

## An Organic White Light-Emitting Fluorophore

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**Abstract:** The synthesis of new benzo[*a*]- and [*b*]xanthene dye frameworks is described. A unique benzo[*a*]xanthene, seminaphtho[*a*]fluorone (**SNFR-1**), is studied in a variety of media. The optimization of solution parameters and excitation wavelengths allows **SNFR-1** to display red, green, and blue emission bands of approximately equal intensities and also to produce white light. Ratiometric red (anion) and green (neutral) emissions are observed upon varying solution pH. A pH-independent violet-blue emission band is due to the addition of nucleophiles to the benzylic carbon of **SNFR-1**.

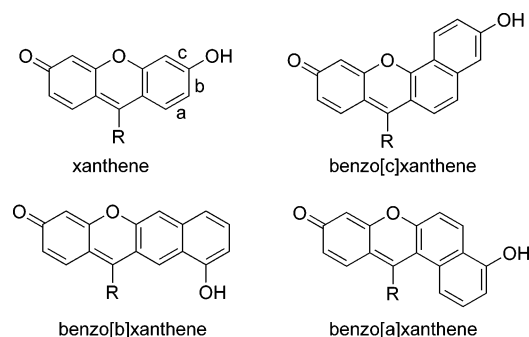
## Introduction

Terenin et al. reported the first example of dual fluorescence resulting from an intermolecular excited-state proton transfer (ESPT) in 1947.<sup>1a</sup> In 1950, Forster's first paper on ESPT was published.<sup>1b</sup> Since then, several compounds, such as 4-(*N,N*-dimethylamino)-benzonitrile (DMABN) and its analogues,<sup>2</sup> biaryls,<sup>3</sup> benzo[*c*]xanthenes,<sup>4</sup> 3-hydroxyflavones,<sup>5</sup> hydroxycamptothecin,<sup>6</sup> 6-hydroxyquinoline-*N*-oxides,<sup>7</sup> aromatic dicarboximides,<sup>8</sup> carotenoids,<sup>9</sup> 1,3-diphenyl-1*H*-pyrazolo[3,4-*b*]quinoline,<sup>10</sup> etc., have been reported to display dual signaling excitation and emission properties based on intermolecular or intramolecular ESPT,<sup>11</sup> twisted intramolecular charge transfer (TICT),<sup>12</sup> tautomerization,<sup>4</sup> and other mechanisms.<sup>13</sup>

Dual ratiometric fluorescence signaling reduces errors due to photobleaching, uneven loading of probes and/or fluctuations

in excitation intensity.<sup>14</sup> Ratiometric probes have been shown to be useful for intracellular pH,<sup>15</sup> viscosity,<sup>13a,16</sup> and Ca<sup>2+</sup> measurement.<sup>17</sup> Additionally, they are important in applications such as flow cytometry, confocal microscopy, emission ratio imaging, as well as many others.<sup>4a,18</sup> Benzo[*c*]xanthenes (Figure 1) are of interest as dual fluorescence ratiometric indicators because of (i) their well-resolved emission bands, (ii) relatively long wavelength absorptions and emissions, (iii) near neutral p*K*<sub>a</sub> values, and (iv) clear isosbestic and isoemissive points.<sup>4a,19</sup>

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**Figure 1.** The xanthene dye framework and the three types of benzoxanthenes. Benzoxanthene types are each distinguished via the orientation of their naphthyl moieties.

The regioisomers of benzo[c]xanthene, namely benzo[a]xanthene and benzo[b]xanthene, were essentially overlooked until Wolfbeis et al. investigated their properties using semiempirical molecular orbital calculations. They were predicted to absorb and emit at longer wavelengths compared to their type [c] analogues. Apparently because of prior limitations in xanthene synthesis methodology, they had not been prepared to date.<sup>19</sup>

We have recently reported a novel synthesis of xanthene dyes.<sup>20</sup> It involves the initial formation of methylated carbinol intermediates, followed by demethylation and concomitant condensation (Scheme 1). Current studies embody an extension of this prior work to access novel benzannulated derivatives.

Herein, we report the synthesis of type [a] and [b] benzoxanthenes. Importantly, we find that a new seminaphtho[a]-fluorone (**SNAFR-1**) can display three emission maxima of approximately equal intensities, in the blue, green, and red spectral regions, respectively. When excited at ca. 300 nm, it emits near white light, which resembles the white light from an incandescent light bulb. White light is to date highly sought-after owing to potential applications in (i) light emitting diodes (LEDs),<sup>21</sup> replacements for current illumination devices such as incandescent bulbs and fluorescent lamps, (ii) flat panel displays (FPDs),<sup>22</sup> as the next generation display devices after liquid crystal displays (LCDs), and (iii) electronic paper displays (E-PADs),<sup>23</sup> as an electronic analogue of paper, etc.

Traditional methods of molecular white light generation typically involve mixing different primary colors from different emitting materials, such as red, green, and blue fluorophores.<sup>24</sup> Alternative and single component recent examples include (i) white light photoluminescence from nanocrystals,<sup>25</sup> (ii) electroluminescence from a fullerene adduct,<sup>26</sup> (iii) electroluminescence from a  $\pi$ -conjugated aromatic enyne,<sup>27</sup> (iv) electroluminescence from platinum-functionalized random copolymer,<sup>28</sup> and (v) photoluminescence from microporous metal phosphates and silicates.<sup>29</sup>

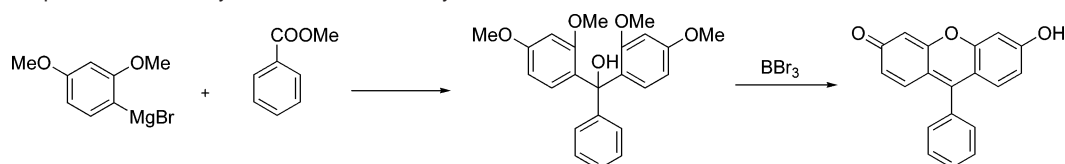
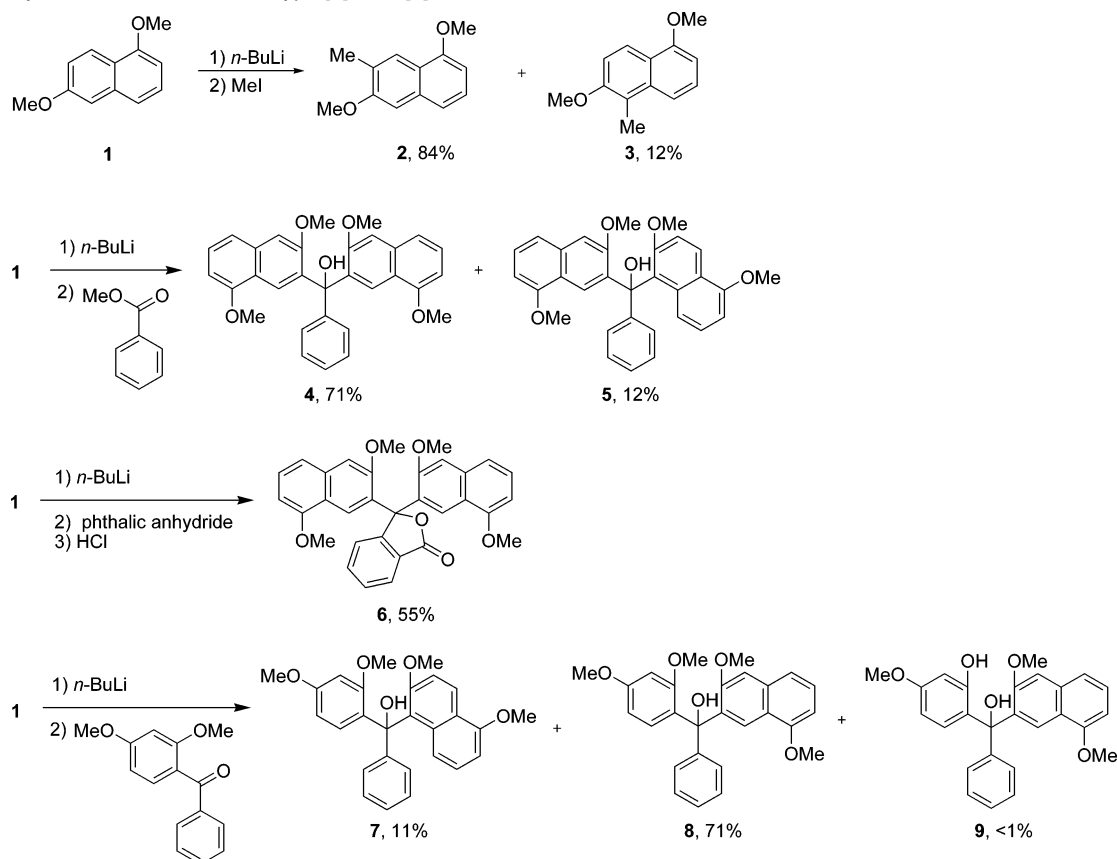
Fluorophores with tunable emissive properties are of current interest for multiplexing applications. In addition to serving as a simple white light-emitting fluorophore, **SNAFR-1** can be excited anywhere within the UV to the deep red spectral regions. It exhibits a unique emission spectrum corresponding to each excitation wavelength. **SNAFR-1** is pH sensitive, soluble in cell culture media, stains cells, and exhibits no cytotoxicity in studies carried out to date.

