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Structure-Based Discovery of SD-36 as a Potent, Selective and  
Efficacious PROTAC Degradator of STAT3 Protein

Haibin Zhou,<sup>†±</sup> Longchuan Bai,<sup>†±</sup> Renqi Xu<sup>†±</sup>, Yujun Zhao<sup>†±</sup>, Jianyong Chen<sup>†±</sup>, Donna  
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Yang<sup>†+</sup>, Zhaomin Liu<sup>†+</sup>, Mi Wang<sup>†+</sup>, Liu Liu<sup>†+</sup>, Jennifer L. Meagher<sup>#</sup>, Han Yi<sup>†+</sup>, Duxin Sun<sup>∇</sup>,  
Jeanne Stuckey<sup>#</sup>, and Shaomeng Wang<sup>†+¶§\*</sup>

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**ABSTRACT:**

Signal transducer and activator of transcription 3 (STAT3) is a transcription factor and an attractive therapeutic target for cancer and other human diseases. Despite twenty-years of persistent research efforts, targeting STAT3 has been very challenging. We report herein the structure-based discovery of potent small-molecule STAT3 degraders based upon the proteolysis targeting chimera (PROTAC) concept. We first designed SI-109 as a potent, small-molecule inhibitor of the STAT3 SH2 domain. Employing ligands for cereblon/Cullin 4A E3 ligase and SI-109, we obtained a series of potent PROTAC STAT3 degraders, exemplified by SD-36. SD-36 induces rapid STAT3 degradation at low nanomolar concentrations in cells and fails to degrade other STAT proteins. SD-36 achieves nanomolar cell growth inhibitory activity in leukemia and lymphoma cell lines with high levels of phosphorylated STAT3. A single dose of SD-36 results in complete STAT3 protein degradation in xenograft tumor tissue and normal mouse tissues. SD-36 achieves complete and long-lasting tumor regression in the Molm-16 xenograft tumor model at well-tolerated dose-schedules. SD-36 is a potent, selective and efficacious STAT3 degrader.

## INTRODUCTION

Signal transducer and activator of transcription 3 (SATT3) is a member of the STAT family of transcription factors that transmits signals from cell surface receptors to the nucleus.<sup>1</sup> Persistent activation of STAT3 is often associated with poor prognosis of human cancers since activated STAT3 signaling not only provides advantages of growth, survival and metastasis to tumor cells, but also suppresses the antitumor immune response.<sup>2-3</sup> STAT3 is an attractive therapeutic target for human cancer and other human diseases.

In the canonical mechanism, STAT3 is recruited from the cytosol onto the cell membrane where it interacts with different cytokine receptors through its Src homology 2 (SH2) domain. This results in phosphorylation of its Tyr705 residue (Y705), and its subsequent dimerization and translocation into the nucleus for gene transcription.<sup>1</sup> It has been proposed that dimerization of STAT3 is required for its translocation from cytosol into the nucleus and for its transcriptional activity, and pharmacological inhibition of STAT3 SH2 domain could effectively block the dimerization and transcriptional activity of STAT3.<sup>1</sup> Consequently, considerable efforts have been made in the last two decades to develop small molecular inhibitors of the STAT3 SH2 domain.<sup>3-4</sup>

Because STAT3 homodimerization is mediated by a phosphotyrosine-containing peptide from one monomer and a well-defined binding pocket in the SH2 domain of the second monomer, peptidomimetic inhibitors have been designed based upon STAT3 phosphotyrosine-containing peptides. Although previous peptidomimetic inhibitors have been reported to achieve high binding affinities to STAT3 in biochemical binding assays, they are generally not cell-permeable due to the presence of their negatively charged phosphate group and their peptidic characteristics.<sup>3-4</sup> Non-peptide small-molecule inhibitors of the STAT3 SH2 domain have been reported, but they often

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3 have weak to moderate binding affinities to STAT3, making it difficult to establish firmly that  
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5 STAT3 is the primary cellular target.<sup>3-4</sup> Furthermore, because STAT3 shares a high sequence  
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7 homology of its SH2 domain with other STAT members, it has been challenging to design SH2  
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9 domain inhibitors for STAT3 that are highly selective over other STAT proteins. Significantly, in  
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11 contrast to initial hypotheses, it has now become clear that the monomeric STAT3 protein can  
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13 translocate from cytosol into the nucleus and is transcriptionally active.<sup>5</sup> Consequently, even if a  
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15 potent, cell-permeable and highly selective inhibitor of the STAT3 SH2 domain is ultimately  
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17 discovered, it can be predicted that it would only be able to partially block the gene transcriptional  
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19 activity of STAT3. Accordingly, there is a clear need to develop a new therapeutic approach to  
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21 targeting STAT3.  
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27 Therefore, in a therapeutic setting, specific down-regulation or knock-down of STAT3 may  
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29 be much more effective than inhibition of its dimerization. To support this idea, AZD9150,<sup>6</sup> which  
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31 was designed as a STAT3 antisense oligonucleotide (ASO), has shown promising preclinical and  
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33 clinical activity, despite some major limitations associated with ASO molecules<sup>3, 7</sup>.  
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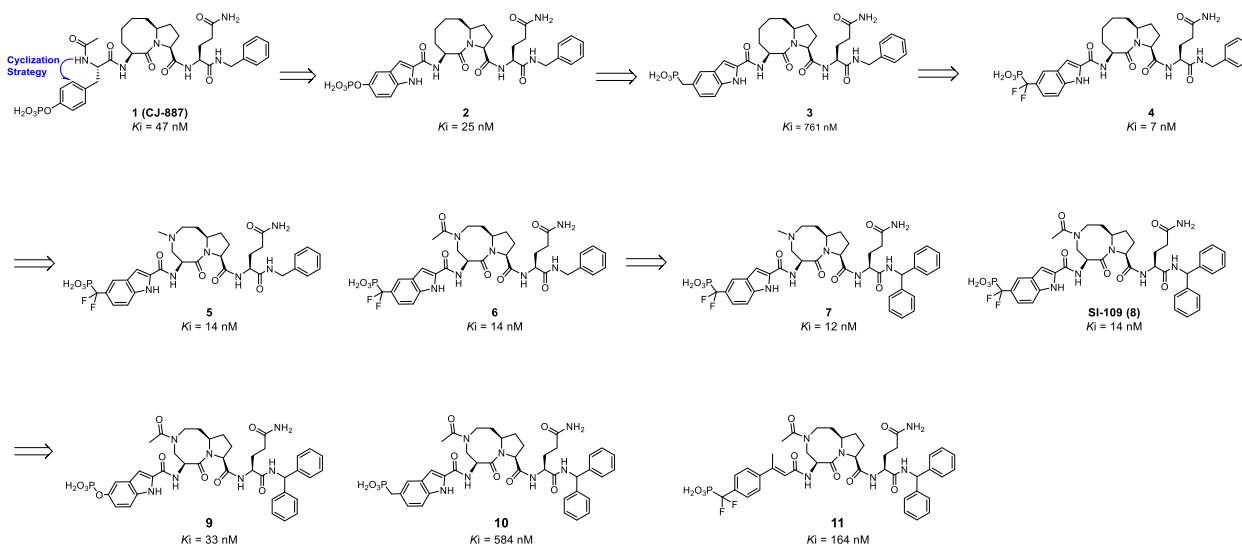
37 Recently, the Proteolysis Targeting Chimeras (PROTAC) strategy has gained momentum  
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39 for its promise in the discovery and development of an entirely new type of therapeutics for the  
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41 treatment of human diseases.<sup>8-11</sup> A PROTAC molecule is a bifunctional small-molecule designed  
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43 to recruit cellular degradation machinery to induce targeted protein degradation.<sup>8</sup> We therefore  
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45 proposed to design PROTAC degraders of STAT3 as a new strategy to effectively target STAT3.  
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47 In the present study, we report our structure-based discovery of SD-36 as a potent, exceptionally  
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49 selective, and highly efficacious PROTAC degrader of the STAT3 protein.  
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## 54 RESULTS AND DISCUSSION

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## Design of small molecule STAT3 SH2 domain inhibitors containing a novel phosphotyrosine mimetic

Our laboratory has previously reported the design of peptidomimetic compounds with high binding affinities to the STAT3 SH2 domain, such as CJ-887 (Figure 1),<sup>12</sup> which binds to the human recombinant STAT3 SH2 protein with  $K_i = 47$  nM in a fluorescence polarization (FP) assay (Figure S1 and Table S1). Despite this high binding affinity to STAT3, CJ-887 is ineffective in inhibition of STAT3 Y705 phosphorylation in cells, suggesting its poor cell permeability. We hypothesized that the poor cell-permeability of CJ-887 could be largely attributed to its doubly ionized natural phosphate group.<sup>13-14</sup> A second major issue associated with CJ-887 is its expected susceptibility to degradation by protein tyrosine phosphatases (PTPs).<sup>13-14</sup> To overcome these major limitations, we have performed extensive optimization of CJ-887, with the key steps outlined in Figure 1.



**Figure 1.** Design of potent and cell-permeable small molecule inhibitors of the STAT3 SH2 domain. Chemical structures and binding affinities of our previously reported compound CJ-887 and newly designed and synthesized inhibitors.

Cyclization of the amino group with the phenyl group in CJ-887 forms an indole (**2**), which converts CJ-887, a phosphotyrosine into a conformationally constrained, non-amino acid phosphate. The resulting compound **2** binds to STAT3 with a  $K_i$  value of 25 nM, and is thus twice as potent as CJ-887 (Figure 1 and Table S1). To address the potential susceptibility of the phosphate ester bond in **2** to hydrolysis by PTPs, we replaced the  $-OPO_3H_2$  group with a non-hydrolyzable  $-CH_2-PO_3H_2$  group<sup>13-14</sup>, yielding compound **3**. Compound **3** binds to STAT3 with a  $K_i$  value of 761 nM, and is thus 30 times weaker than **2**. We reasoned that the reduced binding affinity of **3** is partially attributable to the weaker acidity of the  $-CH_2PO_3H_2$  group compared to that of the  $-OPO_3H_2$  group. To test this idea, we replaced the  $-CH_2PO_3H_2$  group with  $-CF_2PO_3H_2$ , which has been used previously in the design of phosphotyrosine mimetics.<sup>13-17</sup> Compound **4**, containing the  $-CF_2PO_3H_2$  group binds to STAT3 with a very high affinity ( $K_i = 7$  nM), and is >100-times more potent than **3** and 7 times more potent than the initial peptidomimetic **1** (CJ-877).

Our predicted binding model of compound **4** in a complex with the STAT3 SH2 domain (Figure S2) suggested that part of the 8-membered ring in **4** is exposed to the solvent environment. To facilitate the synthesis of STAT3 degraders, we replaced one of the carbon atoms in the eight-membered ring with a nitrogen atom, producing **5** with a methyl substituent, and **6** with an acetyl substituent. Both **5** and **6** bind to STAT3 with the same high affinity, achieving  $K_i$  values of 14 nM. Next we installed a second phenyl group at the  $\alpha$  position of the benzyl group in **5** and **6** to restrict the conformation of the original phenyl group, yielding **7** and SI-109 (**8**), respectively. Compounds **7** and SI-109 bind to STAT3 with  $K_i$  values of 12 nM and 14 nM, respectively.

To compare directly the phosphotyrosine mimetic used in compounds **4-8** with other phosphotyrosine mimetics, we used SI-109 as the template molecule and synthesized **9**, **10** and **11** (Figure 1). Compound **9** in which the  $-CF_2-PO_3H_2$  moiety in SI-109 is replaced with a phosphate (-

OPO<sub>3</sub>H<sub>2</sub>) is 2-times less potent than SI-109. Compound **10** in which the -CF<sub>2</sub>PO<sub>3</sub>H<sub>2</sub> moiety in SI-109 is replaced with -CH<sub>2</sub>PO<sub>3</sub>H<sub>2</sub> is 14-times less potent than SI-109. Compound **11** containing 4-phosphonodifluoromethylcinnamate<sup>17</sup>, binds to STAT3 with K<sub>i</sub> value of 164 nM and is >10 times less potent than SI-109. These binding data show that the combination of the bicyclic indole with the difluoromethylphosphate moiety has yielded an excellent phosphotyrosine mimetic, which is used in compounds **4-8**.

Hence, optimization of **1** (CJ-887) has yielded a series of high-affinity, small-molecule ligands for the STAT3 SH2 domain, including SI-109 (**8**), which was employed in our subsequent design of PROTAC STAT3 degraders.

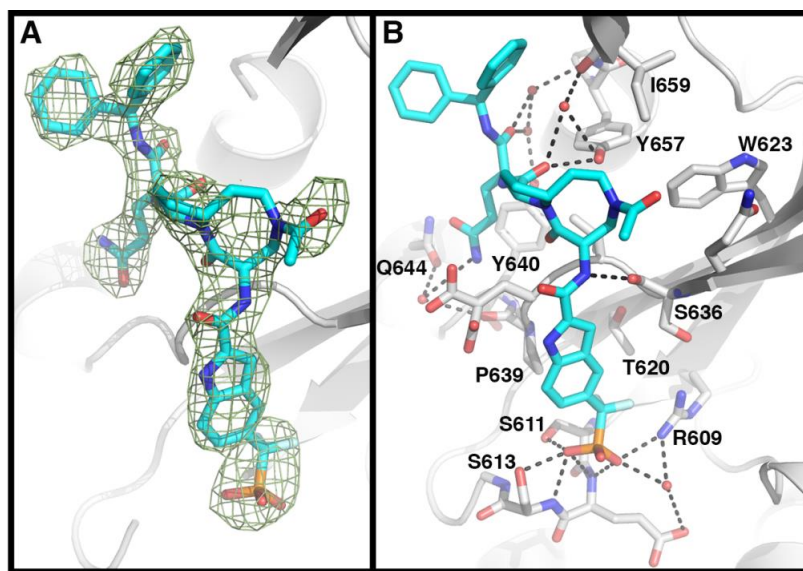
### Determination of the co-crystal structure of SI-109 in a complex with STAT3

To understand the structural basis for the high binding affinity of our designed STAT3 inhibitors, we determined the co-crystal structure of SI-109 in a complex with the STAT3 SH2 domain at a resolution of 3.15 Å (PDB access code: 6NUQ, Figure 2 and SI, Table S2).

