

Chiral Ruthenium Lewis Acid Catalyzed
Intramolecular Diels–Alder Reactions

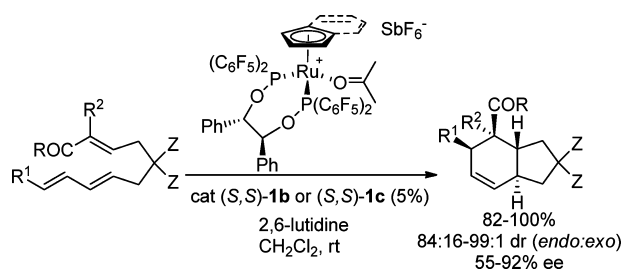
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ABSTRACT



Single point binding ruthenium Lewis acid catalysts [Ru(acetone)(*S,S*)-BIPHOP-F)Cp][SbF₆] ((*S,S*)-1b) and [Ru(acetone)(*S,S*)-BIPHOP-F)(indenyl)][SbF₆] ((*S,S*)-1c) efficiently catalyze intramolecular Diels–Alder (IMDA) reactions under mild conditions to afford the *endo* cycloaddition products as the major product in excellent yields with high diastereo- and enantioselectivities.

Cycloaddition reactions with their potential for a high degree of stereo- and regio-control are arguably the most versatile reactions for the construction of five- and six-membered rings. Spectacular asymmetric versions have been achieved by using chiral Lewis acid catalysts.¹ Our studies in this area focused on one-point binding chiral iron and ruthenium Lewis acids that are based on structurally well-defined monocationic half-sandwich complexes that incorporate a C₂-symmetric perfluoroaryl phosphinite ligand (Figure 1).

These mild chiral Lewis acids proved to be excellent catalysts for the conjugate addition of thiophenols to enones,² intermolecular Diels–Alder reactions of dienes with enals³ and enones,⁴ and 1,3-dipolar cycloadditions with nitrones⁵ and nitrile oxides.^{5a,6}

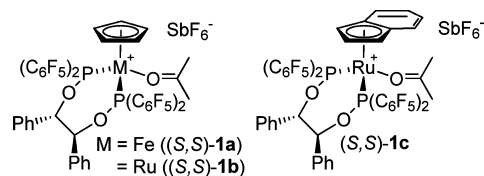


Figure 1. Single-point binding chiral Ru Lewis acid catalysts.

In this communication, we extend the application of catalysts (*S,S*)-1b and (*S,S*)-1c to the intramolecular

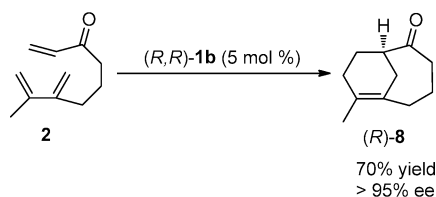
(1) (a) Reymond, S.; Cossy, J. *Chem. Rev.* **2008**, *108*, 5359. (b) Shen, J.; Tan, C.-H. *Org. Biomol. Chem.* **2007**, *6*, 3229. (c) Corey, E. J. *Angew. Chem., Int. Ed.* **2002**, *41*, 1650. (d) Dias, L. C. J. *Braz. Chem. Soc.* **1997**, *8*, 289. (e) Ishihara, K.; Yamamoto, H. *Advances in Catalytic Process*; Doyle, M., Ed.; JAI Press: London, United Kingdom, 1995; Vol. 1, pp 29. (f) Evans, D. A.; Johnson, J. S. *Comprehensive Asymmetric Catalysis*; Jacobsen, E. N., Pfaltz, A., Yamamoto, H., Eds.; Springer: Berlin, Germany, 1999; Vol. 3, Chapter 33.1.