## Results and Discussion

**Benzo[a]- and [b]xanthene Dyes Synthesis.** Xanthene dyes are typically synthesized via the acid-catalyzed condensation between resorcinol and various analytes, for example, phthalic anhydride, acid chloride, ester, or aldehyde.<sup>30</sup> Upon replacing resorcinol with 1,6-dihydroxynaphthalene, under classical acid-catalyzed thermal conditions, known benzo[c]xanthene is formed.

It has been shown by others that when 1,6-dimethoxynaphthalene (**1**) is treated with *n*-BuLi and quenched with MeI, **2** and **3** are obtained in yields of 84% and 12%, respectively.<sup>31</sup> When a solution of lithiated **1** is treated with methyl benzoate, we obtain **4** and **5** in isolated yields of 71% and 12%, respectively. When phthalic anhydride is used instead of methylbenzoate, **6** is obtained in 55% yield. Upon treating lithiated 1,6-dimethoxynaphthalene with 2,4-dimethoxybenzophenone, **7** and **8** are isolated in 11% and 71% yields, respectively (Scheme 2). Additionally, trace amounts of **9** are obtained. This is presumably because of trace amounts of 2-hydroxy-4-methoxybenzophenone in the reaction mixture from incomplete methylation of 2,4-dihydroxybenzophenone. Single-crystal X-ray structure analysis confirms the assigned structures of **4–9** (Figure 2).

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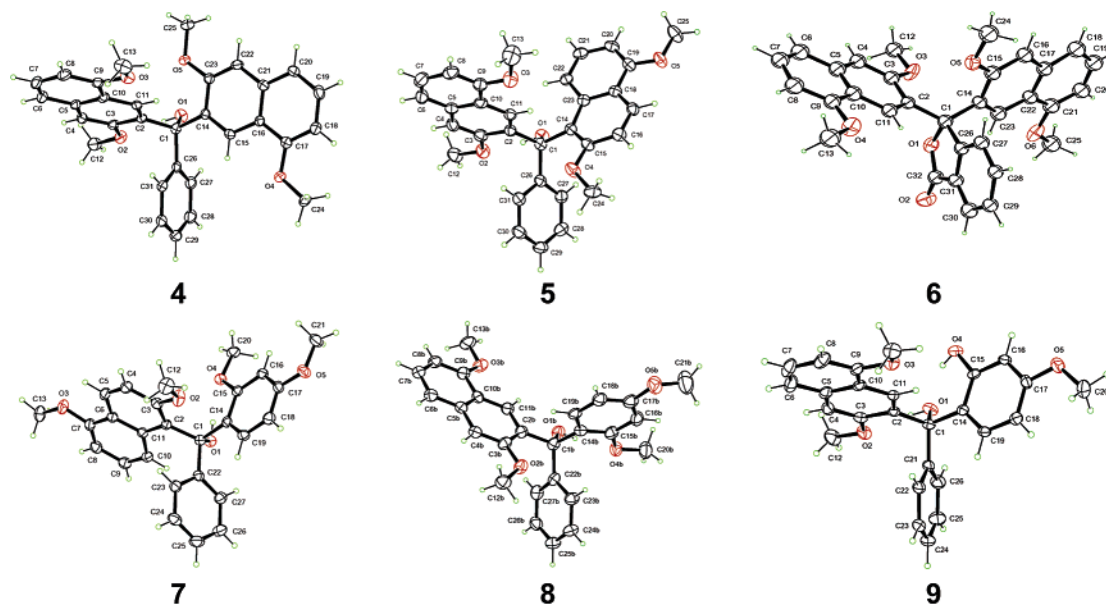
**Scheme 1.** A Simple and Efficient Synthesis of Xanthene Dyes**Scheme 2.** Synthesis of Precursors of Type [a]- and [b]Benzoxanthenes

When  $\text{BBr}_3$  is used to demethylate **4**, compound **10** is obtained in 61% yield. When **7** is demethylated, **12** is obtained quantitatively. Treatment of **12** using 20 more equiv of  $\text{BBr}_3$  affords **13** in 56% yield. When **8** is demethylated, **14** is obtained in a yield of 15% (Scheme 3). Structure assignments of **10** and **13** are confirmed by single-crystal X-ray structural analysis (Figure 3).

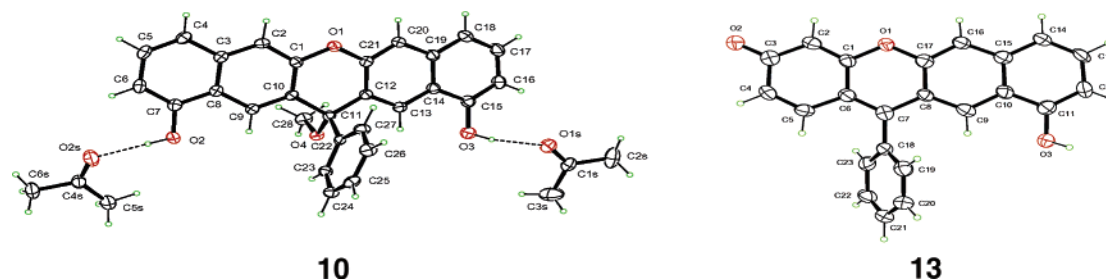
**UV–Visible Absorption Properties of SNAFR-1 as a Function of pH in 99.75% Buffer Solution.** Absorption spectra of **SNAFR-1** as a function of varying pH are shown (Figure 4A). **SNAFR-1** is dissolved in 50 mM phosphate buffer with 0.25% DMSO. As the pH increases, the absorption band centered at 550 nm increases. This band is assigned to the anionic form (A, Scheme 4). At the same time, the absorption at ca. 460 nm decreases. The 460 nm band is assigned to the neutral form (N). Four isosbestic points at 484, 394, 327, and 304 nm are observed. The 484 nm isosbestic point is near the 488 nm Ar ion laser line. These latter results show that ratiometric experiments using **SNAFR-1** as a probe may be performed with common, commercially available filter sets (vide infra).

**Fluorescence Properties of SNAFR-1 as a Function of pH in 99.75% Buffer Solution.** Benzoxanthenes are known to afford dual emission bands whose intensities are pH dependent.

**SNAFR-1** thus also exhibits dual emission bands that are pH-sensitive. Upon increasing the solution pH, the intensity of the red emission band (620 nm), corresponding to the anionic form (A) increases, while that of the green emission band (540 nm), assigned to the neutral (N) form decreases (Figure 4E–H). The two emission bands are well-separated and show clear iso-emissive points at ca. 600 nm when excited at 325, 488, and 514 nm (Figure 4E–G). When excited at 543 nm, only the tail of the emission from the neutral form plus the emission of the anion is observed, and a clear isoemissive point is present at 560 nm (Figure 4H). Excitation at longer wavelengths would further reduce the emission from the neutral form and eventually lead to red emission from the anion only with no isoemissive point. Most organic fluorophores are limited by their narrow excitation which hinders their use in multiplexing with other fluorophores. The absorption and excitation spectra of **SNAFR-1** demonstrate that it can be excited from 260 nm up to 600 nm in buffer including various common laser lines, for example, HeCd at 325 nm, Ar ion at 488 and 514 nm, and HeNe at 543 nm (Figure 4A–D). This is further evidence that **SNAFR-1** would be compatible with commercially available filter sets used in various spectroscopic instruments and fluorescence microscopes (vide infra). The  $\text{pK}_a$  values for **SNAFR-1** based on absorption and emission data are summarized in Table 1a. The