Comparison of the co-crystal structure of SI-109 in a complex with STAT3 and the STAT3 SH2 dimeric crystal structure showed that SI-109 captures all the critical interactions with STAT3 that are observed in the STAT3 dimeric complex. The difluoromethylphosphate in SI-109 forms extensive hydrogen bonding interactions with the side chains of Arg609, Ser611 and Ser613 and the backbone amide nitrogen atoms of Glu612 and Ser613. An additional hydrogen bond is formed between the pro-(*S*)-F atom of the difluoromethyl group with Arg609. The indole moiety in SI-109 sits in a shallow cleft formed between Pro639 and Ser636 of STAT3. The glutamine group in SI-109 forms two hydrogen bonds with the side chain of Gln644. The backbone carbonyl moiety of the glutamine group in SI-109 forms two water-mediated hydrogen bonds with the hydroxyl group of Tyr647 and the amino group of Lys658. The pro-(*S*)-phenyl group of the diphenylmethyl group in SI-109 interacts with Leu666, Met660 and Ile659, whereas a pro-(*R*)-phenyl group has



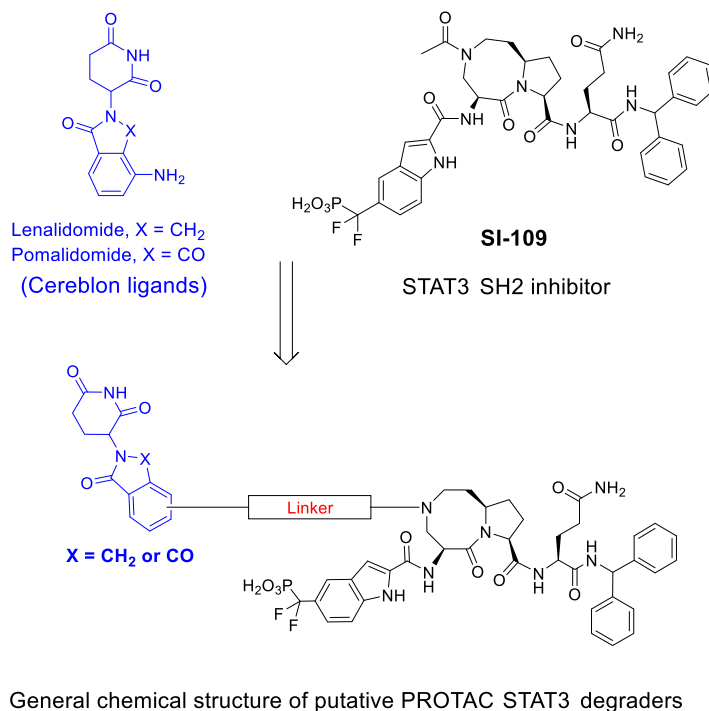
no specific interactions with any residue in STAT3 and is fully exposed to solvent environment. Although no specific interactions are observed between the [8,5]-bicyclic ring system in SI-109 and the STAT3 protein, optimal hydrogen bonds are formed between the amide groups in the proximity of the [8,5] bicyclic ring and the protein. Specifically, the amino group tethered to the 8-membered ring forms an optimal hydrogen bond with the backbone carbonyl group of Ser636 and the carbonyl group attached to the 5-membered ring forms a hydrogen bond with the hydroxyl group of Tyr647 and additionally, a water-mediated hydrogen bond with the backbone carbonyl group of Lys658. Hence, the bicyclic ring system functions as a scaffold which ensures that the difluoromethylphosphonic acid and the glutamine moieties in SI-109 are ideally positioned and oriented to achieve optimal interactions with STAT3.



**Figure 2.** Co-crystal structure of SI-109 with STAT3 (PDB code: 6NUQ). (A) The Fo-Fc electron density generated from an omit map contoured at  $3\sigma$  shows placement of atoms of SI-109; (B) Detailed interactions of STAT3 with SI-109. SI-109 (green) and side chains of interacting residues of STAT3 with SI-109 are shown in stick form. Hydrogen bonds are depicted as dashed lines.

This co-crystal structure of SI-109 in a complex with STAT3 provides the structural basis for its high binding affinity to STAT3, and facilitated our design of STAT3 degraders.

### Design of PROTAC STAT3 degraders



**Figure 3.** Design of putative STAT3 PROTAC degraders based upon cereblon ligands and our STAT3 SH2 domain inhibitor SI-109.

VHL/cullin 2 and cereblon(CRBN)/cullin 4A E3 ligase complexes have been successfully employed in the design of PROTAC degraders for different proteins.<sup>8-11</sup> For the VHL/cullin 2 E3 ligase complex, high-affinity peptidomimetic ligands have been discovered<sup>18-21</sup> and have been successfully used for the design of highly potent PROTAC degraders for different proteins.<sup>8-11</sup> For the cereblon(CRBN)/cullin 4A E3 ligase complex, ligands for cereblon, such as lenalidomide and pomalidomide, are small-molecule drugs with molecular weights between 250 and 260, which are ideal for the design of PROTAC degraders with excellent physiochemical properties. Accordingly, we have decided to employ lenalidomide and pomalidomide (Figure 3) for the design of STAT3 degraders.

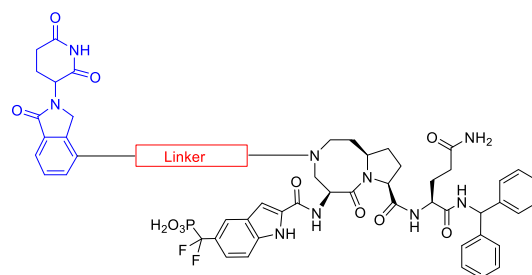
Our co-crystal structure of SI-109 in a complex with the STAT3 SH2 domain shows that the amino group of the eight-membered ring in SI-109 is exposed to the solvent (Figure 2) and is a suitable site at which to tether a ligand for an E3 ligase complex in the design of PROTAC STAT3 degraders. We have designed and synthesized a series of potential STAT3 degraders linking this site in SI-109 with a cereblon ligand through a linker (Figure 3).

### Determination of the optimal linker length

Our previous studies demonstrated that the length of the linker plays a key role in protein degradation potencies in our BET and MDM2 PROTAC degraders.<sup>22-25</sup> We synthesized and evaluated a series of putative STAT3 degraders with different linker lengths (Table 1).

An acute myeloid leukemia (AML) cell line, Molm-16 expresses high levels of phosphorylated STAT3 at the Tyr705 residue (pSTAT3<sup>Y705</sup>) protein and total (phosphorylated and unphosphorylated) STAT3 protein.<sup>26</sup> We thus used the Molm-16 cell line to evaluate our putative STAT3 degraders for their ability to degrade both the total STAT3 protein and pSTAT3<sup>Y705</sup> protein. Because PROTAC degraders can induce protein degradation rapidly, we treated the Molm-16 cells for 4 h with our putative STAT3 degraders and obtained the data shown in Table 1 and Figure S3.

**Table 1.** STAT3 degraders with various linker lengths.



Cmpds	Linker	DC <sub>50</sub> (STAT3, $\mu$ M) Molm-16, 4h
SI-109		>10
<b>12</b>		2.63
<b>13</b>		0.26
<b>SD-36 (14)</b>		0.06
<b>15</b>		0.09
<b>16</b>		0.15

In this study, we examined the degradation of both the total STAT3 protein and pSTAT3<sup>Y705</sup> protein for our designed STAT3 degraders and found that our STAT3 degraders induce degradation of the total STAT3 protein and pSTAT3<sup>Y705</sup> protein with very similar potencies. Hence, we determined the DC<sub>50</sub> value, the concentration required to reduce the protein level by 50% of the total STAT3 protein for each compound and used this value to establish the structure-activity relationships.

Western blotting data show that the STAT3 inhibitor SI-109 has no effect on the level of total STAT3 protein and only modestly decreases the level of the pSTAT3<sup>Y705</sup> protein at concentrations up to 1  $\mu$ M. Compound **12**, with a total of 6 non-hydrogen atoms in its linker between SI-109 and the cereblon ligand, has no effect on the level of total STAT3 protein up to 1  $\mu$ M and reduces pSTAT3<sup>Y705</sup> protein only minimally at 1  $\mu$ M (Table 1 and Figure S3). However,

with higher concentrations, **12** dose-dependently reduces the levels of both the total STAT3 and pSTAT3<sup>Y705</sup> proteins and has an DC<sub>50</sub> value of 2.63 μM for the total STAT3 protein (Table 1 and Figure S3). Increasing the length of the linker in **12** by one carbon yielded **13**, which effectively reduces the levels of both the total STAT3 and pSTAT3<sup>Y705</sup> proteins and with DC<sub>50</sub> = 0.26 μM, is 10 times more potent than **12**. Increasing the linker length in **13** by one additional methylene group yielded SD-36 (**14**). SD-36 is very effective and potent in reducing the levels of the total STAT3 and pSTAT3<sup>Y705</sup> proteins and achieves a DC<sub>50</sub> of 0.06 μM.

Increasing the linker length by introduction of one more methylene group in SD-36 resulted in **15**, which has a DC<sub>50</sub> of 0.09 μM and is thus similarly potent as SD-36. Increasing the linker length in **15** by two methylenes yielded **16**, which has DC<sub>50</sub> = 0.15 μM and is thus 2-3 times less potent than SD-36. Our data thus established that a linker length of 8-9 atoms, as in SD-36 or **15**, is optimal for these STAT3 degraders to reduce the levels of both the total STAT3 and pSTAT3<sup>Y705</sup> proteins.

### Investigation of the linker composition

Our previous studies showed that the linker composition has a major effect on the ability of our MDM2 and BET PROTAC degraders to induce degradation of the target proteins.<sup>23-25</sup> Accordingly, we investigated the linker composition using SD-36 as the template compound, and obtained the data summarized in Table 2 and Figure S4.

Changing the amide group in SD-36 to an amino group yielded **17**, which has DC<sub>50</sub> = 0.65 μM and is >10 times less potent than SD-36 in reducing the levels of total STAT3 and pSTAT3<sup>Y705</sup> proteins (Table 2 and Figure S4A). Conversion of the amide group into a carbamate or urea group led to **18** and **19**, respectively. While **18** is about 4 times less potent than SD-36 in inducing STAT3 degradation, **19** is as potent as SD-36. Replacing a CH<sub>2</sub>-CH<sub>2</sub> linker moiety in SD-36 with a

pyrazole ring in either side of the linker resulted in **20** and **21**, respectively, and both compounds are 3-4 times less potent than SD-36. Replacement of a CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub> linker moiety in SD-36 with a piperidine ring yielded **22** or **23**. Compound **22** is 3 times less potent than SD-36, and **23** is 40 times less potent than SD-36 (Figure S4B).

**Table 2.** STAT3 degraders with various linker compositions.

Cmpds	Linker	DC <sub>50</sub> (STAT3, μM) Molm-16, 4h
SD-36		0.06
17		0.65
18		0.24
19		0.05
20		0.18
21		0.25
22		0.18
23		2.47
24		0.38
25		0.16
26		0.09

Because both **17** and **23** contain a linker with a positively charged group and are much less potent than SD-36, a positive charge in the linker of these degraders would appear to be detrimental

to their ability to degrade STAT3 protein. To test this idea further, we changed the amino group in **23** to an amide, affording compound **24**. Although **24** is still 6 times less potent than SD-36, it is more potent than **23** in reducing the levels of the total STAT3 and the pSTAT3<sup>Y705</sup> proteins, supporting the hypothesis. Changing the ethynyl group in SD-36 to -CH<sub>2</sub>-CH<sub>2</sub>- or -NH-CH<sub>2</sub>- led to compounds **25** and **26**, respectively, both of which are 2-3 times less potent than SD-36 in reducing the levels of STAT3 and pSTAT3<sup>Y705</sup>.

Taken together, these data show that both the length and the composition of the linker play an important role in the ability of our designed STAT3 degraders to reduce the levels of STAT3 and pSTAT3<sup>Y705</sup>, and the optimal linkers are those used in SD-36 and compound **19**.

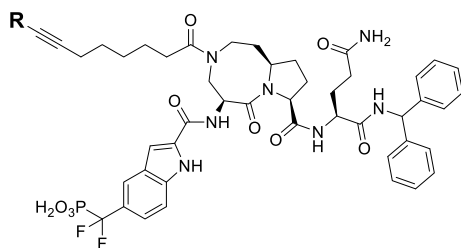
### Examination of the CRBN ligand portion in SD-36

We next performed modifications of the CRBN ligand portion in SD-36 (**Table 3**).

Thalidomide and lenalidomide bind to CRBN with similar modes and affinities.<sup>27</sup> We replaced the lenalidomide moiety in SD-36 with a thalidomide moiety, producing **27**. Surprisingly, **27** has DC<sub>50</sub> = 3.54  $\mu$ M and is thus >50 times less potent than SD-36 (**Table 3** and **Figure S5**).

The co-crystal structure of lenalidomide in a complex with CRBN suggests two additional linking positions in the phenyl ring of lenalidomide and accordingly, we synthesized **28** and **29**. While these two compounds effectively degrade STAT3 and pSTAT3<sup>Y705</sup>, both are 5-10 times less potent than SD-36 (**Table 3** and **Figure S5**).

**Table 3.** STAT3 degraders with modifications of the Cereblon ligand portion.



Code Name	R	DC <sub>50</sub> (STAT3, μM) Molm-16, 4h
SD-36		0.06
27		3.54
28		0.41
29		0.36

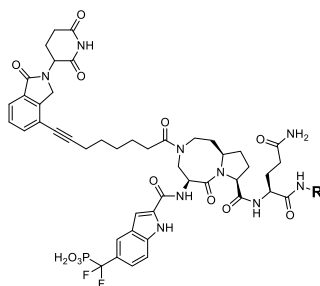
Modifications of the STAT3 ligand portion of SD-36

The co-crystal structure of SI-109 in a complex with STAT3 shows that one of the phenyl groups lacks specific interactions with STAT3 protein (Figure 2). We modified this part of the STAT3 ligand in SD-36 to investigate the effect on STAT3 degradation (Table 4).

Removal of one phenyl group from SD-36 yielded compound **30**, which is 4 times less potent than SD-36 in reducing STAT3 protein (Table 4 and Figure S6). Removal of both the phenyl groups from SD-36 resulted in **31**, which achieves a DC<sub>50</sub> = 0.64 μM and is thus 10-times less potent than SD-36. These data indicate that the two phenyl groups in SD-36 are important for SD-36 to achieve its high potency in inducing degradation of STAT3.

Table 4. STAT3 degraders with modified STAT3 ligand portion.





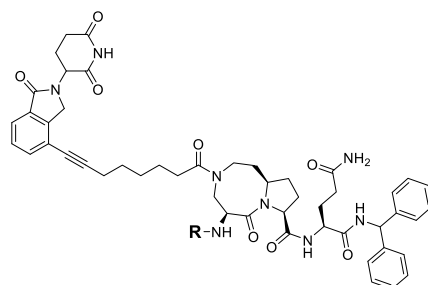
Cmpds	R	DC <sub>50</sub> (STAT3, $\mu$ M) Molm-16, 4h
SD-36		0.06
30		0.22
31		0.64

### Evaluation of various phosphotyrosine mimetics

In SD-36, we employed 5-(difluoro(phosphoro)methyl)-1*H*-indole-2-carboxylic acid to mimic the phosphotyrosine group. To investigate the importance of this phosphotyrosine mimic in achieving potent and effective STAT3 degradation by SD-36, we synthesized **32**, **33** and **34** (Table 5) with three different phosphotyrosine mimetics<sup>15-17</sup>.

Compounds **32** and **33** fail to degrade both the total STAT3 and pSTAT3<sup>Y705</sup> proteins at concentrations up to 10  $\mu$ M (**Figure S7**). Compound **34** reduces the levels of both the total STAT3 and pSTAT3<sup>Y705</sup> proteins with DC<sub>50</sub> = 4.45  $\mu$ M, and is >70-times less potent than SD-36 (Table 5 and Figure S7). Hence, the phosphotyrosine mimic in SD-36 is critically important for achieving potent and effective degradation of the total STAT3 and pSTAT3<sup>Y705</sup> proteins.

