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Functionalised Pyrrolidinones derived from (S)-Pyroglutamic Acid

Mark J. Beard, Jonathan H. Bailey, David T. Cherry, Mark G. Moloney*, Sung Bo Shim, Kathryn A. Statham

The Dyson Perrins Laboratory, The University of Oxford, South Parks Road, Oxford. OX1 3QY.

Mark J. Bamford and R. Brian Lamont

Glaxo Wellcome, Medicines Research Centre, Gunnels Wood Road, Stevenage, Hertfordshire. SG1 2NY.

Abstract: The generation of the lactam enolate derived from bicyclic lactams 2a-c, prepared from (S)pyroglutamic acid 1a, and subsequent reaction with a range of electrophiles, is reported. Exodiastereoselectivity is generally favoured. The deprotection of some of these adducts to give functionalised hydroxymethylpyrrolidinones is readily achieved by simple hemiaminal ether cleavage under acidic conditions.

Highly functionalised pyrrolidines are compounds of considerable importance, since they are a source of useful synthetic intermediates,¹ chiral auxiliaries,² and ligands.³ They also occur in a variety of natural products and pharmaceutically active compounds, either as isolated ring systems or embedded in more complex structures, which often possess wide ranging biological activity. There has been considerable recent interest in the development of methodology for accessing these compounds in enantiomerically pure form, and the use of (S)-pyroglutamic acid 1a has been notable in this regard, having now been investigated in considerable detail by Ezquerra and Pedregal.⁴ However, the related hydroxymethylpyrrolidinone 1b, after suitable protection, has also been shown to provide a useful source of synthetic intermediates for pyrrolidine synthesis. In particular, the bicyclic lactam 2a, the synthesis and reactions of which were reported some years ago, 5, 6 in which the hydroxyl and amide functionalities are simultaneously protected as an oxazolidine,⁷ has particular appeal as a starting material: lactam 2a is of relatively low molecular weight, is fully protected, does not suffer competitive deprotonation at the C-5 position, and in addition might be expected to give good stereocontrol in any alkylations at C-7 as a result of its bicyclic ring structure. We report here in detail the results of work designed to demonstrate the synthetic potential of this lactam 2a by functionalisation α - to the lactam carbonyl.^{8, 9} Recently the use of this compound or closely related ones has attracted interest from a number of research groups, ¹⁰⁻¹⁵ and the chemistry of an isomeric bicyclic lactam has been extensively investigated by Meyers.¹⁶

When lactam 2a, prepared from (S)-ethyl pyroglutamate by reduction to alcohol 1b, 17 followed by cyclisation with benzaldehyde according to the literature procedure, 5, 6 was treated with LDA at -78°C, and the resulting lactam enolate quenched with a range of electrophiles, the products 3-6 were obtained, in medium to good chemical yield (Scheme 1 and Table 1).

A variety of alkyl halides (allyl bromide, benzyl bromide, p-nitrobenzyl bromide, cyclohexenyl bromide and methyl iodide) and other electrophiles (iodine, N-bromosuccinimide, phenylselenenyl chloride, p-tosyl chloride, and benzaldehyde) gave moderate to good overall yields of the exo- and endo- products **3a-i** and **4a-i**

respectively, with a diastereoselectivity of between 1.3:1 to 8.5:1, generally in favour of the exo- isomer. The highest diastereoselectivities were obtained with more sterically demanding electrophiles. The exception to this trend was methyl iodide, which as has been previously reported by Armstrong,¹² gave predominantly the *endo*diastereomer 4i. Preference for the formation of the endo- chloride 4h was also observed when the lactam enolate was quenched with p-tosyl chloride. Selenenation gave, in addition to the desired products 3f,4f, a small amount (12%) of the diselenide 3 ($R^1 = R^2 = SePh$). The relative configurations of compounds 3 and/or 4 were determined by n.O.e. NMR experiments, and in some cases confirmed by single crystal X-ray analysis.¹⁸ In keeping with observations reported by Armstrong¹² and Nagasaka¹⁵, the *endo*- isomers were generally less polar and had higher optical rotations than the corresponding exo- isomers; similarly, the H-4exo and H-6exo protons resonated downfield of the corresponding endo- protons. The predominance of the exodiastereoisomers 3 is consistent with approach of the electrophile from the least hindered (convex) face of the lactam enolate, although the modest diastereoselectivity which is observed is probably due to the fact that the observed stereocontrol is derived largely from the steric bulk at C-5 and that the phenyl substituent at the hemiaminal ether^{*} carbon (C-2) is sufficiently far removed that it has little direct stereochemical influence at the reacting centre (C-7). In fact, the infra-red stretching frequency (1705cm⁻¹) and carbon chemical shift (170p.p.m.) are indicative of an amide-type carbonyl at C-8, rather than a ketone carbonyl, thereby enforcing a more planar geometry of the four atoms C-7, C-8, N-1 and C-2. Both single crystal X-ray analysis and molecular modelling studies show that the bicyclic system is very open, with some pyramidalisation of the amide nitrogen, which accounts for the small and variable stereochemical bias which is observed.¹⁸



(i) EtOH/H⁺, 81%; (ii) NaBH₄, EtOH, 83%; (iii) PhCHO, TsOH, toluene, reflux, 77%; (iv) NaH, (EtO)₂CO, toluene, reflux, 70%; (v) NaH, PhCO₂Me, toluene, reflux, 83%; (vi) Base followed by electrophile (see Table).

Scheme 1

The reaction of the enolate of lactam 2a with benzaldehyde gave the corresponding adduct as a separable mixture of the three diastereomers 5a,b,c in the ratio 1.3:1:1 respectively in 91% overall yield; the relative configurations were determined by ¹H NMR spectroscopic analysis and confirmed by single crystal X-ray crystallography.¹⁸ Reaction of the enolate of 2a with *p*-nitrobenzaldehyde gave the corresponding adducts as an inseparable mixture of diastereomers in low yield. This lack of diastereoselectivity contrasted with the aldol reactions of protected pyroglutamates with benzaldehydes which have been reported to proceed with high

^{*} This nomenclature conforms to IUPAC Recommendations 1995 (Pure. Appl. Chem., 1995, 67, 1309-1375)

diastereocontrol,¹⁹ and highlights again the open structure of the bicyclic lactam enolate derived from 2a. Thus *exo*- addition of benzaldehyde gives virtually no facial selectivity at the aldehyde carbon, further demonstrating the lack of influence that the C-2 phenyl substituent has on diastereoselectivity; it is only in the case of *endo*-addition that Si- face attack at the aldehyde leading to 5a becomes preferred, presumably because this places the benzaldehyde phenyl substituent away from the bicyclic ring system in the transition state leading to the product (Figure 1). When dimethyl oxalate was used as the electrophile, a 25% yield of the corresponding monoacyl derivative 2a (R^1 =COCO₂Me) was obtained, as a 2.6:1 mixture of diastereomers, although benzylchloroformate gave the diacyl product 3 (R^1 = R^2 =COMe) in only 15% yield, along with compound 6, arising by condensation of the initially formed product with another equivalent of lactam enolate.

Substrate	Methoda	Product	Products 3, 4		Yield(%)	Ratio 3:4
			R ¹	R ²		
2a	А	3a, 4a	CH2=CHCH2-	Н-	74	1.3:1.0
2a	А	3b, 4b	PhCH ₂ -	H-	60	2.1:1.0
2a	Α	3c,4c	I-	H-	44	2.7:1.0
2a	А	3d, 4d	pNO ₂ C ₆ H ₄ CH ₂ -	H-	62	3.6:1.0
2a	Α	3e, 4e	Br	H-	42	3.8:1.0
2a	А	3f, 4f	PhSe-	H-	38	3.8:1.0
2a	Α	3g, 4g	3-Cyclohexenyl-	H-	41	8.6:1.0
2a	Α	3h, 4h	Cl-	H-	77	1.0:2.5
2a	Α	3i, 4i	Me-	H-	76	1.0:2.9
2 b	В	3j, 4j	PhSe-	EtO ₂ C-	73	1.5:1.0
2 b	В	3k, 4k	Me-	EtO ₂ C-	67	1.7:1.0
2 b	В	31, 41	CH2=CHCH2-	EtO ₂ C-	68	4.7:1.0
2 b	В	3m, 4m	PhCH ₂ -	EtO ₂ C-	75	7.5:1.0
2 b	В	3n, 4n	pNO ₂ C ₆ H ₄ CH ₂ -	EtO ₂ C-	94	10.0:1.0
2 b	В	30	CH ₃ C(O)-	EtO ₂ C-	85	b
2 b	В	3 p	CH2=CMeCH2-	EtO ₂ C-	33d	b
2 b	В	3q, 4q	3-Cyclohexenyl-	EtO ₂ C-	72 ^d	с
2 c	В	3r, 4r	PhCH ₂ -	PhC(O)-	63	5.0:1.0
2 <u>c</u>	В	3s, 4s	Me-	PhC(O)-	47	2.0:1.0

Table 1: Yields of Products 3 and 4 from lactam 2 according to Scheme 1.

^a Method A: LDA, THF, -78°C then electrophile; Method B: NaH, THF, 0°C then electrophile and reflux;

^b Only exo- product isolated; ^c Not determined; ^d Alkylation conducted with co-solvents DMPU and TMEDA

The acylated derivatives 2b,c were readily prepared by refluxing lactam 2a with a mixture of sodium hydride and diethyl carbonate²⁰ or methyl benzoate²¹ to give lactams 2b,c in 70 and 83% yield respectively. Although the ethoxycarbonyl derivative 2b was obtained as a 1:1 inseparable mixture of epimers at the C-7 position, the phenacyl derivative 2c was obtained after chromatography followed by crystallisation as exclusively the *endo*- isomer. This was established by a ¹H NMR n.O.e. experiment conducted on a freshly prepared sample, and confirmed by single crystal X-ray analysis.¹⁸ However, rapid equilibration of the pure

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endo- isomer occurred in chloroform solution, to give a mixture of the endo-, exo- and enolic tautomers in the ratio 2:4:1. Deuterium exchange with H-7 was observed when a solution of lactam 2c in CDCl₃ was shaken with D₂O/K₂CO₃, further confirming that such an equilibrium was in operation.



The compound 2b was readily alkylated and acylated using sodium hydride in THF, to give the corresponding products 3j-q and 4j-q generally in better yield and diastereoselectivity than for lactam 2a (Table 1). Similarly to the products from lactam 2a, the *endo*- isomeric products were generally less polar and had higher optical rotations than the corresponding *exo*- isomers, although the differences in this case were less marked; the H-6_{endo} protons resonated downfield of the corresponding H-6_{exo} protons. The relative stereochemistry of the adducts was assigned by n.O.e. experiments, and in the case of product 3n, by single crystal X-ray analysis (Figure 2a)²². As would be expected, the larger the difference in bulk of the electrophile and the C-7 ethoxycarbonyl substituent, the greater the preference for *exo*- attack leading to diastereomer 3 in which the least bulky substituent is placed on the more crowded *endo*- face; this is maximised for electrophiles such as the benzyl bromides, but least significant for phenylselenenyl chloride. Similar diastereoselectivity has been observed in the reactions of pyroglutamic acid derivatives.¹



Figure 2a

Figure 2b

However, when phenacyl derivative 2c was treated with NaH/THF followed by benzyl bromide, the products 3b,4b (1:1 ratio) were unexpectedly obtained. Since this could have been due to debenzoylation during aqueous work-up, the reaction was repeated but without work-up. Direct chromatographic purification of the crude reaction mixture gave the expected product 3r,4r in 63% yield as a 5:1 mixture of diastereomers. Analogous methylation of 2c gave the corresponding products 3s,4s in 47% yield as an inseparable 2:1 mixture.

Deprotection of some of these intermediates to give functionalised hydroxymethyl pyrrolidinones (Table 2) was readily achieved by treatment of the lactams 3, 4 with trifluoroacetic acid in dichloromethane at room temperature. The structure of the alcohol 7b was confirmed by single crystal X-ray analysis (Figure 2b).



Table 2: Deprotection of Bicyclic Lactams 3,4 to Hydroxymethylpyrrolidinones 7

Substrate	R ¹	R ²	Product	Yield(%)
3 b	PhCH ₂ -	H-	7a	86
4 d	H-	pNO ₂ C ₆ H ₄ CH ₂ -	7ь	78
3m	PhCH ₂ -	EtO ₂ C-	7 c	76
3n	EtO ₂ C-	PhCH ₂ -	7 d	61
3p	CH ₂ =CMeCH ₂ -	EtO ₂ C-	7 e	25
3,4q	3-Cyclohexenyl-	EtO ₂ C-	7 f	36





3m R^1 =PhCH₂-**3n** R^1 =pNO₂C₆H₄CH₂-





3b R'=PhCH 2-3d R'=pNO₂C₆H₄CH₂-





The lactams **3m**,n were readily hydrolysed to acids **8a**,b under basic conditions in 96 and 71% yields respectively (Scheme 3). Decarboxylation of **8a** proceeded smoothly to give a 70% yield of lactam **3b**, and **8b** under the same conditions gave a separable 9:1 mixture of lactams **3d**,**4d** in 58% yield. A mixture of **3k**,**4k** (1:3.5) was hydrolysed in 95% yield to give acids **8c** as a mixture of diastereomers at C-7 in the same ratio, and decarboxylation gave exclusively lactam **3i** in 81% yield. Thus, decarboxylation of C-7 substituted ethoxycarbonyl lactams **2b** gives dominant or exclusive formation of the *exo*- isomer, and this presumably reflects the greater thermodynamic stability of this epimer at the elevated reaction conditions.

Thus, bicyclic lactam 2 is a readily prepared template suitable for further manipulation to a variety of functionalised pyrrolidinones. Work to improve diastereoselectivity and apply this methodology to natural product synthesis and their analogues is under active investigation in our laboratories.

Experimental

Proton and carbon nuclear magnetic resonance spectra were recorded on Varian Gemini 200 and Bruker AM-200, Bruker AM-250 and Bruker AM-500 spectrometers. Infra-red spectra were recorded using Perkin-Elmer 1750 FT-IR or Nicolet 5SXC FT-IR spectrometers. Low resolution mass spectra were recorded on VG Micromass ZAB 1F and VG Masslab 20-250 spectrometers using ammonia desorption chemical ionisation, chemical ionisation, electron impact or positive argon fast atom bombardment techniques. Gas Chromatography Mass Spectra were recorded on a VG-Trio-1 spectrometer. Accurate mass measurements were recorded on a VG ZAB-E instrument by manual peak matching, and were conducted by Dr. J.A. Ballantine at University College, Swansea. Optical rotations were recorded on a Perkin-Elmer 241 polarimeter, at a temperature of 25°C, using a path length of 1dm. Preparative high performance liquid chromatography was performed using Chiralcel OD or Chiralpak AD columns (internal dimensions 25cmx2cm), and using cycloheptane/IPA (9:1) as the eluant. All solvents were redistilled before use. Tetrahydrofuran was distilled over sodium/benzophenone and stored over molecular sieves under argon. (+)-(2R, 5S)-1-aza-3-oxa-2-phenylbicyclo[3.3.0]octan-8-one **2a** was prepared according to the literature procedure $[\alpha]_D + 268.9$ (c_1 , CHCl₃)(lit.⁶ + 269.6 (c_1 , CHCl₃)).

