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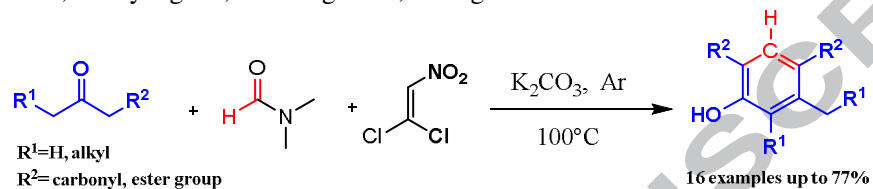


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Graphical Abstract

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Synthesis of Substituted Phenols via 1,1-Dichloro-2-Nitroethene Promoted Condensation of Carbonyl compounds with DMF

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ABSTRACT

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A novel and efficient metal-free synthesis of phenolic compounds was developed via coupling cyclization of DMF as a carbon source with active methylene compounds such as 1,3-dicarbonyl compounds with the assistance of 1,1-dichloro-2-nitroethene. The method we used was different from other traditional phenol functionalization methods. In the reaction, the 1,1-dichloro-2-nitroethene as a promoter which unlike our previous research on 1,1-dichloro-2-nitroethene. The method allows the convenient construction of phenolic compounds under mild reaction conditions and moderate yields.

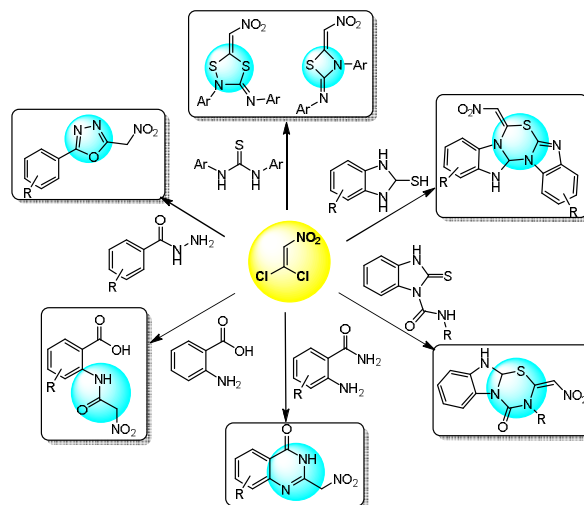
Introduction

Phenol is originally found in many natural products in the form of monomers or polymers and is a class of compounds in which one or more aromatic rings are bonded to hydroxyl groups.¹⁻³ The hydroxyl groups on the benzene ring are liable to lose hydrogen electrons.⁴⁻⁶ Therefore, the phenolic compounds act as good electron donors and can be used as fundamental raw materials in Ullman coupling, Suzuki coupling, and other organic reactions for the synthesis of various functional molecules.⁷⁻¹³ Phenol and its derivatives are plentiful in pharmaceutical properties such as anti-infective, antiviral, anti-bacterial, anti-allergy, anti-bleeding and enhanced immunity.¹⁴⁻¹⁹ Catalytic hydroxylation of benzene is the most commonly strategy for the synthesis of phenol. These strategies included oxidative decomposition of cumene and catalysis of toluene with benzoic acid etc.²⁰⁻²² Although these progresses, directly building a phenol structure from alkanes is still a huge challenge.