**Table 5.** STAT3 degraders with modifications of the STAT3 Ligand Portion.

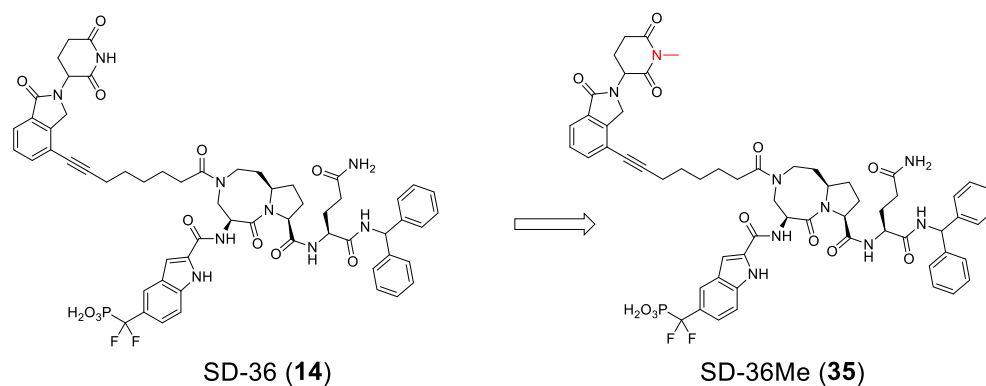


Cmpds	R	DC <sub>50</sub> (STAT3, $\mu$ M) Molm-16, 4h
SD-36		0.06
32		>10
33		>10
34		4.45

### Design and synthesis of a control compound for SD-36

To facilitate our investigation of the cellular mechanism of action of our potent STAT3 degrader SD-36, we synthesized SD-36Me (Figure 4), in which a methyl group was installed to block the binding of SD-36 to cereblon.<sup>27-28</sup>

SD-36Me is completely ineffective in inducing STAT3 degradation in Molm-16 cells at concentrations up to 10  $\mu$ M (Figure S8), clearly suggesting that the degradation of STAT3 by SD-36 is cereblon and cullin-4A ligase-dependent, consistent with the PROTAC mechanism. We employed SD-36Me and the STAT3 inhibitor SI-109 as control compounds for further investigation of the activity, specificity and cellular molecular mechanism of SD-36.



**Figure 4.** Design of SD-36Me as a control compound.

### Evaluation of representative degraders in additional in the SU-DHL-1 lymphoma cell line

The SU-DHL-1 anaplastic large cell lymphoma (ALCL) cell line has a high level of pSTAT3<sup>Y705</sup> protein<sup>26</sup> and, we evaluated the ability of several representative STAT3 degraders in the SU-DHL-1 cell line and obtained the data shown in Table 6 and Figure S9.

SD-36 effectively and potently reduces the levels of STAT3 and pSTAT3<sup>Y705</sup> with DC<sub>50</sub> of 28 nM in the SU-DHL-1 cell line with a 16 h treatment time (Table 6 and Figure S9). SI-109 and SD-36Me had no effect on the levels of STAT3 and activated pSTAT3<sup>Y705</sup> at concentrations up to 10  $\mu$ M in SU-DHL-1 cells.

Compound **19**, which potently induces degradation of total STAT3 and pSTAT3<sup>Y705</sup> proteins in Molm-16 cells with DC<sub>50</sub> = 50 nM, is also potent and effective in reducing STAT3 and pSTAT3<sup>Y705</sup> protein levels in SU-DHL-1 cells with a DC<sub>50</sub> value of 11 nM.

Compounds **18** and **30** are 4 times less potent than SD-36 in degradation of STAT3 protein in Molm-16 cells, and both compounds are 2 times less potent than SD-36.

Compounds **17** and **31**, which are >10-times less potent than SD-36 in Molm-16 cells, are 30 times less potent than SD-36 in SU-DHL-1 cells.

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Compounds **27** and **34** have DC<sub>50</sub> values of 3-5  $\mu$ M in the Molm-16 cell line, but in the SU-DHL-1 cell line, both compounds are ineffective in reducing the levels of STAT3 and pSTAT3<sup>Y705</sup> proteins in SU-DHL-1 cells up to 5  $\mu$ M.

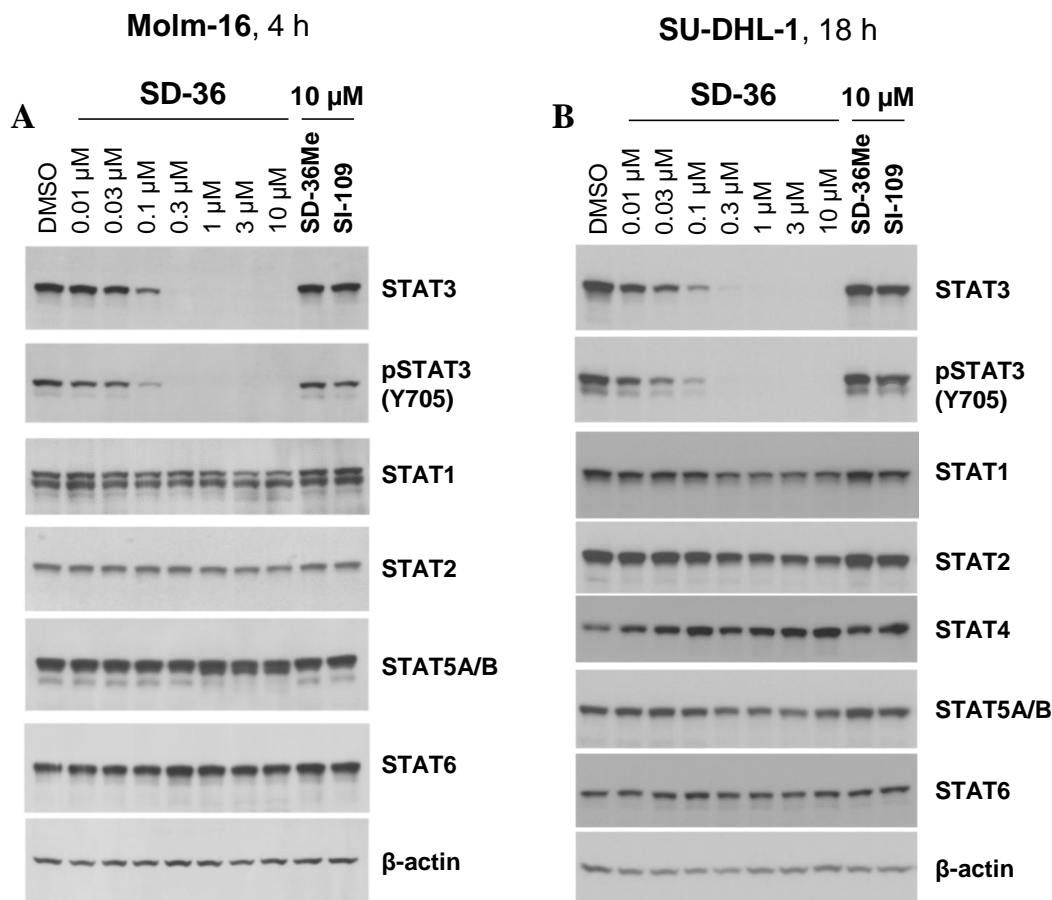
**Table 6.** Degradation potencies of STAT3 protein and cell growth-inhibitory activities of STAT3 degraders in the Molm-16 and SU-DHL-1 cell lines.

Cmpds	Molm-16		SU-DHL-1	
	DC <sub>50</sub> (STAT3, $\mu$ M), 4h	IC <sub>50</sub> $\pm$ SD ( $\mu$ M) 4 days	DC <sub>50</sub> (STAT3, $\mu$ M) 16 h	IC <sub>50</sub> $\pm$ SD ( $\mu$ M) 4 days
<b>SI-109 (8)</b>	>10	6.45 $\pm$ 4.16	> 10	> 10
<b>12</b>	2.63	0.95 $\pm$ 0.081	n.t. <sup>a</sup>	n.t.
<b>13</b>	0.26	0.078 $\pm$ 0.029	n.t.	n.t.
<b>SD-36 (14)</b>	0.06	0.013 $\pm$ 0.010	0.028	0.61 $\pm$ 0.014
<b>15</b>	0.09	0.025 $\pm$ 0.007	n.t.	n.t.
<b>16</b>	0.15	0.042 $\pm$ 0.004	n.t.	n.t.
<b>17</b>	0.65	0.147 $\pm$ 0.027	0.919	> 10
<b>18</b>	0.24	0.128 $\pm$ 0.050	0.049	2.37 $\pm$ 0.70
<b>19</b>	0.05	0.091 $\pm$ 0.081	0.011	0.43 $\pm$ 0.16
<b>20</b>	0.18	0.241 $\pm$ 0.246	n.t.	n.t.
<b>21</b>	0.25	0.232 $\pm$ 0.175	n.t.	n.t.
<b>22</b>	0.18	0.099 $\pm$ 0.054	n.t.	n.t.
<b>23</b>	2.47	0.36 $\pm$ 0.056	n.t.	n.t.
<b>24</b>	0.38	0.067 $\pm$ 0.032	n.t.	n.t.
<b>25</b>	0.16	0.063 $\pm$ 0.016	n.t.	n.t.
<b>26</b>	0.09	0.059 $\pm$ 0.016	n.t.	n.t.
<b>27</b>	3.54	0.582 $\pm$ 0.654	> 10	> 10
<b>28</b>	0.41	0.104 $\pm$ 0.071	n.t.	n.t.
<b>29</b>	0.36	0.711 $\pm$ 0.262	n.t.	n.t.
<b>30</b>	0.22	0.210 $\pm$ 0.109	0.055	3.24 $\pm$ 0.57
<b>31</b>	0.64	0.226 $\pm$ 0.114	0.89	> 10
<b>32</b>	>10	>2	n.t.	n.t.
<b>33</b>	>10	>2	n.t.	n.t.
<b>34</b>	4.45	1.25 $\pm$ 0.71	> 10	> 10
<b>SD-36Me (35)</b>	>10	5.21 $\pm$ 6.04	> 10	> 10

<sup>a</sup> not tested.

### Evaluation of degradation selectivity of SD-36 on other STAT proteins in cells

There are seven STAT family members, STAT1, 2, 3, 4, 5A, 5B and 6, which share a highly homologous SH2 domain. To investigate the degradation selectivity of SD-36, we evaluated SD-36 for its selectivity in both Molm-16 and SU-DHL-1 cells with SI-109 and SD-36Me included as controls.



**Figure 5.** Western blotting analysis of STAT proteins in Molm-16 and SU-DHL-1 cells treated with SD-36 or control compounds SD-36Me and SI-109. (A) Molm-16 cells were treated with SD-36 at 0.01-10  $\mu$ M or SD-36Me and SI-109 at 10  $\mu$ M for 4 h. (B) SU-DHL-1 cells were treated with SD-36 at 0.01-10  $\mu$ M or SD-36Me and SI-109 at 10  $\mu$ M for 18 h.

As shown in **Figure 5**, SD-36 is very effective in reducing the level of STAT3 protein at concentrations as low as 0.1  $\mu$ M but it has little or no effect on STAT1, STAT2, STAT4, STAT5A/B and STAT6 proteins in both Molm-16 cell and SU-DHL-1 cell lines up to 10  $\mu$ M. Hence, SD-36 demonstrates >100-fold selectivity for degradation of STAT3 over other STAT

members in both Molm-16 cell and SU-DHL-1 cell lines. The control compound SD-36Me has no effect on the levels of any STAT protein at 10  $\mu$ M. Similarly, the STAT3 inhibitor SI-109 has no effect on the levels of any STAT protein.

Our data therefore show that SD-36 achieves an exceptional degradation selectivity for STAT3 over other STATs (**Figure 5**).

To gain a further insight into the degradation selectivity of SD-36 for STAT3 over other STAT proteins, we determined its binding affinities to different STAT proteins.<sup>26</sup> Our binding data showed that while SD-36 has a high affinity for STAT3 with a  $K_d$  value of 44 nM, it also displays a good affinity to STAT1 and STAT4 with  $K_d$  values of approximately 1  $\mu$ M.<sup>26</sup> SD-36 has a much weaker affinity to other STAT proteins tested.<sup>26</sup> Hence, although SD-36 binds to STAT1 and STAT4 with a good affinity, it fails to degrade these two STAT proteins, as well as other STAT proteins in cells. We posit that the high degradation selectivity for SD-36 is achieved through the formation of a stable and productive degradation ternary complex consisting of STAT3:SD-36:CRBN/Cullin4A, as compared to much less stable and productive ternary complexes for other STAT proteins.<sup>29</sup> Further investigations are needed to fully understand the exceptional STAT3 degradation selectivity for SD-36.

### Evaluation of STAT3 degraders for their growth-inhibitory activities

As SD-36 is able to effectively and selectively degrade STAT3 protein in Molm-16 and SU-DHL-1 cells, we therefore tested all of our STAT3 degraders reported in this study for their ability to inhibit cell growth in the Molm-16 cell line and a number of representative STAT3 degraders in the SU-DHL-1 cell line. SI-109 and SD-36me were included as control compounds in the cell growth experiments. The data are summarized in Table 6.

In the Molm-16 cell line, SD-36 exerts a potent growth-inhibitory activity with  $IC_{50} = 13$  nM after a 4-day treatment. The control compound SD-36Me shows modest growth-inhibitory activity with  $IC_{50} = 5.21 \mu M$ , and is 400-times less potent than SD-36.

There is an excellent correlation between the STAT3 degradation potencies and cell growth inhibitory activities for these STAT3 degraders in the Molm-16 cell line. All compounds which effectively and potently degrade STAT3 protein also potently inhibit cell growth in the Molm-16 cell line. Compounds such as **32** and **33**, which fail to degrade STAT3 protein up to 10  $\mu M$ , also display very weak activity in inhibition of cell growth.

In the SU-DHL-1 line, SD-36 effectively inhibits cell growth and achieves  $IC_{50}$  values of 0.61  $\mu M$ , while STAT3 inhibitors SI-109 and SD-36Me have no effect on cell viability up to 10  $\mu M$ . Compound **19**, which effectively degrades STAT3 with  $DC_{50} = 28$  nM, displays an  $IC_{50}$  value of 0.43  $\mu M$ . Compounds **18** and **30**, which achieve  $DC_{50}$  values of 49 nM and 55 nM, respectively, have  $IC_{50}$  values of 2.37  $\mu M$  and 3.24  $\mu M$ , respectively in the SU-DHL-1 line. Compounds **17** and **31**, which have  $DC_{50}$  values of 0.92  $\mu M$  and 0.89  $\mu M$ , respectively, have minimal activity in inhibition of cell growth with  $IC_{50}$  values  $> 10 \mu M$ . Compound **34**, which fails to degrade STAT3 up to 10  $\mu M$ , is also ineffective in inhibition of cell growth ( $IC_{50} > 10 \mu M$ ). In general, STAT3 degraders show weaker cell growth inhibitory activity in the SU-DHL-1 line than in the Molm-16 cell line.