General Method for the Reaction of Lactam 2a with Electrophiles.

To a solution of LDA (1.1-1.4eq, prepared from butyllithium and diisopropylamine in THF at 0°C, then cooled to -78° C), was added lactam 2a in THF, and the solution stirred for 0.5h. To this reaction mixture, a solution of the electrophile (1.1eq) in THF was added and stirred for 1h at -78° C. The reaction mixture was quenched with cold water (40 ml), and brine (20 ml) was added to saturate the aqueous phase. The organic layer was separated and the aqueous layer was extracted with EtOAc or dichloromethane (2 x 30 ml). The combined organic phases were washed with water (1 x 50 ml) and brine (1 x 50 ml), dried over MgSO₄, concentrated, and purified by flash chromatography to give the products.

The following compounds were prepared using the above general procedure:

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-allylbicyclo[3.3.0]octan-8-one 3a,4a

These products were obtained using allyl bromide on a 5.0mmol scale of the lactam 2a.

3a Obtained as an oil (500mg, 41.8%); R_f=0.30(EtOAc/light petroleum = 2:3); Found: C, 73.9; H, 7.08; N, 5.76. C₁₅H₁₇NO₂ requires C, 74.1; H, 7.04, N, 5.76 %; [α]_D +150 (*c* 1.05, CHCl₃); v_{max} (CHCl₃) 1698 cm⁻¹; δ_H (500 MHz,CDCl₃) 2.06-2.15(2H, m, H-6_{exo} and H-6_{endo}), 2.35-2.41 (1H, m, C<u>H</u>CH=CH₂),

2.57-2.62 (1H, m, C<u>H</u>'CH=CH₂), 2.76-2.82 (1H, m, H-7_{endo}), 3.41-3.44 (1H, dd, J 8.0, 8.0Hz, H-4_{endo}), 4.03-4.08 (1H, m, H-5), 4.21-4.25 (1H, dd, J 8.0, 6.5Hz, H-4_{exo}), 5.10-5.17 (2H, m, CH=C<u>H₂</u>), 5.77-5.85 (1H, m, C<u>H</u>=CH₂), 6.34 (1H, s, H-2), 7.31-7.46 (5H, m, ArH); $\delta_{\rm C}$ (50 MHz, CDCl₃) 27.12 (CH₂), 36.29 (C-6), 44.26 (C-7), 57.21 (C-5), 71.22 (C-4), 87.25 (C-2), 117.6 (<u>C</u>H₂=C), 125.9, 128.36, 128.44, 134.7, 138.9 (ArC), 180.1 (C-8); *m*/z [CI, NH₃] 244 (M+H⁺, 100%).

4a Obtained as an oil (380mg, 31.7%); $R_f=0.40$ (EtOAc/light petroleum = 2:3); Found: C, 73.9; H, 7.08; N, 5.76. C₁₅H₁₇NO₂ requires C, 74.1; H, 7.04, N, 5.76 %; $[\alpha]_D$ +176 (*c* 1.20, CHCl₃); v_{max} (CHCl₃) 1702 cm⁻¹; δ_H (500 MHz,CDCl₃) 1.61-1.66 (1H, m, H-6_{endo}), 2.19-2.26 (1H, m, <u>C</u>HC=C), 2.51-2.56 (1H, m, H-6_{exo}), 2.62-2.68 (1H, m, <u>C</u>HC=C), 2.96-3.03 (1H, m, H-7_{exo}), 3.50-3.52 (1H, dd, *J* 8.0, 8.0Hz, H-4_{endo}), 4.06-4.12 (1H, m, H-5), 4.23-4.26 (1H, dd, *J* 8.0, 6.5Hz, H-4_{exo}), 5.08-5.14 (2H, dd, *J* 15.8, 7.9Hz,CH=C<u>H</u>₂), 5.77-5.85 (1H, m, CH₂=C<u>H</u>), 6.34 (1H, s, H-2), 7.30-7.47 (5H, m, ArH); δ_C (50 MHz, CDCl₃) 31.27 (<u>C</u>H₂C=), 34.77 (C-6), 44.55 (C-7), 56.61 (C-5), 72.40 (C-4), 86.81 (C-2), 117.4 (<u>C</u>H₂=), 126.2, 128.6, 128.8, 135.5 (CH=), 138.9, 178.1 (C-8); *m/z* [CI, NH₃] 244 (M+H⁺, 100%).

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-(phenylmethyl)bicyclo[3.3.0]octan-8-one 3b, 4b

These products were obtained using benzyl bromide on a 4.9mmol scale of the lactam 2a.

3b. Obtained as a colourless solid (580 mg, 40.3%); $R_f = 0.3$ (light petroleum/EtOAc = 1:1); Found: C, 77.9; H, 6.68; N, 4.74. C₁₉H₁₉NO₂ requires C, 77.8; H, 6.5; N, 4.8 %; $[\alpha]_D + 66.2$ (*c* 1.0, CHCl₃); v_{max} (CDCl₃) 1705(s) cm⁻¹; λ_{max} (CHCl₃) 242 nm (log $\varepsilon = 2.9$); δ_H (200 MHz, CDCl₃) 1.98-2.05 (1H, m, H-6_{endo}), 2.15-2.20 (1H, m, H-6_{exo}), 2.90-2.95 (1H, m, PhCH), 3.00-3.10 (1H, m, H-7_{endo}), 3.18-3.22 (1H, m, PhCH'), 3.40-3.42 (1H, dd, *J* 8.5, 8.1Hz, H-4_{endo}), 3.74-3.88 (1H, m, H-5), 4.11-4.18 (1H, dd, *J* 7.8, 8.1Hz, H-4_{exo}), 6.34 (1H, s, H-2), 7.20 - 7.40 (10H, m, ArH); δ_C (50 MHz, CDCl₃) 27.25 (C-6), 37.72 (PhCH₂), 46.59 (C-7), 57.13 (C-5), 71.27 (C-4), 87.21 (C-2), 126.1, 126.8, 128.5, 128.7, 129.3, 138.5, 139.0 (ArC), 178.0 (C-8); *m*/*z* [CI, NH₃] 311 (M+NH₄⁺, 2%), 294 (M+H⁺, 100). n.O.e. irradiation at δ 2.1 gave enhancements to (2.0, 11.6%; 3.8, 8.7%); 2.0 (2.1, 21%; 3.0, 7.7%; 3.4, 4%); 3.8 (4.15, 8.2%; 2.15, 6.6%).

4b. Obtained as a crystalline solid (280 mg, 19.4%); $R_f \approx 0.6$ (light petroleum/EtOAc = 1:1); M.p. 63-65°C; $[\alpha]_D + 220$ (*c* 1.0, CHCl₃); δ_H (200 MHz, CDCl₃) 1.60-1.70 (1H, m, H-6_{endo}), 2.40-2.50 (1H, m, H-6_{exo}), 2.70-2.80 (1H, m, PhC<u>H</u>), 3.15-3.40 (3H, m, H-7_{exo}, PhCH and H-4_{endo}), 4.00-4.10 (1H, m, H-5), 4.15-4.20 (1H, m, H-4_{exo}), 6.37 (1H, s, H-2), 7.20-7.50 (10H, m, ArH); δ_C (50 MHz, CDCl₃) 31.54 (C-6), 36.44 (PhCH₂), 46.82 (C-7), 56.53 (C-5), 72.17 (C-4), 86.81 (C-2), 126.1, 126.6, 128.6, 128.7, 129.1 (ArC); *m*/z [CI, NH₃]; 311 (M+NH₄⁺, 2%), 294 (M+H⁺, 100), 106 (15); n.O.e. irradiation at δ 4.05 (2.4, 8.9%; 3.2, 2.0%).

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-iodobicyclo[3.3.0]octan-8-one 3c,4c These products were obtained using iodine on a 4.9 mmol scale of the lactam 2a.

3c: R_f=0.3(light petroleum/EtOAc = 1:1) was recrystallised from diethyl ether/light petroleum to give the product as colourless needles (520mg, 32%); M.p. 85-87°C; Found C, 43.84; H, 3.37; N, 4.11. C12H12NO2I requires C, 43.79; H, 3.37; N, 4.11%; $[\alpha]_D$ +105.3 (*c* 1.0, CHCl₃); υ_{max} (CHCl₃) 1718(s) cm⁻¹; δ_H (200MHz, CDCl₃) 2.36-2.64 (2H, m, H-6), 3.66 (1H, m, H-4), 4.22-4.40 (2H, m, H-5 and H-4), 4.61

(1H, dd, J 6.5, 5.5Hz, H-7), 6.31(1H, s, H-2), 7.30-7.50(5H, m, ArH); $\delta_{C}(50.3MHz, CDCl_3)$ 19.05, 38.52, 57.90, 70.73, 86.96, 126.24, 128.86, 129.14, 137.91, 175.37; *m*/*z* [CI, NH₃] 330(M+H⁺, 95%), 202(100).

4c: R_f=0.5(EtOAc/light petroleum=1:1) was recrystallised from diethyl ether/light petroleum or chloroform/light petroleum to give the product as colourless needles (200mg, 12%); M.p. 125-127°C; Found: C, 43.92; H, 3.48; N, 4.28. C12H12NO2I Requires C, 43.79; H, 3.68; N, 4.28%. $[\alpha]_D$ +243.6 (*c* 1.0, CHCl₃); v_{max} (CHCl₃) 1718(s) cm⁻¹; δ_H (200MHz, CDCl₃) 2.38-2.52 (1H, m, H-6_{endo}), 3.05-3.20 (1H, m, H-6_{exo}), 3.79 (1H, dd, *J* 10.0, 9.5Hz, H-4_{endo}), 4.12-4.35 (2H, m, H-5 and H-4_{exo}), 5.05 (1H, dd, *J* 10.0, 7.5Hz, H-7), 6.35 (1H, s, H-2), 7.32-7.53 (5H, m, ArH); δ_C (125.MHz, CDCl₃) 18.32, 37.02, 58.10, 71.17, 87.98, 126.16, 128.74, 129.04, 136.28, 173.43; *m/z* [CI, NH₃] 330(M+H⁺, 100%), 202(70).

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-(4'-nitrophenylmethyl)bicyclo[3.3.0]octan-8-one **3d,4d**

These products were obtained using p-nitrobenzyl bromide on a 1.0mmol scale of the lactam 2a.

3d. $R_f = 0.1$ (light petroleum/EtOAc = 2:1)(156mg, 48%); Found: C, 67.2; H, 5.33; N, 8.05. C₁₉H₁₈N₂O₄ requires C, 67.4; H, 5.40, N, 8.30%; $[\alpha]_D$ +50.7 (*c* 1.1, CHCl₃); v_{max} (CHCl₃); 1705(s), 1515(s), 1340(s) cm⁻¹; δ_H (200 MHz,CDCl₃) 2.04-2.12 (2H, m, H-6_{exo} and H-6_{endo}), 3.00-3.26 (3H, m, ArCH₂ and H-7_{endo}), 3.35-3.45 (1H, dd, *J* 8.0, 8.5Hz, H-4_{endo}), 3.75-3.85 (1H, m, H-5), 4.18 (1H, dd, *J* 8.0, 6.5Hz, H-4_{exo}), 6.30 (1H, s, H-2), 7.30-7.50 (7H, m, ArH), 8.10-8.20 (2H, m, ArH); δ_C (50 MHz, CDCl₃) 27.00 (C-6), 37.49 (ArCH₂), 45.99 (C-7), 56.96 (C-5), 71.14 (C-4), 87.18 (C-2), 123.8, 125.9, 128.6, 128.8, 130.1, 138.7, 147.1, 146.3 (ArC), 178.9 (C-8); *m*/z [CI, NH₃] 356 (M+NH₄+, 5%), 339 (M+H, 100), 309(25), 106(22).

4d.R_f = 0.3(light petroleum/EtOAc = 2:1) (45.0mg, 13.5%); Found: C, 67.1; H, 5.70; N, 7.94. C₁₉H₁₈N₂O₄ requires C, 67.4; H, 5.40, N, 8.30%; $[\alpha]_D$ +184 (*c* 0.4, CHCl₃); υ_{max} (CHCl₃) 1705(s), 1605(m), 1595(m), 1515(s), 1345(s) cm⁻¹; λ_{max} (CHCl₃) 274 nm (log ε = 4.2); δ_H (200 MHz,CDCl₃) 1.60-1.70 (1H, m, H-6_{endo}), 2.40-2.50 (1H, m, H-6_{exo}), 2.82-2.90 (1H, m, ArC<u>H</u>), 3.20-3.30 (1H, m, H-7_{exo}), 3.35-3.50 (2H, m, ArCH and H-4_{endo}), 4.05-4.12 (1H, m, H-5), 4.20-4.25 (1H, m, H-4_{exo}), 6.35 (1H, s, H-2), 7.30-7.50 (7H, m, Ar<u>H</u>), 8.2 (2H, d, J 8.6Hz, Ar<u>H</u> o- to NO₂); δ_C (50 MHz, CDCl₃) 29.90 (C-6), 36.19 (Ar<u>C</u>H₂), 46.45 (C-7), 56.49 (C-5), 72.14 (C-4), 86.88 (C-2), 123.9, 126.1, 128.6, 128.8, 129.8, 130.0, 138.9, 147.0, 148.3 (ArC); *m*/*z* [CI, NH₃] 356(M+NH₄⁺, 8%), 339(M+H, 100), 309(60), 106(45); n.O.e. irradiation at δ 4.1 (3.25, 2.0%; 2.45, 8.0%); 2.45 (1.6, 23%; 3.0, 8.4%; 4.1, 12.4%); 1.65 (2.45, 23.5%; 3.5, 9.3%).

(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-bromobicyclo[3.3.0]octan-8-one **3e,4e** These products were obtained using N-bromosuccinimide on a 2.5mmol scale of the lactam **2a**.

