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Annulated butanolides by ring closing metathesis of diallyltetronic acid derivatives

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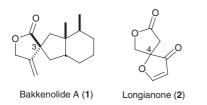
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Abstract—3,3-Diallyldihydrofuran-2,4-diones **5** with two identical allyl residues were obtained by Tsuji–Trost-type Pd-catalysed allylation of either 4-*O*-allyltetronates or 3-allyltetronic acids. Allylation of sodium 3-allyltetronate with a second allyl acetate gave mixed derivatives **5** as did the Claisen rearrangement of 4-*O*-allyl 3-allyltetronates **6** under microwave conditions. Compounds **5** and **6** were converted to butanolides with 3,3-spirocyclopentenyl or 3,4-cycloalkanyl annulation by ring closing metathesis with Grubbs catalysts.

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Numerous fungal and plant metabolites with spiroannulated y-butyrolactone structures have been reported over the last few decades. As part of our ongoing efforts towards the structural cores of the bakkanes,¹ for example bakkenolide A $1,^2$ and of compounds like longianone 2^3 we explored the feasibility of double allylation-ring closing metathesis sequences. 3,3-Diallyldihydrofuran-2,4-diones with identical allyl residues have been obtained by allylation of tetronic acids with either allyl halides/base followed by thermal Claisen rearrangement of the intermediate 3,4-diallyl tetronates,^{4,5} or with allyl acetates under Pd catalysis resulting in moderate yields or product mixtures.⁶ Congeners with two different allyl residues were not accessible likewise. A single ring closing metathesis, namely of symmetrical 3.3-diallyldihydrofuran-2.4-dione has been reported.⁷ In this paper we investigate the generality of such and similar approaches to 3-spiro and 4-spiro-

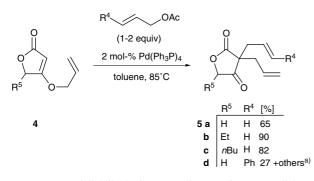


Keywords: Tetronic acid; Metathesis; Spiro compounds; Microwave. * Corresponding author. Tel.: +49 0921 552680; fax: +49 0921 552671; e-mail: rainer.schobert@uni-bayreuth.de

annulated or 3,4-fused butanolides as occurring in natural products.

The most serious obstacle to using Pd-mediated allylation protocols⁸ is the mobility of allyl residues attached to either the 3- or the 4-position of tetronic acids in the presence of Pd⁰, which fact reflects the reversibility of the C-C bond formation step under the customary conditions. Both 3-allyltetronic acid 3^9 and 4-O-allyl tetronates 4 when treated with $Pd(Ph_3P)_4$ in toluene at ca. 80 °C 'disproportionated' to give 30-40% of the corresponding 3,3-diallyldihydrofuran-2,4-diones 5 besides a similar quantity of easy to separate de-allylated tetronic acids. The latter can also be converted to 5 by reaction with an excess of external allyl acetate in the presence of Pd⁰ and of a base such as DBU to increase their solubility. As depicted in Scheme 1, symmetrical 3,3-diallyldihydrofuran-2,4-diones 5a-c were obtained in good yields from 4-O-allyltetronates 4 and allyl acetate.¹⁰ 3-Allyl-3-cinnamyldihydrofuran-2,4-dione 5d, however, was formed merely as a mixture with 5a and 3,3-dicinnamyldihydrofuran-2,4-dione, when allyltetronate 4a was treated with cinnamyl acetate (Scheme 1).

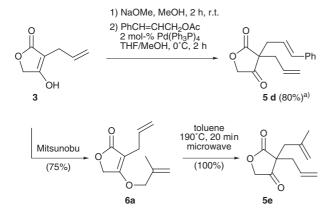
Yet 'mixed' 3,3-diallyl derivatives were accessible in two other ways. The first one exploits the fact that the above mentioned Pd-mediated scrambling of allyl residues requires elevated temperatures. By deprotonating 3-allyltetronic acids with sodium alkoxides well soluble sodium tetronate salts with a more strongly nucleophilic tetronate anion are obtained.¹¹ These in turn are readily allylated by a second external allyl acetate at 0 °C