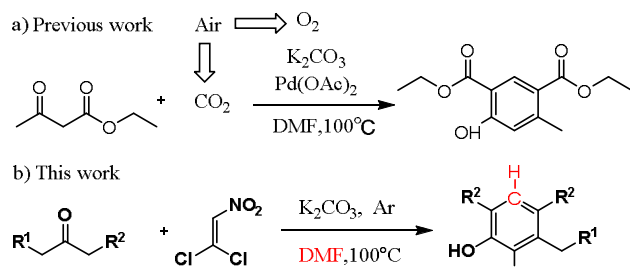
1,1-dichloro-2-nitroethene (DCNE) as an important cyclizing molecule has become a research hot spot within the chemical community in the past few years due to its much higher reactivity in organic and pesticide chemistry.²³⁻²⁷ In the preliminary study of our group, DCNE was proved that it reacted with thiourea analogues,²⁸ benzohydrazide analogues,²⁹ 2-aminobenzoic acid analogues³⁰ and 2-aminobenzamide³¹ respectively to construct corresponding heterocyclic compounds (Scheme 1). DMF, a cheap and popular polar solvent, has been widely used in organic synthesis. Moreover, DMF can be used as a useful precursor to afford Me, CHO synthons.^{32,33} In previous reports, Yingming Pan

found a protocol for the synthesis of phenolic compounds by palladium-catalyzed reaction of carbonyl compounds with CO₂ and guessed carbon dioxide may be a carbon source (Scheme 2, a).³⁴ We tried to achieve a one-pot synthesis of phenolic compounds via DCNE promoted condensation of 1,3-dicarbonyl compounds with DMF (Scheme 2, b). The reaction could be smoothly performed to afford the target products in good to excellent yields without any metal catalyst.

Scheme 1. Our recent exploration on 1,1-dichloro-2-nitroethene chemistry.



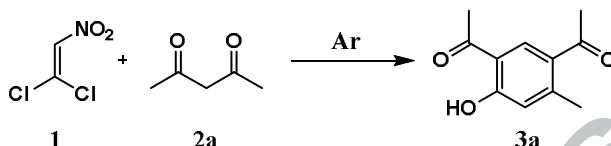
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Scheme 2. Carbonyl compounds are cyclized into phenols.

Results and discussion

Table 1. Optimization of the Reaction Conditions ^a



Entry	Catalyst	Base	Solvent	Temp(°C)	Yield(%) ^b
1	Pd(OAc) ₂	K ₂ CO ₃	DMF	100	81
2	PdCl ₂	K ₂ CO ₃	DMF	100	Trace
3	Pd(PPh ₃) ₄	K ₂ CO ₃	DMF	100	Trace
4	Fe(OAc) ₂	K ₂ CO ₃	DMF	100	0
5	Cu(OAc) ₂	K ₂ CO ₃	DMF	100	0
6	AgOAc	K ₂ CO ₃	DMF	100	0
7 ^c	-	K ₂ CO ₃	DMF	100	77
8	-	Na ₂ CO ₃	DMF	100	12
9	-	CS ₂ CO ₃	DMF	100	53
10	-	KOH	DMF	100	0
11	-	CH ₃ ONa	DMF	100	0
12 ^d	-	-	DMF	100	52
13	-	K ₂ CO ₃	DMF	80	Trace
14	-	K ₂ CO ₃	DMF	90	70
15	-	K ₂ CO ₃	DMF	110	76
16	-	K ₂ CO ₃	DMF	120	67
17	-	K ₂ CO ₃	DMSO	100	64
18	-	K ₂ CO ₃	DMA	100	34
19	-	K ₂ CO ₃	H ₂ O	100	0
20	-	K ₂ CO ₃	CH ₃ CN	100	0

^a Reaction conditions: 2a (2mmol), 1 (1.2mmol), base (0.5 equiv.), solvent (4 mL), 100°C, 10 h. ^b Yield determined by GC analysis and based on 2a. ^c In the absence of catalyst. ^d In the absence of base.

Under the optimized conditions, the scope of carbonyl compounds (**2a**) was explored (table 2). Acetylacetone, 2,4-hexanedione, benzoylacetone and 1-p-toylbutane-1,3-dione reacted smoothly affording the target products (**3a,3b,3l,3m**). The DMF successfully coupled with acetoacetates featuring a range of different substituents (**3c-3k**). To our delight, methyl 3-oxopentanoate and ethyl 3-oxohexanoate could be readily introduced into the reaction, providing the corresponding phenolic compounds **3n**, **3o** and **3p**, but the yields were lower. The structure of the phenolic product **3a** was confirmed by X-ray crystallographic analysis (Figure 1).