3e: $R_f=0.4$ (EtOAc/light petroleum 1:1) (230mg, 33%), a colourless oil which discoloured rapidly upon standing at RT. v_{max} (CHCl₃) 1718(s) cm⁻¹; δ_H (200MHz, CDCl₃) 2.40-2.70 (2H, m, H-6), 3.60 (1H, dd J 7.5, 7.5Hz, H-4_{endo}), 4.21-4.53 (3H, m, H-4_{exo}, H-5 and H-7), 6.31 (1H, s, H-2), 7.31-7.45 (5H, m, ArH); δ_C (50.3MHz, CDCl₃) 36.89, 45.84, 57.62, 71.11, 86.87, 126.22, 128.82, 129.13, 137.77, 173.11; *m/z* [CI, NH₃] 301(M+NH4^{+ 81}Br, 5%), 299(M+NH4^{+ 79}Br, 5), 294(20), 284(25), 282(25), 204(100); Exact mass 282.0130, C1₂H₁3NO₂Br requires 282.01297.

4e: Rf=0.55(EtOAc/light petroleum 1:1) (60mg, 8.6%) which was recrystallised from diethyl ether/light petroleum as colourless needles. M.p. 137-138°C; $[\alpha]_D$ +258.4 (*c* 1.0, CHCl₃); v_{max} (CHCl₃) 1719(s) cm⁻¹; δ_{H} (200MHz, CDCl₃) 2.26-2.41 (1H, m, H-6), 3.03-3.19 (1H, m, H-6), 3.70 (1H, dd, *J* 7.0, 8.5Hz, H-4), 4.03-4.19 (1H, m, H-5), 4.28-4.36 (1H, dd, *J* 6.5, 8.5Hz, H-4), 4.87 (1H, dd, *J* 8.5, 8.5Hz, H-7), 6.38 (1H, s, H-2), 7.31-7.45 (5H, m, Ar-H); δ_{C} (50.3MHz, CDCl₃) 36.36, 44.75, 56.53, 71.72, 87.81, 125.93, 128.51, 128.85, 137.88, 171.41; *m*/*z* [CI, NH₃] 301(M+NH₄+⁸¹Br, 10%), 299(M+NH₄+⁷⁹Br, 10), 284(M+H+⁸¹Br, 40), 282(M+H+⁷⁹Br, 40), 204(100), 106(40); Exact mass 282.0130, C12H13NO2Br requires 282.01297.

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-(phenylselenenyl)bicyclo[3.3.0]octan-8-one **3f,4f**

These products were obtained using phenylselenenyl bromide or chloride on a 1mmol scale of the lactam 2a.

3f. $R_f = 0.4$ (hexane/EtOAc = 2:1)(530mg, 30.1%); Found: C, 60.3; H, 5.10; N, 3.74. $C_{18}H_{17}NO_2Se$ requires C, 60.3; H, 4.80; N, 3.90%; $[\alpha]_D$ +44.8 (*c* 0.4, CHCl₃); v_{max} (CHCl₃) 1700(s) cm⁻¹; δ_H (200 MHz, CDCl₃) 2.50 (2H, dd, *J* 5.5, 6.7Hz, H-6_{endo} andH-6_{exo}), 3.40 (1H, dd, *J* 8.0, 8.0Hz, H-4_{endo}), 3.54-3.65 (1H, m, H-5), 3.98 (1H, dd, *J* 5.5, 6.5Hz, H-7_{endo}), 4.16 (1H, dd, *J* 8.0, 6.0Hz, H-4_{exo}), 6.27 (1H, s, H-2), 7.10-7.40 and 7.60-7.70 (10H, m, ArH); δ_C (50 MHz, CDCl₃) 33.30 (C-6), 42.74 (C-7), 57.39 (C-5), 71.87 (C-4), 89.94 (C-2), 126.1, 128.5, 128.7, 129.16, 129.28, 136.7 (ArC), 178.0 (C-8); *m/z* [CI, NH₃] 360 (M+2⁺, 100%), 358 (M⁺, 50), 204 (75), 105 (15).

4f. R_f = 0.7(hexane/EtOAc = 2:1)(140mg, 8.0%); Found: C, 60.5; H, 4.56; N, 3.92. C₁₈H₁₇NO₂Se requires C, 60.3; H, 4.80; N, 3.90%; [α]_D +248 (*c* 1.0, CHCl₃); ν_{max} (CHCl₃) 1700cm⁻¹; λ_{max} (CHCl₃) 242 nm (log ε = 3.5); δ_{H} (200MHz, CDCl₃) 1.80-2.10 (1H, m, H-6_{endo}), 2.75-2.95 (1H, m, H-6_{exo}), 3.10 (1H, dd, *J* 7.5, 7.5Hz, H-4_{endo}), 4.00-4.18 (2H, m, H-4_{exo} and H-5), 4.40 (1H, dd, *J* 9.5, 9.5Hz, H-7_{exo}), 6.30 (1H, s, H-2), 7.20- 7.75 (10H, m, ArH); n.O.e. irradiation at δ4.05 (4.40, 1.8%; 4.1, 2.0%; 2.8, 6.2%); δ_{C} (50 MHz, CDCl₃) 31.70 (C-6), 43.72 (C-7), 56.61 (C-5), 71.74 (C-4), 87.39 (C-2), 126.1, 127.1, 128.6, 128.8, 129.4, 135.8 (ArC), 175.0 (C-8); *m*/*z* [CI, NH₃] 360 (M+2, 20%), 358 (M⁺, 13), 204 (100), 202(50), 106 (12), 78 (20).

(+)-(2*R*,5*S*)-1-aza-3-oxa-2-phenyl-7,7'-(diphenylselenenyl)bicyclo[3.3.0]octan-8-one. (310mg, 12%) R_f=0.55 (EtOAc/light petroleum = 1:2); Found: C, 56.0, H, 4.06, N, 2.74. C₁₈H₁₆NO₂Se₂ requires C, 56.2; H, 4.12; N, 2.73%; [α]_D +154 (*c* 1.1, CHCl₃); v_{max} (CHCl₃) 1725cm⁻¹; δ_{H} (200MHz, CDCl₃) 2.44-2.69 (2H, m, H-6endo and H-6exo), 2.96-3.04 (1H, dd, *J* 8.0, 8.0Hz, H-4endo), 3.28-3.43 (1H, m, *J* 8.0Hz, H-5), 3.92-4.00 (1H, dd, *J* 6.5, 8.0Hz, H-4exo), 6.18 (1H, s, H-2), 7.12-7.48 and 7.62-7.66 and 7.70-7.81 (15H, m, ArH); δ_{C} (50 MHz, CDCl₃) 40.50 (C-6), 55.80 (C-5), 71.90 (C-4), 87.10 (C-2), 125.9, 126.0, 128.3, 128.4, 128.6, 129.0, 129.2, 129.5, 129.7, 137.1, 137.4, 138.0 (ArC), 174.0 (C-8); *m*/z [CI, NH₃] 516 (M+2⁺, 80%), 512 (50), 360 (100), 358(90).

(2R,5S,7S, 1'RS) and (2R,5S,7R, 1'RS)-1-aza-3-oxa-2-phenyl-7-(cyclohex-2-enyl)bicyclo[3.3.0]octan-8-one 3g,4g⁶

These products were obtained using cyclohex-2-enyl bromide on a 5.0mmol scale of the lactam 2a.

3g Obtained as an oil (510mg, 36.8%); R_f =0.45(EtOAc/light petroleum = 1:1); v_{max} (CHCl₃) 1697 cm⁻¹; **\delta_H** (200 MHz,CDCl₃) 1.23-2.20 (8H, m, ring H and H-6), 2.70-2.87 (2H, m), 3.35-3.45 (1H, m, H-4_{endo}), 3.97-4.21 (2H, m, H-4_{exo} and H-5), 5.51-5.56 (1H, m, C<u>H</u>=C), 5.79-5.86 (1H, m, C<u>H</u>=C), 6.34 (1H, s, H-2), 7.30-7.47 (5H, m, ArH); m/z [CI, NH₃] 284 (M+H⁺, 100%).

4g Obtained as a waxy solid(60mg, 4.3%); $R_f = 0.50$ (EtOAc/light petroleum = 1:1); δ_H (200 MHz,CDCl₃) 1.2-2.10 (7H, m, ring H, H-6_{endo} and H-6_{exo}), 2.25-2.45 (1H, m, ring H), 2.60-3.10 (2H, m, ring H and H-7), 3.40-3.60 (1H, m, H-4_{endo}), 4.0-4.3 (2H, m, H-5 and H-4_{exo}), 5.3-5.9 (2H, m, C<u>H</u>=C<u>H</u>), 6.35 and 6.37 (1H, 2 x s, H-2), 7.30-7.50 (5H, m); m/z [CI, NH₃] 284 (M+H⁺, 100%).

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-chlorobicyclo[3.3.0]octan-8-one 3h, 4h These products were obtained using p-tosyl chloride on a 24.6 mmol scale of the lactam 2a.

3h: Colourless solid that was recrystallised from CH₂Cl₂/light petroleum (1.28g, 22%); R_f = 0.10(EtOAc/light petroleum = 1:5). M.p. 122-124°C; Found: C, 60.30, H, 5.09, N, 5.84. C1₂H1₂NO₂Cl requires C, 60.64, H, 5.09, N, 5.89%. [α]_D +197.6(*c* 1.0, CHCl₃); ν_{max} (CHCl₃) 1718(s) cm⁻¹; δ_{H} (200MHz, CDCl₃) 2.33-2.60(2H, m, H-6_{endo} and H-6_{exo}), 3.58(1H, dd *J* 8.0, 8.0Hz, H-4_{endo}), 4.24-4.53(3H, m, H-4_{exo}, H-5 and H-7), 6.31(1H, s, H-2), 7.34-7.45(5H, m, ArH); δ_{C} (50.3MHz, CDCl₃) 36.27, 57.33, 57.69, 71.27, 86.92, 126.19, 128.82, 129.14, 137.67, 172.48; *m*/*z* [CI, NH₃] 255(M+NH₄+ ³⁵Cl, 10%), 240(M+H+ ³⁷Cl, 40), 238(M+H+ ³⁵Cl, 100).

4h: Obtained as a colourless solid after purification by recrystallisation from either diethyl ether/light petroleum or chloroform/light petroleum (3.21g, 55%); (Rf=0.3 EtOAc:light petroleum=1:5); M.p. 123-125°C; Found: C, 60.75, H, 4.95, N, 5.82. C12H12NO2Cl Requires C, 60.64, H, 5.09, N, 5.89%. $[\alpha]_D$ +140.9 (*c* 2.2, CHCl₃). v_{max} (CHCl₃) 1723(s) cm⁻¹; δ_H (200MHz, CDCl₃) 2.15(1H, m, H-6), 3.03(1H, m, H-6), 3.66(1H, dd, *J* 8.0, 8.5Hz, H-4), 4.03-4.09(1H, m, H-5), 4.31(1H, dd, *J* 6.0, 8.5Hz, H-4), 4.81(1H, dd, *J* 8.5, 7.0Hz, H-7), 6.39(1H, s, H-2), 7.31-7.46(5H, m, ArH); δ_C (125.MHz, CDCl₃) 36.76, 55.39, 56.57, 72.06, 87.61, 125.95, 128.51, 128.87, 137.72, 171.02; *m*/*z* [CI, NH₃] 240(M+H⁺ ³⁷Cl, 30%), 238(M+H⁺ ³⁵Cl, 100).

(+)-(2R,5S,7R) and (+)-(2R,5S,7S)-1-aza-3-oxa-2-phenyl-7-methylbicyclo[3.3.0]octan-8-one **3i,4i** These products were obtained using methyl iodide on a 1.5mmol scale of the lactam **2a**.

3i Obtained as a white solid after recrystallisation from EtOAc/light petroleum (62.0mg, 19.4%)(Rf=0.1 EtOAc:light petroleum=1:4); Found: C, 71.53, H, 6.93, N, 6.04. C₁₃H₁₅NO₂ requires C, 71.87, H, 6.95, N, 6.45%; [α]_D +126 (*c* 0.20, CHCl₃); υ_{max} (CHCl₃) 1702 cm⁻¹; δ_{H} (500 MHz,CDCl₃) 1.36-1.37 (3H, d, *J* 7.5Hz, CH₃), 1.97-2.05 (1H, m, H-6_{exo}), 2.17-2.13 (1H, m, H-6_{endo}), 2.70-2.78 (1H, m, H-7_{endo}), 3.41-3.45 (1H, m, H-4_{endo}), 4.07-4.12 (1H, m, H-5), 4.24 (1H, dd, *J* 8.0, 6.3Hz, H-4_{exo}), 6.33 (1H, s, H-2), 7.32-7.47 (5H, m, ArH); δ_{C} (125 MHz, CDCl₃) 17.76 (CH₃), 30.16 (C-6), 39.43 (C-7), 57.00 (C-5), 71.03 (C-4), 87.46 (C-2), 125.9, 128.4, 128.4, 139.1(ArC), 181.7 (C-8); *m*/*z* [CI, NH₃] 218 (M+H⁺, 100%). n.O.e. irradiation at δ 2.0 (4.1, 7.6%; 2.7, 2.2%; 2.2, 12.8%); 2.2 (4.1, 3.1%; 3.4, 4.2%; 2.75, 6.0%; 2.0, 10.8%); 2.75 (2.2, 2.0%; 1.35, 3.0%); 4.1 (2.0, 5.9%; 4.25, 2.7%).

4i Obtained as an oil (182mg, 56.9%); $R_f = 0.15$ (light petroleum/EtOAc = 4:1); $[\alpha]_D + 180$ (c 1.0, CHCl₃); v_{max} (CHCl₃) 1702 cm⁻¹; δ_H (500 MHz,CDCl₃) 1.24-1.26 (3H, d, J 7.0Hz, CH₃), 1.52-1.58 (1H, m, H-6_{endo}), 2.60-2.66 (1H, m, H-6_{exo}), 2.94-3.00 (1H, m, H-7_{exo}), 3.52-3.55 (1H, dd, J 7.5, 8.5Hz, H-

 4_{endo}), 4.08-4.12(1H, m, H-5), 4.22-4.25 (1H, dd, J 6.5, 8.5Hz, H-4_{exo}), 6.35 (1H, s, H-2), 7.32-7.38 and 7.45-7.46 (5H, m, ArH); δ_{C} (50 MHz, CDCl₃) 15.44 (CH₃), 34.79 (C-6), 40.01 (C-7), 56.57 (C-5), 72.48 (C-4), 86.90 (C-2), 126.2, 128.6, 128.7, 137.0 (ArC), 176.0 (C-8); m/z [CI, NH₃] 218 (M+H⁺, 100%). n.O.e. irradiation at $\delta_{1.55}$ (3.5, 4.1%; 2.95, 2.0%; 2.6, 15.8%); 2.6 (4.1, 7.1%; 2.95, 4.0%; 1.55, 17.0%); 2.95 (2.6, 2.3%; 1.2, 4.0%); 4.1 (2.6, 5.5%; 2.95, 1.3%; 4.23, 3.1%). Exact mass 218.1181, C₁₃H₁₅NO₂ requires 218.11810.