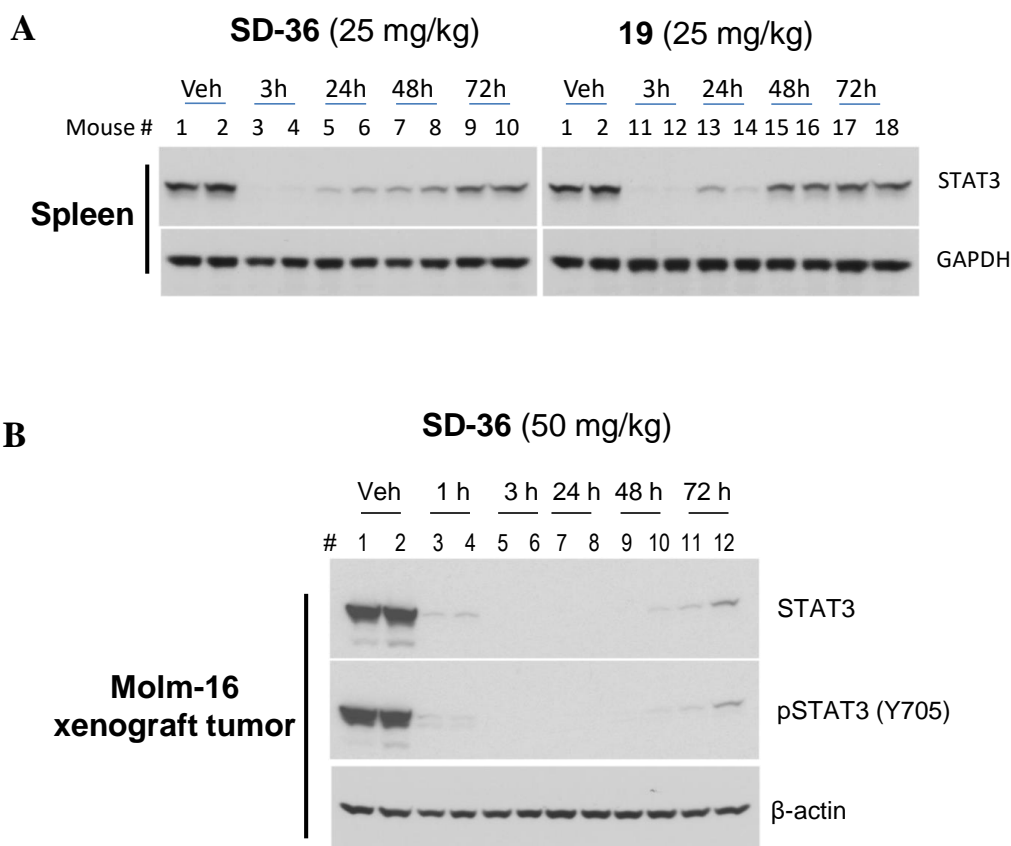
Hence, effective degradation of STAT3 results in robust growth inhibition in both Molm-16 and SU-DHL-1 cell lines, and there is a good correlation between STAT3 degradation and cell growth inhibition in both cell lines.

### **Pharmacodynamics evaluation of SD-36 in native and xenograft tumor tissues in mice**



Our cellular data demonstrate that SD-36 and **19** are two potent STAT3 degraders. We performed pharmacodynamics (PD) experiments to evaluate the ability of SD-36 and **19** to degrade STAT3 protein in mice.

We first investigated SD-36 and **19** for their ability to degrade STAT3 protein in native mouse tissue. Western blotting analysis showed that a single intravenous dose of both SD-36 and **19** at 25 mg/kg are very effective in reducing STAT3 protein in native mouse spleen tissue with the effect persisting for more than 48 h, and SD-36 appears to be more effective than **19** (Figure 6A). We thus chose to further evaluate SD-36 *in vivo*.

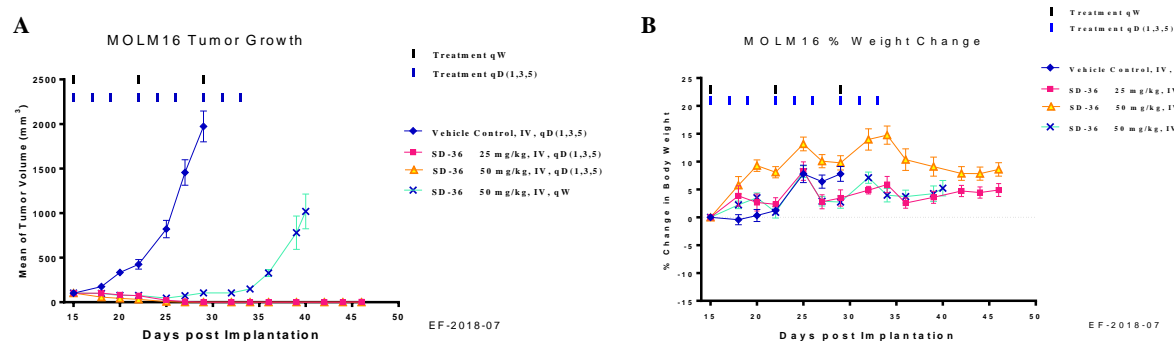


**Figure 6.** Pharmacodynamics study of SD-36 and **19** in native mouse spleen tissue in CD-1 mice (A) and SD-36 in the Molm-16 xenograft tumors in SCID mice (B). CD-1 and SCID mice were treated intravenously with a single dose of SD-36 or **19** as indicated. Native spleen tissue or tumor lysates were analyzed by immunoblotting.

We evaluated SD-36 for its ability to degrade STAT3 in the Molm-16 xenograft tumor tissue in mice (Figure 6B). Our western blotting data showed that a single dose of SD-36 at 50 mg/kg is very effective in reducing the levels of both total STAT3 and pSTAT3<sup>Y705</sup> proteins in mouse tumor tissue. Both total STAT3 and pSTAT3<sup>Y705</sup> proteins were reduced by >95% after 1 h, indicating a rapid degradation of STAT3 *in vivo*. Even 72 h (3 days) after dosing, SD-36 still reduced the levels of total STAT3 and pSTAT3<sup>Y705</sup> proteins by >90% as compared to the control. Our PD data thus demonstrate that a single dose of SD-36 is highly effective in inducing near complete elimination of STAT3 protein in tumor tissue in mice with the effect persisting for >3 days.

### Antitumor activity of SD-36 in the Molm-16 xenograft model in mice

Based upon its impressive PD data in the Molm-16 xenograft tumor tissue, we evaluated SD-36 for its efficacy in the Molm-16 xenograft model in mice at different doses and schedules.



**Figure 7.** *In vivo* antitumor activity of SD-36 in the MOLM-16 xenograft model in mice. (A) Tumor growth. (B) Percentage of animal body weight change.

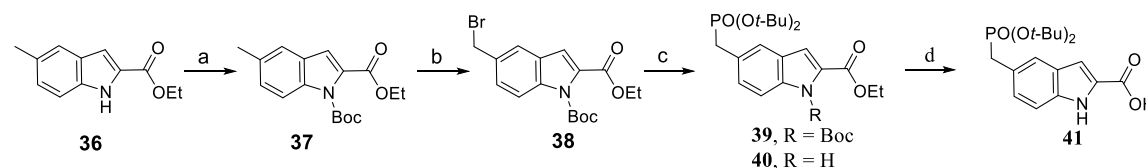
Administered to mice at either 25 or 50 mg/kg three times per week for three weeks intravenously, SD-36 is capable of inducing complete tumor regression (Figure 7A). In fact, complete tumor regression was achieved after dosing for only 2 weeks at either 25 or 50 mg/kg and persisted for more than 2 weeks after termination of the treatment.

Because a single dose of SD-36 at 50 mg/kg is capable of reducing STAT3 protein levels for >3 days, we evaluated its efficacy with a weekly dosing schedule. SD-36 at 50 mg/kg, administered once weekly for 3 weeks is very effective in inhibition of tumor growth (Figure 7A). Furthermore, SD-36 at 100 mg/kg weekly dosing was capable of achieving complete tumor regression.<sup>26</sup> In the efficacy experiment, SD-36 caused no weight loss or other signs of toxicity (Figure 7B).

Hence, SD-36 is highly efficacious *in vivo* and achieves complete and long-lasting tumor regression in the MOLM16 cancer xenograft model at well-tolerated dose-schedules.

## CHEMISTRY

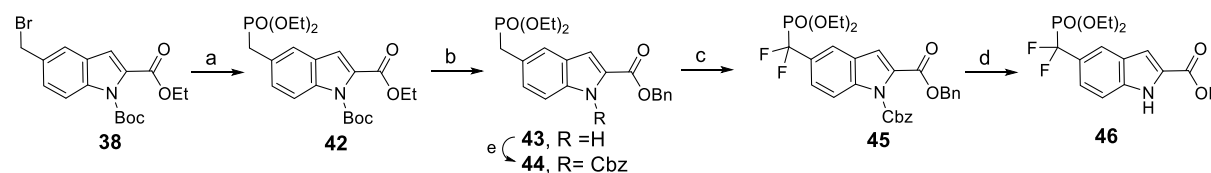
**Scheme 1.** Synthesis of 5-((di-*tert*-butoxyphosphoryl)methyl)-1*H*-indole-2-carboxylic acid (**41**)



Reagents and conditions: a. NaH, Boc<sub>2</sub>O, THF, 0 °C to rt; b. NBS, Bz<sub>2</sub>O<sub>2</sub>, CCl<sub>4</sub>, reflux, 77% over 2 steps; c. (*t*-BuO)<sub>2</sub>PONa 1.0 equiv. NaH 2.5 equiv., THF, then reflux for 3 h; d. LiOH, MeOH-THF-H<sub>2</sub>O, 60 °C.

Scheme 1 outlines the synthesis of the protected phosphotyrosine mimetic, 5-((di-*tert*-butoxyphosphoryl)methyl)-1*H*-indole-2-carboxylic acid (**41**). Boc protection of **36** followed by benzylic bromination provided **38**. Treatment of **38** with sodium di-*tert*-butyl phosphite yielded a mixture of **39** and **40** which were hydrolyzed to afford compound **41**.

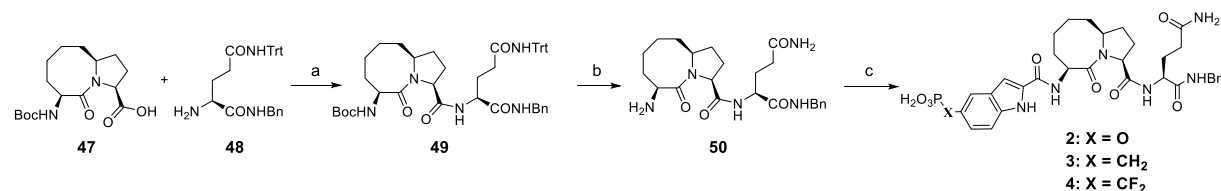
**Scheme 2.** Synthesis of 3-((diethoxyphosphoryl)difluoromethyl)-1*H*-indole-2-carboxylic acid (**46**).



Reagents and conditions: a.  $\text{P}(\text{OEt})_3$ , 100 °C, 84%; b.  $\text{Ti}(\text{O-}i\text{Pr})_4$ ,  $\text{BnOH}$ , 100 °C, 83%; c.  $\text{NaH}$ ,  $\text{Cbz-Cl}$ , THF, 0 °C to rt, 88%; d. NFBS,  $\text{NaHMDS}$ , THF, -78 °C to rt, 95%; e.  $\text{H}_2/\text{Pd-C}$ , THF, 94%.

Scheme 2 shows the construction of the 5-((diethoxyphosphoryl)-difluoromethyl)-1H-indole-2-carboxylic acid (**46**), an  $\alpha,\alpha$ -difluoro derivative of **41**.<sup>26</sup> Compound **38** was heated in  $\text{P}(\text{OEt})_3$  at 100 °C for 12 h furnishing the phosphate (**42**), which was subject to transesterification, affording the benzyl ester (**43**). The indole nitrogen of **40** was protected with a Cbz group, giving **44**. Difluorination of the double-activated methylene of **44** provided **45**, and finally, hydrogenation of **45** removed two protecting groups, furnishing the carboxylic acid (**46**).

**Scheme 2.** Synthesis of compounds **2-4**.



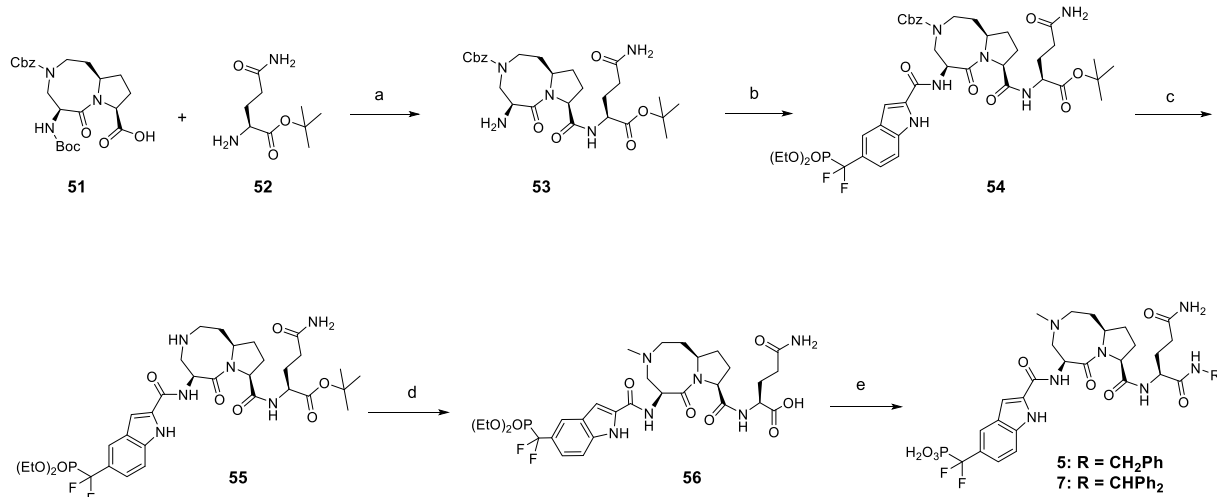
Reagents and conditions: a. EDC-HCl 2.0 equiv., HOBT 2.0 equiv.,  $\text{EtN}(i\text{-Pr})_2$ , DCM, rt, 3 h, 89% yield; b.  $\text{CF}_3\text{CO}_2\text{H}$  2 mL,  $\text{Et}_3\text{Si-H}$  0.1 mL, DCM 3 mL, rt, 1 h; c. 1). Protected phosphotyrosine mimetics, EDC-HCl, HOBT,  $\text{EtN}(i\text{-Pr})_2$ , DCM, rt, 3 h; 2). TFA-DCM, rt, 3 h; or TMSI, 0 °C, 1 h.

The syntheses of compounds **2-4** are shown in Scheme 2.<sup>26</sup> Amide condensation of the acid (**47**) with the amine (**48**) gave compound **49** removal of whose Boc group yielded compound **50**. Condensation of **50** with corresponding protected phosphotyrosine mimetics followed by hydrolysis of the dialkyl phosphate group afforded compounds **2-4**.