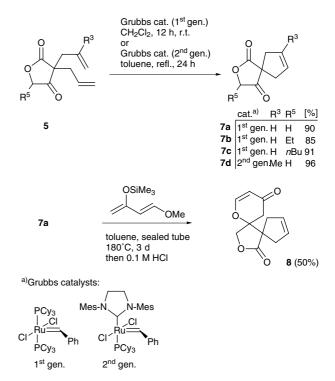
Scheme 1. 3,3-Diallyldihydrofuran-2,4-diones 5 from 4-O-allyltetronates and allyl acetates under Tsuji conditions; (a) + 5a (26%) + 3,3-dicinnamyldihydrofuran-2,4-dione (36%).

without scrambling of the allyl residues at a noticeable degree (Scheme 2, top).¹² An alternative high-yielding route to mixed 3,3-diallyldihydrofuran-2,4-diones **5** consists of the esterification of 3-allyltetronic acids such as **3** with a different allylic alcohol¹³ followed by a thermal Claisen rearrangement of the intermediate 4-*O*-allyl 3-allyltetronate **6** (Scheme 2, bottom).¹⁴ When carried out under microwave irradiation the Claisen step proceeded quantitatively and without allyl scrambling. The esterification of **3** with methallylic alcohol to give **6a** was only possible under modified Mitsunobu conditions¹⁵ while both the Steglich–Hassner as well as our own isourea¹⁶ method failed completely.

Ring closing metathesis reactions were then carried out with bis-allyl tetronates of types **5** and **6** to build up the structural target motifs of butanolides with 3,3-spirocyclopentenyl, with 4,4-spiro-oxacycloalkanyl and with 3,4-cycloalkanyl annulation. Metathesis reaction of various 3,3-diallylfurandiones **5** with Grubbs catalysts gave 3,3-spirocyclopentenyldihydrofuran-2,4-diones **7** in good to excellent yields (Scheme 3, top). While first generation catalyst (Pcy₃)₂Cl₂Ru=CHPh was efficacious in the RCM of derivatives with two C₃H₅ residues,¹⁷ a second generation catalyst and harsh conditions were required for the ring closure of **5e**, most likely due to sterical hinderance.^{18,19} Residual Ru compounds responsible for a greyish to black hue of the crude prod-



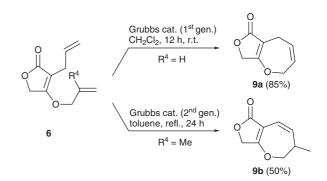
Scheme 2. Mixed 3,3-diallyldihydrofuran-2,4-diones 5d,e; (a) + 5a (8%) + 3,3-dicinnamyldihydrofuran-2,4-dione (5%).



Scheme 3. 3,3-Spirocyclopentenyldihydrofuran-2,4-diones 7 and 3,4-dispirobutanolide 8.

ucts were removed by treatment with 4 mol % of lead tetraacetate according to Paquette et al.²⁰ We then treated **7a** with ethyl sorbinate in order to complete the bakkane framework via a Diels–Alder reaction with the cyclopentene. No reaction was observed under classical thermal (PhMe, sealed tube, 180 °C) nor under Lewis acid catalysed [2 mol % Yb(OTf)₃ or EtAlCl₂, 180 °C, PhMe] conditions. However, when compound **7a** was heated with Danishefsky's diene in a sealed tube in toluene the corresponding hetero-Diels Alder 3,4-dispiro adduct **8** was obtained instead in 50% yield after chromatography and recrystallisation (Scheme 3, middle).²¹

RCM of 4-*O*-allyl 3-allyltetronates **6** led to the corresponding furo[3,4-b]dihydrooxepines **9**. Again, the allyl-methallyl derivative **6a** required a second generation Grubbs catalyst and forcing conditions causing a concomitant shift of the double bond into a conjugated position furnishing **9b** (Scheme 4).^{17,18} Alkene



Scheme 4. Furo[3,4-b]dihydrooxepines 9.

isomerisation as a side or a follow-up reaction to metathesis processes initiated with Grubbs catalysts has been frequently reported, especially for allylic alcohols and allyl ethers.²²

In conclusion two efficient syntheses of 3,3-diallyldihydrofurandiones-2,4 **5** with different allyl residues were developed, one by Pd-catalysed Tsuji allylation of the sodium salts of 3-allyltetronic acids, the other by Claisen rearrangement of 4-*O*-allyl 3-allyltetronates. Ring-closing metathesis of **5** with Grubbs catalysts furnished 3-spirocyclopentenyldihydrofurandiones-2,4 7 while RCM of the 4-*O*-allyl 3-allyltetronate precursors gave furo[3,4-*b*]dihydrooxepinones **9**. In line with the known literature on RCM, the proper choice of the catalyst very much depends on the degree of substitution of the olefins.

