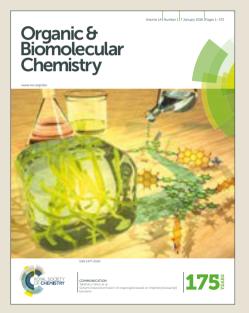
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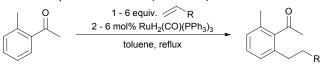
Investigations of the generality of quaternary ammonium salts as alkylating agents in direct C-H alkylation reactions: solid alternatives for gaseous olefins

David Schönbauer,^a Manuel Spettel,^a Robert Pollice,^{a,b} Ernst Pittenauer,^c and Michael Schnürch*^a

C-H alkylation reactions using short chain olefins as alkylating agents could be operationally simplified in the lab scale by using quartenary ammonium salts as precursors for these gaseous reagents: Hofmann elimination delivers in-situ the desired alkenes giving the advantage that the alkene concentration in the liquid phase is high. In case a catalytic system did not tolerate the conditions for Hofmann elimination, a very simple spatial separation of both reactions, Hofmann elimination and direct alkylation, was developed to circumvent possible side reactions or catalyst deactivation. Additionally, the truly catalytic active species of a rhodium(I) mediated alkylation reaction could be identified by using this approach.

Introduction

In recent years, the direct functionalization of C-H bonds has established itself as an increasingly important method for the formation of new C-C bonds.¹⁻⁶ A key contribution to this field was the acetyl directed alkylation of aromatic C-H bonds disclosed by Murai in 1993.⁷ (Scheme 1).

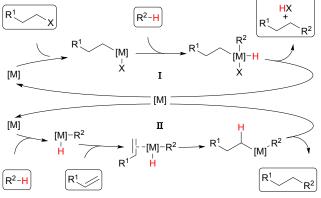


 $R = H, CH_2SiMe_3, t-Bu, o-tolyl Scheme 1 Murai reaction$

This paper inspired much subsequent research and can be considered as the starting point of directing group (DG) assisted C-H activation chemistry.^{8, 9}

Among the many transformations known in metal-catalyzed direct C-H functionalization, alkylation reactions have received specific attention¹⁰⁻¹² and have been the focus of interest of our research in recent times as well.¹³⁻¹⁶ Generally, either alkyl halides¹⁷⁻²⁴ or olefins^{10, 25, 26} are used as alkylating agents. Usually the former are attached to the catalyst by oxidative addition and coupled with the substrate. The catalyst is re-

entering the catalytic cycle upon reductive elimination and the product is released (Cycle I in Scheme 2).²⁷ In this process alkyl halides are reduced, and an acid equivalent is generated, which is usually quenched by addition of a base. On the other hand, alkylation of aromatic C-H bonds with olefins includes usually a hydroarylation step of the olefin and hence no acid equivalent is formed (Cycle II).



Scheme 2 Schematic mechanisms for alkylation reactions with alkyl halides (Cycle I) or olefins as reagents (Cycle II).

Consequently, these reactions are typically carried out in absence of base.¹⁰ It has to be mentioned that the application of short chain olefins is clearly underrepresented,²⁸⁻³⁰ which is certainly due to their gaseous nature and the problems of dosing gases in the nowadays typically applied mmol or submmol scale. Additionally, the need for using high- or at least medium-pressure equipment is another factor contributing to the scarcity of examples with gaseous olefins. Hence, it can be seen that unactivated alkenes only from C6 on (e.g. *n*-hexane) are used,¹⁰ or polar groups are attached to the double bond as

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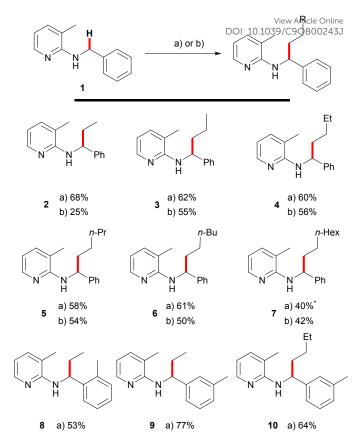
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in acrylates and derivatives thereof. The simplest alternatives would be alkyl halides,¹⁷⁻²⁴ since they are typically liquid. However, also these reagents have drawbacks since they are environmentally problematic, toxic or carcinogenic and therefore not an attractive substitute. Due to these complications, it would be ideal to find alternative solid reagents for short linear olefins. They should be cheap, bench stable and therefore easy to handle and hence usable for small scale synthesis (up to ~1 mmol) in academia or also in pharmaceutical industry for instance during an initial library synthesis for subsequent screening of potential drug candidates. Within this contribution, our efforts in this direction are reported.