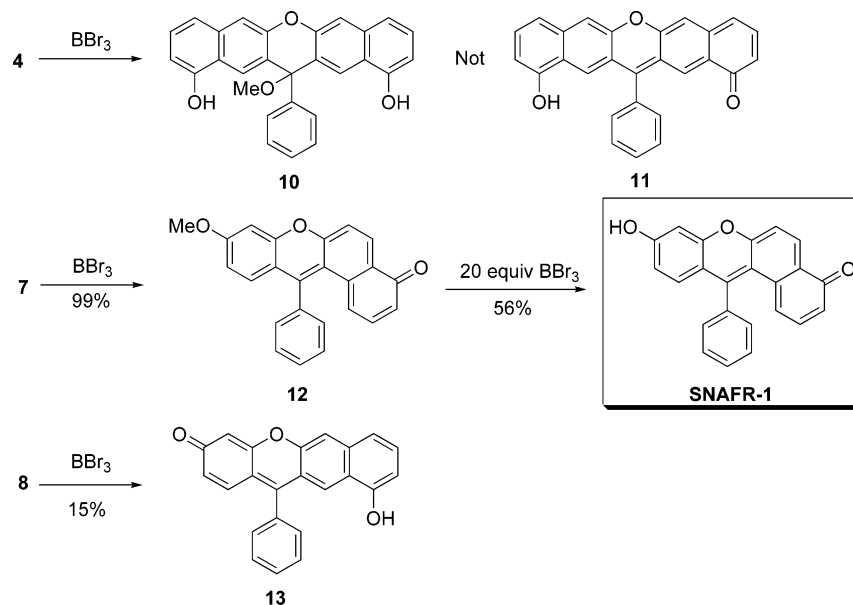


**Figure 2.** Ortep drawings and numbering schemes of compounds **4**–**9**.



**Figure 3.** Ortep drawings and numbering schemes of compounds **10** (with two acetone molecules) and **13**.

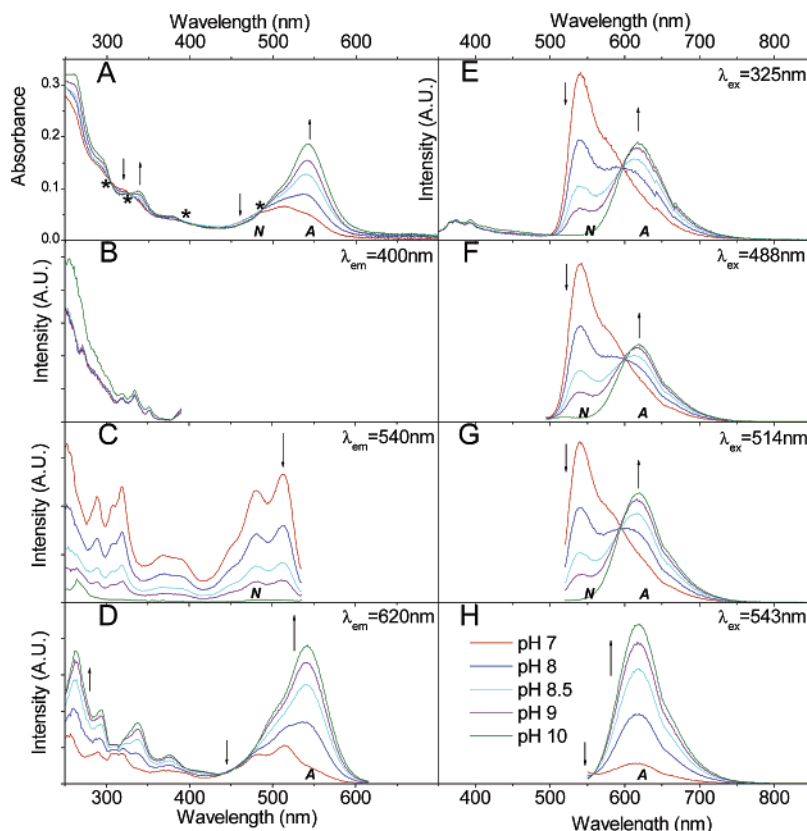
**Scheme 3.** Synthesis of Type [a]- and [b]Benzoxanthenes



$pK_a$  values calculated based on emission spectra using different excitation wavelengths vary from 8.31 to 8.38 with an average  $pK_{a-em}$  of  $8.34 \pm 0.02$ . The  $pK_a$  values calculated from absorption spectra based on different isosbestic points vary from 8.47 to 8.68, with an average  $pK_{a-abs}$  of  $8.53 \pm 0.06$ . The mismatch of the  $pK_{a-abs}$  values and  $pK_{a-em}$  values suggests the

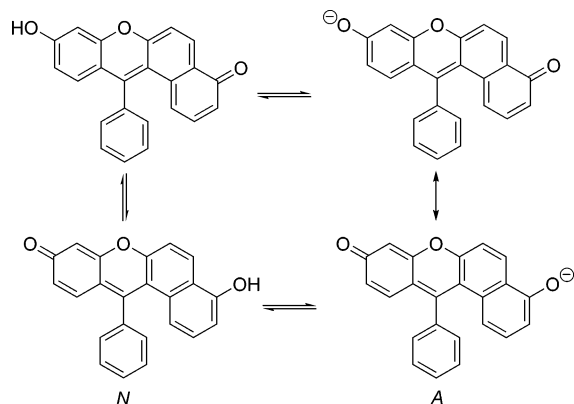
presence of excited-state proton transfer (ESPT). Upon excitation, the acidity of **SNAFR-1** increases, as measured in phosphate buffer containing 0.25% DMSO. The  $pK_a^*$  values for **SNAFR-1** estimated using the Forster equation are summarized in Table 1b. When different methods were applied to calculate the optical frequency of the light needed to excite the molecule





**Figure 4.** Spectral properties of 30  $\mu\text{M}$  **SNAFR-1** in 50 mM phosphate buffer at various pH values with 0.25% DMSO. The trend of spectral changes is indicated by arrows as the pH increases from 7 to 10. A = anionic form; N = neutral form. (A) Absorption spectra is shown; the \* indicates the position of isosbestic points. (B–D) Excitation spectra is shown with emission monitored at 400, 540, and 620 nm, respectively. (E–H) Emission spectra excited at 325, 488, 514, and 543 nm, respectively, corresponding to common laser lines is shown. The legends for panels A–H are shown in panel H. All fluorescence spectra are normalized to the maximum of each data set.

**Scheme 4.** Acid–Base Equilibria of **SNAFR-1**<sup>a</sup>



<sup>a</sup> N = neutral; A = anionic.

from its ground state to its lowest excited state, the  $\text{p}K_a^*$  value was found to vary from 3.3 to 6.3. It is interesting to note that when the 0–0 excitation method is used,  $\tilde{\nu}_{\text{anion}} - \tilde{\nu}_{\text{neutral}}$  of 1813  $\text{cm}^{-1}$  is obtained, which is in close agreement with 1700  $\text{cm}^{-1}$  calculated for **C.SNAFL-1**.<sup>4a</sup> The  $\text{p}K_a^*$  is calculated to be 4.54 or 4.73 at room temperature, when  $\text{p}K_{a-\text{em}}$  and  $\text{p}K_{a-\text{abs}}$  are used, respectively. These results demonstrate that the acidity of **SNAFR-1** increases in the excited state.

**SNAFR-1 Is a Single Component Red–Green–Blue (RGB) Fluorophore.** Importantly, a third emission band is present at 390 nm when **SNAFR-1** is excited in the UV region (Figure 4B,E). Thus, **SNAFR-1** emits in the violet–blue ( $\lambda_{\text{em}}$

= 390 nm), green ( $\lambda_{\text{em}}$  = 540 nm), and red ( $\lambda_{\text{em}}$  = 620 nm) spectral regions. The violet–blue emission is of relatively low intensity compared to the emission from the neutral and anionic forms. However, the intensity of the blue emission is increased in organic solvents (vide infra).

**Spectral Properties of SNAFR-1 in Organic Solvents.** **SNAFR-1** is fluorescent in organic solvents such as MeOH and DMSO. It exhibits dual emission with two emission bands located at 390 and 560 nm in DMSO and blue shifted to 385 and 550 nm in MeOH. Excitation–emission matrixes (EEMs) of **SNAFR-1** in MeOH and DMSO are shown in Figure 5. Spectral properties of **SNAFR-1** in DMSO and MeOH are summarized in Table 2. Violet–blue emission is observed to increase in these organic solvents compared to buffer. The green emission is attributed to the neutral form. As expected, the emission corresponding to the anionic form is diminished in both solvents.

When phosphate buffer<sup>32</sup> (0.25% final volume) is added to the DMSO solution of **SNAFR-1**, deep red emission attributed to the anionic form appears with a corresponding decrease in green emission attributed to the neutral form. Most interestingly, three emissions of nearly equal intensity in the violet–blue, green, and red spectral region appear when excited in the UV region, when ca. neutral buffer solutions are added (Figure 6). As a result, the emission is nearly white. **SNAFR-1** can be excited over a 400 nm spectral window anywhere from ca. 260

(32) Adding 0.25% distilled water instead of 0.25% phosphate buffer does not lead to any significant change of spectral shape.