Acknowledgements

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- Compound 5a⁷ from 4a—typical procedure: 4a¹² (500 mg, 3.6 mmol), Pd(Ph₃P)₄ (80 mg, 2 mol %), allyl acetate (390 mg, 3.9 mmol) and toluene (10 mL) were stirred in the dark at 85 °C for 2 h. Filtration over Celite[®], removal of the solvent and column chromatography (CC) (silica gel 60; hexane/Et₂O, 2:3, v/v; R_f 0.78) left a colourless liquid (418 mg, 65%); v_{max} (ATR)/cm⁻¹ 1802, 1752, 1214; ¹H NMR (300 MHz, CDCl₃): δ 2.44 (4H, d, ³J 7.3 Hz), 4.35 (2H, s), 5.08 (2H, d, ³J 9.7 Hz), 5.09 (2H, d, ³J 17.3 Hz),

5.57 (2H, ddt, ³J 7.3, 9.7, 17.3 Hz); ¹³C NMR (75.5 MHz, CDCl₃): δ 38.9, 53.9, 73.2, 121.2, 129.9, 175.6, 209.9; *m*/z (EI) 180 (M^+ , 2%), 139 (64%), 79 (95%), 41 (100%). Compound **5b**: R_f 0.73 (hexane/Et₂O, 3:2), red oil; v_{max} (ATR)/cm⁻¹ 1797, 1751, 1211; ¹H NMR (300 MHz, CDCl₃): δ 0.98 (3H, t, ³J 7.5 Hz), 1.51–1.69 (1H, m), 1.72–1.89 (1H, m), 2.41 (4H, d, ³J 7.7 Hz), 4.29 (1H, dd, ³J 4.5, 8.6 Hz), 5.01–5.12 (4H, m), 5.46–5.65 (2H, m); ¹³C NMR (75.5 MHz, CDCl₃): δ 9.6, 23.8, 37.9, 40.3, 54.5, 85.7, 120.8, 121.2, 129.9, 130.8, 175.3, 211.7; m/z (EI) 208 (M⁺, 5%), 166 (33%), 79 (100%), 41 (79%). Compound **5c**: $R_{\rm f}$ 0.83 (hexane/Et₂O, 3:2), yellow oil; $v_{\rm max}$ (ATR)/cm⁻¹ 1798, 1755, 1214; ¹H NMR (300 MHz, CDCl₃): δ 0.83 (3H, t, ³*J* 7.2), 1.10–1.40 (4H, m), 1.40–1.60 (1H, m), 1.65– 1.87 (1H, m), 2.41 (4H, dm, ³J 7.5 Hz), 4.34 (1H, dd, ³J 4.3, 9.1 Hz), 5.05 (2H, m), 5.11 (2H, m), 5.45–5.70 (2H, m); ¹³C NMR (75.5 MHz, CDCl₃): δ 13.6, 22.0, 27.3, 30.1, 38.1, 40.3, 54.5, 84.7, 120.8, 121.2, 129.9, 130.8, 175.4, 211.9; m/z (EI) 236 (M⁺, 5%), 194 (14%), 79 (100%), 41 (29%).

