

Novel Asymmetric Approach to Proline-Derived Spiro-β-lactams

Alisher B. Khasanov,[†] Michele M. Ramirez-Weinhouse,[‡] Thomas R. Webb,^{†,§} and Mohan Thiruvazhi^{*,†}

Department of Chemistry Research, ChemBridge Research Laboratories and ChemBridge Corporation, 16981 Via Tazon, San Diego, California 92127

mohan.thiruvazhi@chembridgeresearch.com

Received April 6, 2004

Abstract: We describe a novel asymmetric approach using Staudinger chemistry to proline-derived spiro- β -lactams. A chiral group at C-4 of the acid chloride of proline directs the stereoselectivity of Staudinger chemistry and later is sacrificed to obtain optically active 5.4-spiro- β -lactams. The scope, limitations, and mechanistic rationale for the observed results of Staudinger Chemistry of the acid chloride of 4-alkyl(aryl)sulfonyloxy-L-proline with imines are also discussed.

The conceptual approach of peptidomimetics uses peptides and proteins as leads to discover novel classes of compounds of biological importance. One approach to the advancement of a peptide lead to a therapeutically desirable small molecule drug uses cyclic peptide derivatives and conformational constraints as logical steps in the process.¹ Conformational constraints induced on introduction of cyclic amino acids (such as proline) into peptides or proteins is enhanced by quarternization of the stereocenter. Efficient methods were developed to synthesize such unusual amino acids, and one such method was recently published by Kawabata et al.² Alonso et al. combined the features of a spiro system³ and α, α -disubstituted β -lactams⁴ to propose the introduction of a 5.4-spirolactam, which was validated using highlevel ab initio calculations and thereby introduced (\pm) -**1** as a novel β -turn mimetic.^{5,6} The tripeptidic β -turn (±)-**1**

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was obtained from the spirolactam (±)-**2** derived from a Staudinger reaction between the acid chloride of *N*-carbonyloxybenzyl-L-proline and *N*-methyl-2-[[(1*E*)phenylmethylene]amino]acetamide. Our combined interest in the area of β -turn mimetics⁷ and the synthetic use of D- and L-proline led us to explore an optically active approach to proline-derived 5.4-spiro β -lactams.^{8,9}



While asymmetric Staudinger chemistry of optically active acid chloride of D- or L-proline with achiral imines is impossible due to the loss of stereochemistry at C-2,10 the use of chiral ketenes¹¹ or chiral imines¹² are viable options. We have developed a strategy that exploits the asymmetric center resident in C-4 of trans-4-hydroxy-Lproline to influence the diastereoselectivity in the Staudinger reaction and which may be sacrificed after the fact. Whereas, utilization of the asymmetry resident in C-4 of proline to influence the stereoselectivity in Staudinger was reported by Croce and Rosa,¹³ the concept of sacrificing the asymmetry to eventually deliver optically active "proline-derived" Staudinger products from achiral imines is novel. We herein present the details of the first direction to such a strategy highlighting the fact that our results are similar in regards to the configuration at the spiranic carbon of the predominant product (vide infra), but are in contrast with the overall outcome from those of Croce and Rosa's possibly due to differences in mechanistic pathways predetermined by the reaction conditions employed.14-16

10.1021/jo0494300 CCC: \$27.50 © 2004 American Chemical Society Published on Web 07/21/2004

^{*} Corresponding author.

[†] ChemBridge Research Laboratories.

[‡] Current address: Pfizer Global Research & Development-La Jolla Laboratories, Discovery Technologies, 10614 Science Center Drive (CB6), San Diego, CA 92121.

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⁽¹⁰⁾ Staudinger reaction proceeds via ketene mechanism when base is used in conjunction with an acid chloride. In the absence of a base, a direct attack of the imine on acid chloride is the first step in the mechanism.

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SCHEME 1^a



^a Reaction conditions: (a) *E*-PhCH=NBn (4a), Et₃N/DCM, rt, 14 h; (b) K₂CO₃/MeOH, rt (16 h), 70 °C (3 h); (c) H₂, 10% Pd/C, EtOH.