Results and discussion

Benzylic Amines and Catalytic Active Species

Recently we showed that those requirements were met with tetraalkylammonium salts as surrogates for olefins.¹⁵ Upon addition of potassium hydroxide to the reaction mixture it was possible to generate the corresponding olefin in situ using Hofmann elimination.³¹ During this work we demonstrated ethylation and other *n*-alkylations (up to *n*-octylation) of *N*benzyl-2-aminopyridines (1) via C-H activation using the corresponding quaternary ammonium salts and with moderate to good yields. A recent contribution by Chatani and coworkers used PhMe₃NI as alkylating agent for aromatic C-H bonds as well.³² Since quaternary ammonium salts are typically prepared from the corresponding alkyl halides, we also investigated a protocol which tried to use the alkyl halides directly as olefin precursors.¹⁶ Also this transformation could be realized successfully and Scheme 3 gives a comparison between the two methods. Yields are usually 5-10% lower (Scheme 1, products 3-7) for the alkyl halide protocol but only for ethylation towards 2, a major difference between the reaction with ethyl bromide (25%) and NEt₄Br (68%) was observed. It has to be mentioned that the latter reaction also gave 63% yield if the reaction was performed under air, showing that inert conditions or even handling of the reaction in a glovebox is unnecessary.



Scheme 3 Comparison of alkylation with quaternary ammonium salt (a) or alkyl bromides as alkylating agents (b); a) 1.0 equiv. quartanery ammonium salt, 3.0 equiv. KOH, 5 mol% [Rh[ccd]Cl]₂, toluene, 140 °C, overnight; b) 3.0 equiv. alkyl bromide, 4.5 equiv. $k_{\rm S}{\rm CO}_3$, 5 mol% [Rh[ccd]Cl]₂, toluene, 160 °C, overnight; reactions were conducted on a 50 mmol scale. * 84 h reaction time

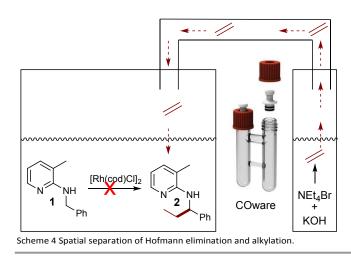
Both protocols rely on the efficient generation of olefins by elimination, which is the reason for the elevated temperature for alkyl bromides (160 °C compared to 140 °C). In this case the elimination requires not only higher temperatures, but also the presence of the rhodium catalyst, which was shown by control experiments (1-bromododecane or 1-bromo-2-phenylethane were heated together with K_2CO_3 in toluene with or without the presence of [Rh (cod)Cl]₂. The corresponding elimination products, dodec-1-en resp. styrene were only detected by GC/MS when the catalyst was present) in our previous publication.¹³

Both protocols require the addition of base to either neutralize the formed acid (alkyl halide protocol) or to enable efficient Hofmann elimination. Hence, it was expected that when using hex-1-ene instead of 1-bromohexane as alkylating agent, the base can be avoided since this is an overall neutral transformation. However, we found that this is not that case and base is also necessary, when using an olefin directly.¹³ The curious reason for this was identified when the catalytically active species was identified, which is described in the next paragraphs.

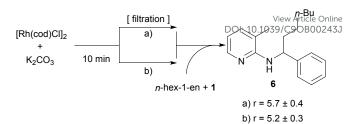
The need for three equivalents of KOH to facilitate Hofmann elimination has to be considered as a limitation for a broad applicability, due to incompatibility with certain catalytic systems since the Hofmann elimination and the alkylation

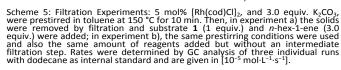
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occurred in a one-pot setup. Hence, we were searching for a possibility to overcome this issue and the easiest way would be a spatial separation of the olefin formation and the C-C bond forming reaction, where in one reaction compartment the gaseous olefin is produced, whereas on the other side the C-H activation reaction can take place. Such a spatial separation between the formation of a gaseous reagent and another synthetic transformation was already developed by Skrydstrup, in context of carbonylation reactions.³³⁻³⁵ As a first test system we used again our model substrate **1**. In chamber one of a so called COware vial, the substrate and [Rh(cod)Cl]₂ where placed, whereas the second chamber contained NEt₄Br and KOH (Scheme 4). Interestingly, there was no conversion whatsoever in this experiment.