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- 12. Compound 5d from 3: 3 (200 mg, 1.43 mmol), NaOMe (79.5 mg, 1.43 mmol) and dry MeOH (10 mL) were stirred at rt for 2 h. The solvent was removed to give a hygroscopic sodium salt, which was re-dissolved in THF/MeOH and stirred with Pd(Ph₃P)₄ (32 mg, 2 mol %) and cinnamyl acetate (276 mg, 1.57 mmol) at 0 °C in the dark for 2 h. Filtration over Celite[®], concentration and CC (silica gel 60; hexane/Et₂O, 2:3, v/v; $R_{\rm f}$ 0.72) left a colourless oil (292 mg, 80%); v_{max} (ATR)/cm⁻ 1801, 1753, 1218; ¹H NMR (300 MHz, CDCl₃): δ 2.48 (2H, d, ³J 7.3 Hz), 2.59 (2H, d, ³J 7.4 Hz), 4.30 (2H, s), (211, d, ^{3}J) (211, d, ^{3}J) (211, d, ^{3}J) (211, d), (211, d) m); ¹³C NMR (75.5 MHz, CDCl₃): δ 38.3, 39.1, 54.3, 73.3, 120.8, 121.3, 126.4, 127.9, 128.3, 129.9, 136.0, 136.1, 175.8, 210.1; *m*/*z* (EI) 256 (M⁺, 4%), 215 (6%), 117 (100%), 104 (35%).
- 13. Compound 6a from 3: DIAD (940 mg, 4.65 mmol) was added dropwise to Ph₃P (1.22 g, 4.65 mmol) in THF (10 mL) at -78 °C whereupon a white solid formed. 3 (500 mg, 3.57 mmol) in THF (5 mL) was slowly added at -78 °C. After addition of methallylic alcohol (390 mg, 5.41 mmol) to the clear solution it was warmed to rt while stirring and treated with aqueous NaHCO3 solution (pH 10) and Et₂O (3×10 mL). Drying and concentrating of the organic layers and CC (silica gel 60; hexane/Et₂O, 2:3, v/v; $R_{\rm f}$ 0.57) of the residue afforded a colourless oil (519 mg, 75%); v_{max} (ATR)/cm⁻¹ 1746, 1667, 1045; ¹H NMR (300 MHz, CDCl₃): δ 1.72 (3H, s), 2.94 (2H, d, ³J 6.2 Hz), 4.49 (2H, s), 4.64 (2H, s), 4.95 (2H, m), 4.96 (2H, mc), 5.81 (1H, ddt, ${}^{3}J$ 6.2, 10.0, 17.0 Hz); ${}^{13}C$ NMR (75.5 MHz, CDCl₃): δ 18.7, 26.2, 65.5, 73.6, 101.2, 113.8, 115.5, 134.2, 139.2, 172.4, 174.3; m/z (EI) 194 (M⁺, 10%), 161 (27%), 139 (60%), 55 (100%).
- 14. Compound 5e from 6a: 6a (500 mg, 2.57 mmol) in toluene (8 mL) was irradiated in a microwave oven (CEM Discovery[®]) at 190 °C for 20 min. Removal of the solvent and CC (silica gel 60; hexane/Et₂O, 2:3, v/v; *R*_f 0.76) left a colourless oil (500 mg, 100%); *v*_{max} (ATR)/cm⁻¹ 1804, 1754, 1044; ¹H NMR (300 MHz, CDCl₃): δ 1.65 (3 H, dd, ⁴J 0.9, 1.4 Hz), 2.47 (2H, ddd, ³J 7.3, ⁴J 1.4, 1.2 Hz), 2.50 (2H, s), 4.38 (2H, s), 4.68 (1H, m), 4.83 (1H, m), 5.12 (1H, m), 5.13 (1H, m), 5.60 (1H, ddt, ³J 17.3, 9.7, 7.3 Hz); ¹³C NMR (75.5 MHz, CDCl₃): δ 23.8, 40.3, 42.6, 54.2, 73.6, 116.1, 121.4, 129.8, 139.4, 176.2, 210.2; *m/z* (EI) 194 (M⁺,

2%), 176 (50%), 139 (88%), 55 (100%). Satisfactory microanalyses (C, 0.2; H, 0.1) were obtained for **5a–e**.