**Table 1a.** The  $pK_a$  Values for **SNAFR-1** Based on Absorption and Emission Data<sup>a</sup>

method	$\lambda_{\text{ex}}$	$\lambda_{\text{isobestic}}$	$\lambda_1/\lambda_2$	$R_{\text{max}}$	$R_{\text{min}}$	$pK_a$
em	325		627/597	1.33	0.54	8.38
em	325		575/597	1.42	0.35	8.32
em	325		541/597	2.31	0.06	8.32
em	488		630/600	1.16	0.51	8.35
em	488		575/600	1.47	0.32	8.36
em	488		542/600	2.47	0.05	8.33
em	514		623/595	1.44	0.57	8.34
em	514		575/595	1.33	0.36	8.32
em	514		539/595	2.16	0.02	8.31
abs		484	543/484	3.76	0.88	8.52
abs		484	511/484	2.04	1.23	8.54
abs		484	469/484	0.48	0.37	8.47
abs		394	380/394	1.41	1.14	8.68
abs		394	344/394	2.73	1.90	8.58
abs		327	380/327	0.52	0.40	8.51
abs		327	344/327	0.99	0.67	8.51
abs		327	312/327	1.17	0.99	8.54
abs		304	312/304	0.91	0.75	8.48
abs		304	296/304	1.38	1.13	8.54
abs		304	265/304	2.79	2.07	8.48

$$pH = pK_a + c \left[ \log \frac{R - R_{\text{min}}}{R_{\text{max}} - R} \right] + \log \frac{I^a}{I^b}$$

<sup>a</sup> The  $pK_a$  of **SNAFR-1** in 50 mM phosphate buffer containing 0.25% DMSO was determined using the above equation.<sup>4a</sup> The  $pK_a$  is taken to be the intercept of the plot of pH versus the first log term in the equation, where  $c$  is the slope;  $R$  is the ratio from the spectral data at  $\lambda_1$  and  $\lambda_2$ ;  $R_{\text{max}}$  and  $R_{\text{min}}$  are the limiting values of this ratio; and  $I^a/I^b$  is the ratio of the spectral intensity in acid to that in base at the wavelength chosen for the denominator of  $R$ . This last term may be neglected by choosing an isobestic or isoemissive point as the denominator of  $R$ .

**Table 1b.** The  $pK_a^*$  Values for **SNAFR-1** Estimated Using the Forster Equation<sup>a</sup>

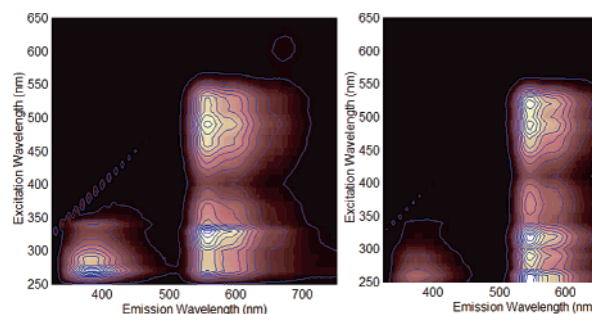
methods	neutral form		anionic form		$\tilde{\nu}_{\text{anion}} - \tilde{\nu}_{\text{neutral}}$	$pK_a^* - \text{em}$	$pK_a^* - \text{abs}$
	$\tilde{\nu}_{\text{neutral}}$	$\lambda_{\text{neutral}}$	$\tilde{\nu}_{\text{anion}}$	$\lambda_{\text{anion}}$			
absorption	19 495	512.94	18 451	541.99	1045	6.15	6.34
excitation	19 608	510.00	18 519	540.00	1089	6.05	6.25
emission	18 484	541.00	16 103	621.00	2381	3.34	3.54
0–0 absorption	18 789	532.23	17 248	579.77	1541	5.11	5.30
0–0 excitation	19 084	524.00	17 271	579.00	1813	4.54	4.73

$$pK_a^* = pK_a - \frac{N_A hc (\tilde{\nu}_{\text{anion}} - \tilde{\nu}_{\text{neutral}})}{2.303 RT}$$

<sup>a</sup> The  $pK_a^*$  of **SNAFR-1** in 50 mM phosphate buffer containing 0.25% DMSO was determined using the above equation<sup>11c</sup> with  $\tilde{\nu}_{\text{anion}} - \tilde{\nu}_{\text{neutral}}$  determined from various methods.  $pK_a^*$  is the  $pK_a$  at the first electronically excited state (S1).  $N_A$  is the Avogadro's number. The  $h$  is the Planck's constant. The  $c$  is the light speed in the unit of cm/s. The  $\tilde{\nu}_{\text{anion}}$  and  $\tilde{\nu}_{\text{neutral}}$  ( $\text{cm}^{-1}$ ) are the optical frequencies of the 0–0 transition in the neutral and anionic form, respectively. The  $R$  is the ideal gas constant. The  $T$  (K) is the temperature where absorption and fluorescence spectra were collected. The  $\lambda$  (nm) is the wavelength. The  $pK_a^* - \text{em}$  is the  $pK_a$  of S1 state calculated using  $pK_a - \text{em} = 8.34$ . The  $pK_a^* - \text{abs}$  is the  $pK_a$  of S1 state calculated using  $pK_a - \text{abs} = 8.53$ . The 0–0 absorption/excitation is the intersection of the emission with absorption/excitation spectra of neutral or anionic form, respectively.

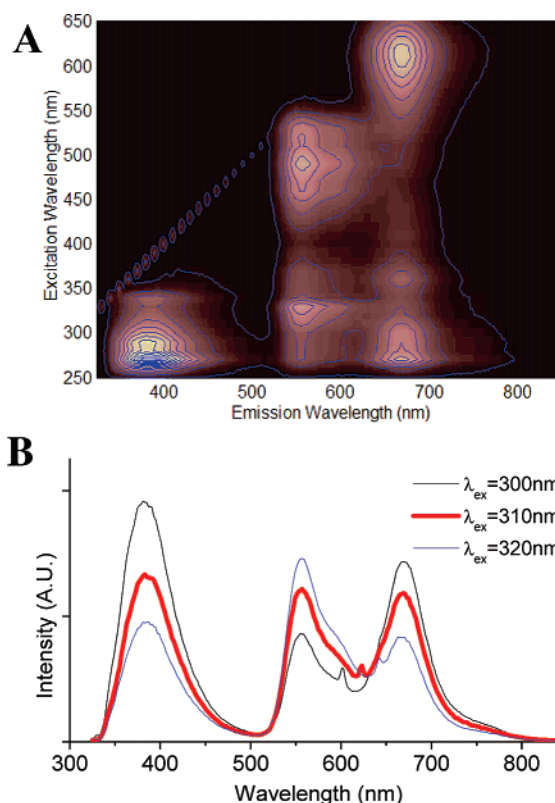
to 660 nm with corresponding emissions ranging from violet, white, yellow, green to deep red.

**Spectral Properties of SNAFR-1 in DMSO.** The absorption and fluorescence spectra as a function of phosphate buffer pH added to DMSO are shown in Figure 7. When the pH of the added buffer is low, the absorption from both the neutral and anionic forms is distinct. Above pH = 6, absorption from the anion dominates. Four clear isobestic points are observed at 311, 345, 427, and 538 nm (Figure 7A). Emission spectra

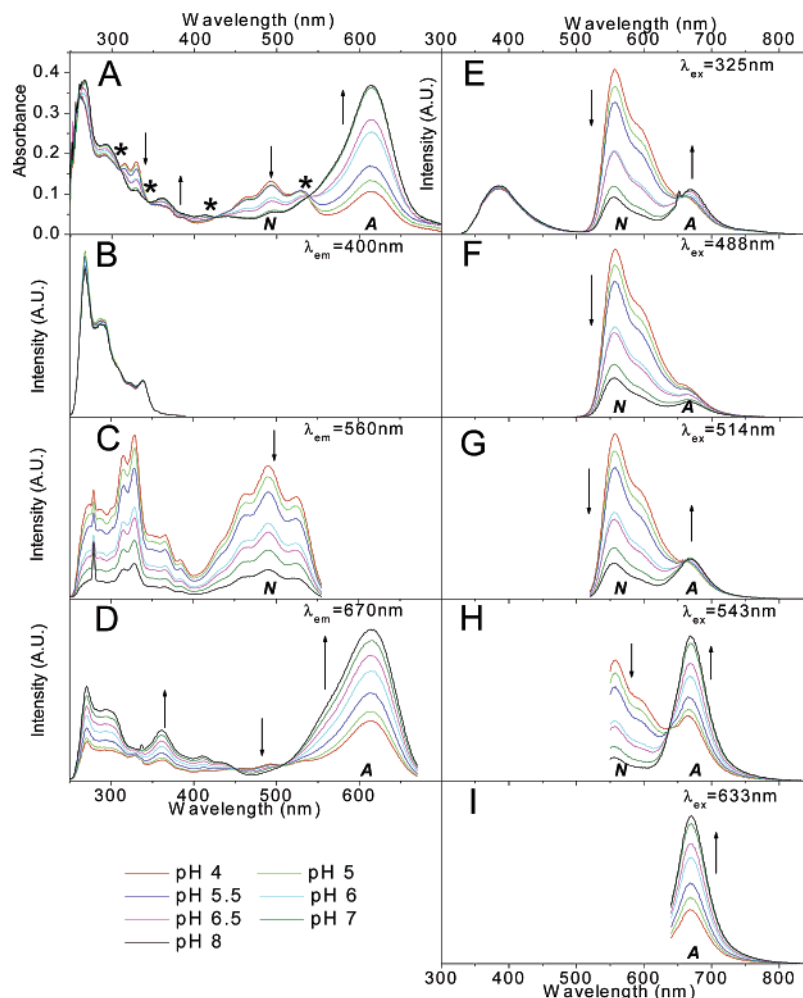
**Figure 5.** Excitation–emission matrices (EEM) of 30  $\mu\text{M}$  **SNAFR-1** in DMSO (left) and MeOH (right).**Table 2.** A Summary of Spectral Properties of **SNAFR-1** in DMSO and MeOH