Scheme 3 shows the syntheses of compounds **5** and **7**.<sup>26</sup> Using the acid (**51**)<sup>30</sup> as starting material, amide condensation reaction and subsequent selective removal of Boc group yielded an amine (**53**) which, coupled with **46**, gave **54**. The common intermediate (**56**) was prepared by deprotection of Cbz group in **51** and introduction of a methyl group followed by hydrolysis of the

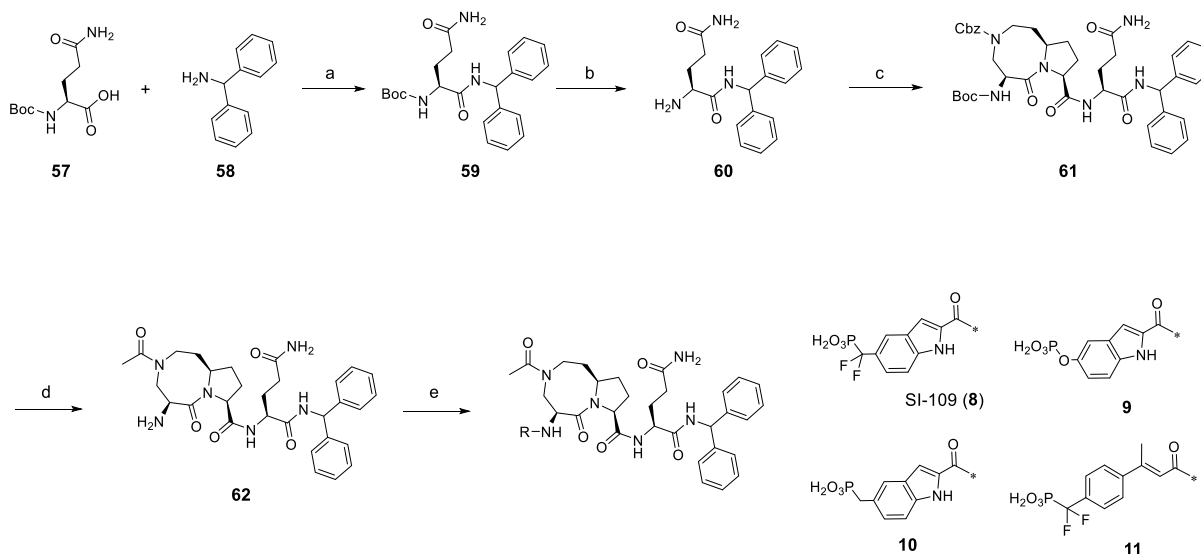
*tert*-butyl ester. The corresponding amines were coupled to **56** and the resulting diethyl phosphates were treated with TMSI to yield compounds **5** and **7**.

**Scheme 3.** Synthesis of compounds **5** and **7**.



Reagents and conditions: a. 1). HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h; 2). TFA, DCM; b. **46**, HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h; c. H<sub>2</sub>, Pd/C, MeOH; d. 1). HCHO, Sodium triacetoxyborohydride, DCE; 2). TFA, DCM; e. R-NH<sub>2</sub>, HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h.

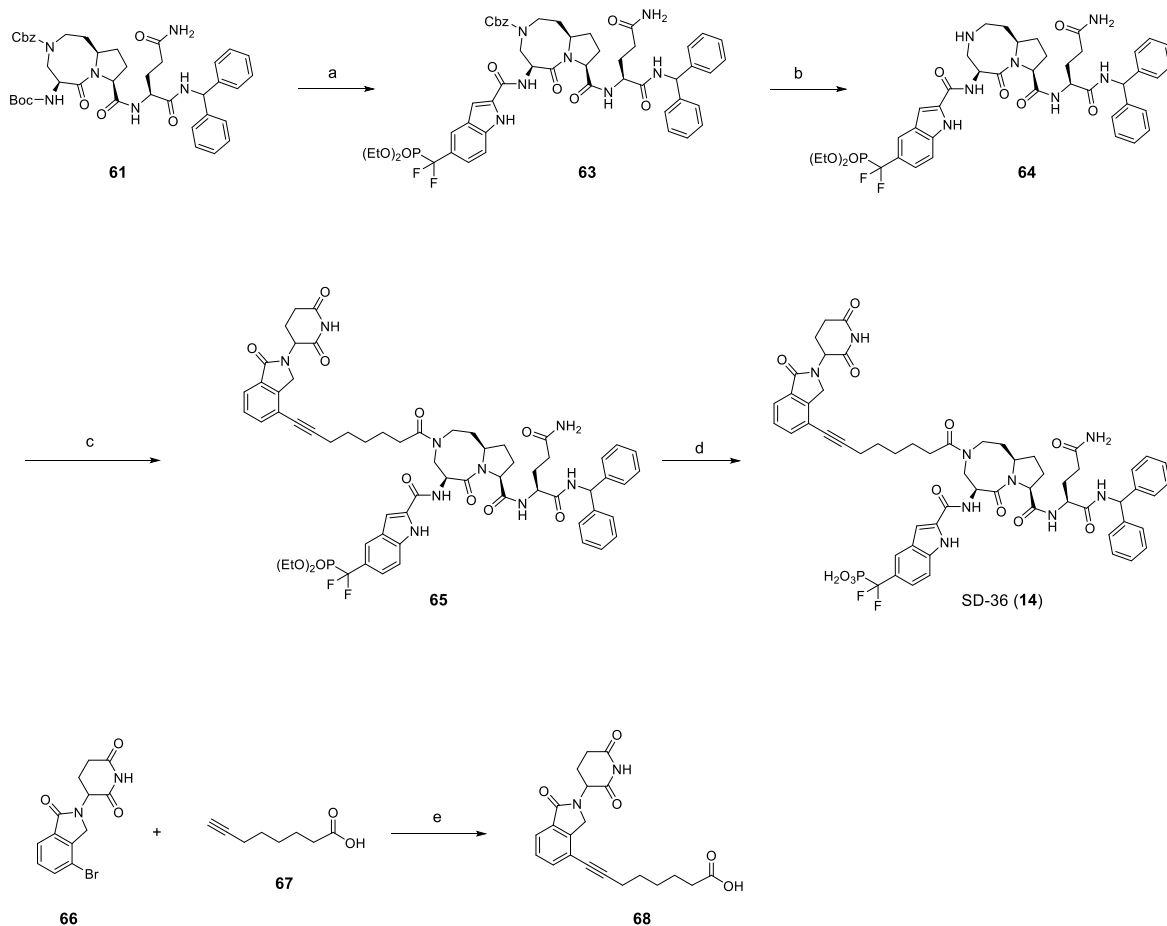
**Scheme 4.** Synthesis of compounds **8-11**.



Reagents and conditions: a. HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h; b. TFA, DCM; c. **51**, HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h; d. 1) H<sub>2</sub>, Pd/C, MeOH; 2) Ac<sub>2</sub>O, EtN(*i*-Pr)<sub>2</sub>, DCM; 3) TFA, DCM; e. 1) phosphotyrosine mimetics, HATU, EtN(*i*-Pr)<sub>2</sub>, DMF, rt, 1 h; 2). TFA-DCM, rt, 3 h; or TMSI, 0 °C, 1 h.

The syntheses of compounds **8-11** are shown in Scheme 4. The intermediate (**60**) was obtained by an amide condensation reaction followed by removal of the Boc protecting group under acidic conditions. Condensation of **60** with **51** led to **61**, which following removal of the Cbz and subsequent acetylation and Boc deprotection gave the common intermediate (**62**). Amide condensation of **62** with corresponding protected phosphotyrosine mimetics and hydrolysis of the diethyl phosphate group afforded compounds **8-11**.

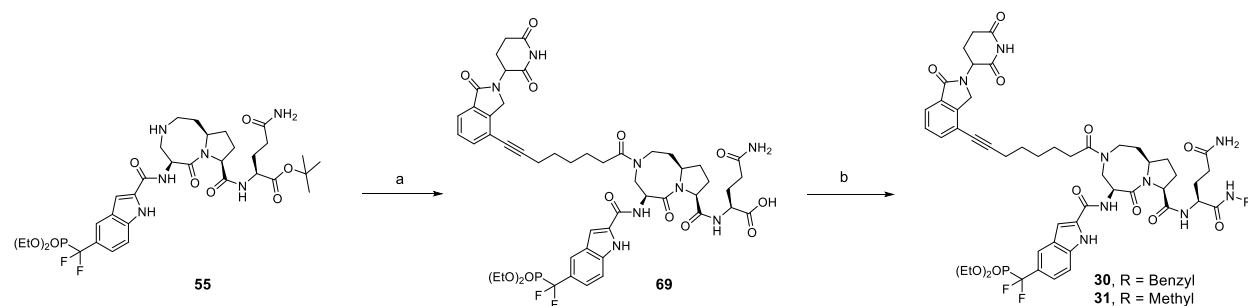
**Scheme 5.** Synthesis of SD-36.



Reagents and conditions: a. 1). TFA, DCM; 2) **46**, HATU, DIEA, DMF; b. H<sub>2</sub>, Pd/C, MeOH; c. **68**, HATU, DIEA, DMF; d. TMSI, BSTFA, DCM . e. Pd(PPh<sub>3</sub>)Cl<sub>2</sub>, CuI, DMF/TEA, 80 °C , 1 h.

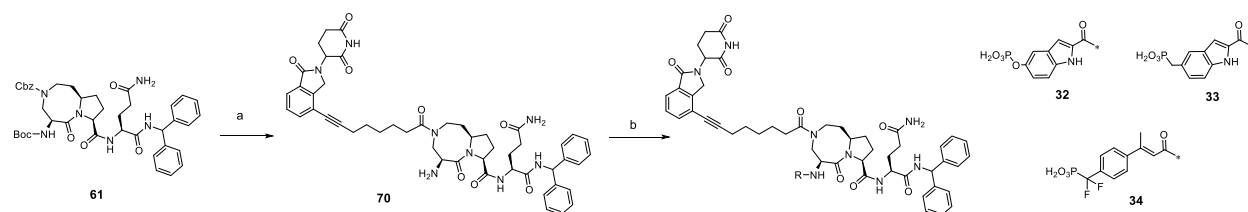
The preparation of the representative STAT3 degrader SD-36 is outlined in Scheme 5.<sup>26</sup> Compound **63** was obtained by removal of the Boc group of intermediate **61** followed by amidation with **46**. Hydrogenation of **63** gave the amine (**64**) which was coupled with **68** to afford **65**, which was treated with TMSI to yield SD-36. The synthesis of intermediate **68** was achieved by Sonogashira coupling of commercially available starting material **66** and oct-7-ynoic acid (**67**). Utilizing compound **64** as a common intermediate, the final compounds (**13-29**) were prepared by a method similar to that used for SD-36.

**Scheme 6.** Synthesis of compounds **30** and **31**.



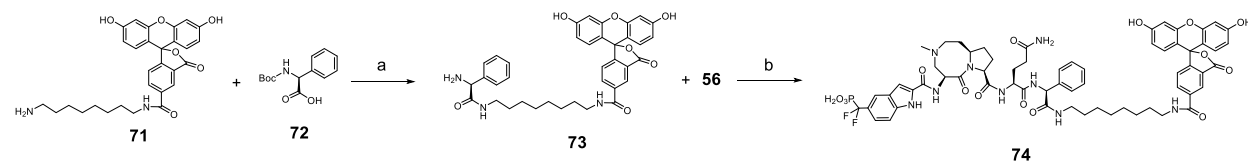
Reagents and conditions: a. 1) **68**, HATU, DIEA, DMF; 2) TFA, DCM; b. 1) R-NH<sub>2</sub>, HATU, DIEA, DMF; 2) TMSI, BSTFA, DCM.

The synthesis of compounds **30** and **31** is shown in Scheme 6. Using compound **55** as starting material, the acid (**69**) was obtained by amide condensation followed deprotection of the *tert*-butyl ester. Employing compound **66** as a common intermediate, compounds **30** and **31** were synthesized by condensation with corresponding amine and subsequent hydrolysis of the diethyl phosphate group.

**Scheme 7.** Synthesis of compounds **32-34**.

Reagents and conditions: a. 1)  $\text{H}_2$ , Pd/C, MeOH; 2) **68**, HATU, DIEA, DMF; 3) TFA, DCM; b. 1) phosphotyrosine mimetics, HATU,  $\text{EtN}(i\text{-Pr})_2$ , DMF, rt, 1 h; 2). TFA-DCM, rt, 3 h; or TMSI,  $0^\circ\text{C}$ , 1 h.

Compounds **32-34** were prepared as shown in Scheme 7. Intermediate **70** was prepared by deprotection of the Cbz group in **61** and subsequent amidation with **68** followed by removal of the Boc group. The corresponding protected phosphotyrosine mimetics were coupled to **70** followed by TMSI-promoted hydrolysis of diethyl phosphate group to afford compounds **32-34**.

**Scheme 8.** Synthesis of fluorescent labeled compound **74**.

Reagents and conditions: a. 1) HATU, DIEA, DMF; 2) TFA, DCM; b. 1) HATU, DIEA, DMF; 2) TMSI, BSTFA, DCM.

Scheme 8 outlines the synthesis of fluorescent labeled compound **74**.<sup>26</sup> Intermediate **73** was prepared by condensation of **71** and **72** with HATU as the coupling reagent, followed by subsequent removal of the Boc protecting group. Compound **74** was furnished by amide condensation reaction of compound **73** and **56** followed by TMSI-promoted hydrolysis of the diethyl phosphate group.

**CONCLUSION**



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3 In this paper, we report our structure-based discovery of potent and selective PROTAC  
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5 STAT3 degraders with SD-36 being the best compound. We first designed and obtained SI-109  
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7 as a potent inhibitor of the STAT3 SH2 domain, starting from our previously reported  
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9 peptidomimetic STAT3 inhibitor. A key element in the successful discovery of SI-109 was the  
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11 design of 5-(difluoro(phosphoro)methyl)-1H-indole-2-carboxylic acid as a novel  
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13 phosphotyrosine mimetic. Determination of the co-crystal structure of SI-109 in a complex with  
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15 STAT3 protein provided a structural basis for the design of STAT3 degraders.  
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19 Employing SI-109 and ligands for cereblon/Cullin 4A, we designed, synthesized and  
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21 tested a series of PROTAC STAT3 degraders. Our efforts have yielded a series of potent STAT3  
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23 degraders, with SD-36 being the best. SD-36 induces degradation of STAT3 protein in cells and  
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25 demonstrates >100-fold selectivity over other STAT proteins.  
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29 SD-36 potently inhibits cell growth in the Molm-16 leukemia and SU-DHL-1 lymphoma  
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31 cell lines. A single dose of SD-36 is capable of achieving complete degradation of STAT3 in  
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33 native mouse spleen tissue and in the Molm-16 xenograft tumor tissue with the degradation  
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35 effect persisting for >2-3 days. SD-36 is capable of achieving complete and persistent tumor  
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37 regression in the Molm-16 xenograft model in mice at well tolerated dose-schedules. To our  
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39 knowledge, SD-36 is a first, potent, selective and highly efficacious degrader of STAT3 protein  
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41 reported. Because SD-36 effectively and selectively degrades STAT3 in cells and in tissues, it  
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43 can be employed as a powerful tool to study the role(s) of STAT3 in different biological  
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45 processes. Furthermore, SD-36 warrants extensive investigation as a new class of anticancer  
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47 therapy for the treatment of human cancers and other human diseases. Our present study  
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49 demonstrates that the PROTAC technology can be successfully employed to target those  
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51 traditionally difficult-to-drug or undruggable protein targets.  
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## EXPERIMENTAL SECTION

**General Information.** All commercial reagents and solvents were used as supplied without further purification with the following exceptions: THF was freshly distilled from sodium wire. The reactions were performed under an N<sub>2</sub> atmosphere in anhydrous solvents. The final products were purified by reverse phase HPLC (RP-HPLC) with solvent A (0.1% of TFA in water) and solvent B (0.1% of TFA in CH<sub>3</sub>CN) as eluents with a flow rate of 60 mL/min. The purity of compounds was determined by Waters ACQUITY UPLC and all the final compounds were >95% pure. Proton nuclear magnetic resonance (<sup>1</sup>H NMR), carbon nuclear magnetic resonance (<sup>13</sup>C NMR) and phosphorus nuclear magnetic resonance (<sup>31</sup>P NMR) spectroscopy were performed on Bruker Advance 300/400 NMR spectrometers and chemical shifts are reported in parts per million (ppm) relative to an internal standard. MS analysis was carried out with a Thermo-Scientific LCQ Fleet mass spectrometer or a Waters ultra-performance liquid chromatography (UPLC)-mass spectrometer.