The Staudinger reaction between an in-situ generated ketene¹⁰ derived from optically active acid chloride $\mathbf{3a}$ and N-benzyl-N-[(1E)-phenylmethylene]amine (4a) was conducted in dichloromethane using Et₃N as the base at ambient temperature (Scheme 1). While four diastereomers (5a-8a) are theoretically possible, analysis of the crude reaction mixture by TLC indicated two major products while HPLC showed a 99:1 ratio of two peaks. Flash chromatography purification of the crude afforded two diastereometically pure β -lactams **5a** and **6a** (single enantiomers in each case) in 51% and 20% isolated yields, respectively, exhibiting identical retention times in HPLC. The structures of 5a and 6a could not be deciphered at this stage since the¹H NMR was complicated by the existence of rotamers arising from the N-Cbz group. To demonstrate that this was in fact the case, the Cbz group of **5a** was removed to give pyrrolidine **9a** as a single diastereomer as evidenced by its simplified ¹H NMR. Furthermore, the structure of 5a was shown beyond doubt by obtaining its X-ray crystal structure. We moved toward our goal by eliminating methanesulfonic acid in 5a using K₂CO₃/MeOH to obtain a single dihydropyrrole isomer 10.17 Olefin 10 was deprotected and hydrogenated in one-pot to afford the "proline-derived" Staudinger product 11.

The structure of **6a** was shown to be as indicated by converting it to proline-derived Staudinger product **13** via the elimination of methanesulfonic acid, hydrogenation of the resultant double bond of **12**, and concomitant removal of the Cbz protecting group. The ¹H NMR of **13** was identical to **11**; and, being derived from two different compounds (or diastereomers) **5a** and **6a**, compounds **11** and **13** are enantiomeric to each other as evidenced by their optical rotations (see the Experimental Section). Since **11** and **13** are enantiomers, and since **13** was derived from **6a**, β -lactam **6a** should have the structure as displayed.

Scheme 2 shows the mechanism of Staudinger reaction involving the well-accepted attack of the imine lone pair

SCHEME 2. Proposed Mechanism of Staudinger Chemistry on Proline-Derived Ketenes



from the least hindered side of the ketene (opposite of the side housing the Cbz group). Additionally, the asymmetric carbon of the ketene differentiates the two faces of the pyrrolidine ring. As a result, the imine either attacks from the top (β) or from the bottom (α) face of the proline to give the corresponding zwitterionic intermediates **5aI-1** and **6aI-1**. It is conceivable that $C-N^+$ bond in **5aI-1** could rotate by 270° to form intermediate 6aI-2 and C-N⁺ bond of intermediate 6aI-1 could also rotate the same angle to form **5aI-2**. As the rotation from 5aI-1 and 6aI-1 to 5aI-2 and 6aI-2, respectively, is only 90 °C, the principle of least motion could be invoked to form the proposed intermediates.¹⁴ In either intermediate, a 90° flip is necessitated by the impending conrotatory ring closure of 5aI-2 and 6aI-2 leading to β -lactams **5a** and **6a**, respectively. In essence, an attack on the less hindered side of the ketene carbonyl by the imine either via β -face or an α -face approach followed by con-rotatory ring-closure leads to 5a, and 6a, respectively.

The effect of substituents R_1 of **3**, and R_2 and R_3 of the imine **4** on diastereoselectivity of the Staudinger chemistry was probed. Keeping R_1 and R_2 unchanged as methanesulfonyl and benzyl, repectively, and changing R_3 from aliphatic to aromatic, improves the yield and diastereoselectivity to afford the (3,4)-*syn* products [such as **5** and **6**; Table 1]. For example, when $R_2 = p$ -methoxybenzyl, and R_3 is changed from being alkyl (entries 2–4) to aromatic (entries 5–6), the evaporative light-scattering detector (ELSD) yield of (3,4)-*syn* products improved drastically from 0%-28% to 97%-99%. None of the other respective diastereometric (3,4)-*anti* products

⁽¹⁶⁾ Hegedus, L. S.; Montgomery, J.; Narukawa, Y.; Snustad, D. C. J. Am. Chem. Soc. **1991**, 113, 5784–5791.

⁽¹⁷⁾ The alkene protons of **10** resonate at 6.07 and 5.83 ppm suggesting that the double bond is between C-7 and C-8; see: Donohoe, T. J.; House D. J. Org. Chem. **2002**, 67, 5015–5018. Lack of signals at about 7.0 ppm precludes the possibility of a C-6, C-7 double bond in **10**; see: Oliveira, D. F.; Miranda, P. C. M. L.; Correia, C. R. D. J. Org. Chem. **1999**, 64, 6646–6652. In any event, the position of the double bond was immaterial to the outcome of the project.