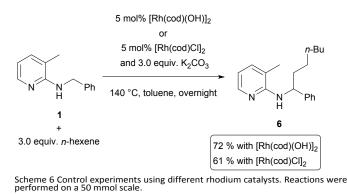
In light of our previous efforts to determine the catalytically active species,¹⁴ this result was not totally surprising to us. There, we could associate a first order dependence for the addition of inorganic base and an induction period in which we hypothesized that the base (in this study K_2CO_3) reacts with the catalyst to form the catalytic active species.¹⁴ In the course of this study some filtration experiments were conducted. The most important ones were the following: catalyst, K₂CO₃ and toluene were heated to 150 °C the solids (basically $K_2 \text{CO}_3)$ were filtered and substrate 1 and *n*-hexene were added to the filtrate. It was found that in this reaction solution the alkylation towards 6 proceeded with essentially the same rate as compared to an experiment without filtration of solids (Scheme 5). This result shows that the presence of K_2CO_3 is only necessary during the first few minutes of the reaction in the formation of the catalytically active species and does not need to interact with the olefin or substrate.





Evaporation of the filtrate before adding substrate or olefin gave a solid, which showed absorption at 1572.6 cm⁻¹ in ATR-IR measurements. This could be interpreted as a carbonato species, since similar values were reported for such rhodium complexes (1629 cm⁻¹ for Cp*Rh(µ-O)₂CO³⁶ and 1586 cm⁻¹ for $Ru(NHC)_2(CO)_2(CO_3)^{37}$) which we proposed to be the most likely catalytic active species. However, at that point we also could not rule out a rhodium hydroxide species formed from deprotonation of H₂O introduced with K₂CO₃.¹⁴ Unfortunately, it was not possible to confirm the identity of the obtained material by X-ray crystallography, since no crystalline material could be obtained. Now, in light of the result that our Hofmann elimination protocol is carried out in presence of KOH and not K₂CO₃ a rhodium carbonato species can be ruled out. These new circumstances motivated us to investigate the nature of the catalytically active species again and we subjected the solids from the filtration experiments to MALDI-MS analysis.

Interestingly, $[Rh(cod)Cl]_2$ was not detected even in trace amounts and the only rhodium species found was $[Rh(cod)(OH)]_2$ (for details see supporting information). Origin of the OH groups is the absorbed water on K₂CO₃, which also explains our previous finding that the reaction rate drops with the water content of K₂CO₃.¹⁴ In the quaternary ammonium salt protocol, KOH can naturally serve as OH⁻ source. This strongly suggests that this hydroxido species is the catalytically active one, which could be confirmed by a simple control experiments using hex-1-ene as olefin (Scheme 6): When $[Rh(cod)(OH)]_2$ was used without the addition of base the reaction proceeded even slightly better than with the combination of $[Rh(cod)Cl]_2$ and K₂CO₃.



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Having established $[Rh(cod)(OH)]_2$ as catalytically active species when olefins are used as alkylating agents, this had to be confirmed for the Hofmann elimination protocol as well. Hence, we carried out this method with either $[Rh(cod)Cl]_2$ or $[Rh(cod)(OH)]_2$ and compared the kinetic profile (Figure 1). It was found that when the hydroxido dimer was used as catalyst, the reaction proceeds slightly faster, indicating the absence of an induction period resulting from the initial formation of the catalytic active species from $[Rh(cod)Cl]_2$. At the end of the reaction time, the overall conversion reaches the same value of 80% after 3 hours (for $[Rh(cod)(OH)]_2$ even after 90 minutes).

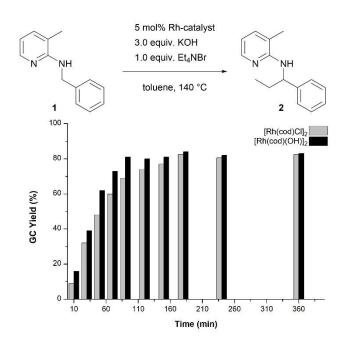
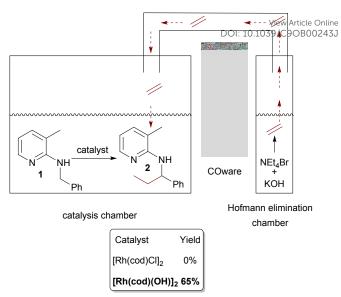


Figure 1 Kinetic profile and comparison of the performance between [Rh (cod) $Cl]_2$ and [Rh (cod) (OH)]_2 as catalysts in the ethylation reaction of 1.GC-Yields were determined with dodecane as internal standard.

Now, the two-chamber approach via COware vials was revisited. This time, as indicated in Scheme 7, we charged chamber A with $[Rh(cod)(OH)]_2$ and substrate and chamber B with KOH and ammonium salt. Contrary to the analogous experiment with $[Rh(cod)Cl]_2$ as catalyst, the reaction worked well, and 65% of **2** were isolated.



