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Dipyrrinato-Iridium(III) Complexes for an Application in Photodynamic Therapy and Antimicrobial Photodynamic Inactivation

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Dedicated to Prof. Peter J. Sadler

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Abstract: The generation of bio-targetable photosensitisers is of utmost importance to the emerging field of photodynamic therapy and antimicrobial (photo-)therapy. A synthetic strategy is presented in which chelating dipyrrin moieties are used to enhance the known photoactivity of iridium(III) metal complexes. Formed complexes can thus be functionalized in a facile manner with a range of targeting groups at their chemically active reaction sites. Dipyrrins with N- and O-substituents afforded (dipy)iridium(III) complexes via complexation with the respective Cp*-iridium(III) and ppy-iridium(III) precursors (dipy = dipyrrinato, Cp^* = pentamethyl- η^5 -cyclopentadienyl, ppy = 2phenylpyridyl). Similarly, electron-deficient Ir^{III}(dipy)(ppy)₂ complexes could be used for post- functionalization, forming alkenyl, alkynyl and glyco-appended iridium(III) complexes. The phototoxic activity of these complexes has been assessed in cellular and bacterial assays with and without light; the IrII(CI)(Cp*)(dipy) complexes and the glycosubstituted iridium(III) complexes showing particular promise as photomedicine candidates. Representative crystal structures of the complexes are also presented.

Introduction

Metal complexes are widely used as catalysts in diverse chemical reactions, e.g., cross-coupling reactions,^[1] oxidation reactions,^[2] alkylation,^[3] olefination or in olefin metathesis.^[4] Beside the catalytic application, metal complexes are currently intensively investigated as therapeutically active compounds. Specifically, metal complexes are well established for chemotherapeutic treatments^[5], as contrast agents in medical

imaging^[6] or as antibacterial agents.^[7] In this context, iridium(III) complexes have also found interest as chemotherapeutic agents.^[8] It has been shown that iridium(III) complexes can interact with specific cellular targets, e.g. mitochondria, DNA, proteins, and lysosome structures.^[9] Currently, metal complexes are also showing increasing promise for an application as photosensitizers in photodynamic therapy (PDT).^[10] PDT is a medical treatment of cancer and other non-malignant diseases and can serve as an alternative to classical treatments, such as surgery, chemotherapy or radiotherapy.^[11] PDT uses light sensitive dyes (photosensitizers) for the destruction of cancer cells. The photosensitizer is activated by light of an appropriate wavelength in the presence of oxygen, and cytotoxic reactive oxygen species (ROS) are generated, which results in oxidative cellular damage and destruction.[11a-c,12] In comparison to traditional chemotherapy or surgery, PDT has a number of advantages, chiefly that the specific irradiation limits the effect to the target tissue and side-effects are lessened due to weak dark toxicity of the photosensitizers and short half-life time of ROS. Moreover, this modality can be used when other therapeutic options are exhausted or in case of specific contraindications to chemotherapy or surgery in vulnerable patient groups.[11b,c,13] In addition, antimicrobial photodynamic inactivation (aPDI) has significant potential for an effective inactivation of bacteria,[14] as well as viruses^[15] and fungi^[16] and other microbiota in vitro and in vivo.[17] In this context, iridium complexes have also been evaluated as photosensitizers for aPDI against Gram-positive and Gram-negative bacteria,^[18,19] and have been found to effectively generate ROS.^[18] Specifically for metal complexes, however,

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other phototoxic mechanisms, e.g. photoinduced ligand exchange, may play an additional role. $^{\left[20\right] }$

As well, in recent years, dipyrrins (dipyrromethenes) have caught attention as organic ligands for metal complexes. Dipyrrins are known to coordinate various metals, e.g., zinc, copper, gallium, platinum, palladium, iridium, and ruthenium.^[21] Such complexes can exclusively consist of dipyrrins (homoleptic complexes);^[22] as well, metal complexes are reported, containing a combination of dipyrrins and other organic ligands (heteroleptic complexes). Typically, *p*-cymene, η^{5} -cyclopentadienyl, 2-phenylpyridyl, or 2,2-bipyridyl ligands are moieties employed as capping in heteroleptic dipyrrinato complexes (Fig. 1).^[21b,21d,23]



Figure 1. Examples for homo- and heteroleptic dipyrrinato complexes.

Dipyrrinato ligands have found interest as components for the formation of coordination polymers and supramolecular assemblies,^[24] or light harvesting structures in dye-sensitized solar cells.^[25] Furthermore, dipyrrinato-iridium and -ruthenium complexes show high potential for an application as chemotherapeutic agents. Specifically, ferrocene-appended (dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) and (dipyrrinato)(*p*-cymene)ruthenium(II) complexes have been reported to exhibit an increased binding affinity to DNA.^[23c,26] Also, dipyrrinato complexes of zinc, ruthenium and gallium show promising potential for PDT.^[27]

In this work, the stepwise synthesis chlorido(dipyrrinato)(pentamethyl-n⁵-cyclopentadienyl)iridium(III) complexes and (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes for use in PDT and aPDI is presented. meso-Substituted dipyrrins, based on the pentafluorophenyl- and the 4fluoro-3-nitrophenyl moiety, are used for the syntheses of these dipyrrinato iridium complexes. As shown previously, the pentafluorophenyl and the 4-fluoro-3-nitrophenyl moiety hold significant potential for subsequent nucleophilic substitutions on the respective para-fluorine position.^[27a,28] Hence, the p-fluorine exchange with numerous nucleophiles, e.g. amines and thiocarbohydrates, is applied to introduce specific functional structures. Synthesis of cyclometalated iridium complexes is performed via both the complexation of pre-functionalized dipyrrins and the post-functionalization of related pentafluorophenyland 4-fluoro-3-nitrophenyl-substituted dipyrrinato complexes. Crystals suitable for X-ray single crystal structure determination were obtained for five complexes allowing analysis of molecular structure and conformation in the solid state. To preliminarily assess the suitability of the complexes for phototherapy, the iridium complexes were evaluated for their phototoxic effect with and without light in assays against several cancer cell lines. The complexes were as well evaluated for their antibacterial effect (again with and without light) against the Gram-positive germ *S. aureus* and the Gram-negative germ *P. aeruginosa.* Moreover, instead of testing the substances in phosphate-buffered saline (PBS) only, all tests against bacteria were additionally carried out with the addition of serum, to challenge their activity under more realistic conditions. In these assays, structures with a high phototoxic potential against bacteria and cancer cells were identified, pointing at structural elements that favor an application in PDT and aPDI.

Results and Discussion

Synthesis of Target Compounds

Syntheses of heteroleptic metal complexes employing dipyrrinato ligands based on late-transition metals, e.g., ruthenium(II), rhodium(III) and iridium(III), are known in the literature.^[21a,23a,b,26a,29] The synthesis of the dipyrrinato complexes typically involves reaction of the dipyrrins under basic conditions with a commercially available (dichlorido-bridged) metal-arene precursor.^[2a,b,23b,26a,27a,28d,29a,b,30]

In this work, *meso*-substituted dipyrrins based on the 5pentafluorophenyl and the 5-(4-fluoro-3-nitrophenyl) moiety were tested for the preparation of dipyrrinato iridium(III) complexes. The pentafluorophenyl group, as well as the 4-fluoro-3nitrophenyl group, enable subsequent nucleophilic aromatic substitution reactions (S_NAr), introducing, *e.g.*, sugar moieties^[27a, 28e,31] or alkynyl groups, giving access to subsequent 1,3-dipolar cycloaddition reactions for the connection with biomolecules or polyethylene glycols ("click" chemistry).^[32]

In preparation for the following experiments, the *meso*substituted dipyrromethanes **1** – **15** were synthesized according to known procedures published by us and others.^[28a,b,d,e,33] Dipyrromethanes can then be transformed into the dipyrrins *via* oxidation with a suitable oxidation agent.^[22b,27a,28d,33,34] Here, dipyrromethanes (**1** – **15**) served as starting materials for the required dipyrrins (Scheme 1).



