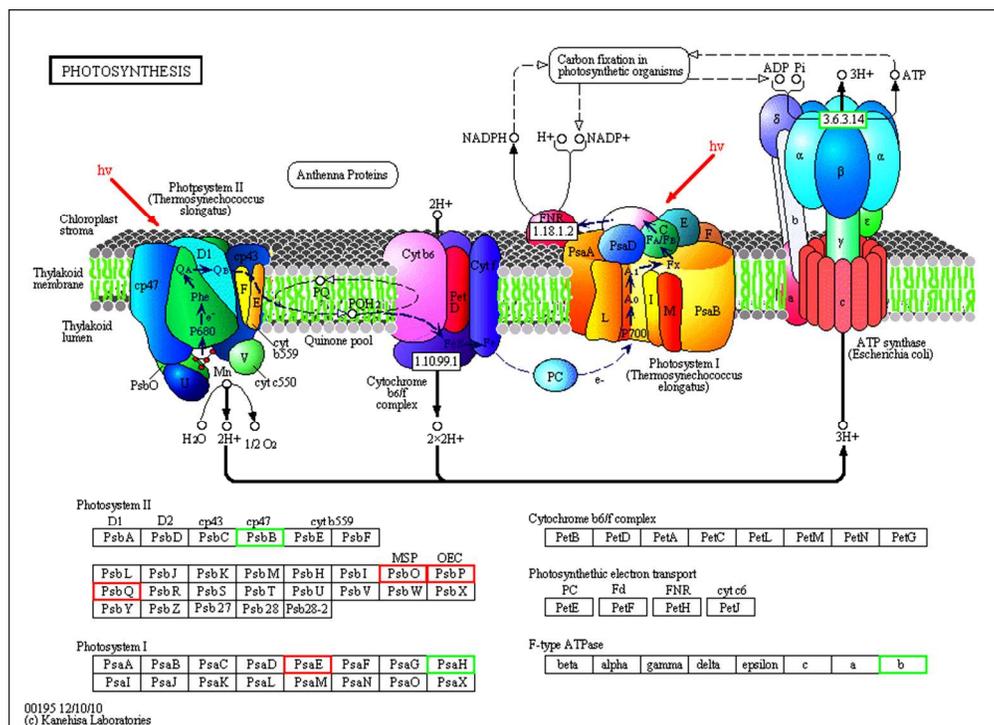
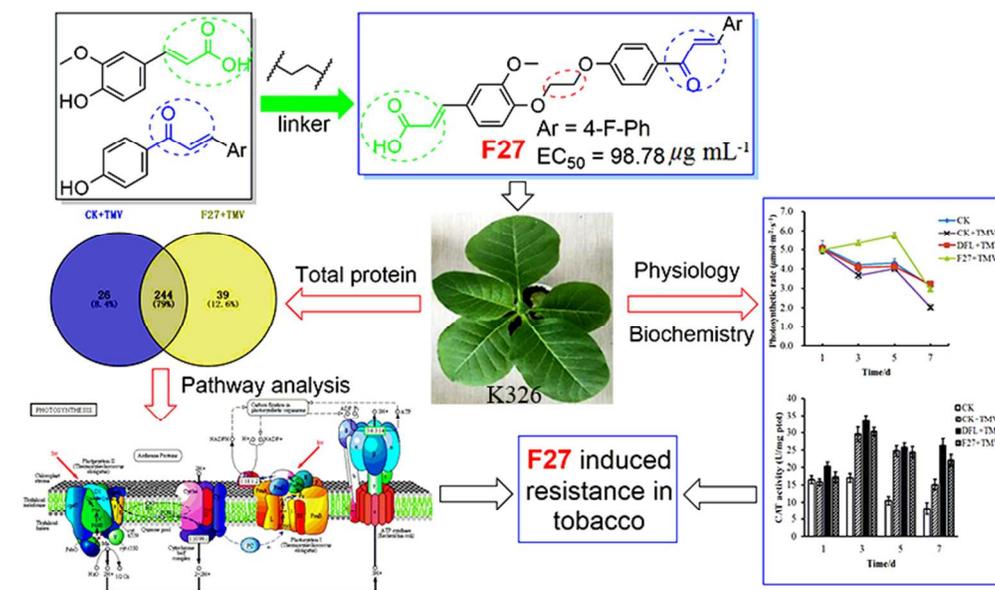


Figure 9. KEGG map of photosynthetic pathway of DEPs in F27+TMV versus CK+TMV. Boxes with red frame indicate the corresponding DEPs were up-regulated in F27+TMV samples. Boxes with green frame indicate that corresponding DEPs were down-regulated in F27+TMV samples.

339x245mm (300 x 300 DPI)

TOC graphic



Top Graphic

70x45mm (300 x 300 DPI)

Graphical Abstract

Thirty-five novel *trans*-ferulic acid derivatives containing a chalcone moiety were designed and synthesized. Compounds **F3**, **F6**, **F17**, and **F27** exhibited remarkable anti-TMV activities and compound **F27** induced resistance in tobacco.