(+)-(2R,5S,7R,1'R), (+)-(2R,5S,7S,1'R) and (+)-(2R,5S,7S,1'S) 1-aza-3-oxa-2-phenyl-7-(phenyl(hydroxy)methyl)bicyclo[3.3.0]octan-8-one 5a, 5b and 5c

These products were obtained using benzaldehyde (575mg, 5.0 mmol) as the electrophile on a 4.9 mmol scale of the lactam 2a (1.0g).

5a: $R_f = 0.50$ (Ethyl acetate:light petroleum=1:1) (551mg, 36%) was recrystallised from chloroform/light petroleum. M.p. 148-150°C; Found: C, 74.05; H, 5.91; N, 4.39. C₁₉H₁₉NO₃ requires C, 73.76; H, 6.19; N, 4.53%; [α]_D +127.2 (*c* 1.0, CHCl₃); ν_{max} (CHCl₃) 3685(m), 1689(s)cm⁻¹; δ_{H} (200MHz, CDCl₃) 1.55-1.61(1H, m, H-6_{endo}), 1.98-2.13(1H, m, H-6_{exo}), 3.15-3.29(1H, m, H-7_{exo}), 3.50(1H, dd, *J* 8.0, 7.5Hz, H-4_{endo}), 3.99-4.13(1H, m, H-5), 4.23(1H, dd, *J* 8.0, 6.5Hz, H-4_{exo}), 4.83(1H, d, *J* 9.5Hz, C<u>H</u>(OH)Ph), 6.40(1H, s, H2), 7.30-7.50(10H, m, Ar<u>H</u>). δ_{C} (50.3MHz, CDCl₃) 29.4, 51.7, 56.8, 72.2 75.9, 86.1, 126.1, 126.9, 127.1, 128.4, 128.6, 128.7, 129.0, 138.1, 140.8, 178.5; *m*/z [CI, NH₃] 310 (M+H⁺, 100%), 292 (50), 204 (90); n.O.e. irradiation at δ 1.6 (2.0, 22%; 3.5, 7.2%; 4.8, 6.3%); 2.1 (1.5, 20.1%; 3.2, 7.7%; 4.0, 11.8%); 3.3 (2.0, 5.2%; 4.0, 2.3%; 5.2, 4%; 7.4, 7.2%); 3.5 (1.5, 6.7%; 4.2, 22.7%); 4.1 (2.0, 8.1%; 3.2, 2%; 4.2, 4.6%); 4.3 (3.5, 23.1%; 4.0, 4.5%); 4.8 (5.2, 9.4%).

5b: $R_f = 0.35$ (EtOAc:light petroleum=1:1) was a colourless solid (445mg, 29.1%) which was recrystallised from chloroform/light petroleum. M.p. 180-182°C; Found: C, 73.58; H, 6.48; N, 4.47. C19H19NO3 requires C, 73.76; H, 6.19; N, 4.53%; $[\alpha]_D$ +70.2 (*c* 1, CHCl3); ν_{max} (CHCl3) 3684(m), 1694(s), 1520(s), 1423(s) cm⁻¹; δ_H (500MHz, CDCl3) 1.74-1.81(1H, m, H-6_{endo}), 2.36-2.42(1H, m, H-6_{exo}), 2.82(1H, d, J 4.0Hz, exch D2O, OH), 3.08-3.14(1H, m, H-7_{endo}), 3.35(1H, m, H-4_{endo}), 3.93-4.00(1H, m, H-5), 4.17(1H, dd, J 6.0, 8.0Hz, H-4_{exo}), 5.35(1H, t, J 4.0Hz, CH(OH)Ph), 6.33(1H, s, H-2), 7.26-7.45(10H, m, ArH); δ_C (50.3MHz, CDCl3) 21.9, 51.0, 58.1, 71.6, 72.2, 87.4, 125.8, 126.0, 127.8, 128.5, 128.6, 138.8, 142.1, 178.6; *m*/z [CI, NH3] 310(M+H⁺, 40%), 292(20), 204(100); n.O.e. irradiation at 1.7 (2.4, 20.4%; 3.1, 9.8%; 3.3, 6.4%); 2.4 (1.7, 19.6%; 3.1, 4%; 4.0, 13.9%; 5.4, 2.2%); 3.05 (1.7, 6%; 5.4, 8%); 3.3 (4.2, 23.5%); 4.0 (2.4, 7.7%; 4.2, 6.3%); 4.15 (3.4, 25.7%; 4.0, 6%); 5.3 (1.7, 4%; 2.7, 6%; 3.1, 7%; 7.4, 6.7%).

5c: R_f=0.15 (EtOAc:light petroleum=1:1) was recrystallised from chloroform/light petroleum. M.p. 132-140°C; Found: C, 74.10; H, 6.15; N, 4.53. C₁₉H₁₉NO₃ requires C, 73.76; H, 6.19; N, 4.53%; [α]_D +124.8(*c* 1.0, CHCl₃); v_{max} (CHCl₃) 3685(m), 1691(s)cm⁻¹; δ_{H} (200MHz, CDCl₃) 1.76-1.90(1H, m, H-6_{endo}), 2.01-2.16(1H, m, H-6_{exo}), 3.02-3.14(1H, m, H-7_{exo}), 3.35(1H, dd, *J* 8.0, 9.0Hz, H-4_{endo}), 3.73-3.87(1H, m, H-5), 4.01(1H, s, exch D₂O, OH), 4.15(1H, dd, *J* 7.5, 6.0Hz, H-4_{exo}), 4.93(1H, d, *J* 8.0Hz, CH(OH)Ph), 6.31(1H, s, H-2), 7.3-7.45(10H, m, Ar<u>H</u>); δ_{C} (50.3MHz, CDCl₃) 24.1, 51.4, 57.0, 70.8 74.9, 86.5, 126.5, 127.0, 128.8, 128.9 129.0, 138.7, 140.2, 178.5; *m*/*z* [CI, NH₃] 310(M+H⁺, 20%), 246(50), 204(100), 105(50); n.O.e. irradiation at δ1.8 (2.1, 19.7%; 3.1, 10%; 3.35, 5.1%; 3.8, 3.4%); 2.1 (1.8, 18.7%; 3.1, 3.9%; 3.8, 13.5%; 4.9, 3.6%); 3.1 (1.8, 6.1%; 3.35, 2%; 3.95, 2.6%; 4.9, 3.1%; 7.4, 6%); 3.35

(1.8, 5%; 3.1, 1.8%; 4.2, 22.5%; 6.2, 2%); 3.8 (1.8, 2%; 2.1, 6.6%; 4.1, 6%); 4.15 (3.35, 24.6%; 3.8, 5.7%); 4.9 (2.1, 2%; 3.1, 2.4%; 3.9, 3.8%).

(2R,5S)-1-Aza-3-oxa-2-phenyl-7,7-diacetylbicyclo[3.3.0]octan-8-one 3 (R¹=R²=COMe)and compound 6

These compounds were synthesised with acetyl chloride (0.368ml, 5.17mmol) or acetic anhydride (0.464ml, 5.0mmol) as the acylating agent on a 4.9mmol scale of lactam 2a (1.0g).

3 (R¹=R²=COMe): (210mg, 15%); $\delta_{\rm H}$ (200MHz, CDCl₃) 2.18(3H, s, -COMe), 2.40(3H, s, -COMe), 2.43-2.6(1H, m, H-6_{endo}), 2.80-2.95(1H, m, H-6_{exo}), 3.35(1H, m, H-4), 3.89-4.01(1H, m, H-5), 4.23(1H, dd, J 9.0, 6.0Hz, H-4), 6.34(1H, s, H-2), 7.25-7.50(5H, m, ArH); m/z [Cl⁺, GCMS] 288(100% M+H⁺).

6: was obtained as a colourless solid (529mg, 48%) which was recrystallised from EtOAc/light petroleum. M.p. 180-182°C; Found: C, 69.53%, H, 6.02, N, 5.99 C₂₆H₂₈N₂O₄ requires C, 69.62; H, 6.30; N, 6.25%; $[\alpha]_D$ +271.4 (*c* 1.0, CHCl₃); v_{max} (CHCl₃) 3440(br), 1720(s), 1700 cm⁻¹; δ_H (500MHz, CDCl₃) 1.44(3H, s, Me), 1.97-2.03(1H, m, H-6'_{endo}), 2.08-2.14(1H, m, H-6_{endo}), 2.17-2.24(1H, m, H-6_{exo}), 2.54-2.59(1H, m, H-6'_{exo}), 3.05(1H, dd, J 5.0, 10.0Hz, H-7'_{exo}), 3.17(1H, dd, J 10.0, 7.5Hz, H-7_{endo}), 3.39-4.46(2H, m, H-4'_{endo} and H-4'_{endo}), 3.99(1H, s, exch D₂O, -OH), 4.01-4.06(1H, m, H-5), 4.15-4.20(1H, m, H-5'), 4.20-4.33(2H, m, H-4_{exo} and H-4'_{exo}), 6.33(1H, s, H-2), 6.34(1H, s, H-2), 7.30-7.46(10H, m, ArH); δ_C (50.3MHz DEPT, CDCl₃) 21.52(q), 23.07(t), 24.62(t), 50.92(d), 52.15(d), 56.72(d), 57.52(d), 70.58(t), 71.63(t), 74.19(s), 87.49(d), 87.87(d), 126.05(d), 126.20(d), 128.54(d), 128.69(d), 128.88(d), 138.85(s), 177.73(s), 180.46(s); *m*/z [CI, NH₃] 448(10%, M+H⁺), 246(90), 204(100).

(2R,5S)-1-aza-3-oxa-2-phenyl-7-(4'nitrophenyl(hydroxy)methyl)bicyclo[3.3.0]octan-8-one

The product was obtained using *p*-nitrobenzaldehyde (83mg, 0.6mmol) as the electrophile after chromatography(hexane/EtOAc=2:1) as an inseparable mixture of diastereoisomers (47 mg, 27%). Found: C, 65.0; H, 5.34; N, 7.60%. C₁₉H₁₈N₂O₅ requires C, 64.4; H, 5.10; N, 7.90%; v_{max} (CHCl₃) 1685(s), 1605(m), 1520(m) cm⁻¹; δ_{H} (200 MHz, CDCl₃) 3.1-3.3(2H, m, H-6_{exo} and H-6_{endo}), 3.4(1H, m, H-7), 3.6(1H, m, H-4), 4.1(1H, m, H-5), 4.3(1H, m, H-4), 4.9(1H, d, J 9.4Hz, CH(OH)Ar), 5.4 and 5.55 (1H, 2xs), 6.37 and 6.4(1H, 2 x s, 2 x H-2), 7.3 - 8.3(9H, m, ArH); *m*/*z* [CI, NH₃] 355(M+H⁺, 2%), 307 (8), 204 (75), 122 (100).

(+)-(2R,5S)-1-aza-3-oxa-7,7-dicarbobenzyloxy-2-phenylbicyclo[3.3.0]octan-8-one 3(R¹=R²=CO₂CH₂Ph)

The product was obtained, using benzyl chloroformate (94mg, 0.6mmol) as the electrophile, after column chromatography (hexane/EtOAc = 2:1, R_f 0.4) (88mg, 37.4%); Found: C, 71.2; H, 5.53; N, 3.13. C₂₈H₂₅NO₆ requires C, 71.4; H, 5.30; N, 2.97 %; $[\alpha]_D$ +98.8 (*c* 0.09, CHCl₃); λ_{max} (CHCl₃) 256 nm (log ε = 3.0); δ_H (200 MHz, CDCl₃) 2.60-2.75 (1H, m, H-6_{endo}), 2.95-3.05 (1H, m, H-6_{exo}), 3.65 (1H, m, H-4_{endo}), 4.00-4.30 (2H, m, H-4_{exo} and H-5), 5.15 and 5.35 (4H, m, 2 x PhCH₂), 6.40 (1H, s, H-2), 7.20-7.45 (15H, m, ArH); δ_C (50 MHz, CDCl₃) 33.96 (C-6), 55.87 (C-5), 67.80(C-7), 68.14(CH₂), 68.24(CH₂), 71.50 (C-4), 87.20 (C-2), 126.1, 128.1, 128.3, 128.5, 128.7, 128.9, 135.0, 137.7 (ArC), 166.5, 166.8, 168.4(C=O); *m*/z [CI, NH₃] 489 (M+NH₄⁺, 15%), 472 (M+H⁺, 75), 338 (40), 246 (14), 228 (30), 108 (25), 91 (100).

(2R,5S,7RS)-1-aza-7-methoxyoxalyl-3-oxa-2-phenylbicyclo[3.3.0]octan-8-one 2a (R¹=COCO₂Me)

The product was obtained using dimethyl oxalate (65mg, 0.55 mmol) as the electrophile, after column chromatography (EtOAc/hexane = 4:1, $R_f = 0.6$) as an inseparable mixture of diastereoisomers at C-7 (36mg, 25%, *exo-: endo-* = 2.6:1). Found: C, 62.2; H, 5.20; N, 4.74. C₁₅H₁₅NO₅ requires C, 62.3; H, 5.20; N, 4.8 %; v_{max} (CHCl₃) 1735(s), 1665(s) cm⁻¹; δ_{H} (CDCl₃, 200MHz) 2.90-3.50 (4H, m, H-6_{*exo*}, H-6_{*endo*}, H-7, and H-4_{*endo*}), 3.85 and 3.90 (total of 3H, 2 x s, 2 x OCH₃), 4.05-4.20 (1H, m, H-5), 4.25-4.40 (1H, m, H-4_{*exo*}), 6.30 and 6.35 (1H, 2 x s, H-2), 7.30-7.50 (5H, m, ArH); δ_{C} (CDCl₃, 50 MHz) 25.85 (C-6), 52.67(OCH₃), 56.89 (C-5), 70.98 (C-4), 87.58 (C-2), 112.1 (C-7), 126.9, 127.1, 128.7, 128.8, 129.0, 138.5 (ArC), 150.0 and 163.2 (<u>C</u>(O)C(O)CH₃) and 177.0 (C-8); *m/z* [CI, NH₃] 307 (M+NH₄⁺, 4%), 290 (M+H⁺, 100), 230 (15).