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Previously, the oxidation of some pentafluorophenylsubstituted dipyrromethanes (namely 1, 3, and 5 - 7) has been described in the literature using 2,3-dichloro-5,6-dicyano-1,4benzoquinone (DDQ).^[27a,34] Analogously, the dipyrromethanes 2 and 4 were oxidized with DDQ. Nevertheless, the observed yields were quite low (18% and 40%, respectively, for details cf. Supporting Information, S4.3.1 and S4.3.2). To achieve a higher conversion into the desired dipyrrins, DDQ was replaced by pchloranil which gave better yields for the oxidation of 2 and 4 (73% and 45%, respectively, for details on these reactions and the following discussion cf. S.I., S4.1, S4.2, and S4.3). The dipyrromethanes 1, 3, 5, and 7 were successfully oxidized with pchloranil to their corresponding dipyrrins as well, again resulting in higher yields for three of the compounds compared to the literature.^[27a] The exception was the pentafluorophenylsubstituted dipyrromethane 1, here, lower yields were observed with *p*-chloranil compared to DDQ.^[34]

Similarly. the meso-(4-amino-3-nitrophenyl)-substituted dipyrrins 24, 25, 27, 29, and 30 were prepared from the corresponding dipyrromethanes by oxidation with *p*-chloranil as already described in the literature.^[28d] Based on this procedure. the dipyrrins 26 and 28 were prepared with the same oxidation reagent. Interestingly, a successful oxidation of the unsubstituted dipyrromethane 8 to dipyrrin 23 with p-chloranil was not possible. Here, in analogy to the conversion of 1 to 16, DDQ was required for a successful oxidation to the desired product 23. With DDQ as oxidizing agent, dipyrrin 23 was obtained in 81% yield. In an additional set of experiments, DDQ was tested as well for the oxidation of the other dipyrromethanes 9 - 15. While DDQ could be used for this reaction it resulted in significantly lower vields than p-chloranil (see S.I., S4.1, S4.2, and S4.3). Hence, we recommend the use of *p*-chloranil for this type of dipyrromethanes.

In the next step, the dipyrrins 16 - 30 were converted to the chlorido(dipyrrinato)(pentamethyl-n5corresponding cyclopentadienyl)iridium(III) complexes 31 - 45 (Table 1 and 2). The synthesis of the dipyrrinato iridium complexes required a base for the deprotonation of the dipyrrin and a suitable dichlorido-bridged iridium(III) precursor.[21a,b,26a] Hence, the pentafluorophenyl-substituted dipyrrin 16 and the prefunctionalized dipyrrins (17 - 22) were reacted with N,Ndiisopropylethylamine (DIPEA) and the di-µ-chloridobis[chlorido(pentamethyl-n5-cyclopentadienyl)iridium(III)] to obtain the desired complexes 31 - 37 (Table 1). Very high yields were observed with 16 and with the pre-functionalized dipyrrin 20 (91% and 86%, respectively); dipyrrins 17 and 19 gave the corresponding complexes in moderate yields (Table 1). The lack of formation of compounds 33, 36, and 37 can be explained in part by the reactivity of the respective dipyrrins 18, 21, and 22, i.e. those carrying an allyl or a propargyl moiety. We found hints for a cleavage of the propargyl group, e.g., in the case of dipyrrins 18 and 22, in NMR and mass spectra, and evidence for the formation of the corresponding 4-amino-2,3,5,6-tetrafluorophenyl- and the 4-hydroxy-2,3,5,6-tetrafluorophenyl moieties (see S.I., S5.4 and S5.8).





[a] No product was observed. [b] Evidence for a dealkylation of the propargyl group was found (see S.I., S5.4 and S5.8).

Using same method. the synthesis of the chlorido(dipyrrinato)(pentamethyl-n⁵-cyclopentadienyl)iridium(III) complexes, based on the related 3-nitrophenyl-substituted dipyrrins 23 - 30, was performed. Again, the dipyrrins were DIPEA reacted with and the di-u-chloridobis[chlorido(pentamethyl-n5-cyclopentadienyl)iridium(III)] to obtain the corresponding complexes 38 - 45. (Table 2). For the dipyrrins 23, 24, and 27 - 30, the reactions led into the desired complexes (38, 39, and 42 - 45) in moderate to good yields (Table 2). With the dipyrrins 40 and 41 - functionalized with an allyl group or a propargyl group - formation of the corresponding metal complexes was not observed; instead inseparable mixtures of multiple products were obtained. Here, no evidence for a dealkylation of the allyl or the propargyl group could be found. In order to generate the allyl or propargyl functionalized complexes (namely 33, 36, 37, 40, and 41) the synthetic procedure was modified. The unfunctionalized complexes 31 and 38 were tested for possible subsequent nucleophilic substitutions; complex 31 was reacted with amines and alcohols, e.g., propargylamine, propargyl alcohol, or allyl alcohol (for details on these reactions and the following discussion cf. the S.I., S6). Similar reactions were performed with complex 38 except for the reaction with alcohols, infeasible due to known side reactions of the alkoxide with the nitro group.^[28d] Absorption spectra of selected chlorido(dipyrrinato)(pentamethyl-n⁵-cyclopentadienyl)iridium(III) complexes are presented in the S.I. section S13.

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[a] No product was observed.

A post-functionalization of tris(pentafluorophenyl)dipyrrinato complexes with amines and alcohols has been described in the literature.^[27a] However, the trial reactions with **31** and **38** were unsuccessful (see S.I., S6), probably due to ligand exchange reactions. An exception was the substitution of **38** with *n*-butylamine, where the desired complex **39** was isolated with 71% yield. In the case of the post-functionalization of **31** with allyl alcohol, the NMR spectrum again provided evidence for the formation of the corresponding 4-hydroxy-2,3,5,6-tetrafluorophenyl moiety.

The complications in the syntheses described above occurred mainly with complexes carrying double and triple bonds. The observed cleavage of the allyl and propargyl group might be caused by interactions of the iridium with these multiple bonds. Such interaction of multiple bonds and metal complexes typically occurs in metathesis reactions or alkene and alkyne rearrangements, both reactions where iridium-based catalysts have been employed.^[35,36]

The introduction of additional functional groups to the pyrrole units of the dipyrrin chelate is a further means to modulate the (photo)chemical characteristics of the resulting metal complex.^[27b,37] Hence, we investigated the synthesis of chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes with dipyrrins carrying additional methyl groups at the

1-,3-,7-, and 9-position. For this, the 5-pentafluorophenyl-1,3,7,9tetramethyldipyrrin 48 and the 5-(4-fluoro-3-nitrophenyl)-1,3,7,9tetramethyldipyrrin 49 were tested in the synthesis of cyclometalated iridium complexes. The synthesis of dipyrrin 48 has previously been described in the literature.[38] However, 48 was originally prepared in a one-pot multi-step synthesis from 2,4dimethylpyrrole and pentafluorobenzaldehyde. In this work we opted for a stepwise synthesis of the corresponding 1,3,7,9tetramethyl dipyrrins 48 and 49. This would also enable future nucleophilic substitutions already at the dipyrromethane stage following on from the previous experiments (see above) which have shown that the use of pre-substituted dipyrrins is more effective in the synthesis of chlorido(dipyrrinato)(pentamethylcyclopentadienyl) complexes.

The 1,3,7,9-tetramethyl substituted dipyrromethanes **46** and **47** were prepared *via* trifluoroacetic acid-catalyzed condensation of 2,4-dimethylpyrrole and the corresponding benzaldehyde (pentafluorobenzaldehyde or 4-fluoro-3-nitrobenzaldehyde). The respective dipyrromethanes were obtained in almost quantitative yields (Scheme 2). In the next step, the corresponding dipyrrins **48** and **49** were formed *via* oxidation with DDQ and isolated in 63% and 90% yield, respectively (Scheme 2). Compared to the literature,^[38] the stepwise synthesis of dipyrrin **48** provided no significant difference in the overall yield.

Finally, the target dipyrrinato iridium complexes **50** and **51** were synthesized, employing the method described for the preparation of the previous

chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes *i.e.* deprotonation with DIPEA, followed by complexation with the corresponding metal precursor. Complexes **50** and **51** could be obtained in 32% and 27% yield, respectively (Scheme 2). The low yields may be the result of partial decomposition of the starting material, as evidenced by the formation of a large amount of a black precipitate during the reactions.



Scheme 2. Synthesis of chlorido(pentamethylcyclopendadienyl)(1,3,6,9-tetramethyldipyrrinato)iridium(III) complexes.