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(3) (a) Kündig, E. P.; Alezra, V.; Bernardinelli, G.; Corminboeuf, C.; Frey, U.; Merbach, A. E.; Saudan, C. M.; Viton, F.; Weber, J. *J. Am. Chem. Soc.* **2004**, *126*, 4843. (b) Kündig, E. P.; Anil Kumar, P. G.; Pregosin, P. S.; Vallet, M.; Bernardinelli, G.; Jassar, R. F.; Viton, F. *Organometallics* **2004**, *23*, 5410. (c) Kündig, E. P.; Saudan, C. M.; Alezra, V.; Viton, F.; Bernardinelli, G. *Angew. Chem., Int. Ed.* **2001**, *40*, 4481. (d) Kündig, E. P.; Saudan, C. M.; Viton, F. *Adv. Synth. Catal.* **2001**, *343*, 51. (e) Kündig, E. P.; Saudan, C. M.; Bernardinelli, G. *Angew. Chem., Int. Ed.* **1999**, *38*, 1220. (f) Kündig, E. P.; Bruin, M. E. *Chem. Commun.* **1998**, 2635. (g) Kündig, E. P.; Bourdin, B.; Bernardinelli, G. *Angew. Chem., Int. Ed.* **1994**, *33*, 1856.

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Scheme 1. Synthesis of Bridgehead Adduct **8**



Diels–Alder (IMDA) reaction. A first example was reported previously and involved trienone **2** and (R,R) -**1b** as catalyst to construct the bicyclic adduct (R) -**8** (Scheme 1).^{4,7} This result encouraged us to explore the IMDA reaction of trienes **3–7** (Figure 2).

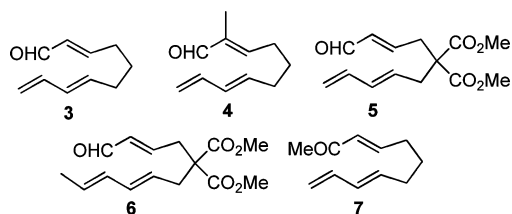
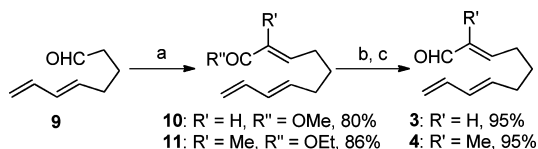


Figure 2. Trienes for the asymmetric IMDA reaction.

Horner–Wadsworth–Emmons reaction of aldehyde **9**^{8a,b} with methyldiethylphosphonoacetate and triethylphosphonopropionate, respectively, afforded α,β -(*E*)-unsaturated esters **10**^{8c} and **11** in good yield (Scheme 2). A reduction/oxidation sequence afforded trienes **3**^{9a,b} and **4**^{9c} in excellent yield.

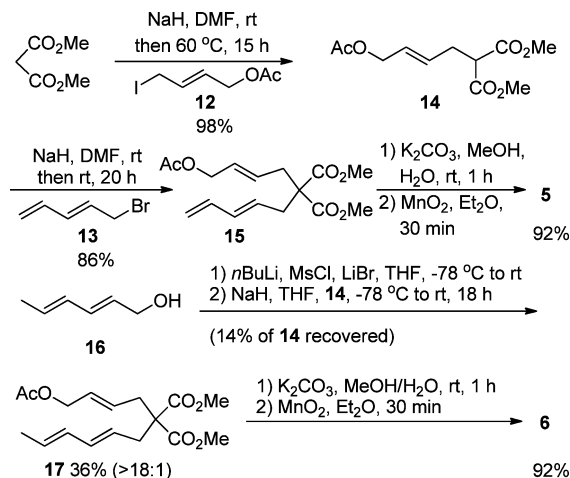
Scheme 2. Synthesis^a of Trienes **3**^{9a,b} and **4**^{9c}



^a Reagents and conditions: (a) NaH, THF, rt, then methyl diethylphosphonoacetate for **10** or triethylphosphonopropionate for **11**; (b) DIBALH, Et₂O, 0 °C, 2 h; (c) MnO₂, CH₂Cl₂, rt, 1 h.

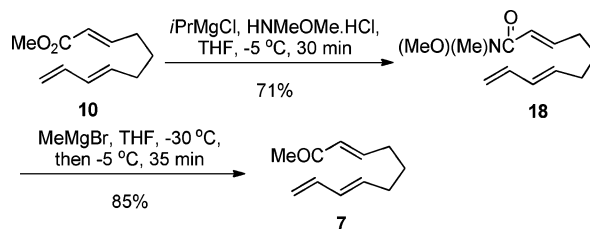
Trienes **5** and **6** were synthesized by using two sequential alkylations of dimethylmalonate followed by selective saponification and oxidation (Scheme 3).¹⁰

Scheme 3. Synthesis of Trienes **5** and **6**



The keto-triene **7** was prepared from ester **10** in high yield via the Weinreb amide **18** and reaction with MeMgBr (Scheme 4).