(2R, 5S, 7RS)-7-Ethoxycarbonyl 2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one 2b

A pre-dried solution of lactam 2a (4.3g, 21.2mmol) and diethylcarbonate (10.0g, 84.8mmol) in toluene (80ml) was prepared by heating the mixture at reflux for 5h in a vessel fitted with a Dean-Stark trap allowing azeotropic removal of water. Pre-washed NaH (1.69g, 42.4mmol) was carefully added to the solution at 0°C, and the mixture was brought back to reflux. After 16h the mixture was cooled to 0°C and quenched with glacial acetic acid (2.2g) to give a thick brown suspension. Filtration and solvent removal *in vacuo* gave a yellow-orange oil which was purified by silica chromatography (3:1 light petroleum/EtOAc) to give the product as a yellow solid (4.1g, 70%), as a 1:1 mixture of diastereomers.

R_f 0.3 (1:1 light petroleum/EtOAc); M.p. 85-87°C; [α]_D +178.3 (c 1, CHCl₃); Found: C, 65.63; H, 6.08; N, 5.06. C₁₅H₁₇NO₄ requires C, 65.44; H, 6.24; N, 5.09%; v_{max} (CHCl₃) 1737(s), 1713(s) cm⁻¹; δ_{H} (500MHz, CDCl₃) (**7***R* diastereomer) 1.32 (3H, t, *J* 7Hz, C<u>H</u>₃), 2.16 (1H, ddd, *J* 13.5, 9.5, 6Hz, H-6), 2.76 (1H, ddd, *J* 13.5, 7.5, 3Hz, H-6), 3.53 (1H, t, *J* 8Hz, H-4), 3.65 (1H, dd, *J* 9.5, 3Hz, H-7), 4.21-4.35 (4H, m, C<u>H</u>₂CH₃, H-4, H-5), 6.33 (1H, s, H-2), 7.31-7.47 (5H, m, Ar<u>H</u>); δ_{H} (500MHz, CDCl₃) (**7***S* diastereomer) 1.34 (3H, t, *J* 7Hz, C<u>H</u>₃), 2.42 (1H, ddd, *J* 13.5, 9.5, 6Hz, H-6), 2.58 (1H, ddd, *J* 13.5, 9.5, 7Hz, H-6), 3.69 (1H, t, *J* 8Hz, H-4), 3.88 (1H, t, *J* 9.5Hz, H-7), 4.10-4.16 (1H, m, H-5), 4.21-4.35 (3H, m, C<u>H</u>₂CH₃, H-4), 6.34 (1H, s, H-2), 7.31-7.47 (5H, m, Ar<u>H</u>); δ_{C} (50.3MHz, CDCl₃) 14.02 (<u>C</u>H₃), 27.38, 27.71 (2xC-6), 51.52, 52.25 (2xC-7), 56.90, 57.95 (2xC-5), 61.87, 62.02 (2x<u>C</u>H₂CH₃), 71.64, 71.86 (2xC-4), 87.12, 87.32 (2xC-2), 126.1, 128.7, 128.9 (ArCH), 138.5 (ArC), 169.4 and 172.5 (2 x CO); n.O.e. (500MHz, CDCl₃) (**7***S* diastereomer) Irradiation at 2.42 (2.58, 14%; 3.69, 5.2%; 3.88, 2.5%; 4.10, 2.7%); 2.58 (2.42; 17%; 3.88, 7.7%; 4.10, 10.2%); 3.88 (2.58, 4.8%; 4.10, 1%); 4.10 (2.58, 6.1%; 4.3, 3.6%); *m*/z [CI, NH₃] 276 (M+H⁺, 100%), 204 (22), 105 (8).

General method for Reaction of Lactam 2b with Electrophiles

To a stirred suspension of pre-washed NaH (1.1equiv.) in dry THF (30ml) at 0°C under a nitrogen atmosphere was added a solution of lactam 2b (1 equiv.) in THF (5ml) via syringe, and the mixture was stirred at RT for 20min. A solution of electrophile (1.1equiv.) in THF (5ml) was added via syringe and the mixture stirred either at RT or at reflux for between 1h and 16h. The reaction was quenched by pouring the mixture into NH4Cl(aq)/EtOAc (50ml, 1:1) and the aqueous portion was extracted with EtOAc (2x20ml). Organic extracts were combined, washed with water and brine, dried (MgSO4) and the solvent removed *in vacuo* to give an oil which was purified by silica chromatography (3:1 light petroleum/EtOAc) to give the two possible diastereomeric products, frequently as an inseparable mixture. Further careful silica chromatography or HPLC separation gave the individual diastereomers in homogeneous form. (+)-(2R, 5S, 7R) and (+)-(2R, 5S, 7S)-7-ethoxycarbonyl-2-phenyl-7-phenylselenyl-1-aza-3-oxa-bicyclo-[3.3.0]octan-8-one **3j**,**4j**

These products were obtained using phenylselenyl chloride on a 11 mmol scale of lactam 2b.

3j: (1.95g, 44%); R_f 0.15 (4:1 cyclohexane/EtOAc); $[\alpha]_D$ +11.4 (c 2, CHCl₃); Found: C, 58.30; H, 5.15; N, 3.51. C₂₁H₂₁NO₄Se requires C, 58.61; H, 4.92; N, 3.25%; υ_{max} (CHCl₃) 1709(s) cm⁻¹; δ_H (500MHz, CDCl₃) 1.36 (3H, t, *J* 7Hz, CH₃), 2.60 (1H, dd, *J* 14.5, 7Hz, H-6), 2.94 (1H, dd, *J* 14.5, 6Hz, H-6), 3.14-3.20 (1H, m, H-5), 3.48 (1H, t, *J* 8Hz, H-4), 4.11-4.14 (1H, m, H-4), 4.33 (2H, dq, *J* 7, 2Hz, CH₂CH₃), 6.25 (1H, s, H-2), 7.10 (3H, t, *J* 7.5Hz, *m*-SeArH, *p*-SeArH); δ_C (50.3MHz, CDCl₃) 13.91 (CH₃), 36.31 (C-6), 55.57 (C-5), 57.81 (C-7), 63.01 (CH₂CH₃), 72.11 (C-4), 86.98 (C-2), 126.3, 127.0, 128.2, 130.1, 138.4 (ArCH), 126.0, 138.2 (ArC), 169.1, 172.2 (2xCO); *m*/z [CI, NH₃] 432 (M+H⁺, 2), 293 (15), 276 (100), 106 (12%).

4j: (1.28g, 29%); R_f 0.25 (4:1 cyclohexane/EtOAc); [α]_D +209.3 (c 2, CHCl₃); Found: C, 58.36; H, 4.92; N, 3.22. C₂₁H₂₁NO₄Se requires C, 58.61; H, 4.92; N, 3.25%; υ_{max}(CHCl₃) 1717(s) cm⁻¹; δ_H (500MHz, CDCl₃) 1.26 (3H, t, *J* 7Hz, CH₃), 2.14 (1H, dd, *J* 14, 6Hz, H-6), 3.06 (1H, dd, *J* 14, 7Hz, H-6), 3.12-3.15 (1H, m, H-4), 4.06-4.11 (2H, m, H-4, H-5), 4.22 (2H, q, *J* 7Hz, CH₂CH₃), 6.27 (1H, s, H-2), 7.29-7.45 (8H, m, ArH), 7.70-7.72 (2H, m, o-SeArH); δ_C (50.3MHz, CDCl₃) 13.91 (CH₃), 36.49 (C-6), 56.22 (C-5), 58.90 (C-7), 62.70 (CH₂CH₃), 71.56 (C-4), 87.46 (C-2), 126.0, 128.4, 128.7, 129.2, 129.8, 137.6 (ArCH), 126.5, 137.9 (ArC), 169.1, 171.0 (2xCO); *m*/*z* [CI, NH₃] 449 (M+NH₄+, 15%), 432 (M+H⁺, 48), 325 (15), 293 (22), 276 (100), 106 (20).

(+)-(2R, 5S, 7S) and (+)-(2R, 5S, 7R)-7-ethoxycarbonyl-7-methyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one 3k, 4k

These products were obtained using methyl iodide on a 0.73 mmol scale of lactam 2b. Usual work-up, followed by HPLC separation (9:1 cycloheptane/IPA) yielded the individual diastereomers as colourless oils in 67% overall yield, and in a ratio of 1.7:1.

3k: (89mg,42%); R_f 0.35 (1:1 light petroleum/EtOAc); $[\alpha]_D$ +159.6 (*c* 3.3, EtOH); ν_{max} (CHCl₃) 1741(s), 1708(s) cm⁻¹; δ_H (500MHz, CDCl₃) 1.32 (3H, t, *J* 7Hz, CH₂CH₃), 1.60 (3H, s, CH₃), 2.23 (1H, dd, *J* 13.5, 7.5Hz, H-6_{exo}), 2.59 (1H, dd, *J* 13.5, 5Hz, H-6_{endo}), 3.71 (1H, t, *J* 7.5Hz, H-4_{endo}), 4.12-4.17 (1H, m, H-5), 4.22-4.31 (3H, m, H-4_{exo} and CH₂CH₃), 6.33 (1H, s, H-2), 7.32-7.39 (3H, m, ArH), 7.46-7.48 (2H, m, ArH); δ_C (50.3MHz, CDCl₃) 13.92 (CH₂CH₃), 21.52 (CH₃), 35.07 (C-6), 55.86 (C-7), 56.06 (C-5), 61.87 (CH₂CH₃), 71.63 (C-4), 87.39 (C-2), 126.1, 128.7, 128.9 (ArCH), 138.8 (ArC), 172.0, 177.0 (2xCO); n.O.e. experiment (500MHz, CDCl₃) Irradiation at 2.23 (2.59, 23.2%; 4.15, 10.7%); 2.59 (2.23, 18.7%; 3.71, 5.2%; 4.15, 2.9%); 3.71 (2.59, 5.4%; 4.15, 2.4%; 4.25, 20.6%); 4.15 (2.23, 6.5%; 4.25, 4%); *m/z* [CI, NH₃] 290 (M+H⁺, 100%); Exact mass 290.1392. C₁₆H₂₀NO₄ (M+H⁺) requires 290.1392; G.C. purity 99.8%.

4k: (53mg, 25%); R_f 0.3 (1:1 light petroleum/EtOAc); $[\alpha]_D$ +168.1 (*c* 1.9, EtOH); v_{max} (CHCl₃) 1712(s) cm⁻¹; δ_H (250MHz, CDCl₃) 1.27 (3H, t, *J* 7Hz, CH₂CH₃), 1.51 (3H, s, CH₃), 1.78 (1H, dd, *J* 13, 7Hz, H-6), 2.93 (1H, dd, *J* 13, 6Hz, H-6), 3.51-3.60 (1H, m, H-4), 4.16-4.29 (4H, m, H-4, H-5, CH₂CH₃), 6.34 (1H, s, H-2), 7.31-7.46 (5H, m, ArH); δ_C (50.3MHz, CDCl₃) 13.91 (CH₂CH₃), 20.64 (CH₃), 38.62 (C-6), 56.16 (C-5), 56.65 (C-7), 61.88 (CH₂CH₃), 72.08 (C-4), 86.93 (C-2), 126.1, 126.2, 128.7, 128.9 (ArCH), 138.2 (ArC), 172.0, 174.3 (2xCO); m/z (Thermospray) 290 (M+H⁺, 100%); Exact mass 290.1392. C₁₆H₂₀NO₄ (M+H⁺) requires 290.1392; G.C. purity 91.0%.

(2R, 5S, 7S) and (2R, 5S, 7R)-7-allyl-7-ethoxycarbonyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one 31,41

These products were obtained using allyl bromide on a 0.73 mmol scale of lactam 2b. Usual work-up, followed by HPLC separation (9:1 cycloheptane/IPA) yielded individual diastereomers as colourless oils in 68% overall yield, and in a ratio of 4.7:1.

31: (129mg, 56%); R_f 0.4 (4:1 cyclohexane/EtOAc); ν_{max} (CHBr₃) 1739(s), 1706(s) cm⁻¹; δ_{H} (250MHz, CDCl₃) 1.31 (3H, t, *J* 7Hz, C<u>H</u>₃), 2.36 (1H, dd, *J* 13.5, 7.5Hz, H-6_{exo}), 2.52 (1H, dd, *J* 13.5, 5Hz, H-6_{endo}), 2.63-2.84 (2H, m, C<u>H</u>₂CH=CH₂), 3.67 (1H, t, *J* 8Hz, H-4), 3.97-4.10 (1H, m, H-5), 4.20-4.32 (3H, m, H-4, C<u>H</u>₂CH₃), 5.14-5.25 (2H, m, CH₂CH=C<u>H</u>₂), 5.68-5.86 (1H, m, CH₂C<u>H</u>=CH₂), 6.32 (1H, s, H-2), 7.32-7.48 (5H, m, Ar<u>H</u>); δ_{C} (50.3MHz, CDCl₃) 14.03 (<u>C</u>H₃), 31.01 (C-6), 39.03 (<u>C</u>H₂CH=CH₂), 56.21 (C-5), 59.21 (C-7), 61.94 (<u>C</u>H₂CH₃), 71.66 (C-4), 87.42 (C-2), 119.8 (CH₂CH=<u>C</u>H₂), 125.9, 128.4, 128.6 (Ar<u>C</u>H), 132.5 (CH₂C<u>H</u>=CH₂), 138.6 (Ar<u>C</u>), 170.8, 175.2 (2x<u>C</u>O); n.O.e. experiment (500MHz, CDCl₃) Irradiation at 2.36 (2.52, 4.0, 5.75); 2.52 (2.36, 3.67, 4.0); 2.68 (2.36, 2.75, 5.2, 5.75); 2.75 (2.36, 2.68, 5.2, 5.75); 4.0 (2.36, 5.75); m/z (Thermospray) 316 (M+H⁺, 100%); Exact mass 316.1549. C₁₈H₂₂NO₄ (M+H⁺) requires 316.1549; G.C. purity (100%).