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- 17. Compound 7a from 5a-typical procedure: 5a (330 mg, 1.8 mmol) dissolved in dry CH₂Cl₂ (15 mL) was treated with (Pcy₃)₂Cl₂RuCHPh (30 mg, 2 mol %) and the mixture was stirred for 24 h at rt. Pb(OAc)₄ (32 mg, 4 mol %) was added and stirring was continued for a further 20 h. Filtration over a Celite[®] plug (3 cm), concentration of the filtrates and CC (silica gel 60; Et₂O; R_f 0.64) left a white powder (250 mg, 90%), mp 72 °C; v_{max} (ATR)/cm⁻¹ 1781, 1745, 1246, 1047; ¹H NMR (300 MHz, CDCl₃): δ 2.70-2.92 (4H, m), 4.65 (2H, s), 5.65 (2H, s); ¹³C NMR (75.5 MHz, CDCl₃): δ 42.4, 50.8, 72.1, 127.4, 177.6, 209.3; m/z (EI) 152 (M⁺, 80%), 110 (41%), 94 (33%), 66 (100%). Compound 7b: $R_f 0.63$ (hexane/Et₂O, 2:3), yellow oil; v_{max} (ATR)/cm⁻¹ 1796, 1747, 1242, 1046; ¹H NMR (300 MHz, CDCl₃): δ 0.99 (3H, t, ³J 7.4 Hz), 1.70–1.88 (1H, m), 1.88– 2.05 (1H, m), 2.68 (1H, d, ²J 16.5 Hz), 2.74 (1H, d, 16.2 Hz), 2.86 (1H, d, 2J 16.5 Hz), 2.86 (1H, d, 2J 16.2 Hz), 4.70 (1H, dd, 3J 4.8, 7.0 Hz), 5.63 (2H, s); 13 C NMR (75.5 MHz, CDCl₃): δ 8.8, 24.7, 42.1, 43.2, 50.9, 84.7, 126.9, 127.6, 177.4, 211.7; *m*/*z* (EI) 180 (M⁺, 73%), 110 (13%), 94 (95%), 66 (100%). Compound 7c: $R_{\rm f}$ 0.59 (hexane/Et₂O, 2:3), yellow oil; ν_{max} (ATR)/cm⁻¹ 1798, 1749, 1250, 1052; ¹H NMR (300 MHz, CDCl₃): δ 0.84 (3H, t, ³J 6.9 Hz), 1.22–1.45 (4H, m), 1.60–1.76 (1H, m), 1.77–1.95 (1H, m), 2.65 (1H, d, ²J 15.7 Hz), 2.70 (1H, d, ²J 15.5 Hz), 2.82 (1H, d, ${}^{2}J$ 15.7 Hz), 2.83 (1H, d, ${}^{2}J$ 15.5 Hz), 4.71 (1H, dd, ${}^{3}J$ 4.5, 7.9 Hz), 5.60 (2H, s); ${}^{13}C$ NMR (75.5 MHz, CDCl₃): δ 13.5, 21.9, 26.6, 30.9, 42.2, 43.1, 50.8, 83.7, 126.9, 127.5, 177.3, 211.7; *m*/*z* (EI) 208 (M⁺, 21%), 110 (5%), 94 (100%), 66 (84%). Compound 9a: R_f 21/32 (hexane/Et₂O, 2:3), white powder, mp 86 °C; v_{max} (ATR)/cm⁻¹ 1734, 1662, 1019, 921; ¹H NMR (300 MHz, CDCl₃): δ 3.08 (2H, ddd, ³J 5.6, ⁴J1.5, ⁵J 1.6 Hz), 4.46 (2H, t, ⁵J 1.6 Hz), 4.73 (2H, dd, ³J 7.0, ⁴J 0.4 Hz), 5.96 (1H, dtt, ³J 7.0, 10.4, ⁴J 1.5 Hz), 6.25 (1H, ddt, ³J 5.6, 10.4, ⁴J 0.4 Hz), 5.96 (1H, dtt, ³J 7.0, 10.4, ⁴J 1.5 Hz), 6.25 (1H, ddt, ³J 5.6, 10.4, ⁴J 0.4 Hz), 5.96 (1H, dtt, ³J 7.0, 10.4, ⁴J 1.5 Hz), 6.25 (1H, ddt, ³J 5.6, 10.4, ⁴J 0.4 Hz), 5.96 (1H, dtt, ³J 7.0, 10.4, ⁴J 1.5 Hz), 6.25 (1H, ddt, ³J 5.6, 10.4, ⁴J 0.4 Hz), 5.96 (1H, dtt, ⁴J 0.4 Hz), 5.96 (1H, dtt, ⁴J 0.4 Hz), 5.96 (1H, dtt, ⁴J 0.4 Hz), 5.96 ^(11, 0, 0, 1) 13 C NMR (75.5 MHz, CDCl₃): δ 22.1, 66.7, 67.6, 99.6, 125.4, 137.2, 174.1, 174.2; m/z (EI) 152 (M⁺, 70%), 66 (100%), 54 (49%), 39 (59%).
- 18. Compound **7d** from **5e**—typical procedure: **5e** (250 mg, 1.8 mmol) dissolved in dry toluene (15 mL) was treated with benzylidene-[1,3-bis-(2,4,6-trimethylphenyl)-2-imidazolidinylidene]-dichloro(tricyclohexylphosphane)ruthenium C₄₆H₆₅Cl₂N₂PRu (44 mg, 4 mol %) and the mixture was stirred at 110 °C for 24 h. Pb(OAc)₄ (23 mg, 4 mol %) was added at rt and stirring was continued for a further 20 h. Workup as for **7a** gave a colourless oil of R_f 0.46 (Et₂O); v_{max} (ATR)/cm⁻¹ 1807, 1791, 1750, 1042; ¹H NMR (300 MHz, CDCl₃): δ 1.72 (3H, m), 2.60 (1H, dm,