Figure 1. X-ray crystal structure of **3a**.

We began our investigation by using the cyclization of acetylacetone (**2a**) with DCNE (**1**) as the model reaction to optimize the reaction conditions. Initially, different metal catalysts were tested (Table 1, entries 1-6). It was found that Pd(OAc)₂ exhibited the best result. For further investigation, we luckily found the reaction could proceed satisfied without any metal catalysts. A series of bases were screened, and K₂CO₃ showed good yield and the reaction could also proceed without bases (Table 1, entries 7-12). Further examination of the reaction temperature indicated that the temperature at 100°C was the best choice for this reaction (Table 1, entries 13-16). The effect of different solvents such as dimethyl sulfoxide, dimethyl acetamide, water, and acetonitrile was proved to be inferior to dimethyl formamide (Table 1, entries 17- 20).

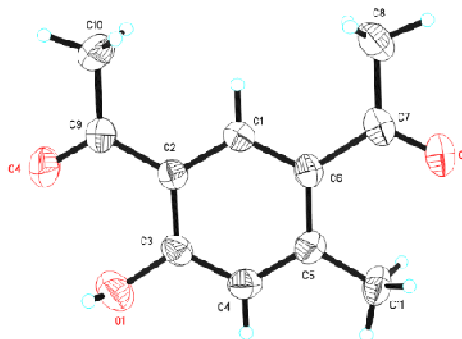
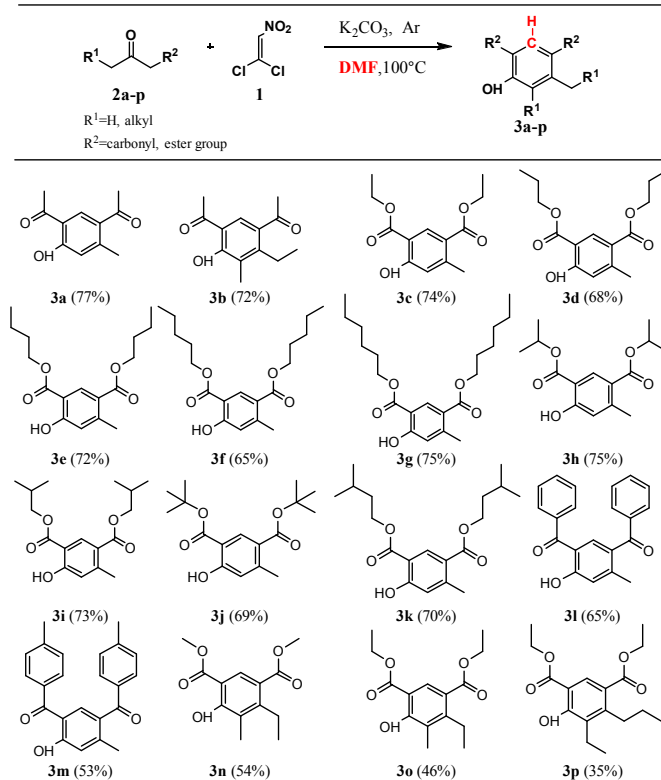
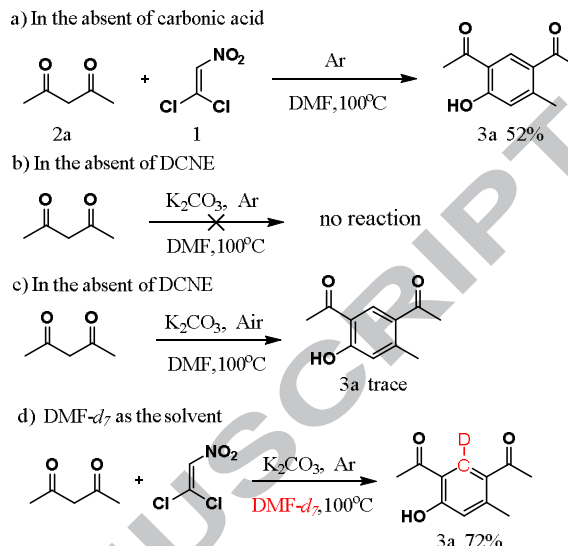


Table 2. Substrate-scope exploration for the formation of phenolic products.