Another type of heteroleptic dipyrrinato iridium(III) complexes is represented by (dipyrrinato)bis(2-phenylpyridyl)iridium(III) systems.^[23a,29b,30] Related bis(2,2'bipyridyl)(dipyrrinato)ruthenium(II) complexes have been synthesized previously *via* the ligand exchange of corresponding chlorido(*p*-cymene)(dipyrrinato)ruthenium(II) complexes with

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2,2'-bipyridine.^[27a,28d] Analogously, complexes **31** and **43** were tested in ligand exchange reactions with 2-phenylpyridine. Complex **31** was dissolved together with 2-phenylpyridine in ethanol and was reacted under reflux. Alternatively, the ligand exchange of **43** was performed in a 1-methoxyethanol/water mixture, to increase the reaction temperature. However, in both cases the desired products (**52** and **53**) could not be obtained and the starting materials were recovered (see S.I., S7.1 and S7.2).

Thus. to obtain the desired (dipyrrinato)bis(2phenylpyridyl)iridium(III) complexes the synthetic procedure had to be modified. The synthesis of the target systems was performed via the complexation of dipyrrins with bis(µchlorido)tetrakis(2-phenylpyridyl)diiridium(III).^[23a,29b,c,30] For this, the dipyrrins (16 - 22) were reacted with DIPEA and $bis(\mu$ chlorido)tetrakis(2-phenylpyridyl)diiridium(III) in THF to obtain the corresponding complexes (52, 54 - 59, Table 3). The highest yield, 65%, was achieved with dipyrrin 16. The reactions with prefunctionalized dipyrrins (17, 19 - 21) also gave the desired complexes (52, 54, 56, and 57) in good yields (Table 3). Again, for dipyrrins with the propargyl moieties (18 and 22) no product was obtained. Also, the allvl-substituted complex 58 could only be isolated in 8% vield (Table 3) via the complexation with dipyrrin 21. In the case of complex 55, the NMR spectrum provided evidence for a dealkylation of the propargylamino group (see S.I., S8.4).

 Table 3. Synthesis of (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes with dipyrrins 16 – 22.



[a] No product was observed. [b] Evidence for a dealkylation of the propargyl group was found (see S.I., S8.4).

In the next step, the 3-nitrophenyl-substituted dipyrrins 23 - 30 were tested in the synthesis of the cyclometalated iridium complexes (53, 60 - 66, Table 4). Reactions with the dipyrrins 23, 24 and 27 - 30 led to the desired complexes (53, 60, 61, and 64 - 66) in moderate to good yields (Table 4). Using 25 - the dipyrrin carrying the allyl group - the desired complex 62 was obtained only in low yields (Table 4). In the case of complex 63, formation of the corresponding metal complexes was not observed instead inseparable mixtures of compounds were obtained again.





1				
Entry	Starting Material	Substituent (R)	Product	Yield [%]
1	23	K _F	60	29
2	24	K _N	61	36
3	25	K _N	62	11
4 ^[a]	26	K _N	63	
5	27	∧ _N ∕∽он Н	64	44
6	28	N N	53	49
7	29	∧ _№ — он Н	65	26
8	30		66	43

[a] No product could be observed.

finally In order to generate (dipyrrinato)bis(2phenylpyridyl)iridium(III) complexes functionalized with an allyl group or a propargyl group, a post-functionalization approach was investigated (Scheme 3 and 4). Amines and alcohols were tested for the nucleophilic substitution of 52, e.g., allylamine, propargylamine, allyl alcohol, and propargyl alcohol. First, complex 52 was dissolved with the corresponding amine in DMSO and stirred for 24 h at 80 °C. The desired complexes 56 and 67 could be obtained in 89% and 64% yield, respectively (Scheme 3). Substitution of 52 with allyl alcohol and propargyl alcohol was possible as well: Compound 52 was reacted with the corresponding alcohols and potassium hydroxide for the

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deprotonation of the alcohol to give the target systems **58** and **59** in high yields (84% and 87%, respectively, Scheme 3).



Scheme 3. Nucleophilic substitutions of complex 52 with amines und alcohols.

Next, complex **60** was functionalized with allylamine and propargylamine. This entailed dissolving complex **60** with the corresponding amine in DCM and gave **62** and **63** in high yields (Scheme 4). The results clearly show that the synthesis of the complexes carrying propargyl groups and allyl groups which was not possible using pre-functionalized ligands or complexes is easily viable *via* a post-functionalization of the unsubstituted complexes **62** and **63**. In this case, the metal center is shielded by the ligand and possible interactions with the propargyl group and allyl group are suppressed. This post-functionalization of the metal complex is thus an effective strategy circumventing the reactivity associated with ligand exchange. Absorption spectra of selected (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes are presented in the S.I. section S13.



Scheme 4. Nucleophilic substitution of complex 60 with amines.

Finally, the unsubstituted iridium complexes **52** and **60** were tested for the glycosylation with thio-carbohydrates. Glycosylation is a straightforward method to improve solubility, biological activity and bioavailability of therapeutically interesting molecules.^[39] Also for iridium(III) complexes certain glycosylated derivates have been reported.^[40] Different concepts for the introduction of carbohydrates have been described in the literature. On one hand, linker groups are often used for coupling carbohydrates with therapeutically interesting molecules, e.g., BODIPY-carbohydrate conjugates, glycopeptides, or multivalent glycoconjugates.^[41] Alternatively, a fast and effective method for direct glycosylation of porphyrins, corroles, and metal complexes employs an S_NAr strategy.^[31,42] Notably, this type of glycosylation is feasible with unprotected thio-carbohydrates, as shown for porphyrinoids, metal complexes, and BODIPYs.^[27a,28e,42a,43]

In analogy to earlier studies,^[14a,28e,42a,43] the glycosylation was tested directly with unprotected thio-carbohydrates and the complexes **52** and **60**. The respective complex was dissolved in DMF together with the corresponding sodium 1'-thio- β -Dcarbohydrate (glucose or galactose). Starting with **52**, within a short reaction time the corresponding glycosylated complexes (**68** and **69**) were formed (Scheme 5). The glucosyl conjugate **68** was obtained in almost quantitative and the galactosyl conjugate **69** in 92% yield. Analogous reactions of complex **60** gave the corresponding glycosylated conjugates **70** and **71** in very high yields of 92% and 90%, respectively (Scheme 5).



Scheme 5. Glycosylation of 52 and 60.

Conjugates of iridium(III) complexes and BODIPYs with promising photochemical properties have already been described in the literature; in this context, iridium(III)-BODIPY conjugates exhibited potential for an application as photosensitizers.^[44]

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However, thus far, (dipyrrinato)iridium(III)-BODIPY conjugates are unknown. Here, a coupling of a BODIPY with a (dipyrrinato)iridium(III) complex was performed via the coppercycloaddition. catalyzed 1,3-diploar Alkynyl-substituted compounds are suitable building blocks in this reaction, e.g., for coupling porphyrins or BODIPYs to other compounds or surfaces.^[28a,32c,45] Therefore, after finally having alkynylsubstituted iridium(III) complexes at hand, the 4-propargyloxysubstituted complex 59 was tested in the copper-catalyzed cycloaddition. The 4-azido substituted BODIPY 72 and the complex 59 were dissolved in DMF and reacted with CuSO4 and sodium ascorbate and gave the desired (dipyrrinato)iridium(III)-BODIPY conjugate 73 in 21% yield (Scheme 6).



Scheme 6. Synthesis of the (dipyrrinato)iridium(III)-BODIPY conjugate 73.

Crystal/Molecular structures of dipyrrinato iridium complexes

Diffraction data for the crystal structure determinations of representative compounds of each of the classes of iridium(III) complexes were collected and refined. Two examples of the Cp*-ligand complexes are demonstrated, compounds **31** and **51**, as well as three examples of the bis(2-phenylpyridinyl) complexes **54**, **60**and **66**.

Compound **31** crystallized in a triclinic cell setting, and the solution to the diffraction pattern in P-1 is shown in Fig. 2 and S1.2.1. In this complex, a lone iridium(III) metal center is coordinated by a 5-pentafluorophenyl-2,2'-dipyrrinato (N^N)-chelate, by the η^5 Cp* ligand and a single chloride. The coordination environment is the expected piano-stool geometry,^[46] and approximates the Cl and N,N occupying three adjacent corners of an octahedron with interior angles of 87.14(8)°, 87.96(8)° and 85.35(12)°; the Cp* occupies the center of the opposing face.

The measured Ir-Cp* 5-atom centroid distance, at 1.7942(15) Å, is typical of iridium(III) compounds with the Cp-Cl-N² coordination environment (1.789(17) Å, n = 252).^[47] The C₅ ring atoms within the Cp* ligand have a pattern of alternating longer and shorter bonds, indicating that the anionic charge is partially localized to the three carbon atoms sharing the dipyrrinato-Ir coordination plane (C17 – C19).