TABLE 1. Dependence of Disatereoselectivity onNature of Imine



		imir	ne (4)			
entry 5-8		R_2	R ₃	(5 , 6)/(7 , 8) ^a	5/6 ^b	
1	а	benzyl	phenyl	99/0	51/20	
2	b	<i>p</i> -OMe-benzyl	methyl	28/20	с	
3	С	<i>p</i> -OMe-benzyl	cyclopropyl	$0/0^{d}$	0/0 ^d	
4	d	<i>p</i> -OMe-benzyl	cyclohexyl	19/5	с	
5	е	<i>p</i> -OMe-benzyl	<i>p</i> -OMe-phenyl	97/0	50/6	
6	f	<i>p</i> -OMe-benzyl	<i>p</i> -F-phenyl	99/0	54/9	
7	g	cyclopropyl	methyl	$0/0^{d}$	0/0 ^d	
8	ĥ	cyclopropyl	cyclopropyl	4/4	С	
9	i	cyclopropyl	cyclohexyl	20/17	С	
10	j	cyclopropyl	<i>p</i> -OMe-phenyl	87/0	41/12	
11	k	cyclopropyl	<i>p</i> -F-phenyl	97/0	58/d	

^{*a*} Ratios are in percent from LCMS-ELSD of crude reaction mixtures. ^{*b*} The numbers in ratios are isolated yields of purified products. ^{*c*} Not isolated. ^{*d*} Could not be determined.

 TABLE 2. Effect of Changing R1 on Diastereoselectivity

			yields ^a (%)							
entry	5-8	R_1	5	6	7	8	(5 + 6)/(7 + 8)			
1	а	Ms	51	20	0	0	100			
2	1	Ts	34	24	4	0	14.5			
3	m	Ns	18	13	13	5	1.7			
^a Yields are of pure products.										

(such as **7/8**) are observed on such a change. Within the aromatic series, installing either an electron donating or withdrawing group such as *p*-methoxyphenyl (PMP, entry 5) or *p*-fluorophenyl (PFP, entry 6) provides β -lactam with a preference for the β -approach of the imine [PMP_{β/α}(**5e**/**6e**) = 50/6 and PFP_{β/α}(**5f**/**6f**) = 54/9 vs phenyl_{β/α}(**5a**/**6a**) = 51/20].

While keeping R_2 and R_3 unchanged, we anticipated that bulking R_1 would bias the outcome of Staudinger chemistry toward products of the type **5a**. When R_1 was methanesulfonyl (**3a**), only the 3,4-*syn* products such as **5** and **6** were formed in a 2.6:1 ratio (entry 1, Table 2). When R_1 was changed from a methanesulfonyl (**3a**) to *p*-toluenesulfonyl group (**3l**) (entry 2, Table 2), we observed both the (3,4)-*syn* and the (3,4)-*anti* products in a 14.5/1 ratio; this ratio further deteriorated to 1.7/1 when R_1 was changed to 2-naphthylsulfonyl (Ns) (**3m**).

In conclusion, we have presented the utility of asymmetric center (C-4) on L-proline to conduct Staudinger chemistry *and* take it further through simple chemistry manipulations to optically active "proline-derived" Staudinger products such as **11** and **13**. We have also demonstrated that within the realms of our substituent-probing on imines and proline R_1 should be aliphatic, R_2 of the imine could be either aliphatic or aromatic, and R_3 should be aromatic for the achievement of good stereoselectivity in Staudinger reactions. Further expansion of this chemistry and utility of these Staudinger products and their

analogues in synthesis of novel $\beta\text{-turns}$ will be an area of future research. 18

Experimental Section

General Procedure for Synthesis of Lactams 5 and 6. A 1.3 M solution of acid chloride 3 (1.0 equiv) in CH_2Cl_2 was added to a 0 °C solution of a 0.32 M solution of 4 (1.0 equiv) in CH_2Cl_2 containing triethylamine (1.5 equiv). After 14 h at room temperature, the reaction mixture was washed successively with 1 M HCl (2 × 5 mL), satd NaHCO₃ (2 × 5 mL), and brine (5 mL). The organic layer was separated, dried (Na₂SO₄), and filtered, and the filtrate was concentrated in vacuo to give crude products which were purified as indicated individually.