4I: (28mg, 12%); R_f 0.4 (4:1 cyclohexane/EtOAc); Found: C, 63.34; H, 6.91; N, 4.22. C₁₈H₂₁NO₄ requires C, 68.55; H, 6.71; N, 4.44%; υ_{max} (CHBr₃) 1709(s) cm⁻¹; δ_{H} (250MHz, CDCl₃) 1.27 (3H, t, *J* 7Hz, CH₃), 1.87 (1H, dd, *J* 13.5, 7Hz, H-6), 2.57 (1H, dd, *J* 13.5, 7Hz, H-6), 2.78-2.90 (2H, m, CH₂CH=CH₂), 3.48-3.58 (1H, m, H-4), 4.16-4.32 (4H, m, H-4, H-5, CH₂CH₃), 5.11-5.21 (2H, m, CH₂CH=CH₂), 5.62-5.80 (1H, m, CH₂CH=CH₂), 6.32 (1H, s, H-2), 7.31-7.45 (5H, m, ArH); δ_{C} (50.3MHz, CDCl₃) 14.08 (CH₃), 34.65 (C-6), 38.58 (CH₂CH=CH₂), 56.30 (C-5), 60.54 (C-7), 61.95 (CH₂CH₃), 72.08 (C-4), 86.91 (C-2), 119.5 (CH₂CH=CH₂), 126.0, 128.5, 128.7 (ArCH), 132.7 (CH₂CH=CH₂), 138.1 (ArC), 170.5, 172.8 (2xCO); *m/z* (Thermospray) 316 (M+H⁺, 100%).

(+)-(2R, 5S, 7S) and (+)-(2R, 5S, 7R)-7-phenylmethyl-7-ethoxycarbonyl-2-phenyl-1-aza-3-oxabicyclo[3.3.0]octan-8-one **3m,4m**

These products were obtained using benzyl bromide on a 0.73 mmol scale of lactam **2b**. Usual work-up, followed by HPLC separation (9:1 cycloheptane/IPA) yielded individual diastereomers as colourless solids in 75% overall yield, and in a ratio of 7.5:1.

3m: (175mg, 66%); R_f 0.20 (4:1 cyclohexane/EtOAc); $[\alpha]_D$ +8.36 (*c* 6.7, EtOH); Found: C, 72.32; H, 6.23; N, 3.71. C₂₂H₂₃NO₄ requires C, 72.31; H, 6.34; N, 3.83%; v_{max} (CHBr₃) 2980(w), 1737(s), 1703(s) cm⁻¹; δ_H (250MHz, CDCl₃) 1.34 (3H, t, *J* 7.5Hz, C<u>H</u>₃), 2.38 (1H, dd, *J* 13.5, 7.5Hz, H-6_{exo}), 2.51 (1H, dd, *J* 13.5, 6Hz, H-6_{endo}), 3.15 (1H, d, *J* 14Hz, CH<u>H</u>Ph), 3.21-3.34 (1H, m, H-5), 3.45 (1H, d, *J* 14Hz, C<u>H</u>HPh), 3.54 (1H, t, *J* 8Hz, H-4), 4.04-4.12 (1H, m, H-4), 4.22-4.36 (2H, m, C<u>H</u>₂CH₃), 6.25 (1H, s, H-2), 7.16-7.23 (7H, m, Ar<u>H</u>), 7.29-7.35 (3H, m, Ar<u>H</u>); δ_C (50.3MHz, CDCl₃) 13.95 (<u>C</u>H₃), 31.35 (C-6), 39.79 (<u>C</u>H₂Ph), 56.08 (C-5), 61.69 (C-7), 62.08 (<u>C</u>H₂CH₃), 71.88 (C-4), 87.02 (C-2), 126.2, 127.3, 128.5, 128.6, 128.8, 130.4 (Ar<u>C</u>H), 135.9, 138.3 (Ar<u>C</u>), 171.6, 174.4 (2x<u>C</u>O); n.O.e. experiment (500MHz,

CDCl₃) Irradiation at 2.38 (2.51, 21%; 3.15, 4%; 3.30, 14%; 2.51 (2.38, 9.5%); 3.15 (3.45, 29%); 3.45 (3.15, 26%); *m/z* (Thermospray) 366 (M+H⁺, 100%).

4m: (24mg, 9%); R_f 0.27 (4:1 cyclohexane/EtOAc); [α]_D +189.7 (c 0.68, EtOH); v_{max} (CHBr₃) 2979(w), 2926(w), 1708(s) cm⁻¹; $\delta_{\rm H}$ (250MHz, CDCl₃) 1.28 (3H, t, J 7.0Hz, CH₃), 1.88 (1H, dd, J 13, 6.5Hz, H-6), 2.77 (1H, dd, J 13, 6.5Hz, H-6), 2.96 (1H, t, J 7.5Hz, H-4), 3.25-3.38 (2H, m, CH₂Ph), 4.02-4.18 (2H, m, H-4, H-5), 4.24 (2H, dq, J 7.0, 2Hz, CH₂CH₃), 6.26 (1H, s, H-2), 7.17-7.43 (10H, m, ArH); *m/z* (Thermospray) 366 (MH⁺, 100%); Exact mass 366.1705. C₂₂H₂₄NO₄ (M+H⁺) requires 366.1705; G.C. purity 97.3%.

(+)-(2R, 5S, 7S) and (+)-(2R, 5S, 7R)-7-ethoxycarbonyl-7-p-nitrophenylmethyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one **3n,4n**

These products were obtained using *p*-nitrobenzyl bromide on a 0.73 mmol scale of lactam **2b**. Usual work-up, followed by silica chromatography (4:1 cyclohexane/EtOAc) yielded individual diastereomers as colourless solids in 94% overall yield, and in a ratio of 10:1.

3n: (254mg, 85%); Rf 0.15 (4:1 cyclohexane/EtOAc); $[\alpha]_D +22.5$ (*c* 1, CHCl₃); Found: C, 64.62; H, 5.33; N, 6.78. C₂₂H₂₂N₂O₆ requires C, 64.38; H, 5.40; N, 6.83%; υ_{max} (CHBr₃) 2980(m), 1738(s), 1703(s), 1605(s), 1522(m), 1450(m), 1397(m), 1349(m) cm⁻¹; δ_H (250MHz, CDCl₃) 1.34 (3H, t, *J* 7Hz, CH₃), 2.31 (1H, dd, *J* 14, 8Hz, H-6_{*exo*}), 2.57 (1H, dd, *J* 14, 5.5Hz, H-6_{*endo*}), 3.22 (1H, d, *J* 13Hz, CHHC₆H₄NO₂), 3.26-3.36 (1H, m, H-5), 3.53 (1H, d, *J* 13Hz, CHHC₆H₄NO₂), 3.55 (1H, t, *J* 8Hz, H-4_{*endo*}), 4.15 (1H, dd, *J* 8, 5.5Hz, H-4_{*exo*}), 4.24-4.36 (2H, m, CH₂CH₃), 6.24 (1H, s, H-2), 7.15-7.21 (2H, m, *m*-NO₂ArH), 7.29-7.37 (5H, m, ArH), 7.94-8.00 (2H, m, *o*-NO₂ArH); δ_C (50.3MHz, CDCl₃) 13.91 (CH₃), 31.30 (C-6), 39.49 (CH₂Ar), 55.84 (C-5), 61.45 (C-7), 62.39 (CH₂CH₃), 71.87 (C-4), 86.78 (C-2), 123.6, 125.9, 128.6, 129.1, 131.2 (ArCH), 138.2, 143.8, 147.3 (ArC), 170.9, 173.3 (2xCO); n.O.e. experiment (500MHz, CDCl₃) 2.31 (2.57, 28%; 3.22, 2.7%; 3.35, 12.7%); 2.57 (2.31, 21.6%; 3.35, 3%; 3.55, 6.3%); 3.22 (3.53, 25.3%); 3.35 (2.31, 5.7%; 4.15, 7.2%; 6.24, 2%; 7.15, 3%); 3.55 (2.57, 2%; 3.22, 17.7%; 4.15, 14.2%; 6.24, 2%); *m/z* (Thermospray) 411 (M+H⁺, 100%).

4n: (27mg, 9%); Rf 0.22 (4:1 cyclohexane/EtOAc); M.p. 131-132°C; $[\alpha]_D$ +159.4 (*c* 0.34, EtOH); Found: C, 63.98; H, 5.34; N, 6.70. C₂₂H₂₂N₂O₆ requires C, 64.38; H, 5.40; N, 6.83%; υ_{max} (CHBr₃) 2924(m), 1710(s), 1605(w), 1521(s), 1346(s) cm⁻¹; δ_H (250MHz, CDCl₃) 1.27 (3H, t, *J* 7.5Hz, C<u>H</u>₃), 1.81 (1H, dd, *J* 13, 7.5Hz, H-6), 2.81 (1H, dd, *J* 13, 6.5Hz, H-6), 3.21 (1H, t, *J* 7.5, H-4), 3.32 (1H, d, *J* 14Hz, C<u>H</u>HC₆H₄NO₂), 3.50 (1H, d, *J* 14Hz, CH<u>H</u>C₆H₄NO₂), 4.09-4.29 (4H, m, H-4, H-5, C<u>H</u>₂CH₃), 6.29 (1H, s, H-2), 7.32-7.50 (7H, m, *m*-NO₂Ar<u>H</u>, Ar<u>H</u>), 8.08-8.29 (2H, m, *o*-NO₂Ar<u>H</u>); δ_C (50.3MHz, CDCl₃) 14.05 (<u>C</u>H₃), 34.84 (C-6), 39.04 (<u>C</u>H₂Ar), 56.29 (C-5), 61.82 (C-7), 62.37 (<u>C</u>H₂CH₃), 71.80 (C-4), 87.00 (C-2), 123.7, 131.0, 126.0, 128.5, 128.8 (Ar<u>C</u>H), 137.7 (Ar<u>C</u>), 144.2, 147.3 (NO₂Ar<u>C</u>), 169.8, 172.2 (2x<u>C</u>O); *m*/z (Thermospray) 411 (M+H⁺, 100%).

(+)-(2R, 5S, 7R)-7-Acetyl-7-ethoxycarbonyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0] octane 30

Following the general procedure, lactam 2b (250mg, 0.91mmol), NaH (44mg, 1.09mmol) and acetyl chloride (85mg, 1.09mmol) were reacted together and heated at reflux for 4h. Usual work-up, followed by silica chromatography (10:1 CH₂Cl₂/EtOAc) yielded the product as a colourless oil (245mg, 85%) as a single diastereomer. Rf 0.3 (3:1 CH₂Cl₂/EtOAc); $[\alpha]_D$ +160.4 (*c* 2.25, CHCl₃); v_{max} (CHCl₃) 1739(s), 1714(s) cm⁻¹

; $\delta_{\rm H}$ (500MHz, CDCl₃) 1.34 (3H, t, *J* 7Hz, CH₂CH₃), 2.36 (1H, dd, *J* 13.5, 6Hz, H-6), 2.47 (3H, s, CH₃), 3.22 (1H, dd, *J* 13.5, 7.5Hz, H-6), 3.67 (1H, t, *J* 8Hz, H-4), 4.04-4.09 (1H, m, H-5), 4.24-4.37 (3H, m, H-4, CH₂CH₃), 6.34 (1H, s, H-2), 7.33-7.45 (5H, m, ArH); $\delta_{\rm C}$ (50.3MHz, CDCl₃) 13.95 (CH₂CH₃), 27.24 (C-6), 30.85 (CH₃), 56.14 (CH₂CH₃), 62.67 (C-5), 71.89 (C-4), 73.69 (C-7), 87.52 (C-2), 125.9, 128.6, 128.9 (ArCH), 137.8 (ArC), 167.5, 169.9 (2xCO), 197.8 (COMe); *m*/*z* [CI, NH₃] 318 (M+H⁺, 22), 276 (100%).

(+)-(2R, 5S, 7S)-7-(2-methylprop-3-enyl)-7-ethoxycarbonyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one **3p**

Prepared from lactam 2b and 3-chloro-2-methyl propene on a 0.36mmol scale using the co-solvents DMPU/TMEDA. (39mg, 33%); R_f 0.40 (4:1 light petroleum/EtOAc); M.p. 38-40°C; $[\alpha]_D$ +74.6 (*c* 1.9, CHC1₃); Found: C, 69.40; H, 6.85; N, 4.33%. C₁₉H₂₃NO₄ requires C, 69.28; H, 7.04; N, 4.25%; ν_{max} (CHCl₃) 1743(s), 1708(s) cm⁻¹; δ_H (200MHz, CDCl₃) 1.33 (3H, t, *J* 7.1Hz, CH₂CH₃), 1.71 (3H, s, CH₃C=CH₂), 2.47 (1H, dd, *J* 13.8, 8.0Hz, H-6), 2.62 (1H, dd, *J* 13.9, 4.6Hz, H-6), 2.67 (1H, d, *J* 14.6Hz, =CCHH), 2.91 (1H, d, *J* 14.6Hz, =CCHH), 3.67-3.63 (1H, m, H-4), 4.02-4.08 (1H, m, H-5), 4.24-4.30 (3H, m, CH₂CH₃ and H-4), 4.76 (1H, s, =CHH) 4.92 (1H, s, =CHH), 6.32 (1H, s, H-2), 7.33-7.49 (3H, m, ArH), 7.45-7.47 (2H, m, ArH); δ_C (125.7MHz, CDCl₃) 14.0 (CH₃), 23.2 (CH₃C=CH₂), 30.3, 42.2 (C-6 and =CCH₂), 56.1 (C-5), 59.2 (C-7), 62.0 (CH₂CH₃), 71.5 (C-4), 87.4 (C-2), 115.0 (=CH₂), 125.8, 128.4, 128.6 (ArCH), 138.5 (ArC), 141.1 (CH₃C=), 171.0, 175.6 (2xCO); *m*/z (GCMS) 330 (M+H⁺, 100%), 106 (75%).