The dipyrrin ligand is coordinated in an off-axis manner, as demonstrated in Fig. 2b, such that the metal center is deviated from the mean plane of the N-C₃-N chelate by 0.600(4) Å. Discounting the 5-aryl substituent, the ligand-metal 10-atom unit has an approximate mirror plane which relates the two pyrrole subunits, with bond distances indicative of charge delocalization nearly evenly across these two pyrroles. The torsion angles around the C_a-C_{meso} bonds linking these pyrrole units (i.e. N1-C4-C5-C6 and C4-C5-C6-N2) are -0.8(6)° and 6.5(6)°, indicating a small rotational perturbation of the individual pyrrole units towards coplanarity with the Ir center. The C₆F₅ ring at the sole mesoposition is inclined at 74.13(10)° to the mean plane of the ligand – this angle has been shown to be important in fine-tuning of the related BODIPY photochemistry.^[28e,48]



Figure 2. a) View of the structure of the asymmetric unit of compound 31 in the crystal. H atoms are represented as spheres of fixed radius, thermal ellipsoids for non-H atoms are presented at 50% probability. b) A side-on view of compound 31, showing the off-axis coordination mode.

The crystal structure of the related compound 51 is shown in Fig. 3 and S1.2.2 and shows an iridium(III) metal center in a similar piano-stool half-sandwich coordination environment as that for 31. Four methyl groups present on the dipyrrin moiety can be implicated in an increased distortional profile - the planar deviation (NC₃N…Ir, 0.935(5) Å), Ir…Cp* distance (1.840(2) Å) and torsion angles (C_{α} - C_{meso} , 2.6(5)° and 9.7(7)°) are exaggerated from the unsubstituted dipyrrin parent, with the N···N distance of the dipyrrinato chelate reduced to 2.767(5) Å, and the N-Ir distances shortened to 2.065(4) and 2.081(4) Å. The angle of the dipyrrinato mean plane to the meso-appended aryl unit is approximately equal to that in 31, at 74.47(13)°. The increased steric bulk of the tetramethyl groups is sufficient to explain the distortion patterns - a pincer-like convergence of the two pyrrole units around the C_m 'pivot' upon increased steric bulk has a direct effect on the coordination environment provided by the ligand. This concerted movement of the pyrrole units towards each other

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similarly engenders increased non-planar distortion of the dipyrrin attempting to satisfy the idealized coordination environment of the metal center.



Figure 3. A view of the main molecular fragment within the crystal structure of compound 51; thermal ellipsoids are presented at the 50% probability level. H atoms have been omitted for clarity.

Three previously structurally characterized examples of Ir^{III}(CI)(Cp*) dipyrrin complexes have been reported; with a mononuclear dipyrrin,^[49] a dinuclear bis-bidentate dipyrrin^[50] and a macrocylic tetrakis(perfluorophenyl)rubyrin derivative.[51] Each of these structures indicated a significant non-planarity of the iridium(III) to the dipyrrin subunit, localized piano-stool Cp* ligand atom positions and delocalization of the dipyrrin ligand electron density, in line with the two structures reported here. As has been previously reported, the coordination geometry of the iridium(III) center is particularly important for anticancer activity - presence of an exocyclic linkage of the Cp* ligand led to increased anticancer activity.^[52] This 'tethering' approach inhibits hydrolysis and induces a strained coordination environment; steric conflict between α -methyl groups and the Cp* ligand strains this coordination environment in a similar manner, and is a useful tool for microstructure manipulation. We can reasonably extrapolate that each of the compounds reported herein for which a crystal structure has not been reported should adopt a similar geometry, given the limited electronic or steric influence of alteration of the terminal aryl C-F unit in each of the examples, and consistency between examples. Preliminary investigation of a crystal of compound 35 from toluene (oP, a 13.947(6) b 22.654(9) c 16.534(6)) has indicated a similar coordination environment is present in this example, however, data were not satisfactory for publication.

Three crystal structure models, 54, 60.1/2(DCM) and 66.1/2(Tol), could be identified containing the second PDT motif, that of $bis(\kappa^2$ -2-phenylpyridinyl)Ir^{III} with a substituted 5-phenyldipyrrin. Each of these structures shows the iridium(III) metal center in an octahedral C₂N₄ coordination environment, with the C atoms of the coordination environment occupying the octahedral sites trans to the dipyrrin. These tris-chelate compounds exhibit the expected Δ and Λ stereoisomerism, however, each was modelled as a racemate in an achiral space-group; these crystals demonstrate equal appearance of the two enantiomeric forms, in contrast to the achiral piano-stool complexes. The exclusive formation of the trans-pyridyl isomer is as expected for iridium compounds with the (C^N)₂(N^N) coordination geometry, and unaltered from the presumptive geometry of the starting material;[53] the metal center geometry and bond distances are approximately equal between each of the three examples. The dipyrromethene ligand is approximately coplanar with the iridium(III) metal center in **54**, **60** and **66**, as distinct from the off-axis coordination mode observed for the piano-stool compounds **31** and **51**.

Compound **60** is shown in Fig. 4b and S1.2.4; the phenylpyridine ligands and metal center exhibit the expected geometry, however, a small deviation from the idealized C_{2v} symmetry can be observed for the dipyrrin fragment indicative of contribution of partial charge localization. The aryl unit at the 5-position of the dipyrrin is inclined at 69.0°, in line with unsubstituted 5-aryl-dipyrromethene chelates (median 62.8°, IQR 55-71°, n = 430).



Figure 4. The main molecular units of complexes [Ir(ppy)₂(R-dipyrrin)] (a) 54, R = 2,3,5,6-F₄-4-NHBu-Ph; (b) 60, R = 4-F-3-NO₂-Ph (c) 66, R = 4-NHPentCO₂Me-3-NO₂-Ph. C-bound H atoms have been omitted, and thermal ellipsoids are shown at the 50% probability level.

The presence of long-chain alkyl groups at the aryl 4-position in compounds **54** and **66** introduces these additional chemical motifs with retention of the metal chelate structure, as shown in Fig. 4(a,c), S1.2.3 and 1.2.5. The terminal alkyl chain at the aryl 4-position in **54** has higher thermal displacement as atoms process further from the aryl ring. The 4-alkylamino-substituted compound **66** exhibits an intramolecular hydrogen bond between the amine and *ortho*-nitro unit on the 5-aryl substituent, at 2.642(3) Å N···O and 129(3)° N-H···O, consistent with 2nitroanilines generally.^[54] This H-bond, expected to be present in each compound with the *o*-NH moiety is presumably responsible for the decreased thermal displacement associated with rotational averaging of the nitro unit in **60**. Previously characterized examples of Ir(ppy)₂(5-aryl-dipyrrin) complexes include aryl =

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phenyl,^[55] 4-pyridyl (and derivatives thereof),^[56] 4-benzoic acid (and derivatives thereof),^[57] 4-(3,5-lutidinyl), 4-(*N*,*N*-diphenylamino)phenyl^[55] and 4-(dimesitylborophenyl).^[55,58] Each exhibited a similar coordination mode and metal-ligand distances as the structures presented above, unperturbed by peripheral modification of the aryl unit.

Evaluation in assays against bacteria and cells

The biological activity of the chlorido(dipyrrinato)(pentamethylcyclopentadienyl) iridium(III) complexes (31, 32, 34, 35, 38, 39, 42 - 45, 50, and 51) and the (dipyrrinato)bis(2-phenylpyridyl) iridium(III) complexes (52 - 71) was evaluated with and without illumination in cellular assays in four cancer cell lines. In the cellular assays the following cell lines were used: human colorectal adenocarcinoma (HT29), human epidermoid carcinoma (A431), submaxillary salivary gland epidermoid carcinoma (A253), human epithelial tongue squamous cell carcinoma (CAL27). Results for the A431 and HT29 cell lines can be seen in Fig. 5 - 7 (for CAL27 and A253, see S.I., S3.3, and S3.4). As well, the antimicrobial properties of the synthesized complexes with and without light were studied against the Gram-positive germ S. aureus and the Gram-negative germ P. aeruginosa (Fig. 8 - 10, and S.I., S3.5, S3.6).