	DMSO	MeOH
$\lambda_{\text{abs}}^a$ (nm)	493, 528	489, 523
$\epsilon^b$ ( $\text{M}^{-1} \text{cm}^{-1}$ )	7500, 6000	11800, 13200
$\lambda_{\text{em}}^c$ (nm)	390, 560	385, 550
$\lambda_{\text{em}}^d$ (nm)	560	550
$\Phi^e$	0.33	0.41

<sup>a</sup>  $\lambda_{\text{abs}}$  are peak locations in the absorbance spectra. <sup>b</sup>  $\epsilon$  is the molar extinction coefficient corresponding to each  $\lambda_{\text{abs}}$ . <sup>c</sup>  $\lambda_{\text{em}}$  are the peak locations in the emission spectra when excited at 325 nm. <sup>d</sup>  $\lambda_{\text{em}}$  are peak locations in the emission spectra when excited at 488 and 514 nm. <sup>e</sup> Quantum yields of compound **SNAFR-1** relative to rhodamine 6G in EtOH ( $\Phi = 1$ ). The excitation wavelength was 514 nm for both **SNAFR-1** and rhodamine 6G.

**Figure 6.** (A) Excitation emission matrix for 30  $\mu\text{M}$  **SNAFR-1** in DMSO with 0.25% phosphate buffer (50 mM, pH 7). (B) When excited at 310 nm, **SNAFR-1** shows violet–blue, green, and red emission of approximately equal intensities.

excited at or below the 538 nm isobestic point show that the green emission at 560 nm from the neutral form decreases as the pH of the phosphate buffer increases. The opposite trend is observed for the emission at 670 nm, assigned to the anionic



**Figure 7.** Spectral properties of 30  $\mu$ M SNAFR-1 in DMSO with 0.25% phosphate buffer (50 mM) at various pH values. The trend of spectral changes is indicated by arrows as the pH increases from 4 to 8. A = anionic form; N = neutral form. (A) Absorption spectra is shown; the \* in panel A indicates the positions of isosbestic points in absorbance spectra. (B–D) Excitation spectra with emission monitored at 400, 560, and 670 nm, respectively, is shown. (E–I) Data are emission spectra excited at 325, 488, 514, 543, and 633 nm, respectively, corresponding to common laser lines. All fluorescence spectra are normalized to the maximum of each data set.

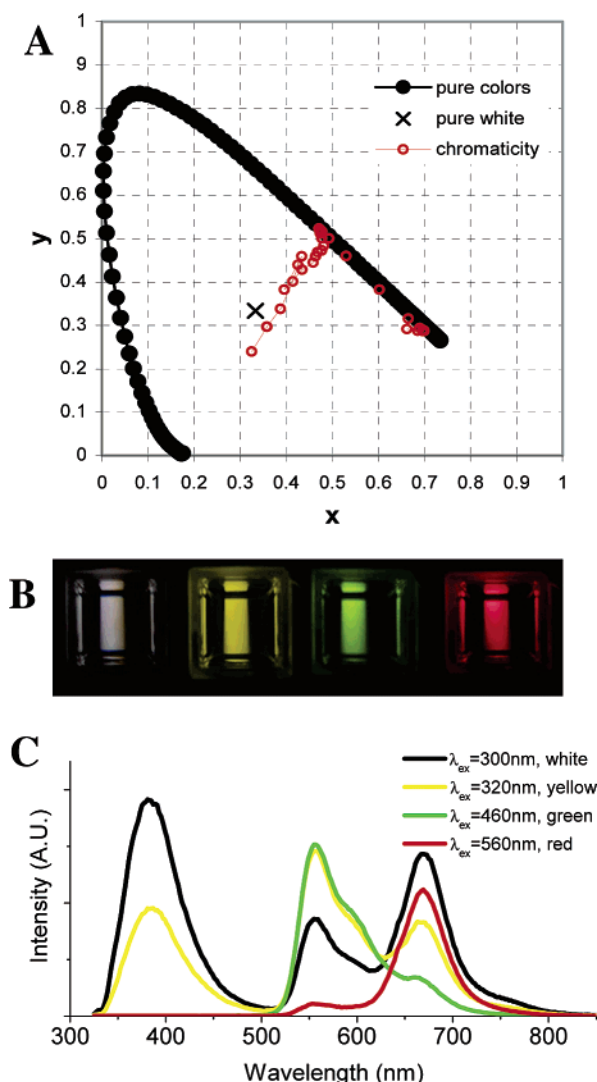
form of SNAFR-1 (Figure 7E–I). Figure 7I shows that excitation at 633 nm, much longer than the 538 nm isosbestic point, excites only the anion yielding only the emission at 670 nm with no isoemissive point. A clear isoemissive point is likely not apparent in Figure 7F because excitation at 488 nm results in only minimal emission from the anion. Under these conditions, emission from the neutral form dominates at all pH values investigated. The best ratiometric behavior would occur when excitation is at 538 nm (an isosbestic point), similar to the 543 nm output of a green HeNe laser (Figure 7H).

Compared to the red and green emissions, the violet–blue emission does not show a strong dependence on the added buffer pH (Figure 7E). Fluorescence excitation spectra, monitored at 400 nm, also verify that the violet–blue emission is independent of pH (Figure 7B).

Lower pH results in greater excitation intensity at 490 nm when monitored at the 560 nm peak of green emission band (Figure 7C). This is explained by the increased formation of the neutral form at low pH. At the same time, the opposite trend is observed at 610 nm for the excitation spectra of the anion form monitored at the peak of the deep red band (Figure 7D). The similar pH-responsive behavior of SNAFR-1 in 0.25% DMSO in buffer solutions (Figure 4) and 0.25% buffer in

DMSO solutions (Figure 7) is attributed to the preferential solvation of SNAFR-1 by water in water-poor DMSO solutions.

**Chromaticity<sup>33</sup> of SNAFR-1 Emission Is Sensitive to Excitation Wavelength and pH.** By changing either the excitation wavelength or the pH of the added buffer in DMSO, a variety of emission colors can be obtained. Excitation from 270 to 340 nm leads to three emission bands of varying intensities. Thus, the emission can appear either violet or near white. When excited from 340 to 410 nm, the color changes from near white to yellow owing to the disappearance of violet–blue emission and the increase of green emission. When excited from 420 to 540 nm, SNAFR-1 exhibits intensified green emission and comparatively weak red emission. As the excitation moves to longer wavelengths than 550 nm, that is, up to 650 nm, it exhibits red emission (Figure 8). As one can see from Figure 7, green and red emission is dependent on pH though blue emission is not. As a result, emission colors change significantly when the pH of the added buffer solution changes. The chromaticity of the emission as a function of both pH and excitation from 270 to 340 nm is summarized in Table 3. A complete table is located in Supporting Information. The chromaticity diagram obtained in DMSO using added buffer solution adjusted to pH 7 is shown in Figure 8A as an example.



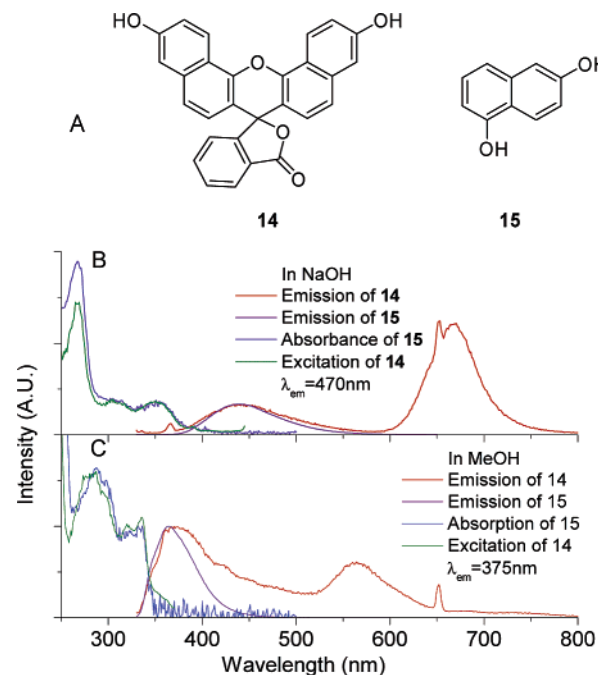
**Figure 8.** (A) Chromaticity coordinates for emission spectra collected with excitation wavelength between 270 and 650 nm plotted in a 1931 CIE (Commission Internationale de L'Eclairage) chromaticity diagram for a solution of 30  $\mu$ M SNAFR-1 in DMSO with 0.25% phosphate buffer (50 mM, pH 7). (B) Photographs of 30  $\mu$ M SNAFR-1 in DMSO with 0.25% phosphate buffer (50 mM, pH 7) when excited at 300, 320, 460, and 560 nm, respectively (from left to right), to afford emissions of near white, yellow, green, and red color. (C) The emission spectra corresponding to the photographs in panel B are shown.