(2*R*, 5*S*, 7*R*)-7-(*3*-cyclohexenyl)-7-ethoxycarbonyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0] octan-8-one **3q,4q** Prepared from lactam **2b** and 3-bromocyclohexene on a 0.36mmol scale using the co-solvents DMPU/TMEDA as an inseparable mixture of diasteromers. (94mg, 72%); R_f 0.44 (3:1 light petroleum/EtOAc); υ_{max}(CHCl₃) 3360(br, w), 1744(s), 1708(s), 1451(w), 1391(w), 1358(w) cm⁻¹; δ_H (500MHz, CDCl₃) 1.15-1.22 (2H, m, ring H), 1.32, 1.33 (6H, 2xt, *J* 7.1Hz, C<u>H</u>₃), 1.54-1.63 (2H, m, ring H), 1.79-1.86 (4H, m, ring H), 1.96-2.00 (4H, m, ring H), 2.21-2.24 (2H, m, H-6), 2.52 (1H, dd, *J* 14.1, 3.0Hz, H-6), 2.59 (1H, dd, *J* 14.4, 3.6Hz, H-6), 3.22-3.24 (1H, m, ring H), 3.29-3.32 (1H, m, ring H), 3.61-3.67 (2H, m, H-4), 3.90-4.00 (2H, m, H-5), 4.22-4.29 (6H, m, 2xC<u>H</u>₂CH₃ and H-4), 5.37-5.39 (1H, m, CH=C<u>H</u>), 5.43-5.46 (1H, m, CH=C<u>H</u>), 5.85-5.90 (2H, m, C<u>H</u>=CH) 6.30, 6.31 (2H, 2xs, H-2), 7.32-7.43 (10H, m, Ar<u>H</u>); δ_C (50.3MHz, CDCl₃) 14.1 (2x<u>C</u>H₃), 21.7, 21.8, 24.7, 24.9, 25.1, 25.9, 26.8, 39.7, 40.4, 56.1(C-5), 56.7 (C-5), 62.1 (C-7), 63.5, 63.7 (2x<u>C</u>H₂CH₃), 71.0, 71.7 (2xC-4), 87.4, 87.8 (2xC-2), 125.8, 125.9, 127.1, 128.4, 128.5 (Ar<u>C</u>, <u>C</u>H=CH), 131.1, 131.6 (CH=<u>C</u>H), 170.3, 171.0 (2xCO), 175.1, 175.4 (2xCO); *m/z* [CI, NH₃] 356 (M+H⁺, 100%).

(+)-(2R,5S,7R)-8-Oxo-2-phenyl-7-phenylacetyl-1-aza-3-oxa-bicyclo[3.3.0]octane 2c

A solution of lactam 2a (500mg, 2.83mmol) and methylbenzoate (460mg, 3.40mmol) in dry THF (5ml) was added slowly to sodium hydride (312mg of a 60% dispersion in mineral oil, 7.20mmol) in refluxing dry THF (20ml). After 4hr, the mixture was cooled, carefully diluted with saturated ammonium chloride and extracted with diethyl ether (3x25ml). The organic residue was dried over MgSO₄ and concentrated *in vacuo* to afford the crude product, an amber oil. This was purified by flash chromatography (9:1, light petroleum:EtOAc,

R_f=0.65(*endo*), 0.23(*exo*))to give a pale yellow oil containing a mixture of *endo*, *exo* and tautomeric isomers. One isomer was isolated as a pale yellow solid which was recrystallised from light petroleum/EtOAc to give white crystals. X-ray crystallography showed this to be the *endo* isomer: (0.72g, 83%); Mp 127-129°C; [α]_D +66.3° (*c* 1.1, CHCl₃); Found: C, 74.24; H, 5.46; N, 4.51. C₁₉H₁₇NO₃ requires; C, 74.25; H, 5.58; N, 4.56%; v_{max} (CHCl₃) 1680(s), 1710(s) cm⁻¹; δ_{H} (500MHz, CDCl₃); 2.48-2.54 (1H, m, H-6), 2.77-2.82 (1H, m, H-6), 3.73 (1H, dd, *J* 8.0, 8.1, H-4), 4.21-4.25 (1H, m, H-5), 4.36-4.37 (1H, dd, *J* 6.1, 8.1, H-4), 4.94 (1H, dd, *J* 8.9, 8.9, H-7), 6.27 (1H, s, H-2), 7.28-7.35 (8H, m, Ar<u>H</u>), 8.08 (2H, m, Ar<u>H</u>); δ_{C} (50.3MHz, CDCl₃); 25.3 (C-6), 53.0 (C-7), 56.9 (C-5), 87.4 (C-2), 126.2-128.9 and 133.9 (ArC), 173.3 (CO), 195.1 (CO); *m*/*z* [CI, NH₃]; 308 (M+H⁺, 98%), 325 (M+NH₄⁺, 2%); n.O.e (500MHz, CDCl₃); irradiation at 2.5 (2.80, 23.1%; 4.20, 9%; 4.95, 7.5%); 2.80 (2.50, 14%; 3.85, 5.7%; 4.20, 3.7%); 3.85 (4.40, 24.4%); 4.20 (2.50, 8.5%; 4.40, 5.3%); 4.40 (3.85, 26%; 4.20, 2%); 4.95 (2.50, 6.3%); 6.30 gave no enhancement.

Exo: $\delta_{\rm H}$ (500MHz, CDCl₃); 2.15-2.20 (1H, m, H-6), 2.96-3.01 (1H, m, H-6), 3.62 (1H, dd, H-4, J 8.0, 8.0), 4.30 (1H, dd, H-4, J 6.4, 8.3), 4.44-4.49 (1H, m, H-5), 4.73 (1H, dd, H-7, J 9.0, 2.3), 6.35 (1H, s, H-2), 7.28-7.75 (8H, m, Ar<u>H</u>), 8.22 (2H, t, *o*-Ar<u>H</u>); $\delta_{\rm C}$ (50.3MHz, CDCl₃); 28.4 (C-6), 56.0 (C-7), 58.3 (C-5), 87.5 (C-2), 127.8-130.8 and 134.1 (ArC), 172.6 (CO), 194.2 (CO).

Enol: δ_{H} (500MHz, CDCl₃); 2.75-2.85 (1H, m, H-6), 3.28-3.38 (2H, m, H-6 and H-4), 4.19-4.20 (1H, m, H-4), 4.21-4.25 (1H, m, H-5), 6.41 (1H, s, H-2).

(-)-(2R, 5S, 7R)-7-Benzyl-7-benzoyl-8-oxo-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octane 3r

To a stirred suspension of pre-washed sodium hydride (13mg, 0.33mmol) in dry THF (10ml) at 0°C under N₂, was added a solution of **2c** (85mg, 0.28mmol) in dry THF (5ml). After stirring at RT for 30min, benzyl bromide (37µl, 0.31mmol) was added. The reaction mixture was brought to reflux, and after 20h was concentrated *in vacuo* to give the crude product as a mixture of diastereomers in the ratio 5:1. Purification by column chromatography (6:1 light petroleum/ EtOAc) gave two diastereomers (69mg, 63%), but only the major isomer **3r** could be isolated as a single diastereomer (39mg, 35%); Rf 0.17 (6:1 light petroleum/EtOAc); [α]p -9.8 (*c* 1.5, CHCl₃); υ_{max} (CHCl₃) 1704(s), 1680(s) cm⁻¹; δ_{H} (200MHz, CDCl₃) 2.46 (1H, dd, *J* 14.0, 8.0Hz, H-6_{exo}-), 2.64 (1H, dd, *J* 14.0, 6.5Hz, H-6_{endo}-), 3.13-3.23 (1H, m, H-5), 3.29 (1H, d, *J* 13.7Hz, CHHPh), 3.48-3.58 (2H, m, CHHPh, H-4_{endo}-), 3.95-4.04 (1H, m, H-4_{exo}-), 6.44 (1H, s, H-2), 7.11-7.53 (13H, m, ArH), 7.93 (2H, m, o-ArH); δ_{C} (50.3MHz, CDCl₃) 34.3 (C-6), 40.9 (CH2Ph), 56.2 (C-5), 66.3 (C-7), 71.6 (C-4), 87.3 (C-2), 126.1-137.3 (ArC), 175.2, 198.2 (2xCO); n.O.e experiment (500MHz, CDCl₃) 2.46 (2.64, 27%; 3.20, 13%; 7.21, 8.2%); 2.64 (2.46, 23%; 3.53, 5.6%; 7.93, 5.6%); 4.0 (3.20, 9.2%; 3.53, 23.6%); 6.44 (7.20, 2.9%; 7.93, 3.2%); *m/z* [CI, NH₃]; 398 (M+H⁺, 100%); Exact mass 398.1755. C₂₆H₂₄NO₃ (M+H⁺) requires 398.1756.

(2R, 5S,7R)-7-Methyl-7-benzoyl-8-oxo-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octane 3s,4s

To a stirred suspension of pre-washed sodium hydride (13mg, 0.33mmol) in dry THF (10ml) at 0°C under N₂ was added a solution of lactam 2c (85mg, 0.28mmol) in dry THF (5ml). After stirring at RT for 30min, methyl iodide (19 μ l, 0.31mmol) was added. The reaction mixture was brought to reflux, and after 15h was concentrated *in vacuo* to give the crude product as a brown oil. Purification by column chromatography (4:1 light petroleum/ EtOAc) gave an inseparable mixture of diastereomers (42mg, 47%) in the ratio 2:1

(colourless oil). $R_f 0.35$ (4:1 light petroleum/EtOAc); v_{max} (CHCl₃) 1707(s), 1676(s) cm⁻¹; δ_H (200MHz, CDCl₃) 1.68 (3H, s, C<u>H</u>₃), 1.74 (3H, s, C<u>H</u>₃), 1.77-1.79 (1H, m, H-6), 2.25 (1H, dd, *J* 13.3, 7.2Hz, H-6), 2.80 (1H, dd, *J* 13.4, 5.8Hz, H-6), 3.32 (1H, dd, *J* 12.4, 5.8Hz, H-6), 3.63-3.66, 3.72-3.76, 4.19-4.32 (6H, 2xH-4, 2xH-5), 6.40 (1H, s, H-2), 6.49 (1H, s, H-2) 7.29-7.53 (16H, m, Ar<u>H</u>), 8.19-8.20 (2H, m, *o*-Ar<u>H</u>), 8.21-8.22 (2H, m, *o*-Ar<u>H</u>); δ_C (125.7MHz, CDCl₃) 22.4, 22.9, 37.4, 40.5 (2xC-6, 2x<u>C</u>H₃), 56.1, 56.3 (2xC-5), 60.8, 63.0 (2xC-7), 71.7, 71.8 (2xC-4), 87.1, 87.4 (2xC-2), 125.9-132.4 (Ar<u>C</u>H), 135.2, 135.6, 137.5, 137.9 (Ar<u>C</u>), 175.8, 177.5 (2x<u>C</u>O), 197.1, 198.6 (2x<u>C</u>O); *m*/*z* [CI, NH₃] 339 (M+NH₄⁺, 15%), 322 (M+H⁺, 100%).

(-)-(2S, 4R)-4-Benzyl-2-hydroxymethyl-5-oxo-pyrrolidine 7a

To a stirred solution of lactam **3b** (161mg, 0.55mmol) in CH₂Cl₂ (30ml), was added trifluoroacetic acid (0.46ml). After stirring at RT for 10h, solvent was removed *in vacuo* to give the crude product which was purified by column chromatography (EtOAc) to yield the product as a pale yellow oil (97mg, 86%). Rf 0.3 (10:1 EtOAc/MeOH); $[\alpha]_D$ -4.5 (*c* 0.8, CHCl₃); υ_{max} (CHCl₃) 3431(s), 3500-3100(br), 2933(m), 1695(s) cm⁻¹; δ_H (500MHz, CDCl₃) 1.85-2.00 (2H, m, H-3), 2.68 (1H, dd, *J* 13.5, 9.5Hz, PhC<u>H(H)</u>), 2.79-2.85 (1H, m, H-4), 3.12 (1H, s, br, O<u>H</u>), 3.19 (1H, dd, *J* 13.5, 4Hz, PhCH(<u>H</u>)), 3.44-3.47 (1H, m, C<u>H(H)O), 3.57-3.65 (2H, m, H-2 & CH(<u>H</u>)O), 6.78 (1H, s, br, N<u>H</u>), 7.21-7.35 (5H, m, Ar<u>H</u>); δ_C (125.8MHz, CDCl₃) 28.53 (C-3), 37.08 (Ph<u>C</u>H₂), 42.38 (C-4), 54.06 (C-2), 65.09 (<u>C</u>H₂O), 126.4, 128.5 & 129.0 (Ar<u>C</u>H), 139.0 (Ar<u>C</u>), 179.0 (CO); *m*/*z* [CI, NH₃] 223 (M+NH₄⁺, 2), 206 (M+H⁺, 100%); Exact mass 206.1177. C₁₂H₁₆NO₂ (M+H⁺) requires 206.1181.</u>

(+)-(2S,4S)-2-Hydroxymethyl-4 (p-nitrophenylmethyl)-5-oxo-pyrrolidine 7b

Lactam 4d (138mg, 0.400mmol) in a mixed solvent (MeOH:H₂O:TFA = 10ml:10ml:5ml) was stirred for 3h at r.t. and then for 2h at 50°C. The mixture was concentrated *in vacuo*, ether (30 ml) added, stored at -4°C overnight, and the product filtered by washing with cold ether (2 x 10 ml) to give the compound 7b as a colourless solid (80mg, 78%). M.p. = 176-177 °C; Found: C, 55.8; H, 5.29; N, 10.6. C₁₂H₁₄N₂O₄ requires C, 57.6; H, 5.60; N, 11.2; $[\alpha]_D$ +168.6 (*c* 0.07, CHCl₃); υ_{max} (KBr) 3365(s), 2915(m), 1688(s), 1650(s), 1605(m), 1510(s), 1343(s) cm⁻¹; λ_{max} (CHCl₃) 250 nm (log ε = 3.3); δ_H (200 MHz, CD₃OD) 1.4-1.6 (1H, m, H-3), 2.0 (1H, m, H-3'), 2.7 - 2.9 (2H, m, CH₂Ar), 3.2 - 3.7 (4H, m, HOCH₂, H-2 and H-4), 7.48(2H, d, ArH *m*- to NO₂), 8.15 (2H, d, ArH *o*- to NO₂); δ_C (50 MHz, D₂O) 31.5 (C-3), 36.7 (PhCH₂), 43.2 (C-4), 57.0 (C-2), 130.9, 124.6 (ArC); *m*/z [CI, NH₃] 251 (M+ H⁺, 60 %), 221 (100), 106 (35).