**2-((3S,6S)-3-((S)-5-Amino-1-(benzylamino)-1,5-dioxopentan-2-ylcarbamoyl)-5-oxodecahydro-pyrrolo[1,2-a]azocin-6-ylcarbamoyl)-1H-indol-5-yl dihydrogen phosphate (2).** The acid (**47**, 66 mg, 0.2 mmol), HOBt (56 mg, 0.4 mmol), and EDC-HCl (80 mg, 0.4 mmol) were placed in round-bottomed flask. EtN(iPr)<sub>2</sub> (0.2 mL, 1.0 mmol) and DCM were added *via* syringe and the reaction mixture was stirred at rt for 5 min. Compound **48** was added as a solution in DCM. The reaction was stirred at rt for 3 h before being quenched with saturated NaHCO<sub>3</sub>. The aqueous layer was extracted with DCM and the combined organic layers were washed with brine and dried over anhydrous sodium sulfate. The solvent was removed *in vacuo* and the residue was purified by flash column chromatography to yield **49** in 89% yield (0.14 g). <sup>1</sup>H NMR (300 MHz, MeOD-d<sub>4</sub>): 8.52 (s, 1H), 8.40-8.20 (m, 2H), 7.50-7.00 (m, 20 H), 4.70-4.45

(m, 1H), 4.45-4.20 (m, 5H), 2.70-2.40 (m, 2H), 2.30-1.00 (m, 14H), 1.44 (s, 9H).  $^{13}\text{C}$  NMR (75 MHz, MeOD- $d_4$ ): 174.60, 174.53, 173.96, 173.56, 157.57, 146.21, 139.92, 130.25, 129.69, 128.91, 128.68, 128.38, 127.93, 80.80, 71.74, 63.17, 61.63, 54.28, 52.14, 44.21, 37.46, 35.80, 33.60, 29.14, 28.95, 26.95, 23.53. ESI-MS calculated for  $\text{C}_{47}\text{H}_{56}\text{N}_5\text{O}_6$   $[\text{M}+\text{H}]^+ = 786.42$ , Obtained: 786.92

The amine **49** (78 mg, 0.1 mmol) was dissolved in DCM (5 mL).  $\text{Et}_3\text{Si-H}$  (0.1 mL) and  $\text{CF}_3\text{CO}_2\text{H}$  (2 mL) were added *via* syringe. The reaction was stirred at rt for 1 h. The volatile components were removed on a rotary evaporator. The residue compound (**50**) was used in the next step without further purification. Compound **50** (crude, 0.1 mmol) and 5-((di-*tert*-butoxyphosphoryl)oxy)-1H-indole-2-carboxylic acid<sup>31</sup> (55 mg, 0.15 mmol) were coupled using peptide coupling conditions (3.0 equiv HOBt, 3.0 equiv EDC-HCl, 20 equiv.  $\text{EtN}(\text{i-Pr})_2$  and DCM as solvent). After being purified by flash column chromatography, the obtained product was dissolved in DCM (5 mL) in a round bottomed flask equipped with a magnetic stirring bar.  $\text{CF}_3\text{CO}_2\text{H}$  (2 mL) and  $\text{Et}_3\text{Si-H}$  (0.1 mL) were added to the reaction mixture, which was then stirred for 3 h. Solvent was removed *in vacuo* and the product was purified by reverse phase semi-preparative HPLC to give compound **2** (25 mg, 36% yield).  $^1\text{H}$  NMR (300 MHz, MeOD- $d_4$ ): 7.47 (s, 1H), 7.42 (d,  $J = 8.92$  Hz, 1H), 7.38–7.20 (m, 5H), 7.17 (s, 1H), 7.13 (dd,  $J = 8.92$ , 1.67 Hz, 1H), 5.14–5.04 (m, 1H), 4.50–4.32 (m, 5H), 2.50–1.50 (m, 16H).  $^{31}\text{P}$  NMR (121 MHz, MeOD- $d_4$ ): 4.4 (s). ESI-MS calculated for  $\text{C}_{32}\text{H}_{40}\text{N}_6\text{O}_9\text{P}$   $[\text{M}+\text{H}]^+ = 683.26$ , Found: 683.20. Reverse phase analytical HPLC: > 99% purity.

**(2-((3S,6S)-3-((S)-5-Amino-1-(benzylamino)-1,5-dioxopentan-2-ylcarbamoyl)-5-oxodeca-hydropyrrolo[1,2-a]azocin-6-ylcarbamoyl)-1H-indol-5-yl)methylphosphonic acid (3).** NaH (2.2 g, 53 mmol, 2.0 equiv., 60% in mineral oil) and THF (300 mL) were mixed in a

round bottomed flask equipped with a magnetic stirring bar. The suspension was cooled with an ice/water bath before addition of ethyl 5-methyl-1*H*-indole-2-carboxylate (**36**) (5.0 g, 26 mmol, 1.0 equiv.) over 15 min. The solution was stirred at this temperature for 30 min and the colour of solution turned red. Boc<sub>2</sub>O (8.1 g, 37 mmol, 1.4 equiv) was added to the solution in one portion. The reaction mixture was stirred at rt for another 24 h before quenching with ice water. The aqueous layer was extracted with EtOAc (200 mL × 2) and the combined organic layers were washed with brine (50 mL × 2), dried over anhydrous sodium sulfate, and concentrated on a rotary evaporator. The residual crude product (**37**) was used directly for the next step without further purification. The crude **37**, (PhCO)<sub>2</sub>O<sub>2</sub> (242 mg, 1.0 mmol, 0.04 equiv), NBS (4.62 g, 26.0 mmol, 1.0 equiv) and anhydrous CCl<sub>4</sub> (150 mL) were added to a round bottomed flask equipped with a magnetic stirring bar. The reaction mixture was heated at reflux for 12 h. The precipitate was filtered off and the solvent was removed on a rotary evaporator. The residual crude product was purified by flash column chromatography to afford the desired benzylic bromide (**38**) as colourless oil (7.6 g, 77% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): 8.05 (d, *J* = 8.66 Hz, 1H), 7.61 (d, *J* = 1.39 Hz, 1H), 7.44 (dd, *J* = 8.66, 1.81 Hz, 1H), 7.06 (d, *J* = 0.65 Hz, 1H), 5.29 (s, 2H), 4.38 (q, *J* = 7.14 Hz, 2H), 1.62 (s, 9H), 1.40 (t, *J* = 7.14 Hz, 3H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): 161.8, 149.2, 146.9, 137.6, 133.0, 131.8, 128.0, 127.9, 122.7, 115.5, 114.5, 85.3, 85.0, 61.7, 34.1, 28.0, 14.4. ESI-MS calculated for C<sub>17</sub>H<sub>21</sub><sup>79</sup>BrNO<sub>4</sub> [M+H]<sup>+</sup> = 382.07, Found: 382.42; C<sub>17</sub>H<sub>21</sub><sup>81</sup>BrNO<sub>4</sub> [M+H]<sup>+</sup>: 384.06, Found: 384.08

NaH 0.22 g (60% in mineral oil, 5.5 mmol, 2.5 equiv.) and anhydrous THF (10 mL) were added to a round bottom flask equipped with a magnetic stirring bar. (*t*-BuO)<sub>2</sub>POH (427 mg, 2.2 mmol, 1.0 equiv.) was added dropwise as a THF solution and the reaction mixture was stirred for 0.5 h at rt. The bromide (**38**) was then added as a THF solution and the mixture was heated at 80

°C for 3 h before quenching with NH<sub>4</sub>Cl saturated solution at 0 °C. The aqueous layer was extracted with EtOAc (3 × 30 mL). The organic layers were combined and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>. The solvent was removed *in vacuo* and the product was purified by flash column chromatography to give a mixture of **39** and **40** (0.68 g, 1.4 mmol, 63% yield, **39** : **40** = 75 : 25, inseparable by flash column chromatography). The data of the major isomer (**39**) is shown below. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): 7.99 (d, *J* = 8.60 Hz, 1H), 7.51–7.49 (m, 1H), 7.36–7.30 (m, 1H), 7.05 (s, 1H), 4.38 (q, *J* = 7.16 Hz, 2H), 3.12 (d, *J*<sub>P-H</sub> = 21.08 Hz, 2H), 1.63 (s, 9H), 1.41 (s, 18H), 1.41–1.39 (m, 3H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): 161.8, 149.2, 136.6 (d, *J*<sub>P-C</sub> = 2.9 Hz), 130.9, 128.9 (d, *J*<sub>P-H</sub> = 5.9 Hz), 128.51 (d, *J*<sub>P-H</sub> = 9.6 Hz), 127.6 (d, *J*<sub>P-C</sub> = 2.8 Hz), 123.0 (d, *J*<sub>P-C</sub> = 7.2 Hz), 114.5, 114.4 (d, *J*<sub>P-C</sub> = 2.4 Hz), 84.5, 82.0 (d, *J*<sub>P-C</sub> = 9.0 Hz), 61.3, 37.6 (d, *J*<sub>P-C</sub> = 142.9 Hz), 30.33 (d, *J*<sub>P-C</sub> = 3.9 Hz), 27.8, 14.2. <sup>31</sup>P NMR (121 M Hz, CDCl<sub>3</sub>): 18.10. ESI-MS calculated for C<sub>25</sub>H<sub>38</sub>NO<sub>7</sub>P [M+Na]<sup>+</sup> = 518.23, Found: 518.24

The acid **41** was synthesized from **39** and **40** (90 mg, 2.0 equiv.) using LiOH-H<sub>2</sub>O (16 mg, 4.0 equiv.) as base and THF/H<sub>2</sub>O/MeOH (3 mL: 3 mL : 3 mL) as solvents. The reaction was heated at 60 °C. Upon completion of hydrolysis determined by TLC (ca. 2 h), the reaction mixture was acidified to pH = 2 with 0.1 N HCl. The aqueous layer was extracted with EtOAc (3 × 30 mL) and the organic layers were combined and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>. The solvent was removed *in vacuo* and the crude product was coupled with **41** without further purification.

The freshly prepared amine **50** (0.05 mmol) and **41** (0.2 mmol) were coupled using peptide coupling conditions (6.0 equiv. HOBt, 6.0 equiv., EDC-HCl, 40 equiv., EtN(*i*-Pr)<sub>2</sub> and DCM as solvent). The reaction was stirred at rt for 3 h before being quenched with saturated NaHCO<sub>3</sub>. The aqueous layer was extracted with DCM and the combined organic layers were washed with brine and dried over anhydrous sodium sulfate. The solvent was removed *in vacuo* and the residue was

purified by flash column chromatography. The obtained product was dissolved in DCM (5 mL) and CF<sub>3</sub>CO<sub>2</sub>H (3 mL) and Et<sub>3</sub>Si-H (0.1 mL) were added *via* syringe. The reaction mixture was stirred at rt for 3 h. The volatile components were removed on a rotary evaporator and the desired product was purified by reverse phase semi-preparative HPLC to give compound **3** (20 mg, 58% yield). <sup>1</sup>H NMR (300 MHz, MeOD-d<sub>4</sub>): 7.57 (s, 1H), 7.39 (d, *J* = 8.52 Hz, 1H), 7.36–7.24 (m, 5H), 7.23 (d, *J* = 8.52 Hz, 1H), 7.15 (s, 1H), 5.14–5.02 (m, 1H), 4.52–4.06 (m, 5H), 3.20 (d, *J*<sub>P-H</sub> = 21.05 Hz, 2H), 2.50–1.50 (m, 16H). <sup>31</sup>P NMR (121 MHz, MeOD-d<sub>4</sub>): 25.3 (s). ESI-MS calculated for C<sub>33</sub>H<sub>42</sub>N<sub>6</sub>O<sub>8</sub>P [M+H]<sup>+</sup> = 681.28, Found: 681.28. Reverse phase analytical HPLC: 98% purity.

**(2-((3S,6S)-3-((S)-5-Amino-1-(benzylamino)-1,5-dioxopentan-2-ylcarbamoyl)-5-oxodecahydropyrrolo[1,2-a]azocin-6-ylcarbamoyl)-1H-indol-5-yl)difluoromethylphosphonic acid (4).** Compound **38** (3 g, 7.9 mmol, 1.0 equiv.) and (EtO)<sub>3</sub>P (1.72 mL, 10.0 mmol, 1.2 equiv.) were placed in a round bottomed flask equipped with a magnetic stirring bar. The reaction mixture was heated at 100 °C for 12 h. then was loaded directly onto a silica gel column and purified by flash column chromatography to afford the desired phosphate (**42**) as a colorless oil (2.9 g, 84%). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): 8.02 (d, *J* = 8.62 Hz, 1H), 7.53 (s, 1H), 7.35 (d, *J* = 8.63 Hz, 1H), 7.05 (s, 1H), 4.38 (q, *J* = 7.13 Hz, 2H), 4.07–3.92 (m, 4H), 3.23 (d, *J*<sub>P-H</sub> = 21.24 Hz, 2H), 1.63 (s, 9H), 1.39 (t, *J* = 7.13 Hz, 3H), 1.23 (t, *J* = 7.06 Hz, 6H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): 161.7, 149.1, 136.7 (d, *J*<sub>P-C</sub> = 2.88 Hz), 131.1, 128.5 (d, *J*<sub>P-C</sub> = 5.88 Hz), 127.7 (d, *J*<sub>P-C</sub> = 2.81), 126.5 (d, *J*<sub>P-C</sub> = 9.12 Hz), 122.9 (d, *J*<sub>P-C</sub> = 7.15 Hz), 114.8 (d, *J*<sub>P-C</sub> = 2.50 Hz), 114.3, 84.5, 62.0 (d, *J*<sub>P-C</sub> = 6.79 Hz), 61.3, 33.3 (d, *J*<sub>P-C</sub> = 128.4), 27.7, 16.3 (d, *J*<sub>P-C</sub> = 5.96 Hz), 14.1. <sup>31</sup>P NMR (121 MHz, CDCl<sub>3</sub>): 26.3 (s). ESI-MS calculated for C<sub>21</sub>H<sub>31</sub>NO<sub>7</sub>P [M+H]<sup>+</sup> = 440.18, Found: 440.67