(-)-(3R,4S,7R)-2-Benzyl-5-benzyloxycarbonyl-7-methanesulfonyloxy-3-phenyl-2,5-diazaspiro[3.4]octan-1-one (5a). The crude material was recrystallized from 5 mL of methanol to give (480 mg, 36%) of 5a as a white solid. The mother liqour was evaporated and purified by flash chromatography to give additional **5a** (200 mg, 15%): mp 115–117 °C; $R_f = 0.34$ (50%) EtOAc/hexanes); ¹H NMR (CDCl₃; major rotamer) δ 7.45 (s, 1H), 7.04–7.30 (m, 13 H), 6.79 (d, J = 7.2 Hz, 1H), 4.71–5.26 (m, 4H), 4.31 (s, 1H), 4.06 (d, J = 14.8 Hz, 1H), 3.43–3.66 (m, 2H), 3.05 (s, 3H), 2.54–2.84 (m, 2H); $^{13}\!C$ NMR (CDCl3; major rotamer) δ 166.7, 153.5, 136.3, 135.3, 133.7, 129.2, 128.7, 128.63, 128.59, 128.5, 128.2, 128.0, 127.6, 126.9, 74.1, 69.7, 69.2, 67.4, 51.8, 45.4, 39.5, 38.9. IR (neat) ν 1749, 1712, 1434, 1396, 1363 cm⁻¹. MS (m/z) 521.5 (MH⁺); $[\alpha]^{20}_{D} = -100.34$ (c 0.87, CH₂Cl₂). FAB-HRMS calcd for $(C_{28}H_{28}N_2O_6S + H^+)$ 521.1741, found 521.1739. Anal. Calcd for C₂₈H₂₈N₂O₆S: C, 64.60; H, 5.42; N, 5.38. Found: C, 64.48; H, 5.51; N, 5.35.

(+)-(**3***S*,**4***R*,**7***R*)-**2**-**Benzyl-5**-**benzyloxycarbonyl-7**-**methanesulfonyloxy-3**-**phenyl-2,5**-**diazaspiro**[**3.4**]**octan-1**-**one** (**6a**): oil (20%); R_f = 0.12 (50% EtOAc/hexanes); ¹H NMR (CDCl₃; major rotamer) δ 6.99–7.12 (m, 15H), 4.75–5.30 (m, 4H), 4.55 (s, 1H), 3.44–4.09 (m, 3H), 3.01 (s, 3H), 2.50–2.78 (m, 2H); ¹³C NMR (CDCl₃; major rotamer) δ 166.9, 153.3, 136.1, 135.2, 133.3, 128.9, 128.5, 128.42, 128.37, 128.1, 128.04, 127.99, 127.88, 127.7, 78.5, 76.8, 69.8, 67.2, 54.4, 44.9, 41.9, 38.9; IR (neat) ν 1760, 1713, 1456, 1403, 1348 cm⁻¹; MS (m/2) 521.5 (MH⁺); [α]²⁰_D = +93.46 (*c* 0.78, CH₂Cl₂); FAB-HRMS calcd for (C₂₈H₂₈N₂O₆S + Na⁺) 543.1560, found 543.1560.

(-)-(3R,4S)-5-Benzyloxycarbonyl-2-benzyl-3-phenyl-2,5diazaspiro[3.4]oct-7-en-1-one (10). Solid K₂CO₃ (109 mg, 0.79 mmol) was added to a solution of 5a (157 mg, 0.30 mmol) in methanol (2 mL) and stirred for 16 h at room temperature and at 70 °C for another 3 h. The reaction mixture was concentrated in vacuo, and the resulting residue was partitioned between water (4 mL) and EtOAc (4 mL). The organic layer was separated and dried (Na₂SO₄), decanted, and concentrated in vacuo to afford 10 (125 mg, 98%) as colorless oil: ¹H NMR (CDCl₃; major rotamer) δ 6.7–7.5 (m, 15H), 6.07 (d, J = 6.3 Hz, 1H), 5.83 (d, J = 6.3 Hz, 1H), 5.18 (d, J = 14.8 Hz, 1H), 4.97 (d, J = 13.8 Hz, 1H), 4.79 (d, J = 13.8 Hz, 1H), 4.54 (d, J = 13.8 Hz, 1H), 4.41 (s, 1H), 4.2–4.4 (m, 2H); ¹³C NMR (CDCl₃; major rotamer) δ 168.3, 168.0, 153.8, 153.8, 136.7, 135.9, 135.6, 135.3, 134.5, 134.3, 129.1, 129.1, 129.0, 128.9, 128.8, 128.7, 128.6, 128.4, 128.2, 128.1, 128.0, 127.8, 127.5, 126.6, 85.6, 84.7, 68.0, 67.5, 67.0, 55.6, 55.0, 45.5, 45.5; MS (*m*/*z*) 425.3 (MH⁺); $[\alpha]^{20}_{D} = -126.33$ (*c* 0.47, CH₂Cl₂); FAB-HRMS calcd for (C₂₇H₂₄N₂O₃ + Na ⁺) 447.167, found 447.1678.

⁽¹⁸⁾ Lactam nitrogen of racemic **14** was deprotected using CAN in 30% unoptimized yield to afford the azetidinone **15**. Further application of azetidinones such as **15** in optically active form toward synthesis of novel β -turns will be reported in due course: Siegel, D.; Khasanov, A.; Thiruvazhi, M. Unpublished results.