Scheme 4. Synthesis of Triene **7**



The results of IMDA reactions catalyzed by Lewis acids (*S,S*)-**1b** and (*S,S*)-**1c** are detailed in Table 1. Trienes **3** and **4** gave the cycloadducts **19** and **20** (entries 1–4), in good yields with high enantioselectivities albeit long reaction times were required. Whereas **19** was obtained with excellent *endo*-diastereoselectivity, the *endo/exo* ratio was somewhat lower for **20** (entries 3–4). Both catalysts gave similar results though reaction times to completion were generally shorter for catalyst (*S,S*)-**1c**.

As expected, the IMDA reactions of trienes **5** and **6** were more efficient (Thorpe–Ingold effect¹¹) (entries 5–8) and reaction times were reduced from days to hours. Trienes **3**

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(6) Kündig, E. P.; Brinkmann, Y.; Madhushaw, R. J.; Jassar, R.; Bernardinelli, G. *Tetrahedron* **2007**, *63*, 8413.

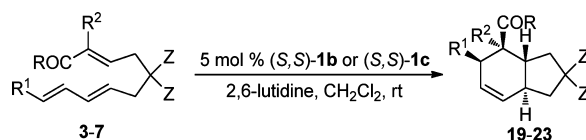
(7) *Rac*-**8** was a key intermediate in the synthesis of Ledol: (a) Gwaltney, S. L.; Sakata, S. T.; Shea, K. J. *J. Org. Chem.* **1996**, *61*, 7437. (b) Gwaltney, S. L.; Shea, K. J. *Tetrahedron Lett.* **1996**, *37*, 949.

(8) (a) Spino, C.; Crawford, J.; Bishop, J. *J. Org. Chem.* **1995**, *60*, 844. (b) Spino, C.; Crawford, J. *Tetrahedron Lett.* **1994**, *35*, 5559. (c) Roush, W. R.; Gillis, H. R.; Ko, A. I. *J. Chem. Am. Soc.* **1982**, *104*, 2269.

(9) (a) Ishihara, K.; Kurihara, H.; Yamamoto, H. *J. Am. Chem. Soc.* **1998**, *120*, 6920. (b) Ishihara, K.; Kurihara, H.; Yamamoto, H. *J. Am. Chem. Soc.* **1996**, *118*, 3049. (c) Furuta, K.; Kanematsu, A.; Yamamoto, H. *Tetrahedron Lett.* **1989**, *30*, 7231.

(10) Carrying out the synthesis of **17** analogously to that of **15** afforded an inseparable mixture of S_N2, S_N2', and S_N2'' reaction products, hence the modification.

(11) Beesley, R. M.; Ingold, C. K.; Thorpe, J. F. *J. Chem. Soc., Trans.* **1915**, *107*, 1080.

Table 1. Enantioselective IMDA Reaction with Chiral Ru Lewis Acids

entry	triene	catalyst	2,6-lutidine (mol %)	time ^b	product ^c	yield (%) ^d	endo/exo	ee (%)
1	3	(<i>S,S</i>)- 1b	2	9 d	19 R = R ¹ = R ² = Z = H	82	99/1	72 ^e
2	3	(<i>S,S</i>)- 1c	2	7 d	19 R = R ¹ = R ² = Z = H	92	99/1	84 ^e
3	4	(<i>S,S</i>)- 1b	2	7 d	20 R = R ¹ = Z = H, R ² = Me	82	84/16	92, 91 ^f
4	4	(<i>S,S</i>)- 1c	2	6 d	20 R = R ¹ = Z = H, R ² = Me	85	81/19	84, 90 ^f
5	5	(<i>S,S</i>)- 1b	5	5 h	21 R = R ¹ = R ² = H, Z = CO ₂ Me	quant	99/1	43 ^g
6	5	(<i>S,S</i>)- 1c	5	4 h	21 R = R ¹ = R ² = H, Z = CO ₂ Me	quant	99/1	84 ^g
7	6	(<i>S,S</i>)- 1b	5	5 h	22 R = R ² = H, R ¹ = Me, Z = CO ₂ Me	quant	99/1	55 ^h
8	6	(<i>S,S</i>)- 1c	5	4 h	22 R = R ² = H, R ¹ = Me, Z = CO ₂ Me	quant	99/1	56 ^h
9	7	(<i>S,S</i>)- 1b	2	7 d	23 R = Me, R ¹ = R ² = Z = H	10	99/1	0 ⁱ
10	7	(<i>S,S</i>)- 1c	2	7 d	23 R = Me, R ¹ = R ² = Z = H	12	99/1	0 ⁱ