Compound **42** (2.9 g, 6.6 mmol, 1.0 equiv.), BnOH (14 mL, 132 mmol, 20 equiv.), and Ti(Oi-Pr)<sub>4</sub> (0.32 mL, 1.6 mmol, 0.25 equiv.) were placed in a round bottomed flask equipped with a magnetic stirring bar. The reaction mixture was heated at 100 °C for 12 h. The reaction mixture was cooled down to 35 °C and quenched with 1 N HCl (20 mL). The aqueous layer was extracted with EtOAc (200 mL × 2) and the combined organic extracts were washed with brine (50 mL × 2), dried over anhydrous sodium sulfate, filtered and concentrated *in vacuo*. The residual crude product was purified by flash column chromatography to afford the desired benzyl carboxylate (**43**) as colourless oil (2.25 g, 83% yield). 80% purity (determined by <sup>31</sup>P NMR): 10% ethyl carboxylate, 10% unknown. <sup>1</sup>H NMR (300 MHz, MeOD-d<sub>4</sub>): 7.65 (s, 1H), 7.60–7.38 (m, 6H), 7.31 (dt, *J* = 8.57, 1.72 Hz, 1H), 7.24 (s, 1H), 5.43 (s, 2H), 4.15–4.00 (m, 4H), 3.35 (d, *J*<sub>P-H</sub> = 21.03 Hz, 2H), 1.30 (t, *J* = 7.06 Hz, 6H). <sup>13</sup>C NMR (75 MHz, MeOD-d<sub>4</sub>): 163.0, 138.2 (d, *J*<sub>P-C</sub> = 2.19 Hz), 137.6, 129.6, 129.3, 129.2, 129.1, 128.8 (d, *J*<sub>P-C</sub> = 2.76 Hz), 128.2 (d, *J*<sub>P-C</sub> = 5.33 Hz), 124.3 (d, *J*<sub>P-C</sub> = 7.95 Hz), 124.1 (d, *J*<sub>P-C</sub> = 9.42 Hz), 113.4 (d, *J*<sub>P-C</sub> = 2.37 Hz), 109.3, 67.4, 63.6 (d, *J*<sub>P-C</sub> = 6.96 Hz), 33.6 (d, *J*<sub>P-C</sub> = 138.3 Hz), 16.7 (d, *J*<sub>P-C</sub> = 5.92 Hz). <sup>31</sup>P NMR (121 M Hz, MeOD-d<sub>4</sub>): 28.3 (s), 26.4 (s). ESI-MS calculated for C<sub>21</sub>H<sub>25</sub>NO<sub>5</sub>P [M+H]<sup>+</sup> = 402.15, Found: 402.50

NaH (0.6 g, 15 mmol, 3.0 equiv., 60% in mineral oil) and THF (100 mL) were placed in a round bottomed flask equipped with a magnetic stirring bar. The suspension was cooled with ice/water bath before addition of compound **43** (2.25 g in THF, 5.5 mmol, 1.0 equiv.) over 5 min. The solution was stirred at this temperature for 10 min before addition of Cbz-Cl (1.12 mL, 8 mmol, 1.5 equiv.) *via* a syringe. The reaction mixture was stirred at rt for another 12 h before quenching with ice water. The aqueous layer was extracted with EtOAc (200 mL × 2) and the combined organic extracts were washed with brine (50 mL × 2), dried over anhydrous sodium

sulfate, and concentrated *in vacuo*. The residual crude product was purified by flash column chromatography to afford the desired **41** as a colorless oil (2.6 g, 88% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): 8.00 (d, *J* = 8.63 Hz, 1H), 7.52 (s, 1H), 7.46–7.26 (m, 11H), 7.11 (s, 1H), 5.33 (s, 2H), 5.20 (s, 2H), 4.10–3.90 (m, 4H), 3.22 (d, *J*<sub>P-H</sub> = 21.30 Hz, 2H), 1.21 (t, *J* = 7.05 Hz, 6H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): 161.3, 150.5, 136.6 (d, *J*<sub>P-C</sub> = 2.97 Hz), 135.3, 134.4, 130.6, 129.0 (d, *J*<sub>P-C</sub> = 5.88 Hz), 128.7, 128.6, 128.6, 128.5, 128.3, 128.2, 127.8 (d, *J*<sub>P-C</sub> = 2.82 Hz), 127.0 (d, *J*<sub>P-C</sub> = 9.10 Hz), 123.1 (d, *J*<sub>P-C</sub> = 7.08 Hz), 115.6, 115.0 (d, *J*<sub>P-C</sub> = 2.25 Hz), 69.5, 67.1, 62.1 (d, *J*<sub>P-C</sub> = 6.78 Hz), 33.4 (d, *J*<sub>P-C</sub> = 138.49 Hz), 16.3 (d, *J*<sub>P-C</sub> = 5.87 Hz). <sup>31</sup>P NMR (121 M Hz, CDCl<sub>3</sub>): 26.3 (s). ESI-MS calculated for C<sub>29</sub>H<sub>30</sub>NO<sub>7</sub>P [M+Na]<sup>+</sup> = 558.17, Found: 558.08

Compound **44** (9.17 g, 17 mmol, 1.0 equiv.), (PhSO<sub>2</sub>)<sub>2</sub>NF (NFSB, 16 g, 51 mmol, 3.0 equiv.) and THF (300 mL) were added to a round bottomed flask equipped with a magnetic stirring bar. The reaction mixture was cooled to -78 °C with the aid of an ethanol/dry ice bath. To this solution, NaHMDS (51 mL, 1.0 M in THF, 3.0 equiv.) was added over 10 min. The reaction mixture was stirred at this temperature for 2 h before warming up to rt over 3 to 4 h. The reaction was quenched with saturated NH<sub>4</sub>Cl aqueous solution (100 mL). The aqueous layer was extracted with EtOAc (200 mL × 2) and the combined organic extracts were washed with brine (50 mL × 2), dried over anhydrous sodium sulfate, and concentrated *in vacuo*. The residual crude product was purified by flash column chromatography to afford the desired product (**45**) as a colourless oil (9.6 g, 95% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>): 8.13 (d, *J* = 8.70 Hz, 1H), 7.88 (s, 1H), 7.65 (d, *J* = 8.90 Hz, 1H), 7.50–7.28 (m, 10H), 7.17 (s, 1H), 5.33 (s, 2H), 5.20 (s, 2H), 4.30–4.00 (m, 4H), 1.27 (t, *J* = 6.85 Hz, 6H). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>): 161.2, 150.3, 138.6, 135.2, 134.2, 131.5, 129.0, 128.8, 128.7, 128.6, 128.5, 128.4, 128.4–127.6 (m), 127.4, 125.2–124.4 (m), 121.0–120.6 (m), 120.5–119.5 (m), 115.5, 115.2, 70.0, 67.3, 64.9 (d, *J*<sub>P-C</sub> = 6.76 Hz),



16.3 (d,  $J_{P-C} = 5.49$  Hz).  $^{31}\text{P}$  NMR (121 M Hz,  $\text{CDCl}_3$ ): 6.3 (t,  $J_{P-F} = 117$  Hz). ESI-MS calculated for  $\text{C}_{29}\text{H}_{29}\text{F}_2\text{NO}_7\text{P}$   $[\text{M}+\text{H}]^+ = 572.17$ , Found: 572.25

Compound **45** (1 g, 1.7 mmol, 1.0 equiv.) and THF (300 mL) were placed in a round bottomed flask equipped with a magnetic stirring bar. The oxygen was removed with the aid of a vacuum line and a nitrogen balloon then 10% Pd/C (0.1 g, 0.1 mmol, 0.05 equiv.) was added to the reaction mixture. The reaction was stirred at rt for 12 h under an  $\text{H}_2$  atmosphere (1 atm  $\text{H}_2$  balloon). The Pd/C was removed by filtration and the solvent was removed *in vacuo*. The residual crude product was purified by flash column chromatography to afford the desired compound (**46**) as a pale green solid (0.56 g, 94% yield). Higher purity can be achieved by recrystallization from  $\text{CHCl}_3$ .  $^1\text{H}$  NMR (300 MHz,  $\text{MeOD-d}_4$ ): 11.6 (s, 1H), 7.94 (s, 1H), 7.58 (d,  $J = 8.75$  Hz, 1H), 7.48 (d,  $J = 8.75$  Hz, 1H), 7.27 (s, 1H), 4.30–4.05 (m, 4H), 1.30 (td,  $J = 7.04$  Hz,  $J_{P-H} = 0.49$  Hz, 6H).  $^{13}\text{C}$  NMR (75 MHz,  $\text{MeOD-d}_4$ ): 164.5, 139.7, 131.2, 128.1, 126.0–124.0 (m), 123.4–123.0 (m), 122.4–122.0 (m), 119.0–118.1 (m), 113.5, 109.6, 66.3 (d,  $J_{P-C} = 7.09$  Hz), 16.6 (d,  $J_{P-C} = 5.34$  Hz).  $^{31}\text{P}$  NMR (121 M Hz,  $\text{MeOD-d}_4$ ): 6.6 (t,  $J_{P-F} = 123$  Hz). ESI-MS calculated for  $\text{C}_{14}\text{H}_{17}\text{F}_2\text{NO}_5\text{P}$   $[\text{M}+\text{H}]^+ = 348.08$ , Found: 348.42.

The freshly prepared amine (**50**) (0.2 mmol) and the acid (**46**) (0.2 mmol) were coupled using peptide coupling conditions (3.0 equiv. HOBt. 3.0 equiv. EDC-HCl, and 4.0 equiv.  $\text{EtN}(i\text{-Pr})_2$ , DCM as solvent). The reaction was stirred at rt for 3 h before being quenched with saturated  $\text{NaHCO}_3$ . The aqueous layer was extracted with DCM and the combined organic layers were washed with brine and dried over anhydrous sodium sulfate. The solvent was removed *in vacuo* and the residue was purified by flash column chromatography. The obtained product was dissolved in DCM (5 mL) in a round bottomed flask equipped with a magnetic stirring bar and TMSI (0.7 mmol, 0.1 mL) was added at 0 °C. The reaction mixture was stirred for 2 h at 0 °C. Volatile

components were removed on a rotary evaporator and a mixture of MeCN-H<sub>2</sub>O-AcOH (20 mL, 8:1:1) was added. The mixture was stirred at rt for 1 h. The volatile components were removed on a rotary evaporator and the desired product was purified by reverse phase semi-preparative HPLC to give compound **4** (38 mg, 26% yield). <sup>1</sup>H NMR (300 MHz, MeOD-d<sub>4</sub>): 7.89 (s, 1H), 7.50 (d, *J* = 8.74 Hz, 1H), 7.45 (d, *J* = 8.74 Hz, 1H), 7.34–7.16 (m, 6H), 5.10–5.00 (m, 1H), 4.48–4.30 (m, 5H), 2.50–1.50 (m, 16H). <sup>31</sup>P NMR (121 MHz, MeOD-d<sub>4</sub>): 5.6 (t, *J*<sub>P-F</sub> = 119 Hz). ESI-MS calculated for C<sub>33</sub>H<sub>40</sub>F<sub>2</sub>N<sub>6</sub>O<sub>8</sub>P [M+H]<sup>+</sup> = 717.26, Found: 717.33. Reverse phase analytical HPLC: > 95%.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-methyl-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (5).** HATU (418 mg, 1.1 mmol, 1.1 equiv.) was added to a solution of **51** (462 mg, 1 mmol, 1 equiv.), *tert*-butyl L-glutamate **52** (202 mg, 1 mmol, 1 equiv.) and DIEA (0.52 mL, 3 mmol, 3 equiv.) in DMF (10 mL) and the resulting mixture was stirred at rt for 1 h. The solution was diluted with EtOAc and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was dissolved in DCM (50 mL) and TFA (2.5 mL) was added. The resulting reaction mixture was stirred for one day and the solvent was removed under vacuum. The residual was purified by HPLC to afford **53** (279 mg 51% over two steps). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.44-7.33 (m, 5H), 5.33 – 5.14 (m, 2H), 4.53 (t, *J* = 8.6 Hz, 1H), 4.45 – 4.29 (m, 2H), 4.18-4.11 (m, 2H), 3.83 – 3.63 (m, 1H), 3.55 – 3.36 (m, 2H), 2.38 (tt, *J* = 7.3, 6.0 Hz, 3H), 2.28 – 2.03 (m, 3H), 2.01 – 1.72 (m, 4H), 1.50-1.44 m, 9H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>27</sub>H<sub>40</sub>N<sub>5</sub>O<sub>7</sub><sup>+</sup> 546.29, found [M+H]<sup>+</sup> 546.47.

HATU (153 g, 0.40 mmol, 1.1 equiv.) was added to a solution of compounds **53** (200 mg, 0.37 mmol, 1 equiv.), **46** (127 mg, 0.37 mmol, 1 equiv.) and DIEA (0.19 mL, 1.1 mmol, 3 equiv.) in DMF (5 mL). The resulting mixture was stirred at rt for 1 h and diluted with EtOAc, and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was purified by flash chromatography on silica gel to afford **54** (237 mg, 74%). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.90 (s, 1H), 7.61 – 7.18 (m, 8H), 5.29 – 5.06 (m, 3H), 4.49 (t, *J* = 8.5 Hz, 1H), 4.39–4.30 (m, 2H), 4.26 – 4.08 (m, 4H), 4.01 – 3.44 (m, 4H), 2.52 – 1.62 (m, 10H), 1.50–1.43 (m, 9H), 1.29 (t, *J* = 7.1 Hz, 6H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>37</sub>H<sub>46</sub>F<sub>2</sub>N<sub>6</sub>O<sub>11</sub>P<sup>+</sup> [M-CH<sub>2</sub>=C(CH<sub>3</sub>)<sub>2</sub> + H]<sup>+</sup> 819.29, found [M - CH<sub>2</sub>=C(CH<sub>3</sub>)<sub>2</sub> + H]<sup>+</sup> 819.59.

10% Pd-C (50 mg) was added to a solution of compound **54** (150 mg, 0.17 mmol) in MeOH (10 mL). The solution was stirred under 1 atm of H<sub>2</sub> at rt for 3 h before filtering through celite and being concentrated. The resulting amine was purified by HPLC to afford compound **55** (103 mg, 81%). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.91 (s, 1H), 7.56 (d, *J* = 8.7 Hz, 1H), 7.46 (d, *J* = 8.8 Hz, 1H), 7.33 (d, *J* = 0.6 Hz, 1H), 5.64 (dd, *J* = 12.1, 5.6 Hz, 1H), 4.84 – 4.67 (m, 2H), 4.39 (dd, *J* = 9.2, 5.3 Hz, 1H), 4.29 – 4.04 (m, 4H), 3.79 – 3.50 (m, 3H), 3.43 (t, *J* = 12.4 Hz, 1H), 2.53 – 2.41 (m, 1H), 2.37 (t, *J* = 7.4 Hz, 2H), 2.28 – 1.80 (m, 7H), 1.48 (s, 9H), 1.36 – 1.23 (m, 6H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>33</sub>H<sub>48</sub>F<sub>2</sub>N<sub>6</sub>O<sub>9</sub>P<sup>+</sup> 741.32, found [M+H]<sup>+</sup> 741.44.