(+)-(**3***S*,**4***R*)-**5-Benzyloxycarbonyl-2-benzyl-3-phenyl-2,5-diazaspiro[3.4]oct-7-en-1-one (12).** Synthesized from **6a** in a similar manner as **10**: oil (69%); $R_f = 0.74$ (50% EtOAc/hexanes); ¹H NMR (CDCl₃; major rotamer) δ 6.70–7.50 (m, 15 H), 6.07 (d, J = 6.3 Hz, 1H), 5.83 (d, J = 6.3 Hz, 1H), 5.20 (d, J = 15.09 Hz, 1H), 4.98 (d, J = 14.79 Hz, 1H), 4.78 (d, J = 12.57 Hz, 1H), 4.54 (d, J = 11.94 Hz, 1H), 4.41 (s, 1H), 4.2–4.4 (m, 2H); ¹³C NMR (CDCl₃; major rotamer) δ 168.1, 167.8, 153.6, 153.5, 136.4, 135.7, 135.4, 135.1, 134.3, 134.1, 129.0, 128.9, 128.7, 128.6, 128.5, 128.4, 128.3, 128.2, 127.97, 127.95, 127.8, 127.6, 127.2, 126.4, 85.4, 84.4, 67.7, 67.2, 66.7, 55.3, 54.8, 45.3, 45.2; MS (m/z) 425.2 (MH⁺); [α]²⁰_D = +125.0 (*c* 0.27, CH₂Cl₂); FAB-HRMS calcd for (C₂₇H₂₄N₂O₃ + Na⁺) 447.1679, found 447.1676.

(-)-(3*R*,4*S*)-2-Benzyl-3-phenyl-2,5-diazaspiro[3.4]octan-1-one (11). A solution of 10 (30.0 mg, 0.071 mmol) in ethanol (2 mL) containing 5 mg of 10% Pd/C catalyst was hydrogenated at atmospheric pressure for 1 h. The mixture was filtered through Celite, and Celite was washed with methanol. The filtrate was concentrated in vacuo to give 19.7 mg (95%) of (3*R*,4*S*)-2-benzyl-3-phenyl-2,5-diazaspiro[3.4]octan-1-one as colorless oil: ¹H NMR (CDCl₃) δ 7.08–7.47 (m, 10 H), 4.93 (d, J = 14.8 Hz, 1H), 4.37 (s, 1H), 3.85 (d, J = 14.8 Hz, 1H), 2.84–2.96 (m, 1H), 2.52–2.66 (m, 1H), 2.28–2.38 (m, 1H), 2.04–2.12 (m, 1H), 1.62–1.95 (m, 3H); ^{13}C NMR (CDCl₃) δ 173.2, 136.1, 135.8, 129.3, 129.0, 128.6, 128.6, 128.0, 127.2, 80.6, 69.9, 46.4, 44.3, 33.3, 24.7; MS (m/z) 293.4 (MH⁺); [α]^{20}_D = -71.88 (c 0.13, CH₂Cl₂); FAB-HRMS calcd for (C₁₉H₂₀N₂O + H⁺) 293.1648, found 293.1650.

(+)-(**3***S*,**4***R*)-**2**-**Benzyl-3**-**phenyl-2**,**5**-**diazaspiro**[**3**.**4**]**octan-1-one** (**13**). Compound **13** was obtained from **12** in a similar fashion as described for **11**: colorless oil (91%); ¹H NMR (CDCl₃) δ 7.08–7.47 (m, 10 H), 4.94 (d, *J* = 14.8 Hz, 1H), 4.37 (s, 1H), 3.85 (d, *J* = 14.8 Hz, 1H), 2.84–2.96 (m, 1H), 2.52–2.66 (m 1H), 2.28–2.38 (m, 1H), 2.04–2.12 (m, 1H), 1.62–1.95 (m, 3H); ¹³C NMR (CDCl₃) δ 173.4, 136.1, 135.8, 129.3, 129.0, 128.6, 128.0, 127.2, 80.6, 69.9, 46.4, 44.3, 33.3, 24.7; MS (*m*/*z*) 293.2 (MH⁺); [α]²⁰_D = +71.70 (*c* 0.11, CH₂Cl₂); FAB-HRMS calcd for (C₁₉H₂₀N₂O + H⁺) 293.1648, found 293.1650.

Supporting Information Available: Experimental details for **3a,1–m**, **4a**, **5e,f,j–m**, **6e,f,j,m**, and **9a**, ¹H and ¹³C NMR data for **5a**, **6a**, **9a** (only ¹H), and **10–13**, and X-ray crystallographic data for **5a**. This material is available free of charge via the Internet at http://pubs.acs.org.

JO049430O