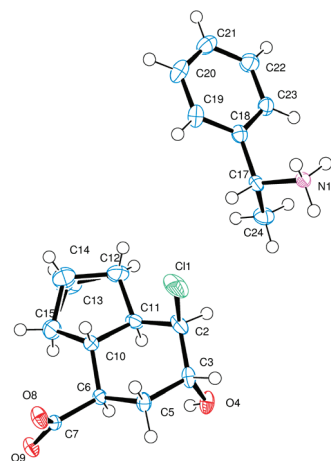
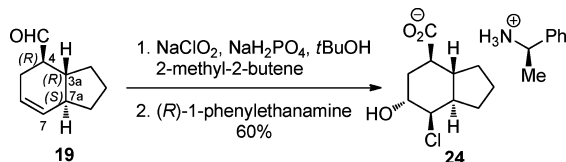
^a All reactions were carried out in 0.15–0.30 M concentration. 2,6-Lutidine (2–5 mol %) was added to scavenge acid impurities. Results shown are the average of a minimum of three experiments. ^b IMDA reactions of **3**, **4**, and **7** were followed by TLC and **5** and **6** by IR. ^c Racemic reactions of **3**, **4**, and **7** were performed with AlEt₂Cl and **5** and **6** with SiO₂. ^d Isolated yield. ^e ee of *endo*-isomer, determined by GC analysis. ^f ee of *exo*-isomer, determined by GC analysis. ^g ee, determined by ¹H NMR of its chiral amine derivative (see Supporting Information); 4% conversion was observed for the background reaction in the absence of catalyst. ^h Twenty-six percent conversion was observed for the background reaction in the absence of catalyst. ⁱ Eight percent conversion was observed for the background reaction in the absence of catalyst.

and **5** provided adducts **19** and **21** with higher ee using (*S,S*)-**1c** than with (*S,S*)-**1b**. In the case of triene **6**, both Ru catalysts afforded adduct **22** with modest ee because of the high background reaction of this triene as mentioned in footnote h of Table 1.

IMDA reaction conditions of triene **7** (entries 9–10) were screened but under all conditions adduct **23** was obtained in racemic form. This presumably reflects the lower reactivity of keto-dienophiles compared to the aldehyde substrates.

Trienals **3** and **4** were used previously by Yamamoto and co-workers in the IMDA reaction with the chiral boron Lewis acids BLA^{9a,b} and CAB.^{9c} The absolute configuration of adduct **19** (with (*S,S*)-**1c**, 84% ee) was initially established by comparison with the literature data^{9b} in which the *endo*-isomer was described as the major adduct.¹² But the aldehyde protons of *endo* and *exo*-isomers of **19** are extremely close (δ_{exo} 9.67 and δ_{endo} 9.69) and difficult to distinguish. Bicyclic aldehyde **19** was therefore submitted to Pinnick oxidation¹³ (Scheme 5). In addition to oxidizing the aldehyde function,

(*R*)-1-phenylethanamine as crystallization partner (Figure 3). The structure shows that the ring junction is *trans*-configured and consequently, **19** is the *endo*-isomer with (3*aR*,4*R*,7*aS*)-configuration.

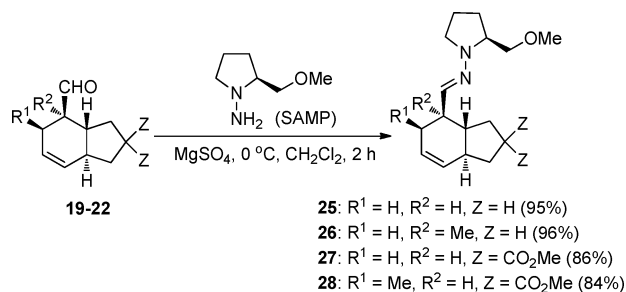
**Figure 3.** X-ray structure of carboxylate salt **24**.¹⁴**Scheme 5.** Synthesis of Carboxylate **24**

we observed concomitant chlorohydroxylation of the alkene. Crystals suitable for X-ray analysis of **24** were obtained using

Adducts **19**–**22** were converted to their corresponding hydrazones **25**–**28** (Scheme 6) because their CD spectra could not be measured due to the overlap of the aldehyde peak with the solvent peak. The absolute configurations of **26**–**28** were assigned based on comparison of their the CD spectra with that of **25** (see Supporting Information).