In the cellular assays, cells were incubated with cell medium containig 10% fetal calf serum (FCS) and with 2 or 10 μ M of the corresponding iridium complex for 24 h before irridiation. After exchange of the medium to remove any complex not taken up by the cells, a white light source at a dose rate of approximately 50 J/cm² was used for irradiation. Afterwards, cells were incubated in a humidified incubator (5 % CO₂ in air at 37 °C) for 24 h until cell viability assay.

As can be seen in Fig. 5 (see also S.I., S3.3) the chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes (**31**, **32**, **34**, **35**, **38**, **39**, **42** – **45**, **50**, and **51**) in general showed no or only a low toxic effect without illumination, except for **31** and **39** in two cell lines (A431, for A253 see S.I, S3.3). Under irradation with light, however, several complexes with a signifcant phototoxicity could be identified. Especially, complexes substituted with a butylamino, a butyloxy, or the dibutylamino group (**32**, **35**, **39**, and **43**) exhibited a high phototoxic effect on the cells, but also dark toxicity in the highest concentration. In the case of the 2,3,5,6-tetrafluorophenyl-based complexes **32** and **35**, already at a concentration of 2 μ M a significant decrase of the cell viability was observed.



Figure 5. Dark and phototoxicity of chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes in cellular assays with the A431 cell line (a) and the cell line HT29 (b). Blue colored structures 50 and 51 represent the two (pentamethylcyclopentadienyl)(1,3,7,9-tetramethyl-dipyrrinato)iridium(III) complexes.* indicates significant values with p < 0.005.

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In comparison, the 3-nitrophenyl-substituted dipyrrinato complexes **39** and **43** showed a lower phototoxic activity. However, complex **43** still showed a phototoxic effect against all cell lines at the highest concentration; while complex **39** only exhibits phototoxicity against the cell line A253 at the highest concentration. Moreover, complex **39** showed significant dark toxicity at 10 μ M against the cell line A253 (see S.I., S3.3).

Interestingly, the complexes **32**, **42**, and **44**, functionalized with polar groups, generally showed only a limited phototoxic activity. Only complex **42** showed a higher phototoxic effect on specific cell lines (A431, for CAL27 see S3.3) at the highest concentration (10 μ M). As well, complex **45** exhibited a limited phototoxicity againt certain cell lines (A431, for A253 and CAL27 see S3.3). This lower phototoxicity of the complexes with polar substituents is a little bit counter-intuitive as often polar substitution, like OH groups, increases the PDT effect, as it increases the solubility in the cellular surrounding.^[31,59]

The 1,3,7,9-tetramethyl-5-pentafluorophenyl-substituted complex **50** (substituent in blue color in Fig. 5, and S3.3) exhibited a high phototoxic activity against all cell lines at the concentration of 10 μ M. Moreover, complex **50** exhibited a high phototoxicity already at 2 μ M for specific cell lines (A431,

for A253 see S3.3). Whereas the corresponding 1,3,7,9tetramethyl-5-(4-fluoro-3-nitrophenyl)-substituted complex **51** (substituent in blue color in Fig. 5 and S3.3) exhibited no significant phototoxicity. There is a tendency for the tetrafluorophenyl-substituted complexes to have a higher phototoxic activity than the corresponding 3-nitrophenylsubstituted compounds. This has also been observed for borondipyrromethene complexes with these substitutions.^[28e] In other cases, (pentamethylcyclopentadienyl)iridium(III) complexes have also shown a high potential as anticancer agents.^[8b,60] However, only a limited number of these (pentamethylcyclopentadienyl)iridium(III) complexes showed a significant *phototoxic* activity against cell lines.^[18e,61]

Next, the (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes (52 – 71) were as well tested against the cell lines (Fig. 6, 7, and S3.4). Again, the complexes tested showed no significant dark toxicity, except for the glycosylated conjugates 68 – 71 in the high concentration of 10 μ M. The (dipyrrinato)bis(2-phenylpyridyl)iridium(III) compounds with polar substituents (56, 64, and 65), specifically the glycosylated conjugates 68 – 71 exhibited a very high phototoxicity even at a concentration of 2 μ M.



Figure 6. Dark and phototoxicity against the A431 cell line in cellular assay with bis(2-phenypyridyl)(tetrafluorophenyl-dipyrrinato)iridium(III) complexes (a) and (3-nitrophenyl-dipyrrinato)bis(2-phenypyridyl)iridium(III) complexes (b).* indicates significant values with p < 0.005.

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Figure 7. Dark and phototoxicity against the HT29 cell line in cellular assay with bis(2-phenypyridyl)(tetrafluorophenyl-dipyrrinato)iridium(III) complexes (a) and (3-nitrophenyl-dipyrrinato)bis(2-phenypyridyl)iridium(III) complexes (b).* indicates significant values with p < 0.005.

A high phototoxic activity against cells has also been reported for some other types of (2-phenylpyridyl)iridium(III) complexes.^[18d,62] No significant phototoxic activity was observed for the unfunctionalized complex **52** and the 3-nitrophenyl-substituted complexes **61**, **53**, and **66**, the other complexes exhibited a limited phototoxicity in some cell lines. Again, there is a tendency for the tetrafluorophenyl-substituted complexes to have a higher phototoxic activity than the corresponding 3-nitrophenyl-substituted compounds.

In order to evaluate the antimicrobial activity of the synthesized heteroleptic (dipyrrinato)iridium(III) complexes, bacterial assays against *S. aureus* and *P. aeruginosa* were performed with and without irradiation. The Gram-positive germ *S. aureus* is an important target as it is a typical member of the microflora of chronically infected wounds with a high tendency to develop antibiotic resistance.^[63] Wound healing and treatment are prospective fields where aPDI has already shown potential.^[64] The Gram-negative germ *P. aeruginosa* also has a high tendency to develop antibiotic resistance and is a major threat in nosocomial infections.^[65] A critical aspect of the use of new antimicrobials in the clinical practice is that the drug candidates must be active in the presence of body fluids in complex biological

environments. In addition, protein-rich environments can significantly influence the effectiveness of photosensitizers.[66] Therefore, to establish a more realistic model of the environment additional bacterial tests were performed in PBS supported with horse serum (10%). The bacterial assays included different conditions (blank: no complex and without illumination: identification of dark toxicity with 100 µM of the complex: and using different concentrations of 1, 10, and 100 µM, with and without addition of serum). To study the phototoxic activity against the bacteria, the corresponding complexes were incubated with cultures of S. aureus and P. aeruginosa (in the three different concentrations) for 30 min in PBS and in PBS supported with serum. Afterwards, the samples were exposed to white light with a power density and irradiation time resulting in an energy fluence of about 100 J/cm². The control experiment with both bacterial strains treated only with this light dose can be found in the S.I. (S3.7). For the bacterial assays, an incubation time of 30 min was chosen. This short incubation time - compared to the incubation time for the investigations of the phototoxicity against the tumor cells (24 h) - was selected with respect to the specific recommendations of antibacterial therapy: Typically, bacterial reproduction is more rapid than that of cells, therefore, activity is

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needed after shorter residence times.^[67] The antimicrobial activity of the (dipyrrinato)iridium(III) complexes is presented in Fig. 8 – 10 (see also S.I., S3.5, and S3.6) and the bacterial inactivation is given as the logarithm of the number of colony-forming units, Ig (CFU mL⁻¹). In this context it should be taken into account that only a reduction of bacterial growth of at least 99.9% (\geq 3 log stages) is considered relevant with respect to bactericidal activity and a reduction by 4 and 5 log stages, respectively, is usually required for disinfectants in standard testing.^[67c-f] Results for the series of

chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) against *S. aureus* are presented in Fig. 8. Almost all tested complexes suppressed bacterial growth below the detection limit at all three concentrations, *i.e.* the number of bacteria becoming so low that no colonies were detected after incubation on the

culture plates. Most likely, a light-independent antibacterial effect contributes to this as all compounds, except **50** and **51**, with the 1,3,7,9-tetramethyl-dipyrrinato ligand, exhibited strong dark toxicity in the control experiment with incubation of 100 μ M of the complex. Such an antibacterial activity without irradiation, *i.e.* an antibiotic effect, has also been observed for other selected iridium(III) complexes.^[66] The complexes tested (**31**, **32**, **34**, **35**, **38**, **39**, and **42** – **45**), whether based on the 2,3,4,5-tetrafluorophenyl or the 3-nitrophenyl moiety, gave complete inactivation of *S. aureus* already at a concentration of 1 μ M under light. Compounds **50** and **51** which showed no dark toxicity had nevertheless a strong phototoxic effect. A complete inactivation of bacteria was observed with **50** already at a concentration of 1 μ M under light, while the corresponding complex **51** showed a complete photoinactivation of bacteria at a concentration of 10 μ M.