A few experiments have been performed to gain insight into the mechanism of this transformation (scheme 4). First, treatment of the acetylacetone with DCNE in the absent of potassium carbonate by heating at $100^\circ C$ in DMF afforded the **3a** in 52% yield (scheme 4. a). This indicated that the carbon source was not derived from potassium carbonate. The desired product was not observed when the reaction was processed under the Ar without DCNE (scheme 4. b). Third, the reaction in the air only detected trace amounts of the target product in the absence of DCNE (scheme 4. c), supporting the importance of the DCNE in the cyclization process. When DMF was the solvent, the reaction works best, we suspected that DMF promoted and participated in the reaction. So, we chose $DMF-d_7$ as solvent for the reaction verification. The **3a** was obtained in 72% yield when $DMF-d_7$ was used as the solvent under the standard conditions (Scheme 4. d). This means that C2 in the benzene ring besides the target product comes

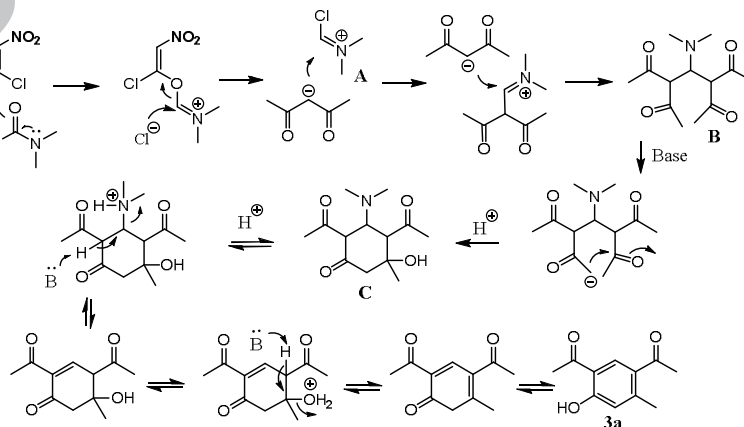
from the DMF. This assumption was confirmed by 1H NMR (Figure 1), 1H NMR of **3a** obtained with $DMF-d_7$ as solvent show in Figure 1-a, 1H NMR of **3a** obtained with DMF as solvent show in Figure 1-b.



Scheme 4. Studies toward the Mechanism of the Reaction.

On the basis of the above experimental observations, plausible reaction mechanism has been depicted in Scheme 5. First, DCNE reacted with DMF to result in intermediate **A**, then which reacted with two molecules of 1,3-dicarbonyl carbanion to form intermediate **B**. **B** underwent cyclization under the action of a base to give intermediate **C**. Then the aromatize of **C** occurred giving the final product **3a**.

In summary, we developed an efficient, metal-free catalysts method to prepare phenol derivatives by the cyclization reaction under mild conditions. Various 1,3-dicarbonyl compounds were able to perform the cascade reaction smoothly to give the desired products in satisfactory yields. It is noteworthy that DMF serves not only as an efficient solvent, but also as a source of one-carbon synthon to benzene ring under the cascade catalysis of 1,1-dichloro-2-nitroethene. The present protocol provides a concise and environmentally benign route to afford highly functionalized phenol derivatives and has potential to be used for the synthesis of natural products and biologically active molecules.