(-)-(2S, 4S)-4-Benzyl-4-ethoxycarbonyl-2-hydroxymethyl-5-oxo-pyrrolidine 7c

To a stirred solution of lactam **3m** (61mg, 0.17mmol) in CH₂Cl₂ (20ml), was added trifluoroacetic acid (0.14ml). After stirring at RT for 6h, solvent was removed *in vacuo* to give the crude product as a yellow oil. Purification by flash column chromatography (1:4 light petroleum/EtOAc) and recrystallisation, gave the product as a white crystalline solid (35mg, 76%). Rf 0.13 (4:1 EtOAc/light petroleum); M.p. 128-131°C; $[\alpha]_D$ -30.3 (*c* 0.30, CHCl₃); Found: C, 64.88; H, 6.88; N, 4.82%. C₁₅H₁₉NO₄ requires C, 64.95; H, 6.92; N, 5.05%; υ_{max} (CHCl₃) 3427(m), 3300(br, m), 1740(s), 1698(s), 1455(m), 1260(m), 1095(m), 1032(m) cm⁻¹; δ_H (500MHz, CDCl₃) 1.28 (3H, t, *J* 7.1Hz, CH₂CH₃), 2.24-2.28 (2H, m, C(3)-H₂), 3.01-3.06 (1H, m, H-2), 3.15 (1H, d, *J* 14.0Hz, CHHPh), 3.29 (1H, d, *J* 14.0Hz, CHHPh), 3.41 (1H, dd, *J* 11.0, 7.0Hz,

CHHOH), 3.54 (1H, dd, J 11.0, 3.1Hz, CHHOH), 4.22 (2H, q, J 7.1Hz, CH₂CH₃), 7.21-7.28 (6H, m, ArH, NH); δ_{C} (125.7MHz, CDCl₃) 13.9 (CH₃), 30.8 (C-3), 39.5 (CH₂Ph), 53.5, 56.8 (C-4), (C-2), 61.9 (CH₂CH₃), 65.5 (CH₂OH), 127.0, 128.4, 130.1, 135.8 (ArC), 171.9, 175.7 (2xCO); *m*/*z* [CI, NH₃] 295 (M+NH₄+, 5%), 278 (M+H⁺, 100%); Exact mass 278.1388. C₁₅H₂₀NO₄ (M+H⁺) requires 278.1392.

(+)-(2S, 4R)-4-phenylmethyl-4-ethoxycarbonyl-2-hydroxymethyl-5-oxo-pyrrolidine 7d

To a stirred solution of lactam **4m** (52mg, 0.14mmol) in CH₂Cl₂ (15ml), was added trifluoroacetic acid (0.12ml). After stirring at RT for 12h, solvent was removed *in vacuo* to give the crude product as a yellow oil. Purification by column chromatography (EtOAc) yielded the product as a pale yellow oil (24mg, 61%). R_f 0.25 (EtOAc); $[\alpha]_D$ +78.7 (*c* 0.6, CHCl₃); v_{max} (CHCl₃) 3428(w), 3300(br, w), 2930(m), 1735(s), 1708(s) cm⁻¹; δ_H (500MHz, CDCl₃) 1.28 (3H, t, *J* 7.1Hz, CH₃), 1.73 (1H, dd, *J* 13.3, 8.0Hz, C(3)-H), 2.46 (1H, dd, *J* 13.3, 7.3Hz, C(3)-H) 2.99 (1H, dd, *J* 11.3, 7.7Hz, CHHOH), 3.20 (1H, d, *J* 14.0Hz, CHHPh), 3.26 (1H, d, *J* 14.0 Hz, CHHPh), 3.50 (1H, dd, *J* 11.3, 3.2Hz, CHHOH), 3.83 (1H, m, H-2), 4.21 (2H, q, *J* 7.1Hz, CH₂CH₃), 7.12 (1H, s, NH), 7.17-7.28 (5H, m, ArH); δ_C (125.7MHz, CDCl₃) 14.0 (CH₃), 31.0 (C-3), 38.9, 53.9, 57.2 (CH₂Ph, C(4), C(2)), 61.9 (CH₂CH₃), 65.6 (CH₂OH), 126.9, 128.4, 130.1, 136.4 (ArC), 171.0, 174.8 (2xCO); *m*/z [CI, NH₃] 295 (M+NH₄⁺, 10%), 278 (M+H⁺, 100%).

(+)-(2S, 4R)-4-(2-methylprop-3-enyl)-4-ethoxycarbonyl-2-hydroxymethyl-5-oxo-pyrrolidine 7e

To a stirred solution of lactam **3p** (35mg, 0.10mmol) in CH₂Cl₂ (10ml), was added trifluoroacetic acid (0.1ml). After stirring at RT for 8h, solvent was removed *in vacuo* to give the crude product which was purified by column chromatography (EtOAc) to give the product as a yellow oil (6mg, 25%). R_f 0.13 (EtOAc); $[\alpha]_D$ +8.3 (*c* 0.7, CHCl₃); ν_{max} (CHCl₃) 3427(m), 3300(m, br), 1740(s), 1703(s), 1448(w), 1420(w) cm⁻¹; δ_H (500MHz, CDCl₃) 1.28 (3H, t, *J* 7.1Hz, CH₃), 1.71 (3H, s, CH₃C=), 2.31 (1H, dd, *J* 13.8, 8.5Hz, C(3)-H), 2.42 (1H, dd, *J* 13.7, 4.7Hz, C(3)-H), 2.51 (1H, d, *J* 14.6Hz, =CCHH), 2.85 (1H, d, *J* 14.6Hz, =CCHH), 2.89-3.06 (1H, br, s, CH₂OH), 3.58 (1H, dd, *J* 10, 7.2Hz, CHHOH), 3.67-3.73 (2H, m, CHHOH and H-2), 4.19 (2H, q, *J* 7.1Hz, CH₂CH₃), 4.73 (1H, s, =CHH), 4.89 (1H, s, =CHH), 7.27 (1H, s, NH); δ_C (125.7MHz, CDCl₃) 13.9 (CH₃), 23.2 (CH₃C=), 29.7, 30.7 (C-3 and =CCH₂), 42.3 (C-2), 53.5 (C-4), 62.1 (CH₂CH₃), 65.8 (CH₂OH), 115.0 (=CH₂), 141.0 (CH₃C=), 172.0, 175.8 (2x₂O); *m*/z [CI, NH₃] 242 (M+H⁺, 100%); Exact mass 242.1396. C₁₂H₂₀NO₄ (M+H⁺) requires 242.1392.

(2S, 4R)-4-(Cyclohex-3-enyl)-4-ethoxycarbonyl-2-hydroxymethyl-5-oxo-pyrrolidine 7f

To a stirred solution of lactam 3,4q (95mg, 0.27mmol) in CH₂Cl₂ (10ml), was added trifluoroacetic acid (0.24ml). After stirring at RT for 10h, solvent was removed in vacuo to give the crude product. Purification by column chromatography (1:1 light petroleum/EtOAc) gave the product as a mixture of diastereomers (26mg, 36%). R_f 0.19 (EtOAc); v_{max} (CHCl₃) 3424(m), 3300(br, m), 1739(s), 1703(s), 1447(w), 1419(w) cm⁻¹; $\delta_{\rm H}$ (200MHz, CDCl₃) 1.09-1.18 (2H, m, ring H), 1.23-1.31 (6H, m, 2xCH₃), 1.54-1.63 (2H, m, ring H), 1.71-1.82 (4H, m, ring H), 1.95-2.05 (4H, m, ring H), 2.13-2.41 (4H, m, 2xH-3), 3.12-3.28 (2H, m, ring H), 3.53-3.81 (6H, m, 2xH-2, 2xCH₂OH), 4.16-4.24 (4H, m, 2xCH₂CH₃), 4.93 (2H, br, s, OH), 5.28-5.35 (2H, m, CH=CH), 5.80-5.89 (2H, m, CH=CH); $\delta_{\rm C}$ (125.7MHz, CDCl₃) 14.0 (2xCH₃), 21.7, 24.2, 24.9, 25.0, 27.1, 27.7, 29.6 (2xC-3 and ring C), 39.9, 40.3 (ring C), 53.6, 54.1, 59.4 (2xC-4, 2xC-2, 62.1 (2xCH₂CH₃), 63.5, 63.7 (CH₂OH), 125.9, 126.1 (CH=CH) 131.1, 131.5 (CH=CH), 171.1 (2xCO), 171.6, 120.00

175.1 (2x<u>C</u>O); *m/z* [CI, NH₃] 268 (M+H⁺, 100%); Exact mass 268.1556. C₁₄H₂₂NO₄ (M+H⁺) requires 268.1549.

(2R, 5S, 7S)-7-Phenylmethyl-7-carboxyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0]octan-8-one 8a

A solution of lactam **3m** (135mg, 0.37mmol) in acetonitrile (5ml) and 1N NaOH (1ml) was stirred at RT for 16h, and then poured into H₂O/EtOAc (20ml, 1:1). The aqueous layer was acidified with 2M HCl to produce a white precipitate which was extracted with EtOAc (2x20ml). The organic solution was dried (MgSO₄), and the solvent was removed *in vacuo* to give a white solid (120mg, 96%). $[\alpha]_D$ + 35.9 (*c* 1.5, CHCl₃); υ_{max} (CHCl₃ sol) 3300-2600(br), 1762(s), 1712(s), 1672(s), 1456(s) and 1397(s) cm⁻¹; δ_H (200MHz, CDCl₃) 2.45-2.65 (2H, m, H-6), 3.15-3.30 (2H, m, CH₂Ph), 3.30-3.55 (2H, m, H-4 and H-5), 4.10-4.20 (1H, m, H-4), 6.22 (1H, s, H-2), 7.15-7.45 (10H, m, ArH), 8.50-9.00 (1H, s, br, CO₂H); δ_C (125.8MHz, CDCl₃) 30.90 (C-7), 32.47 (C-6), 42.87 (CH₂Ph), 55.70 (C-5), 72.09 (C-4), 86.66 (C-2), 126.1, 127.7, 128.4, 128.5, 129.0 and 129.9 (ArCH), 134.3 and 137.0 (ArC), 175.5 (CO); *m/z* [CI, NH₃] 394 ((M+H-CO₂)⁺, 100%).

(2R, 5S, 7S)-7-Carboxyl-7-p-nitrophenylmethyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0] octan-8-one 8b

A solution of lactam **3n**, acetonitrile (3ml) and 1N NaOH (1ml) was stirred at RT for 16h, and then poured into H₂O/EtOAc (20ml, 1:1). The aqueous layer was acidified with 2M HCl to produce a white precipitate which was extracted with EtOAc (2x20ml). The organic solution was dried (MgSO₄), and the solvent was removed *in vacuo* to give a white powdery solid (55mg, 71%). [α]_D +70.1 (*c* 1.1, CHCl₃); υ_{max} (CHCl₃) 3200-2600(br), 1764(s), 1708(s), 1674(s), 1520(s) and 1349(s) cm⁻¹; δ_{H} (200MHz, CDCl₃) 2.40-2.65 (2H, m, H-6), 3.15-3.60 (4H, m, H-4, H-5 and CH₂C₆H₄NO₂), 4.15-4.25 (1H, m, H-4), 6.22 (1H, s, H-2), 7.15-7.40 (7H, m, ArH), 7.96 (2H, d, *J* 7Hz, *o*-NO₂ArH), 8.90-9.10 (1H, s, br, CO₂H); δ_{C} (125.8MHz, CDCl₃) 29.50 (C-7), 32.14 (C-6), 41.88 (CH₂C₆H₄NO₂), 55.52 (C-5), 71.99 (C-4), 86.51 (C-2), 123.6, 125.7, 128.6, 129.4 and 130.8 (ArCH), 137.0 and 147.4 (ArC), 172.3 (CO); *m/z* [CI, NH₃] 339 ((M+H-CO₂)⁺, 100%), 106 (29).

(2R, 5S, 7R)-1-aza-3-oxa-2-phenyl-7-(phenyl methyl)bicyclo[3.3.0]octan-8-one 3b

A sample of solid lactam **8a** (73mg, 0.22mmol) was heated at 150°C under reduced pressure (0.5mmHg) for 2h. The desired product **3b** sublimed from the reaction mixture (44mg, 70%) to give material with identical spectroscopic characteristics as those reported above.

(2R,5S,7R)-1-aza-3-oxa-2-phenyl-7-(4-nitrophenylmethyl)bicyclo[3.3.0]octan-8-one 3d

A sample of lactam **8b** (62mg, 0.16mmol) was heated at 150°C under reduced pressure (0.5mmHg) for 2h. The desired product **3d,4d** sublimed from the reaction mixture (32mg, 58%) to give material with identical spectroscopic characteristics as those reported above.

(2R, 5S, 7RS)-7-Carboxyl-7-methyl-2-phenyl-1-aza-3-oxa-bicyclo[3.3.0] octan-8-one 8c

A mixture of lactams **3k,4k** (ratio 1:3.5) (44mg, 0.15mmol), acetonitrile (5ml) and 1N NaOH (1ml) was stirred at RT for 16h, and then poured into H₂O/EtOAc (20ml, 1:1). The aqueous layer was acidified with 2M HCl to produce a white precipitate which was extracted with EtOAc (2x20ml). The organic solution was dried (MgSO₄), and the solvent was removed *in vacuo* to give a white solid (40mg, 95%), which was shown by ¹H NMR to be a mixture of product diastereomers **8c** (ratio 1:3.5). $[\alpha]_D$ +35.9 (*c* 1.5, CHCl₃); v_{max} (CHCl₃) 3300-2600(br), 1708(br) cm⁻¹; δ_H (200MHz, CDCl₃) 1.55 (3H, s, C<u>H₃, major</u>), 1.65 (3H, s, C<u>H₃, minor</u>), 1.77-1.88 (1H, m, H-6, major), 2.28-2.40 (1H, m, H-6, minor), 2.50-2.62 (1H, m, H-6, minor), 2.90-3.00 (1H, m, H-6, major), 3.50-3.75 (2H, m, H-4), 4.15-4.35 (4H, m, H-4 and H-5), 6.32 (1H, s, H-2, minor), 6.36 (1H, s, H-2, major), 7.30-7.50 (10H, m, 2xArH); δ_C (50.3MHz, CDCl₃) 20.60 (<u>C</u>H₃), 38.12 (C-6), 55.84 (C-7), 56.21 (C-5), 72.07 (C-4), 87.14 (C-2), 126.1, 126.3, 128.7 and 129.0 (ArCH), 138.9 (ArC), 174.3 (CO); m/z [CI, NH3] 279 (M+NH4+, 2%), 262 (M+H+, 4), 218 (100).

(+)-(2R,5S,7R)-1-aza-3-oxa-2-phenyl-7-methylbicyclo[3.3.0]octan-8-one 3i

A mixture of diastereomers of lactam 8c (ratio 1:3.5) (40mg, 0.15mmol) was heated at 150°C under reduced pressure (0.5mmHg) for 1h. The desired product 3i sublimed from the reaction mixture (27mg, 81%) to give material with identical spectroscopic characteristics as those reported above.

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Although the X-ray structural analysis confirmed the relative stereochemistry assigned by NMR spectroscopy, it also 22 indicated that 3n was racemic, an entirely unexpected result given the non-zero optical rotation of the bulk sample. HPLC analysis indeed confirmed the racemic nature of the crystalline sample of 3n, but HPLC examination of the mother liquor demonstrated >99% optical purity. It was therefore concluded that the racemic crystals were formed by preferential crystallisation of the major enantiomer with the small amount of opposite enantiomer present, which arose from the starting (S)-pyroglutamic acid which was of 97% e.e.