**Table 3.** Chromaticity of 30  $\mu$ M SNAFR-1 in DMSO with 0.25% Buffer as a Function of pH of Added Phosphate Buffer Solutions

$\lambda_{\text{ex}}$	pH 4		pH 5		pH 6		pH 7		pH 8	
	x	y	x	y	x	y	x	y	x	y
270 nm	0.37	0.34	0.36	0.32	0.33	0.27	0.36	0.30	0.30	0.18
280 nm	0.40	0.39	0.39	0.38	0.37	0.33	0.36	0.30	0.33	0.23
290 nm	0.40	0.39	0.39	0.38	0.37	0.33	0.39	0.34	0.33	0.22
300 nm	0.42	0.42	0.41	0.41	0.40	0.36	0.41	0.40	0.36	0.25
310 nm	0.44	0.46	0.44	0.45	0.42	0.42	0.43	0.44	0.38	0.31
320 nm	0.45	0.48	0.45	0.47	0.43	0.45	0.43	0.46	0.39	0.36
330 nm	0.46	0.49	0.45	0.48	0.44	0.47	0.40	0.38	0.39	0.38
340 nm	0.43	0.44	0.42	0.43	0.40	0.40	0.44	0.43	0.35	0.28

The data corresponding to other buffer pH values are also included in the Supporting Information.

**Origin of the Violet–Blue Emission.** The origin of the green and red emission is discussed above. To investigate the origin of the violet–blue emission, we employ known benzo[c]-



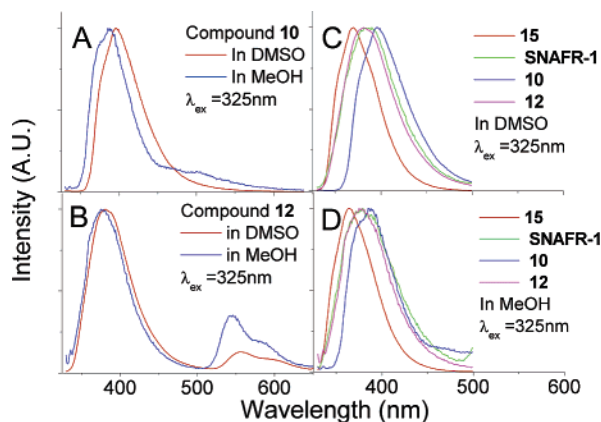
**Figure 9.** (A) Structures of compound **14** and **15**; (B) emission spectra of **14** and **15** excited at 325 nm, excitation spectrum of **14** with emission monitored at 470 nm; absorption spectrum of **15**; all compounds in 0.1 M NaOH; (C) emission spectra of **14** and **15** excited at 325 nm; excitation spectrum of **14** with emission monitored at 375 nm; absorption spectrum of **15**. The concentrations of **14** in both solution are 10  $\mu$ M. All the other solutions are 30  $\mu$ M. All spectra are normalized to allow comparison.

fluorescein (**14**) and **15** as model naphthyl-containing fluorophores. The blue emission of compound **14** is similar to that of compound **15** (Figure 9). Importantly, the excitation spectrum of **14** with emission monitored at 470 nm (blue band) overlays with the absorption spectrum of **15** (in 0.1 M NaOH, Figure 9B). A similar trend is observed in MeOH (Figure 9C). This implies that **14** and **15** have a similar mechanism of blue emission in both solvents.

We thus hypothesize that the blue emission of SNAFR-1 as well as known **14** arises from the isolated naphthyl moiety. In **14**, the lactone form would isolate the naphthyl fluorophore. In organic solvents, it is well-known that the lactone form of xanthenes predominates. Thus, as expected, we observe a more intense blue emission than green emission in MeOH (Figure 9C). In 0.1 M NaOH solution, the equilibrium favors the formation of carboxylate anion instead of the lactone, leading to a more intense red emission (Figure 9B). However, the presence of some lactone (or covalently attached hydroxide, vide infra) apparently still induces some blue, naphthyl-derived emission.

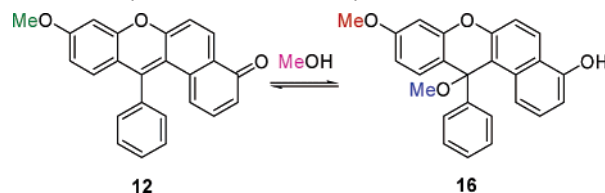
Though SNAFR-1 possesses no lactone to isolate the naphthalene fluorophore, its blue emission in various solvents resembles those of **14** and **15** (Figures 10C and D). This suggests an alternative mechanism to isolate the naphthalene unit, accounting for the violet-blue emission of SNAFR-1. Our experimental evidence shows that intermolecular nucleophilic addition readily occurs in the naphtho[*a*]- and [*b*]xanthene series. First, compound **10**, the isolated adduct possessing a methyl ether at the bridging benzylic carbon, exhibits primarily violet–blue emission (Figure 10A). Second, compound **12**, the methyl ether analogue of one tautomer of SNAFR-1, also undergoes nucleophilic addition to its central carbon, furnishing **16** (Scheme 5).



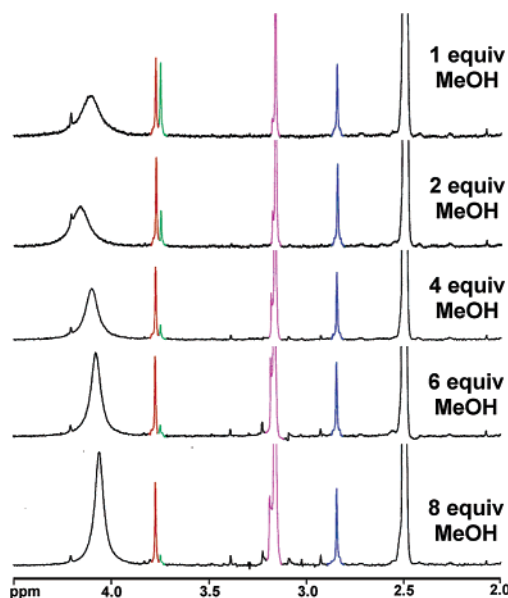


**Figure 10.** (A) Fluorescence emission of 30  $\mu\text{M}$  **10** in DMSO and MeOH; (B) fluorescence emission of 25  $\mu\text{M}$  **12** in DMSO and MeOH; (C) overlay of the violet–blue emission of **SNAFR-1** with other compounds possessing the isolated naphthalene moiety in DMSO; (D) overlay of the violet–blue emission of **SNAFR-1** with other compounds possessing the isolated naphthalene moiety in MeOH. All fluorescence spectra are collected with an excitation wavelength at 325 nm. Solutions of **SNAFR-1** and **15** are 30  $\mu\text{M}$ . All fluorescence spectra are normalized to the maximum of the violet–blue peak.

**Scheme 5.** Equilibrium between Compounds **12** and **16**<sup>a</sup>



<sup>a</sup> Methyl groups and corresponding peaks in Figure 11 are shown in the same color.



**Figure 11.**  $^1\text{H}$  NMR study of the equilibrium between **12** and **16** in  $\text{DMSO}-d_6$ . The concentration of compound **12** decreases as MeOH increases.

A study of the equilibrium between **12** and **16** using  $^1\text{H}$  NMR is shown in Figure 11. The resonances of the three methyl ether moieties of **12** and **16** are well-resolved. As the MeOH concentration increases, the relative proportion of compound **12** is observed to decrease. A MeOH solution of **12** displays an intense blue emission as well as a green emission (Figure 10B). The red emission of **12** is diminished compared to

tautomerizable **SNAFR-1**. An overlay of the violet–blue emissions arising from **10**, **12**, **15**, and **SNAFR-1** in various solvents is shown in Figure 10. The similar spectral features, along with NMR and single-crystal X-ray structure evidence (e.g., the structure of **10** in Figure 3 possesses a predominant isolated naphthyl), help confirm the hypothesis that the isolated naphthyl is responsible for the violet–blue emission of this series of compounds. The presence of a trace amount of  $\text{H}_2\text{O}$  apparently serves as the nucleophile in DMSO which leads to the isolated naphthyl unit. Alternative mechanisms (such as local excitation<sup>34</sup>) that might also lead to blue emission are currently under investigation.