Scheme 7 Finding of catalytic active species. Chamber A: Substrate (0.5 mmol), 5 mol% catalyst, 2.0 mL toluene; chamber B. 2.0 equiv. Et_4NBr, 6.0 equiv. KOH, 2.0 mL toluene. Reaction was run at 140 $^\circ$ C overnight.

At this time, we have demonstrated the possibility to substitute olefins for alkyl bromides or quaternary ammonium salts in direct alkylation reactions on a model substrate. Additionally, we also identified the catalytically active species in these reactions and showed that the Hofmann elimination step can be separated from the alkylation reaction, opening new possibilities regarding the catalyst systems to be applied in combination with our Hofmann elimination/alkylation method. The next task was to show that this method can be applied in a more general manner to other substrates as well.

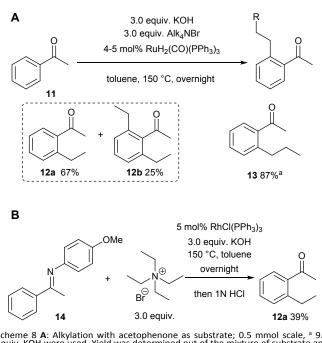
Investigating the generality of the Hofmann elimination/alkylation protocol

We already demonstrated the possibility to use tetraethyl ammonium bromide as ethylene precursor in single examples one two other systems with different catalysts, showing that not only [Rh(cod)(OH)]₂ can be used, but also other rhodium and also ruthenium catalysts (see Scheme $8A^7$ and Scheme $8B^{38}$).¹⁵

A natural first choice for further application was the famous Murai reaction.⁷ When we used acetophenone as substrate we observed almost full conversion to a mixture of mono- (67%) or bis-ethylated (25%) product when using 3.0 equivalents ammonium salt and base in the one-pot approach (Scheme 8A). However, when we tried to broaden the scope towards longer chain lengths a significant drop in conversion was observed under the same conditions: Only 60% conversion was reached when nPr_4NBr was used as propylene precursor. Prolonged reaction times and/or higher catalyst loadings could not improve the yields. Only when a substantially excess of base was added (9.0 equiv.), the reaction commenced to almost full conversion, giving the desired product **13** now in excellent 87% yield (Scheme 8A). In this case only small amounts of bis-alkylated product were detected in GC/MS,

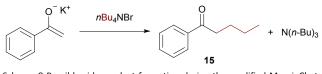
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indicating that mono-selectivity is brought about when longer chain lengths are introduced.



Scheme 8 A: Alkylation with acetophenone as substrate; 0.5 mmol scale, ^a 9.0 equiv. KOH were used. Yield was determined out of the mixture of substrate and product. B: Imine-directed C-H alkylation reaction with tetraethylammonium bromide as an alkyl source

When further increasing the chain length to n-butyl, the conversion was diminished to only 40% and isolation of the product was not possible any more due to additional by-product formation. Apparently, the ammonium salt is not the only species reacting with OH- to induce Hofmann elimination, but also the enolate of the substrate is generated forming product **15** (detected by GC/MS) upon reaction with the ammonium salt as indicated in Scheme 9.

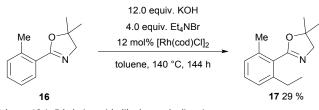


Scheme 9 Possible side-product formation during the modified Murai–Chatani–Kakiuchi reaction

The aforementioned two chamber approach would clearly avoid this problem. However, when applied even with the more reactive propene surrogate, the conversion was lower compared to the one-pot protocol (60% for 9.0 equiv. KOH and 3.0 equiv. ammonium salt). Still, GC/MS analysis showed essentially complete absence of side products.

The fact that the conversion decreases with increasing chain length of the ammonium salt and that the elimination to the alkene gets slower by longer chain lengths³⁹ facilitates the occurrence of side reactions induced by the presence of KOH. Hence, additional base cannot completely solve this problemin this specific case, since it increases not only the rate of Hofmann elimination, but also of by-product formation. Why the two-chamber approach does not improve the butylation reaction significantly might be explained by a too low concentration of olefin in the solution phase, since in the originally published contribution, als^{OI}high^{O3}hioOMtS²⁴of unactivated olefins (5.0 equiv.) were necessary for full conversion of the material. In such cases, a pressurized reaction with olefin gas might be the better choice since alternatively large quantities of solid quaternary ammonium salts have to be applied.⁷

The next substrate to be tested was **16** carrying a dihydrooxazole as directing group. Initially, again the one-pot protocol was investigated, since it is the operationally simpler one. Originally, this transformations was published with Ru_3CO_{12} as catalyst and 7 atm of ethylene yielding 53% product and 20% of a CO containing by-product.⁴⁰ However, in our hands Ru_3CO_{12} proved to be unreactive towards alkylation either in a one-pot fashion or in COware vials. Hence, we tested again [Rh(cod)Cl]₂ as catalyst and now ethylation was achieved giving **17** in 29% yield (Scheme 10A, 40% starting material were recovered, \rightarrow 48% base on recovered starting material).