Figure 8. Photoinactivation of *S. aureus* by chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes (30 min incubation and irradiation with white light) in phosphate-buffered saline (PBS) (a) and in PBS + 10% serum (b). The antibacterial toxicity is expressed as logarithm of the number of colony-forming units, Ig (CFU mL⁻¹). Arrows indicate a suppression of bacterial growth below the detection limit. Blue colored structures **50** and **51** represent the two (pentamethylcyclopentadienyl(1,3,7,9-tetramethyl-dipyrrinato)iridium(III) complexes.

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Next, these highly effective complexes were challenged in antibacterial tests in the presence of 10% serum (Fig. 8b). Not unexpectedly, the effectiveness of the tested complexes decreased in the presence of serum. Nevertheless, in the presence of serum some complexes still exhibited strong dark and phototoxic effects against S. aureus. Complexes 31, 32, 35, and 43 showed no change in their dark toxicity. For complexes 32 and 35 no differences in their activity against S. aureus were found in the presence of serum and in PBS alone, in both cases a complete inactivation even at the lowest concentration (1 µM) is observed. Notably, compounds 31 and 45 exhibited a phototoxic activity at the medium concentration (10 µM). As well, the 3nitrophenyl-substituted complexes 39 and 43 showed a complete inactivation of the bacteria at the highest concentration (100 µM) under irradiation. In the case of the tetramethyldipyrrinato complexes 50 and 51, only 50 still exhibited its phototoxic activity. Here, an effective inactivation of the bacteria was observed at 10 µM. This combined cytotoxic and phototoxic activity against bacteria has also been reported in some other cases for iridium(III) complexes.^[18c,19] Again, there is a tendency for the tetrafluorophenyl-substituted complexes to have a higher phototoxic activity than the corresponding 3-nitrophenylsubstituted compounds in the presence of serum, as it was also observed in the cellular assays. Fig. 9 shows the results of the chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes against the Gram-negative Germ P. aeruginosa.



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Figure 9. Photoinactivation of P. aeruginosa by chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes (30 min incubation and irradiation with white light) in phosphate-buffered saline (PBS) (a) and in PBS + 10% serum (b). The antibacterial toxicity is expressed as logarithm of the number of colony-forming units, Ig (CFU mL⁻¹). Arrows indicate a suppression of bacterial growth below the detection limit. Blue colored structures 50 and 51 represent the two (pentamethylcyclopentadienyl) (1,3,7,9-tetramethyl-dipyrrinato)iridium(III) complexes.

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Compared to the results with S. aureus, the phototoxicity is significantly lower against P. aeruginosa. However, a reduction of bacterial growth of about 1.5 to 2 log stages was already achieved without light (100 µM) with the complexes 31, 32, 35, 39, 42, and 45. Such an antibacterial activity without irradiation has as well been observed for some other iridium(III) complexes.^[9b,69] Here, however, a phototoxic reduction of bacterial growth below the detection limit was achieved with several complexes. A complete inactivation of P. aeruginosa was observed with the complexes 31, 35, 38, 39, and 45 already at a concentration of 10 µM under irradiation with light. In addition, the complexes 32, 42, and 44 showed a complete photoinactivation of P. aeruginosa at the highest concentration (100 µM). The 1,3,7,9-tetramethyldipyrrinato complexes 50 and 51 exhibited no dark or phototoxicity against P. aeruginosa. The lower antibacterial activity of the complexes against P. aeruginosa is not unexpected because Gram-negative germs are generally more difficult to be inactivated than Gram-positive ones. This is *i.a.* due to the pronounced differences in their cell wall composition.[66b,70] In fact, is somewhat remarkable that some it of the (dipyrrinato)(pentamethylcyclopentadienyl) complexes show such a pronounced activity against P. aeruginosa in PBS though they are not cationic, which is a typical feature found in photosensitizers active against Gram-negative bacteria.[70,71] However, this also gives cationic photosensitizers a higher affinity towards DNA rendering some of them potentially mutagenic.^[72] Hence, compounds active against Gram-negative bacteria lacking cationic charges are desirable. Similar to the investigation with S. aureus the (dipyrrinato)(pentamethylcyclopentadienyl) complexes were finally challenged in their antibacterial activity against P. aeruginosa by performing the test in the presence of serum (Fig. 9b). In many cases this antibacterial activity greatly decreased or vanished completely in the presence of serum. However, some complexes still exhibited a phototoxic effect on P. aeruginosa in the presence of serum. Complex 42 still showed a phototoxic effect with a reduction of about 2 log stages with light. While 31, 35, and 44 reduced the bacterial growth by about 1 log stage. Nevertheless, the observed antibacterial activities of the (dipyrrinato)(pentamethylcyclopentadienyl) complexes against S. aureus and P. aeruginosa with and without illumination suggest that these compounds are valuable targets for more detailed QSAR studies on their (photo)antibiotic properties.

The (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes were also tested against *S. aureus* and *P. aeruginosa* (Fig. 10 and S3.5). The glycosylated conjugates **68** – **71** and the polar substituted complexes **56**, **64**, and **65** showed a very effective inactivation of *S. aureus* in PBS with a suppression of bacterial growth below the detection limit already at a concentration of 1 μ M under light.

However, the tetrafluorophenyl-based complexes **56**, **68**, and **69** did exhibit a strong dark toxicity with a complete inactivation of bacteria already at a concentration of 100 μ M.

The complexes **57**, **60**, and **66** exhibited a phototoxic activity, with complete inactivation of *S. aureus* at the highest concentration (100 μ M) under light irradiation. The other 2,3,5,6-tertrafluorophenyl-based complexes (**52**, **55**, **58**, and **59**) provided an inactivation of *S. aureus* of at least 2 log stages at the highest concentration. While the other 3-nitrophenyl-based complexes (**53**, and **61** – **63**) showed no significant effect on *S. aureus*.

The tests with the (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes on *S. aureus* were then repeated in the presence of serum. In most cases the antibacterial activity greatly decreased or vanished completely. In the case of the complexes with ligands carrying polar substituents polar-substituted complexes (56, 64, and 65) and complexes with glycosylated ligands (70 and 71), a reduction of bacterial growth of about one log stage was observed. Only the glycosylated 2,3,5,6-tetrafluorophenyl-substituted complexes, 68 and 69, gave a significant reduction of the bacterial growth, with 69 being the most effective compound able to suppress bacterial growth below the detection limit.

Tests of the (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes against *P. aeruginosa* revealed no significant phototoxicity or dark toxicity (see S.I., S3.6).

Conclusion

In this work synthetic strategies to (dipyrrinato)iridium(III) complexes carrying a multitude of functional groups were presented. Their potential for antitumor and antibacterial phototherapy has been preliminarily assessed in assays with four tumor cell lines, and two bacterial strains known to pose one major problem in nosocomial infections, the Gram-positive germ S. aureus and the Gram-negative germ P. aeruginosa. Starting point for the stepwise synthesis of the chlorido(dipyrrinato)(pentamethyl-ŋ⁵-cyclopentadienyl)iridium(III) complexes and the (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes were meso-substituted dipyrrins, based on the pentafluorophenyl and the 4-fluoro-3-nitrophenyl moiety. As shown previously, the pentafluorophenyl and the 4-fluoro-3nitrophenyl group can easily be modified by subsequent nucleophilic substitutions on their respective para-fluorine positions. Hence, the *p*-fluorine exchange with amines, alcohols and thio-carbohydrates was used to introduce specific functional structures. In addition to the synthesis of the cyclometalated iridium complexes via the complexation of pre-functionalized post-functionalization dipyrrins. the of the related pentafluorophenyl-4-fluoro-3-nitrophenyl-substituted and dipyrrinato complexes was performed as well. This postfunctionalization route proved to be especially suitable for introducing alkenyl and alkynyl as well as glyco-substituents, which are not accessible using the pre-functionalized dipyrrins. For several complexes, crystals suitable for X-ray crystal structure determination were obtained allowing to unequivocally determine their structure. These studies indicated that the molecular geometry of the dipyrrin ligand and immediate metal coordination environment was consistent between the phenylpyridine examples irrespective of the modification of the dipyrrin aryl unit. Flexion of the dipyrrin unit was observed when paired with the Cp* ligand complex, to accommodate the sterics of this ligand, increasing with additional steric bulk on the dipyrrin moiety.