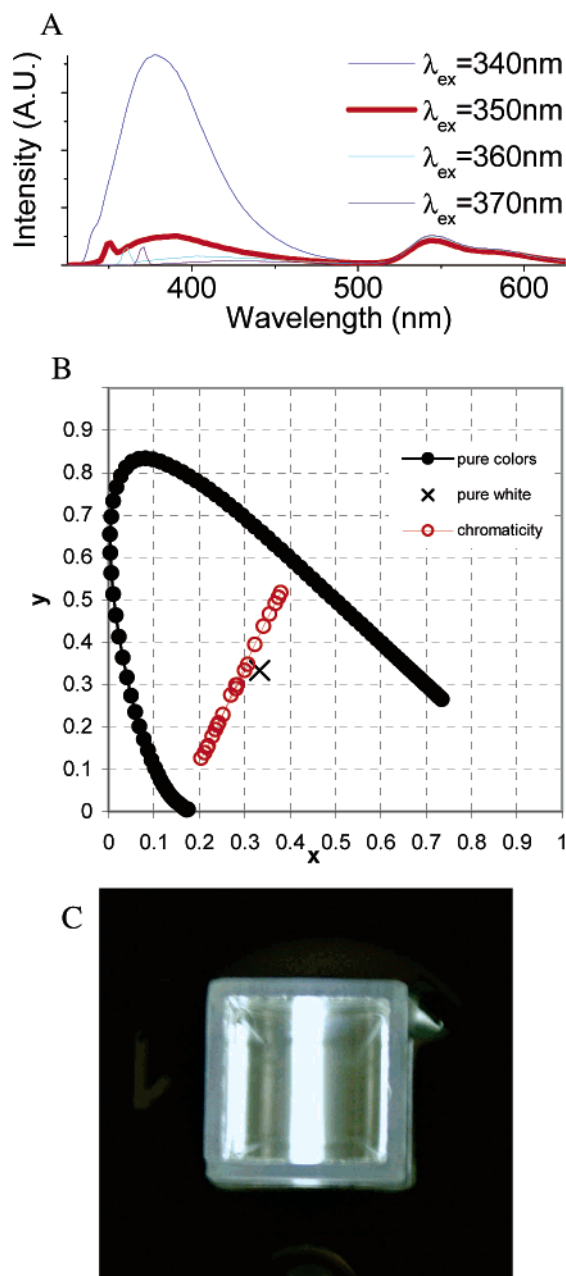
#### Dual Emission White Light Arising from Compound **12**.

Figure 10B demonstrates that **12** in MeOH exhibits dual emission in the violet–blue and green spectral regions. In MeOH only a minimal amount of **12** remains in solution with the majority in the form of **16** (Scheme 5), leading to a dominant violet–blue emission (from ca. 330 to 450 nm) with a green emission (from ca. 520 to 620 nm) when excited below 340 nm. However, as has been shown for **SNAFR-1**, the relative intensities of these emission bands are dependent on excitation wavelength (Figure 12A). Excitation beyond 340 nm dramatically decreases the relative intensity of the blue emission band as excitation of the naphthyl unit becomes less efficient. When excited at 350 nm, the ratio of the two emission bands is nearly equal. The mixing of these emission bands again results in near white emission. The chromaticity diagram of 50  $\mu\text{M}$  **12** in MeOH is shown in Figure 12B. A photograph of the white emission is shown in Figure 12C. Although two band white light has a poorer color rendering index (CRI) owing to the unbalanced red color,<sup>35</sup> this result is of interest since currently two band white light is the main origin of white emission in white polymer light emitting diodes (WPLEDs).<sup>22a</sup>

**Live Cell Imaging.** Organic fluorophores have found extensive applications in cell imaging. However, their drawbacks, such as narrow excitation, single, wide emission with a long tail, poor photostability, etc., limited their applications in some sophisticated applications such as multiplexing and real-time measurements. Few water soluble, long wavelength ( $\lambda_{\text{em}} > 600$  nm), and photostable probes were reported. Though **SNAFR-1** also shows a wide emission in neutral buffer solution, its unique properties of multiple emissions, wide excitation window, low cytotoxicity, and excellent photostability (vide infra) make it an ideal candidate for cellular imaging.

Cellular imaging studies reveal that **SNAFR-1** readily enters HEP2 cells (Figure 13). **SNAFR-1** localization is directed toward the lipophilic compartments, as there is a strong signal from the endoplasmic reticulum (ER) as well as some signal from mitochondria. The nuclei of the cells also show some **SNAFR-1** fluorescence. This could be due to accumulation in

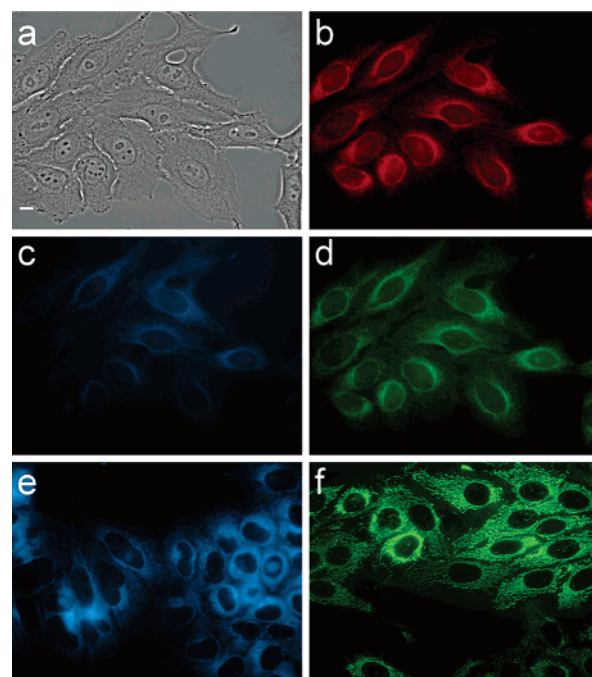
- (33) (a) Chromaticity coordinates  $x$ ,  $y$ , and  $z$  are derived by calculating the fractional components of the tristimulus values thus:  $x = X/(X + Y + Z)$ ,  $y = Y/(X + Y + Z)$ ,  $z = Z/(X + Y + Z)$ . Tristimulus values are the amounts of three primaries that specify a color stimulus. The CIE 1931 tristimulus values are denoted as  $X$ ,  $Y$ , and  $Z$ . All possible sets of tristimulus values can be represented in a two-dimensional plot of two of these chromaticity coordinates and by convention  $x$  and  $y$  are always used. A plot of this type is referred to as a chromaticity diagram; <http://www.colourware.co.uk/>. (b) <http://hyperphysics.phy-astr.gsu.edu/hbase/vision/cie.html#c2>. (c) Wyszecki, G. Colorimetry. In *Handbook of Optics*; Driscoll, W. G., Vaughan, W., Eds.; McGraw-Hill Book Company: New York, 1978; pp 1–15. (34) Zilberg, S.; Haas, Y. *J. Phys. Chem. A* **2002**, *106*, 1–10. (35) Zakauskas, A.; Shur, M.; Gaska, R. *Introduction to solid-state lighting*; Wiley-Interscience: New York, 2002; pp 130.



**Figure 12.** (A) The emission of 50  $\mu\text{M}$  **12** in MeOH. When excited at 350 nm, **12** shows two emission bands of approximately equal intensity. (B) Shown are the chromaticity coordinates for emission spectra collected with excitation wavelength between 275 and 375 nm plotted in a 1931 CIE chromaticity diagram for a solution of 50  $\mu\text{M}$  **12** in MeOH. (C) Photograph of white light of compound **12** in MeOH.

the nuclear membrane or to intercalation into DNA. No obvious staining of the plasma membrane is observed. This is not surprising as the plasma membrane has a large surface area and, as such, a low surface brightness signal may not be detected. Three different filter sets, DAPI, FITC, and Texas Red, are applied corresponding to the blue, green, and red emission of **SNAFR-1**. Some autofluorescence from the cell is observed when DAPI is used. However, autofluorescence is minimal when longer wavelength filter sets such as FITC and Texas Red are used.

Overnight incubation of cells with **SNAFR-1** shows an overall increase in signal intensity compared to 30 min incubation and large vesicular formation within cells that do not colocalize with



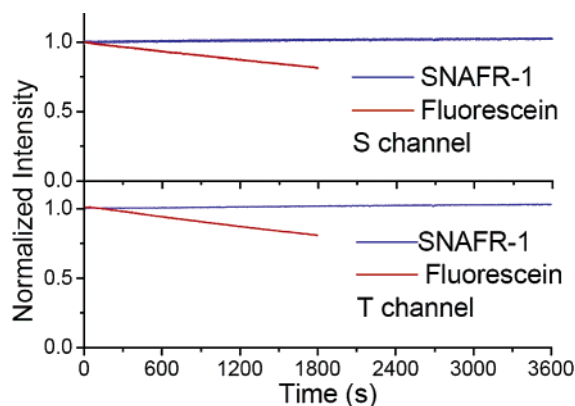
**Figure 13.** (a) Phase contrast of cells stained with **SNAFR-1**; (b) fluorescence through Texas Red filter set with excitation 540–580 nm and emission 610 nm long pass; (c) fluorescence through DAPI filter set with excitation 330–370 nm and emission 420 nm long pass; (d) fluorescence through FITC filter set with excitation 460–500 nm and emission 510–560 nm; (e) endoplasmic reticulum stained with ERTracer Blue White (Molecular Probes); (f) mitochondria stained with MitoTracker Green (Molecular Probes). Scale bar is 10  $\mu\text{m}$ .

compound signal. At the concentrations tested, **SNAFR-1** exhibits no toxic effects.