²J 15.3 Hz), 2.73 (1H, dm, ²J 15.3 Hz), 2.69 (1H, dm, ²J 17 Hz), 2.81 (1H, d, ²J 17 Hz), 4.63 (2H, d, ⁵J 1.7 Hz), 5.22 (1H, m); ¹³C NMR (75.5 MHz, CDCl₃): δ 15.6, 42.6, 45.4, 51.5, 72.1, 120.7, 137.4, 177.7, 209.2; *m/z* (EI) 166 (M⁺, 64%), 124 (41%), 93 (36%), 79 (100%). Compound **9b**: $R_{\rm f}$ 0.77 (Et₂O), colourless oil; $\nu_{\rm max}$ (ATR)/cm⁻¹ 1747, 1647, 1009; ¹H NMR (300 MHz, CDCl₃): δ 1.10 (3H, d, ³J 7.4 Hz), 2.77 (1H, m), 4.14 (1H, dd, ³J 5.9, 10.9 Hz), 4.26 (1H, dd, ³J 1.1, 10.9 Hz), 4.58 (2H, s), 5.85 (1H, dd, ³J 5.1, 10.6 Hz), 6.05 (1H, d, ³J 10.6 Hz); ¹³C NMR (75.5 MHz, CDCl₃): δ 16.6, 37.8, 66.4, 76.8, 102.3, 117.6, 137.0, 173.0, 173.4; *m/z* (EI) 166 (M⁺, 100%), 151 (59%), 124 (42%), 79 (74%). Satisfactory microanalyses (C, 0.2; H, 0.2) were obtained for **7a–c** and **9a,b**.

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- 21. Compound 8: 1-Methoxy-3-trimethylsiloxy-1,3-butadiene (490 mg, 2.84 mmol) in toluene (10 mL) was treated with 7a (220 mg, 1.45 mmol) at 0 °C and the resulting mixture was heated in a sealed tube for 3 days at 180 °C. 15 mL of a mixture of THF (35 mL) and 0.1 N aqueous HCl (15 mL) were added at rt and stirring continued for 1 min. The residual acid solution (35 mL) was added and the resulting solution poured into AcOEt (50 mL) and treated with H₂O (25 mL). The organic layer was separated and the aqueous one was extracted with AcOEt $(4 \times 20 \text{ mL})$. The combined extracts were dried and concentrated and the residue was purified by CC (silica gel 60; Et₂O; $R_{\rm f}$ 0.53); white powder, mp 113 °C; v_{max} (ATR)/cm⁻¹ 1767, 1675, 1039, 1005; ¹H NMR (300 MHz, CDCl₃): δ 2.46 (1H, dd, ²J 17.2, ⁴J 1.1 Hz), 2.53 (2H, m), 2.81 (1H, d, ²J 17.2 Hz), 2.71–2.82 (1H, m), 2.84–2.95 (1H, m), 4.00 (1H, d, ${}^{2}J$ 10.6 Hz), 4.51 (1H, d, ${}^{2}J$ 10.6 Hz), 5.46 (1H, dd, ${}^{3}J$ 6.2, ⁴J 1.1 Hz), 5.50–5.57 (1H, m), 5.70–5.77 (1H, m), 7.23 (1H, d, ³J 6.2 Hz); ¹³C NMR (75.5 MHz, CDCl₃): δ 35.3, 37.4, 38.6, 54.9, 71.5, 88.9, 107.2, 125.9, 130.1, 160.9, 178.8, 188.5; m/z (EI) 220 (M⁺, 46%), 110 (97%), 91 (100%), 71 (91%). Found: C, 65.3; H, 5.6. C₁₂H₁₂O₄ requires C, 65.5; H, 5.5.
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