In the preliminary assessment of their suitability for tumor (photo)therapy in assays against four cancer cell lines the non-functionalized

chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) and the respective complexes carrying simple alkyl chains proved to be most effective.

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Figure 10. Photoinactivation of *S. aureus* (30 min incubation and irradiation with white light) by bis(2-phenylpyridyl)(tetrafluorophenyldipyrrinato)iridium(III) complexes in phosphate-buffered saline (PBS) (a), (3-nitrophenyldipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes in PBS (b), and glycosylated (dipyrrinato)bis(2-phenylpyridyl)iridium(III) complexes in PBS + 10% serum (c). The antibacterial toxicity is expressed as logarithm of the number of colony-forming units, Ig (CFU mL⁻¹). Arrows indicate a suppression of bacterial growth below the detection limit.

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In the tests with the (dipyrrinato)(2-phenylpyridyl)iridium(III) complexes the compounds having alkenyl, alkynyl, and polar (hydroxyl) substituents showed the strongest reduction of tumor cell viability, with the glyco-substituted complexes being most effective. In the evaluation of their antibacterial effect with and without light against the Gram-positive germ *S. aureus* the chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III)

complexes exhibited an exceptionally high toxicity with but also without illumination, regardless of the substitution.

On repetition of the test in the presence of serum strong dark and phototoxic effects were found for some compounds, namely the non-substituted and the simple alkyl-substituted ones. When testing the (dipyrrinato)(2-phenylpyridyl)iridium(III) complexes against *S. aureus* a high antibacterial activity was observed with the polar (hydroxyl) substituted compounds, specifically the glycomodified complexes. Of these, the galactosyl-substituted complex (compound **69**) was also the only compound showing a bacterial reduction below the detection limit even in the presence of serum.

In the tests with the Gram-negative germ *P. aeruginosa*, again some of the chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III)co mplexes were able to reduce bacterial growth to the limit of detection. And even in the presence of serum these complexes exerted a significant effect by reducing bacterial growth by one or two log stages. With the (dipyrrinato)(2-phenylpyridyl)iridium(III) complexes no significant antibacterial activity towards *P. aeruginosa* was found.

In summary, the synthetic tools provided herein, specifically the *post*-functionalization of fluorophenyl-substituted dipyrrinatoiridium complexes allow a convenient access to various functionalized cyclometalated iridium complexes. Some of these complexes are found to show a high phototoxicity against tumor cells and a strong antibacterial activity, often even without illumination. Of specific interest is the strong antibacterial activity of

chlorido(dipyrrinato)(pentamethylcyclopentadienyl)iridium(III) complexes against the Gram-positive germ *S. aureus* and the Gram-negative *P. aeruginosa* and the high activity of the glycosubstituted iridium complexes against tumor cells and bacteria, underlining the potential that such complexes hold for (photo)medical applications. The observed antibacterial activities of the (dipyrrinato)(pentamethylcyclopentadienyl) complexes with and without illumination value more detailed structure-activity investigations including modified glyco-substitutions on the way to new (photo)antimicrobials.

Experimental Section

General Remarks. All reactions were performed in standard round bottom flasks. Air sensitive reactions were carried out under an argon gas protecting atmosphere. Solvents DCM, *n*-pentane, and methanol were purchased and used as received. Other solvents were purchased and distilled at reduced pressure. Purchased chemicals were used as received without further purification. All liquid reagents were added through syringes. Reactions were monitored by thin-layer chromatography (Merck, TLC Silica gel 60 F₂₅₄. Flash column chromatography was performed on silica gel (Fluka silica gel 60M, 40-63µm). NMR spectra were recorded with JEOL ECX400, JEOL ECP500, Bruker Avance500, and JEOL ECZ600 Instruments. Multiplicity of

the signals was assigned as follows: s = singlet, br s = broad singlet, d = doublet, t = triplet, dd = doublet of doublets, dt = doublet of triplets, td = triplet of doublets, ddd = doublet of doublets of doublets, ddt = doublet of doublets of triplets, m = multiplet, m_c = centered multiplet. Chemical shifts are reported relative to CDCl₃ (¹H: δ = 7.26 ppm, ¹³C: δ = 77.2 ppm), CD₂Cl₂ (¹H: δ = 5.32 ppm, ¹³C: δ = 53.8 ppm), THF-d₈ (¹H: δ = 3.58 ppm, ¹³C: δ = 67.6 ppm), DMSO-d₆ (¹H: δ = 2.50 ppm, ¹³C: δ = 39.5 ppm). All ¹³C NMR spectra are proton-decoupled and coupling constants are given in hertz (Hz). 2D spectra were measured for detailed peak assignments (COSY, HMBC, and HMQC). HRMS analyses were carried out on an Agilent Technologies 6210 ESI-TOF (electrospray ionization, time of flight) instrument. IR spectra were measured with a JASCO FT/IR 4100 spectrometer equipped with a PIKE MIRacle[™] ATR instrument. UV/Vis spectra were recorded on a SPECORD S300 UV/Vis spectrometer (Analytic Jena) in guartz cuvettes (1 cm length). Absorption spectra of selected heteroleptic (dipyrrinato)iridium(III) are given in the S.I. section S13. Specified melting points were recorded on a Reichert Thermovar Apparatus and are not corrected.

Compounds $1 - 15^{[28a,b,d,e,33]}$, $16^{[34]}$, $18^{[27a]}$, $20 - 22^{[27a]}$, $24^{[28d]}$, $25^{[33]}$, $29^{[28d]}$, $30^{[28d]}$, and $72^{[28b]}$ were prepared according to the literature. Dipyrrins 16, 18, and 20 - 22 were previously synthesized *via* oxidation with DDQ.^[27a,34] In this work the oxidation was performed with *p*-chloranil to increase the yield of the corresponding dipyrrins 16, 18, and 20 - 22. Previously, dipyrrin 48 was described in the literature *via* one pot-multi-step synthesis,^[38] herein, a stepwise synthesis of 48 is presented.

General synthetic procedure for the oxidation of dipyrromethanes (16 – 30). The corresponding dipyrromethane (1 - 15, 1 equiv.) was dissolved in THF and *p*-chloranil or DDQ (1 equiv., suspended in THF) was added. The reaction mixture was stirred for the indicated time at room temperature. Afterwards, the solvent was evaporated at reduced pressure, the remaining solid was dissolved and filtered over a silica gel filled glass frit. The filtrate was evaporated to dryness and purified by column chromatography.

Generalsyntheticprocedureforthechlorido(dipyrrinato)(pentamethyl-η5-cyclopentadienyl)-iridium(III) complexes (31 – 45). The corresponding dipyrrin (16

- **30**, 1 equiv.) and the [IrCl₂Cp*]₂ (0.5 equiv.) were dissolved in DCM or THF. DIPEA (14 equiv.) was added and the mixture was stirred for 24 h at room temperature. The flask was shielded from ambient light with aluminum foil. After the indicated time, saturated NaCl solution was added and extracted with DCM several times. The combined organic layers were dried with Na₂SO₄, filtered, and evaporated to dryness. The crude product was purified by column chromatography and recrystallized.

Preparation of chlorido(5-pentafluorophenyl-1,3,7,9tetramethyldipyrrinato)(pentamethyl-n⁵-cyclopentadienyl)-

iridium(III) (50). Dipyrrin **48** (250 mg, 0.68 mmol), $[IrCl_2Cp^*]_2$ (272 mg, 0.34 mmol) and DIPEA (1.62 mL, 9.55 mmol) were dissolved in 10 mL of THF. The mixture was stirred for 24 h at room temperature. The flask was shielded from ambient light with aluminum foil. After the indicated time, saturated NaCl solution was added and extracted with DCM several times. The combined organic layers were dried with Na₂SO₄, filtered, and evaporated to dryness. After column chromatography (silica gel, EtOAc/*n*-

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hexane = 1/1, v/v) and recrystallization (DCM/*n*-hexane) complex **50** was obtained as an orange-green solid (161 mg, 0.22 mmol, 32%). M.p. >250 °C. ¹H NMR (500 MHz, CD₂Cl₂): δ (ppm) = 1.40 (s, 15H, Me_{Cp}-), 1.68 (s, 6H, Me), 2.62 (s, 6H, Me), 6.16 (s, 2H, H_{pyrrole}). ¹³C NMR (126 MHz, CD₂Cl₂): δ (ppm) = 8.6 (Me_{Cp}-), 15.1 (Me), 18.9 (Me), 86.8 (C_{Cp}-), 123.4 (CH_{pyrrole}), 130.6 (C_{meso}), 142.1 (C_{pyrrole}), 162.9 (C_{pyrrole}). ¹⁹F NMR (376 MHz, CD₂Cl₂): δ (ppm) = -162.07 - -161.89 (m, 2F, CF_{ortho}), -153.99 (t, *J* = 20.9 Hz, 1F, CF_{para}), -139.87 (dd, *J* = 24.3, 8.5 Hz, 1F, CF_{para}), -140.38 (dd, *J* = 51.5, 22.8 Hz, 2F, CF_{meta}). HRMS (ESI-TOF): *m/z* calcd. for C₂₉H₂₉F₅IrN₂+ [M-CI]+: 693.1875, found: 693.1857, *m/z* calcd. for C₅₈H₅₈CIF₁₀Ir₂N₄+ [2M-CI]+: 1421.3443, found: 1421.3393. UV/Vis (DCM): λ_{max} (nm) [log (ϵ /L mol⁻¹ cm⁻¹)] = 518 [4.62].