(12) Lit. correction: The structure and the name of the *endo* product in the Supporting Information of ref 9b was interchanged and the wrong structure was accidentally drawn in ref 9a. E-mail correspondence with K. Ishihara.

Scheme 6. Synthesis of Hydrozones **25–28**



X-ray structures of chiral Ru Lewis acid/substrate complexes were instrumental for the interpretation of observed selectivities in cycloaddition reactions.^{2–6} For the IMDA reaction involving triene **3** the diene approach leading to the observed *endo* product **19** was modeled using the X-ray structure of (*S,S*)-**1c**. It is proposed that the enal dienophile (orange) coordinates to the Ru in an *anti-s-trans* conformation and the diene (blue) approaches the *Re*-face of the enal moiety in an *endo* mode. The *Si*-face is shielded by the pentafluorophenyl moiety of the (*S,S*)-BIPHOP-F ligand (Figure 4). This results in the observed product stereochemistry of **19**.

Background reactions apart, we presume the product ee to reflect the competition between the two possible orientations of the coordinated dienophile (*anti-s-trans* or *syn-s-trans*). In intramolecular DA reactions of enals, the *anti-s-trans* conformation always dominates, though *syn-s-trans* coordination in the transition state is operative in conjugate addition of thiophenols to enones,² and in intermolecular DA reactions of some diene/enone reactions⁴ with these catalysts. IMDA reaction of trienes **3** and **5** catalyzed by (*S,S*)-**1b** afford adducts **19** and **21**, respectively, in lower ee than the reactions catalyzed by (*S,S*)-**1c** (compare entries 1 and 2, respectively 5 and 6). It can be argued that this is because the indenyl roof of **1c** more strongly disfavors a reaction occurring *via* a *syn-s-trans* coordinated dienophile.

(13) Bal, B. S.; Childers, W. E., Jr.; Pinnick, H. W. *Tetrahedron* **1981**, 37, 2091.

(14) CCDC-789209 contains the supplementary crystallographic data for **24**. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre (CCDC) via http://www.ccdc.cam.ac.uk/data_request/cif.

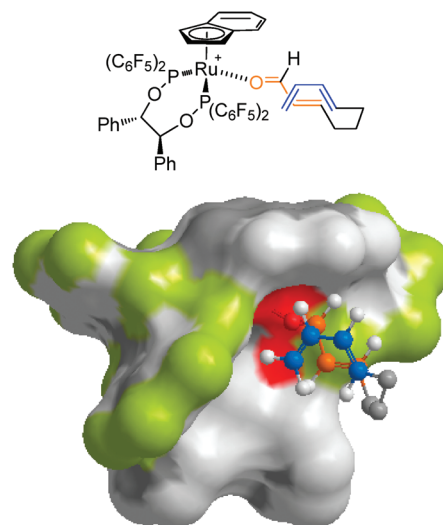


Figure 4. Modeled approach of trienal **3** coordinated to Ru in (*S,S*)-**1c** in an *anti-s-trans* orientation (catalyst part taken from the X-ray structure of [Ru(acetone)(*S,S*)-BIPHOP-F]Ind][SbF₆] ((*S,S*)-**1c**).^{3c} Projection onto the C_α-*Re* face of the enal and showing the *endo* approach of diene. This model rationalizes the product's (3*aR*,4*R*,7*aS*)-configuration.

The favored *anti-s-trans* conformation then leads to a product in higher ee. For triene **4** (entries 3 and 4), the α-methyl substituent enforces the *anti-s-trans* conformation.

In conclusion, the chiral Ru Lewis acids (*S,S*)-**1b** and (*S,S*)-**1c** can satisfactorily catalyze diastereo- and enantioselective IMDA reactions of suitable trienes. This method allows the formation of highly enantiomerically enriched bicyclic products of potential use in synthesis.

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Supporting Information Available: Details of experimental procedures and spectroscopic characterization (¹H and ¹³C NMR, IR, and HRMS) of all new compounds. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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