Scheme 5. Plausible Catalytic Mechanism

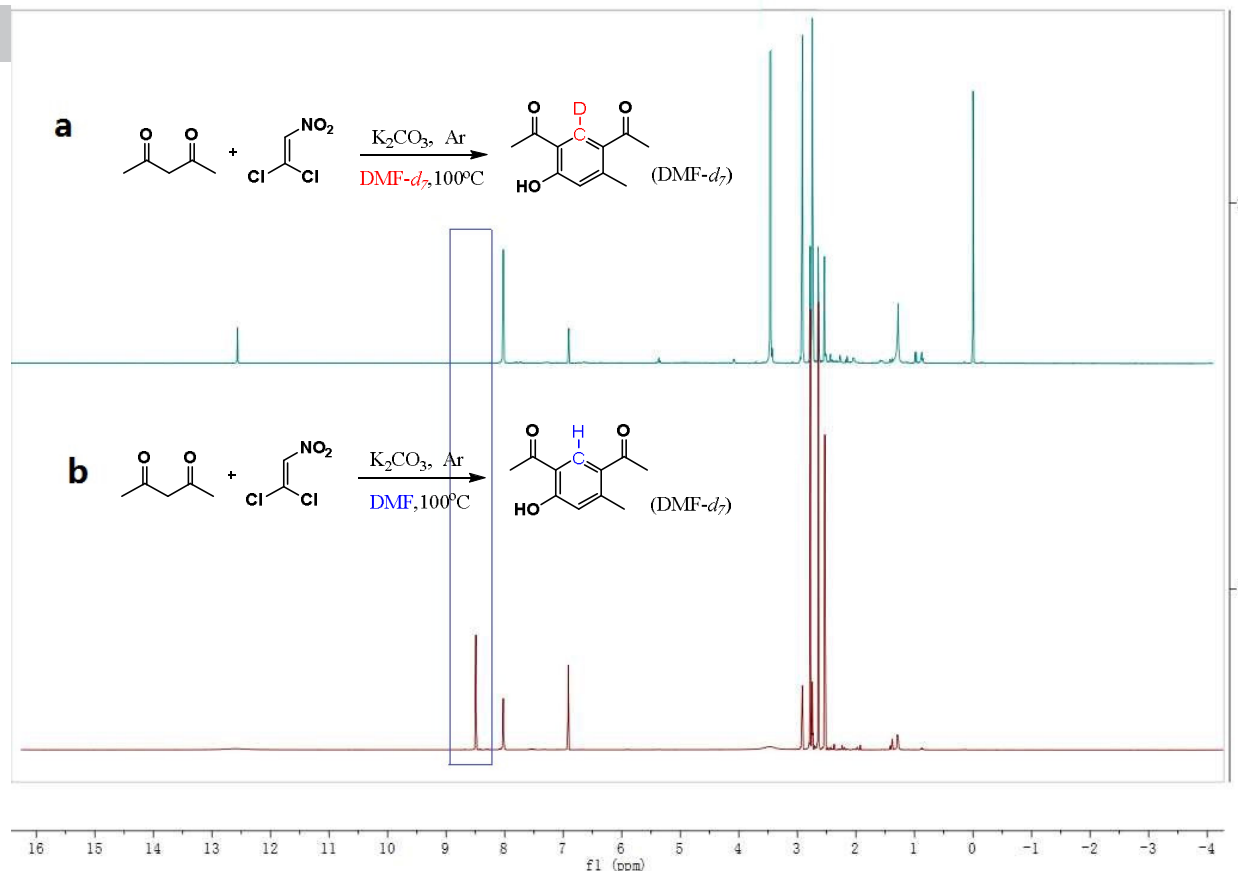


Fig 1. Comparison of ^1H NMR of **3a** in different solvents.

Acknowledgments

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- A new synthetic method of polysubstituted phenols is developed.
- The reaction is produced in eco-friendly free-metal catalytic system.
- The synthetic method is simple and efficient.