Preparation of chlorido(4-fluoro-3-nitrophenyl-1,3,7,9tetramethyldipyrrinato)(pentamethyl-n5-cyclopentadienyl)iridium(III) (51). Dipyrrin 49 (250 mg, 0.74 mmol), [IrCl₂Cp*]₂ (293 mg, 0.37 mmol) and DIPEA (1.75 mL, 10.31 mmol) were dissolved in 10 mL of THF. The mixture was stirred for 24 h at room temperature. The flask was shielded from ambient light with aluminum foil. After the indicated time, saturated NaCl solution was added and extracted with DCM several times. The combined organic layers were dried with Na₂SO₄, filtered, and evaporated to dryness. After column chromatography (silica gel, DCM/EtOAc = 1/1, v/v) and recrystallization (DCM/*n*-hexane) complex **51** was obtained as a red-orange solid (137 mg, 0.20 mmol, 27%). M.p. >250 °C. ¹H NMR (500 MHz, CD₂Cl₂): δ (ppm) = 1.41 (d, J = 5.4 Hz, 15H, Me_{Cp*}), 1.48 (d, J = 2.3 Hz, 6H, Me), 2.60 (s, 6H, Me), 6.14 (d, J = 2.6 Hz, 2H, H_{pyrrole}), 7.43 (ddd, J = 24.4, 10.8, 8.5 Hz, 1H, Ar-H_{meta}), 7.57 (ddd, J = 8.4, 4.2, 2.1 Hz, 1H, Ar-H_{ortho}), 7.97 (td, J = 7.7, 7.2, 2.2 Hz, 1H, Ar-H_{ortho}). ¹³C NMR (126 MHz, CD_2Cl_2): δ (ppm) = 9.0 (Me_{Cp*}), 16.9 (Me), 18.7 (Me), 86.6 (C_{Cp*}), 119.20 (d, J = 24.2 Hz, Ar-C_{meta}), 123.2 (CH_{pyrrole}), 126.50* (d, J = 2.4 Hz, Ar-C_{ortho}), 129.10 (d, J = 2.6 Hz,), 131.34 (d, J = 5.0 Hz, C_{pyrrole} , 136.14* (d, J = 8.6 Hz), 137.9 (Ar-C_{ortho}), 139.0 (C_{meso}), 143.40 (d, J = 5.7 Hz, C_{pyrrole}), 155.90 (d, J = 265.6 Hz, Ar-C_{para}), 162.0 (C_{pyrrole}). *These signals could not be assigned exactly to corresponding carbon atoms. They belong to the Ar-Cipso and the Ar-C_{nitro} of the aryl moiety. ¹⁹F NMR (376 MHz, CD₂Cl₂): δ (ppm) = -119.25 - -118.56 (m, 1F, CF). HRMS (ESI-TOF): m/z calcd. for C₂₉H₃₂FIrN₂O₂⁺ [M-CI]⁺: 666.2102, found: 666.2124. IR (ATR): $\tilde{\nu}$ (cm⁻¹) = 3052 [v(Ar-H)], 2960 and 2916 [v(Me)], 1616 and 1580 [v(C=C), v(C=N-)], 1532 [v_{as}(NO₂)], 1441 [δ(CH₂)], 1339 [*v*_{sym}(NO₂)], 1087 [*v*(C=CF)], 732 [δ(HC=CH)]. UV/Vis (DCM): λ_{max} (nm) $[\log (\epsilon/L \text{ mol}^{-1} \text{ cm}^{-1})] = 509 [4.54].$

General synthetic procedure for the (dipyrrinato)bis(2phenylpyridyl)iridium(III) complexes (52 – 66). The corresponding dipyrrin (16 – 30, 1 equiv.) and DIPEA (14 equiv.) were dissolved in THF. Under an argon atmosphere the [IrCl(ppy)₂]₂ (0.5 equiv.) was added and the mixture was stirred for 24 h at reflux. After the indicated time, DCM was added and washed with water several times. The organic layer was dried with Na₂SO₄, filtered, and evaporated to dryness. The crude product was purified by column chromatography and recrystallized.

General synthetic procedure for nucleophilic substitution of 52 with amines. Complex 52 (1 equiv.) and the corresponding amine (20 equiv.) were dissolved in DMSO. The mixture was stirred for 24 h at 80 °C. After the indicated time, the mixture was diluted with DCM and washed with water several times. The

organic layer was dried with Na_2SO_4 , filtered, and evaporated to dryness. The crude product was purified by column chromatography and recrystallization.

General synthetic procedure for nucleophilic substitution of 52 with alcohols. Complex 52 (1 equiv.) was dissolved in THF, freshly powdered potassium hydroxide (5 equiv.), and the corresponding alcohol (10 equiv.) were added. The mixture was stirred for 24 h at room temperature. Afterwards, the mixture was diluted with DCM and washed several times with water. The organic layer was dried with Na_2SO_4 , filtered, and evaporated to dryness. The crude product was purified by column chromatography.

General synthetic procedure for nucleophilic substitution of 60 with amines. Complex 60 (1 equiv.) and the corresponding amine (20 equiv.) were dissolved in DCM. The mixture was stirred for 2 h at room temperature. After the indicated time, the mixture was diluted with EtOAc and washed with water several times. The organic layer was dried with Na_2SO_4 , filtered, and evaporated to dryness. The crude product was purified by column chromatography.

General synthetic procedure for glycosylation of 52 and 60. The complex **52** or **60** (1 equiv.) and the corresponding thiocarbohydrate sodium salt (1.2 equiv.) were dissolved DMF. The mixture was stirred for the indicated time at room temperature. Afterwards, 5 ml of water was added and stirred for additional 5 min at room temperature. Due to the high polarity of the product, the mixture was directly evaporated to dryness with a rotary evaporator. The crude product was purified by column chromatography and recrystallization.

X-ray Crystallography. The compounds 31, 51, 54, 60 and 66 were each crystallized by slow evaporation of a solution of the target compound in dichloromethane (31, 60), layered dichloromethane/hexane (54) or toluene (51, 66) following the concept developed by Hope,^[73] and the crystal structure obtained from patterns collected on a Bruker APEX-II Duo diffractometer with $Cu_{K\alpha}$ or $Mo_{K\alpha}$ as indicated in Table S1.1.1 Data reduction and multi-scan absorption corrections were applied with the Bruker APEX3 package.^[74] Structures were solved using SHELXT,^[75] and refinements were performed against |F²| using SHELXL in the ShelXle^[76] GUI. All non-H atoms were refined with anisotropic thermal parameters, with H atoms as riding isotropic thermal parameters. C-bound H-positions were constrained to geometrically optimized positions, N-bound H atoms were positionally refined. Additional refinement details are presented in S.I. section S1.1.2.

CCDC 2035034-2035038 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre.

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Heteroleptic dipyrrinato iridium complexes were synthesized and evaluated for their phototoxic activity against tumor cells and bacteria, the Gram-positive germ *S. aureus* and the Gram-negative Germ *P. aeruginosa*. Specifically, glycosylated phenylpyridyl-dipyrrinato complexes showed a high phototoxic effect against cells and *S. aureus*. Certain cyclopentadienyl-dipyrrinato complexes exerted a high phototoxic effect on *S. aureus* and *P. aeruginosa*.