Scheme 10 A: Ethylation with dihydroxazole directing group.

As final example 2-phenylpyridine **18** was tested, since this substrate is often used as model system for developing new C-H activation reactions⁴¹⁻⁴⁸ Intrigued by the reported high yields and mono-selectivity, we probed an alkylation protocol with terminal olefins initially published in the group of Ackermann (Scheme 10B).⁴⁹

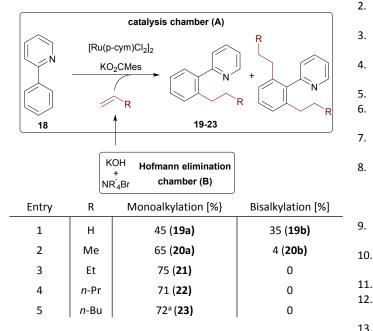
First, the reaction was tested with tetraethyl ammonium bromide in a one-pot approach. Here a maximum conversion of 80% was achieved according to GC/MS. When ammonium salts with longer alkyl chains were used, the desired products were only formed in traces, indicating again a special role for ethylene. These findings motivated us to probe the reaction in the two-chamber reactor. Gratifyingly, this solved the problem and all reactions performed with good conversion (>80%) for all tested ammonium salts (see Table 1). A direct comparison to the Ackermann results is only possible for hexylation, since no shorter olefins were used in this contribution due to the already mentioned issues with the physical properties of shorter olefins. Our yield for the *n*-hexylated product 23 was comparable to the one achieved in literature with the olefin with 72% of 23 in our protocol and 78% in literature (see entry 5).⁴⁹ The yields remain essentially the same if shorter olefin surrogates were used (Entry 3, 21, n-butyl 75% and Entry 4, 22, *n*-pentyl 71%). However, when Et_4NBr and *n*-Pr₄NBr were used, the bis-alkylated (19b and 20b) products were also formed. While for the propyl-derivative only 4% side product 20b was generated (Entry 2), the bis-ethylated product 19b was produced in almost the same amounts as the desired

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product 19a (Entry 1). This interesting effect suggests that the excellent mono-selectivity of the original protocol (no formation of any bis-alkylation products) is not only determined by the in-situ formed sterically demanding ruthenium complex, but also by the size of the olefin. It can be expected that this specific example will not be unique in this regard.

Table 1 Reactions were run in two chamber reactor. Chamber A was charged with 0.4-0.5 mmol 2-phenylpyridine. 5 mol% catalyst and 15 mol% KO₂CMes. Chamber B contained 3.0 - 3.5 equiv. ammonium salt and a threefold amount of KOH. 1 mL toluene was added to both chambers. Mixtures were heated at 140 °C overnight.^a Literature 78%



Conclusions

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In summary it was shown that tetraalkylammonium salts can be used as solid surrogates for simple unactivated alkenes using Hofmann elimination for in situ formation of olefins. The method is especially useful for substituting olefins with up to five carbons, since these olefins are either gaseous or very low boiling and hence difficult to handle and dose in small scale. Even though Hofmann elimination requires excess of KOH, the protocol could also be applied for catalytic systems incompatible with strongly basic conditions. Here spatial separation via a two-vessel approach can solve the problem and delivers similar yields as the initial literature precedencies using olefins. Furthermore, it was shown that the alkylation selectivity between alkenes and 2-phenylpyridine is not only depending on the catalyst and its additives, but also on chainlength of the participating olefin: In-situ formed ethylene and propylene gave mixtures of mono- and bis-alkylation products, whereas from butylene on the reaction was mono-selective. Furthermore, the two-vessel approach also allowed the identification of the catalytically active species of the originally investigated alkylation reaction of N-benzyl-2-aminopyridines, by showing that [Rh(cod)Cl]₂ is catalytically inactive and needs

to be transformed to [Rh(cod)(OH)]₂ first. Efforts for further generalization of our protocol are ongoing h 1010 Faboratory.43J

Conflicts of interest

There are no conflicts to declare.

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