**SNAFR-1 Exhibits Excellent Photostability.** The photostability of **SNAFR-1** is investigated in MeOH. It is much more photostable compared to fluorescein in 0.1 M NaOH. In MeOH **SNAFR-1** can be excited efficiently at 488 nm, corresponding to an Ar ion laser line. This wavelength matches well with absorbance maximum ( $\lambda_{abs} = 493\text{ nm}$ ) for fluorescein in aqueous base as well as that of **SNAFR-1** ( $\lambda_{abs} = 489\text{ nm}$ ) in MeOH. The photostability studies are conducted with solutions prepared to have an absorbance of 0.03 at 488 nm so that both **SNAFR-1** and fluorescein solutions absorb the same number of photons when excited at 488 nm. The excitation band-pass is opened to 14 nm, the maximum allowed by the instrument. Fluorescence signal is collected using both the s and t channels of the instrument. S channel data are collected through the dual monochromator set at the emission maximum of a given fluorophore. T channel data are collected through a 550 nm long pass filter. High voltage for the photomultiplier tube (PMT) is set at 950 and 500 V for the s and t channels, respectively. Data are collected with a 0.1 s integration time at 0.1 s intervals for at least 1800 s. The signal is maintained within an acceptable range using neutral density filters (Omega optical, Brattleboro, VT). The photobleaching decay of each dye is plotted in Figure 14. **SNAFR-1** shows excellent photostability during the time monitored, while fluorescein loses 20% of its fluorescence in only 30 min.

## Conclusion

Wolfbeis and co-workers had predicted that novel benzanulated xanthenes would prove useful synthetic targets, par-



**Figure 14.** Photobleaching decay of **SNAFR-1** in MeOH and fluorescein in 0.1 M NaOH monitored at emission maximum of each compound (top) and through a 550 nm long pass filter (bottom). The fluorescence intensity is normalized at time 0 s for each compound.

ticularly as intracellular pH probes. A new methodology for the synthesis of “missing” [a]- and [b]benzoxanthene regioisomers was described herein. We have shown that a type [a]benzofluorone, **SNAFR-1**, exhibits unique properties, including white light emission as well as excitation over a 400 nm range. Additionally, this compound shows promise for use in cellular imaging studies.

The dual visible emission maxima in the green and red spectral regions of the benzannulated xanthenes reported herein are dependent on both solution pH and excitation wavelength. We have found that benzannulated xanthenes emit blue light based on the equilibria involving nucleophilic addition at their benzylic carbon. Thus, tuning the intensities of the red, green, and blue emission wavelengths of **SNAFR-1** to approximately equal intensities, via optimizing both solution parameters and excitation, renders it a red–green–blue (RGB) dye. This embodies a unique example of a simple dye that emits white light upon UV excitation. To realize materials applications, studies of **SNAFR-1** and congeners in the solid state are needed. The synthesis and properties of these and related new fluorophores will be reported in due course.

## Experimental Section

**General Methods.** 1,6-Dimethoxynaphthalene was purchased from Wilshire Technologies. 2,4-Dimethoxybenzenephene was prepared by a known procedure.<sup>36</sup> All other reagents were purchased from Sigma-Aldrich and used as received without further purification except THF and CH<sub>2</sub>Cl<sub>2</sub> which were dried using the Innovative Technologies solvent purification system. EtOAc, hexane, CHCl<sub>3</sub>, acetone, and MeOH were purchased from EMD biosciences and used as received. All reactions were carried out under an Ar atmosphere unless otherwise indicated. The TLC plates used were silica XHL TLC plates, glass backed, 250 μm, from Sorbent Technologies. The silica gel used was 60 Å standard grade with a distribution of 40–63 μm, from Sorbent Technologies. High-resolution MS (HRMS) and ESI spectra were obtained at the Mass Spectrometry Facility of Georgia State University, Atlanta, GA. NMR spectra were acquired in DMSO-*d*<sub>6</sub> or CDCl<sub>3</sub> on a Bruker DPX-250, DPX-300, or Avance-400 spectrometer. All  $\delta$  values are reported with DMSO referenced at 2.49 ppm for <sup>1</sup>H NMR and at 39.5 ppm for <sup>13</sup>C NMR, or with CDCl<sub>3</sub> referenced at 7.26 ppm for <sup>1</sup>H NMR and at 77.0 ppm for <sup>13</sup>C NMR.

**X-ray Crystallography.** Intensity data for X-ray crystallography was collected for compounds **4**, **5**, **6**, **7**, **8**, **9**, **10**, and **13** at *T* = 110 K

using graphite monochromated Mo K $\alpha$  radiation ( $\lambda$  = 0.71073 Å) on a Nonius KappaCCD diffractometer fitted with an Oxford Cryostream cooler. Structures were solved by direct methods and refined by full-matrix least-squares, using SHELXL97.<sup>37</sup> For all compounds, H atoms were visible in difference maps but were placed in idealized positions, torsional parameters were refined for methyl groups, and OH hydrogen positions were refined individually.

## UV–Visible Absorption and Fluorescence Spectroscopy.

UV–vis spectra were collected on Cary 50 Bio UV–vis spectrophotometer at room temperature using 1 cm quartz cuvette. Fluorescence spectra were collected on a Fluorolog-22Tau3 (Horiba Jobin Yvon, Edison, NJ). Solutions were placed in a 1 cm path length quartz cell (Starna Cells, Atascadero, CA). Emission spectra were collected following excitation with a 450 W xenon arc lamp. A dual monochromator was used to select light of 325, 488, 514, 543, and 633 nm (band-pass = 1 nm). Emission wavelengths were scanned with 1 nm step sizes using a dual monochromator (band-pass = 4 nm). Integration time was set to 0.1 s per point, and 950 V was applied to a Hamamatsu R928 PMT. Excitation spectra were monitored with an emission and excitation band-pass of 4 and 1 nm, respectively. All spectra were corrected through division by signal from a reference channel. Excitation emission matrixes (EEMs) were collected over various spectral regions using 2 nm step sizes for emission and 10 nm step sizes for excitation. An estimate of the fluorescence quantum yield was obtained as reported.<sup>38</sup> Quantum yields are relative to rhodamine 6G in EtOH. An assumption was made that rhodamine 6G has a quantum yield of 1 when dissolved in EtOH.

**Live-Cell Measurements.** HEP2 cells were obtained from ATCC and maintained in a 50:50 mixture of DMEM/Advanced MEM (Invitrogen) supplemented with 5% FBS (Invitrogen) and Primocin as antibiotic (Invivogen). Cells were subcultured twice weekly to maintain working stocks. HEP2 cells were seeded onto LabTek II chamber coverslips and allowed to grow for 48 h. **SNAFR-1** was first prepared as a 10 mM stock in DMSO and then diluted directly into medium to 10 μM. Cells were then fed medium containing **SNAFR-1** for 30 min. Slides were then washed three times with medium containing 50 mM HEPES pH 7.2 and examined using a Zeiss Labovet 200 M microscope fitted with a standard Texas Red filter set (Chroma Technology Corp.). The images were acquired using a Zeiss AxioCam MRm digital camera. Mitochondria were stained using a 250 nM MitoTracker Green FM, and endoplasmic reticulum were stained using 1 μM ER-Tracker Green (both from Molecular Probes) by incubating cells for 30 min with the appropriate dye and washing as above. The organelle stains were visualized using a standard FITC filter set (Chroma Technology Corp.) Compound toxicity was tested by plating 7500 HEP2 cells per well on a Costar 96-well plate. The cells were allowed to grow for 48 h and then were fed medium containing 2-fold dilutions of **SNAFR-1** ranging from 10 to 1.25 μM. Saponin (0.1%, Sigma) was used as a negative control. Cells were then incubated for 24 h. For normalization purposes, untreated cells were considered 100% viable, and cells treated with 0.1% saponin were considered 0% viable. Viability was measured using the CellTiter Blue Cell Viability assay (Promega) as per manufacturer's instructions. Fluorescence signal was detected using an excitation wavelength of 520 nm and emission wavelength of 584 nm.

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**Supporting Information Available:**  $^1\text{H}$ ,  $^{13}\text{C}$  NMR spectra, mass spectra of compounds **4–10**, **12**, **SNAFR-1**, and **13**; crystallographic information files (CIFs) and ORTEP graphs for compounds **4–10** and **13**; cytotoxicity study; spectral

information of **10**, **SNAFR-1**, **12**, **14**; apparent  $\text{p}K_{\text{a}}$  values in DMSO containing 0.25% phosphate buffer; chromatography diagram of **10**, **SNAFR-1**, **12**, and **14** at various conditions are included. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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