Compound **55** (103 g, 0.14 mmol, 1.0 equiv), HCHO (37%, 0.06 mL, 0.70 mmol, 5.0 equiv), DCE (5 mL) and NaBH(OAc)<sub>3</sub> (59 mg, 0.28 mmol, 2.0 equiv) were added to a 25 mL round bottomed flask equipped with a magnetic stirring bar. The solution was stirred rt for 2 h until LC-MS detected the reaction was complete. Water (5 ml) was added to quench the reaction, and the reaction mixture was then extracted with DCM (10 mL x 3) and dried with anhydrous

sodium sulfate. The mixture was filtered and the solvent was removed *in vacuo*. The residual crude product was dissolved in DCM (5 ml), and TFA (2 mL) was added. The resulting mixture was stirred at rt until UPLC-MS detected it was finished. Most of the organic solvent was removed by evaporation, then the residue was purified by HPLC to afford **56** (60 mg, 64% yield over two steps). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.93 (s, 1H), 7.59 (d, *J* = 8.7 Hz, 1H), 7.47 (d, *J* = 8.8 Hz, 1H), 7.34 (d, *J* = 0.6 Hz, 1H), 5.63 (dd, *J* = 11.9, 5.3 Hz, 1H), 4.84 (t, *J* = 8.9 Hz, 1H), 4.75-4.71 (m, 1H), 4.56 (dd, *J* = 9.9, 4.3 Hz, 1H), 4.30 – 4.09 (m, 4H), 4.01 (t, *J* = 12.7 Hz, 1H), 3.80 (dd, *J* = 12.1, 5.4 Hz, 1H), 3.60 (d, *J* = 14.3 Hz, 1H), 3.43 (t, *J* = 12.0 Hz, 1H), 3.13 (s, 3H), 2.56-2.49 (m, 1H), 2.45 – 2.16 (m, 5H), 2.14 – 2.02 (m, 1H), 2.00 – 1.82 (m, 3H), 1.31 (t, *J* = 7.1 Hz, 6H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>30</sub>H<sub>42</sub>F<sub>2</sub>N<sub>6</sub>O<sub>9</sub>P<sup>+</sup> 699.27, found [M+H]<sup>+</sup> 699.05.

HATU (21 mg, 0.055 mmol, 1.1 equiv.) was added to a solution of the acid **56** (35 mg, 0.05 mmol, 1 equiv.), benzylamine (5.4 mg, 0.05 mmol, 1 equiv.) and DIEA (46 μL, 0.15 mmol, 3 equiv.) in DMF (3 mL). The resulting mixture was stirred at rt for 10 min and purified by HPLC. The product obtained (32 mg, 0.04 mmol, 1 equiv.) was dissolved in DCM (4 mL) and cooled to 0 °C before adding CF<sub>3</sub>CON(TMS)<sub>2</sub> (63 mg, 0.24 mmol, 6.0 equiv) and 1M of TMS-I in DCM (0.16 mL, 0.16 mmol, 4.0 equiv). The reaction mixture was stirred at 0 °C for 10 min and the solvent was removed *in vacuo* at 0 °C. The residue was dissolved in a mixed solvent of CH<sub>3</sub>CN (1 mL), water (2 mL) and TFA (0.1 mL), and purified by HPLC to yield compound **5** (24 mg, 65% over two steps). <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.82 (s, 1H), 7.45-7.39 (m, 2H), 7.31 – 7.23 (m, 2H), 7.19 (dd, *J* = 6.0, 3.6 Hz, 4H), 5.50 – 5.28 (m, 1H), 4.67-4.48 (m, 2H), 4.25 (s, 2H), 4.22 – 4.18 (m, 1H), 3.82-3.73 (m, 1H), 3.62 – 3.51 (m, 1H), 3.44-3.37 (m, 1H), 3.24 (t, *J* = 12.2 Hz, 1H), 2.88 (s, 3H), 2.38-2.30 (m, 1H), 2.22 (t, *J* = 7.6 Hz, 2H), 2.14 – 1.92 (m, 3H), 1.87

– 1.63 (m, 4H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{33}H_{41}F_2N_7O_8P^+$  732.27, found  $[M+H]^+$  732.44.

**((2-(((5S,8S,10aR)-3-Acetyl-8-(((S)-5-amino-1-(benzylamino)-1,5-dioxopentan-2-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (6).** Compound **6** was synthesized by employing the similar method as for compound **5**.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.82 (s, 1H), 7.47-7.43 (m, 1H), 7.39-7.37 (m, 1H), 7.28 – 7.20 (m, 2H), 7.18-7.15 (m, 4H), 5.06 – 4.91 (m, 1H), 4.37 – 4.17 (m, 5H), 3.87 – 3.70 (m, 1H), 3.66 – 3.29 (m, 3H), 2.28 – 2.10 (m, 3H), 2.09 – 1.91 (m, 5H), 1.87 – 1.59 (m, 5H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{34}H_{41}F_2N_7O_9P^+$  760.27, found  $[M+H]^+$  760.38.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-methyl-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (7).** Compound **7** was prepared from **56** by a procedure similar to that used for compound **5**.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.91 (s, 1H), 7.62 – 7.43 (m, 2H), 7.42 – 7.14 (m, 11H), 6.10 (s, 1H), 5.48-5.46 (m, 1H), 4.76-4.57 (m, 2H), 4.42-4.39 (m, 1H), 3.92-3.84 (m, 1H), 3.67-3.65 (m, 1H), 3.49-3.46 (m, 1H), 3.36-3.30 (m, 1H), 2.96 (s, 3H), 2.51 – 2.23 (m, 3H), 2.17-2.04 (m, 3H), 1.92-1.87 (m, 2H), 1.80-1.72 (m, 2H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{39}H_{45}F_2N_7O_8P^+$  808.30, found  $[M+H]^+$  808.46.

**((2-(((5S,8S,10aR)-3-Acetyl-8-(((S)-5-amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (SI-109, 8).** HATU (8.5 g, 22.3 mmol, 1.1 equiv.) was added to a solution of the Boc-Gln-OH **57** (5.0 g, 20.3 mmol, 1 equiv.), aminodiphenylmethane hydrochloride **58** (4.5 g, 20.3 mmol, 1 equiv.) and DIEA (10.6 mL, 60.9 mmol, 3 equiv.) in DMF

(60 mL) and the resulting mixture was stirred at rt for 1 h. The solution was diluted with EtOAc and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was purified by flash chromatography on silica gel to afford **59** (7.3 g 87 %). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.95 (d, *J* = 6.5 Hz, 1H), 7.35 – 7.23 (m, 10H), 6.36 (s, 1H), 6.21 (d, *J* = 8.2 Hz, 1H), 5.89 (d, *J* = 5.8 Hz, 1H), 5.74 (s, 1H), 4.24 (s, 1H), 2.33-2.26 (m, 1H), 2.21 – 2.11 (m, 1H), 2.09-2.00 (m, 1H), 1.92-1.87 (m, 1H), 1.43 (s, 9H). <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) δ 175.42, 171.02, 156.26, 141.50, 141.32, 128.63, 127.44, 80.10, 56.92, 53.75, 31.91, 29.10, 28.31.

TFA (5 mL) was added slowly to a solution of **59** (3 g) in DCM (50 mL) and the resulting reaction solution was stirred at rt for 6 h and then evaporated. The residue, consisting of **60** was used directly in the next step without further purification.

HATU (1.59 g, 4.2 mmol, 1.1 equiv.) was added to a solution of **51** (1.75 g, 3.8 mmol, 1 equiv.), **60** (1.61 g, 3.8 mmol, 1 equiv.) and DIEA (1.98 mL, 11.4 mmol, 3 equiv.) in DMF (15 mL) and the resulting mixture was stirred at rt for 1 h. The solution was diluted with EtOAc and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was purified by flash chromatography on silica gel to afford **61** (2.4 g, 84 %). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.46 – 7.39 (m, 2H), 7.38 – 7.19 (m, 13H), 6.16-6.15 (m, 1H), 5.20-5.18 (m, 2H), 4.74 – 4.57 (m, 1H), 4.56 – 4.37 (m, 2H), 4.25-4.23 (m, 1H), 3.87 – 3.35 (m, 4H), 2.55 – 2.28 (m, 2H), 2.27 – 1.58 (m, 8H), 1.46 (s, 9H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>41</sub>H<sub>51</sub>N<sub>6</sub>O<sub>8</sub>P<sup>+</sup> 755.38, found [M+H]<sup>+</sup> 755.52.

10% Pd-C (150 mg) was added to a solution of compound **61** (0.5 g, 0.66 mmol) in MeOH (30 mL). The solution was stirred under 1 atm of H<sub>2</sub> at rt for 2 h before filtration through celite and being concentrated. The resulting amine was dissolved in DCM (30 mL) and Ac<sub>2</sub>O

(135 mg, 1.3 mmol, 2 equiv.) and DIEA (0.35 mL, 2.0 mmol, 3 equiv.) were added. The resulting reaction mixture was stirred for 30 min and was then evaporated. The residue was treated with TFA (3 mL) in DCM (30 mL) at rt and the resulting reaction solution was stirred at rt for 6 h and then evaporated. The residue was purified by HPLC to afford compound **62** (264 mg, 71% over three steps). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.40 – 7.21 (m, 10H), 6.17 (s, 1H), 4.66 – 4.36 (m, 3H), 4.30 – 3.95 (m, 2H), 3.83-3.54 (m, 3H), 2.46 – 1.75 (m, 13H).

HATU (22 mg, 0.06 mmol, 1.1 equiv.) was added to a solution of compound **62** (30 mg, 0.05 mmol, 1 equiv.), **46** (19 mg, 0.05 mmol, 1 equiv.) and DIEA (28 μL, 0.16 mmol, 3 equiv.) in DMF (2 mL). The resulting mixture was stirred at rt for 1 h and diluted with EtOAc, then washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was purified by flash chromatography on silica gel. The obtained product was dissolved in DCM (2 mL) and cooled to 0 °C before adding CF<sub>3</sub>CON(TMS)<sub>2</sub> (82 mg, 0.32 mmol, 6.0 equiv) and 1M of TMS-I in DCM (0.21 mL, 0.21 mmol, 4.0 equiv). The reaction mixture was stirred at 0 °C for 10 min and the solvent was removed under vacuum at 0 °C. The residue was dissolved in a mixed solvent of CH<sub>3</sub>CN (1 mL), water (2 mL) and TFA (0.1 mL), and purified by HPLC to yield SI-109 (**8**, 28 mg, 63% over two steps). <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.90 (s, 1H), 7.56-7.52 (m, 1H), 7.48-7.46 (m, 1H), 7.35-7.21 (m, 11H), 6.07-6.06 (m, 1H), 5.11-5.02 (m, 1H), 4.42 – 4.23 (m, 3H), 3.95 – 3.80 (m, 1H), 3.77 – 3.53 (m, 2H), 3.49 – 3.37 (m, 1H), 2.32-2.26 (m, 2H), 2.19-2.14 (m, 4H), 2.11-2.01 (m, 2H), 1.96 – 1.58 (m, 5H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>40</sub>H<sub>45</sub>F<sub>2</sub>N<sub>7</sub>O<sub>9</sub>P<sup>+</sup> 836.30, found [M+H]<sup>+</sup> 836.43.

**2-(((5S,8S,10aR)-3-Acetyl-8-(((S)-5-amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl**

**dihydrogen phosphate (9).** Compound **9** was prepared from **62** by a similar procedure as was used for compound **2**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.39-7.35 (m, 2H), 7.27-7.17 (m, 10H), 7.06-7.03 (m, 2H), 5.99-5.98 (m, 1H), 5.03-4.94 (m, 1H), 4.20-4.23 (m, 3H), 3.88 – 3.46 (m, 3H), 3.43 – 3.23 (m, 1H), 2.24-2.19 (m, 2H), 2.16 – 1.94 (m, 6H), 1.89 – 1.56 (m, 5H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>39</sub>H<sub>45</sub>N<sub>7</sub>O<sub>10</sub>P<sup>+</sup> 802.30, found [M+H]<sup>+</sup> 802.41.

**((2-(((5S,8S,10aR)-3-Acetyl-8-(((S)-5-amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)methyl)phosphonic acid (10).** Compound **10** was prepared from **62** by a similar procedure as was used for compound **3**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.48 (s, 1H), 7.38-7.36 (m, 1H), 7.33 – 7.09 (m, 11H), 7.06-7.02 (m, 1H), 6.00-5.99 (m, 1H), 5.07 – 4.92 (m, 1H), 4.40 – 4.22 (m, 3H), 3.80-3.59 (m, 3H), 3.41 – 3.29 (m, 1H), 3.13 (d, *J* = 21.1 Hz, 2H), 2.25-2.20 (m, 2H), 2.17 – 1.96 (m, 6H), 1.89 – 1.54 (m, 5H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>40</sub>H<sub>47</sub>N<sub>7</sub>O<sub>9</sub>P<sup>+</sup> 800.32, found [M+H]<sup>+</sup> 800.45.

**((4-((E)-4-(((5S,8S,10aR)-3-Acetyl-8-(((S)-5-amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)amino)-4-oxobut-2-en-2-yl)phenyl)difluoromethyl)phosphonic acid (11).** Compound **11** was prepared from **62** and the previously reported protected phosphotyrosine mimetic, (E)-3-(4-((diethoxyphosphoryl)difluoromethyl)phenyl)but-2-enoic acid<sup>32</sup>, by a similar procedure to that used for compound **8**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.56 – 7.40 (m, 4H), 7.28 – 7.07 (m, 10H), 6.19-6.17 (m, 1H), 5.94 (s, 1H), 4.94 – 4.71 (m, 1H), 4.29 – 4.17 (m, 3H), 3.78 – 3.03 (m, 4H), 2.32 (s, 3H), 2.19-2.16 (m, 2H), 2.08 – 1.88 (m, 6H), 1.85 – 1.48 (m, 5H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>41</sub>H<sub>48</sub>F<sub>2</sub>N<sub>6</sub>O<sub>9</sub>P<sup>+</sup> 837.32, found [M+H]<sup>+</sup> 837.57.



**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(6-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)hex-5-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (12).** Compound **12** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN : D<sub>2</sub>O = 1:1) δ 7.99 – 7.79 (m, 1H), 7.78 – 7.43 (m, 4H), 7.42 – 6.93 (m, 12H), 6.09 – 5.98 (m, 1H), 5.11 – 4.89 (m, 2H), 4.50 – 4.24 (m, 5H), 3.91 – 3.15 (m, 4H), 2.86 – 2.47 (m, 6H), 2.42 – 1.99 (m, 8H), 1.93 – 1.45 (m, 6H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>57</sub>H<sub>60</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P<sup>2+</sup> 565.71, found [M+H]<sup>2+</sup> 565.96.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(7-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)hept-6-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (13).** Compound **13** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN : D<sub>2</sub>O = 1:1) δ 7.99 – 7.81 (m, 1H), 7.76 – 7.43 (m, 4H), 7.43 – 7.00 (m, 12H), 6.06-6.03 (m, 1H), 5.19 – 4.88 (m, 2H), 4.45 – 4.18 (m, 5H), 3.95-3.65 (m, 3H), 3.37-3.28 (m, 1H), 3.02 – 1.99 (m, 14H), 1.93 – 1.51 (m, 8H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>58</sub>H<sub>61</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P<sup>+</sup> 1144.41, found [M+H]<sup>+</sup> 1144.45.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (SD-36, 14).** TFA (3 mL) was added slowly to a solution of **61** (2g, 2.65 mmol) in DCM (30 mL) at rt and the resulting solution was stirred at rt for 6 h then evaporated. The crude product was directly used in the next step without purification. HATU (1.1 g, 2.91 mmol, 1.1 equiv.) was added to a solution of the crude product of the

previous step (2.65 mmol, 1 equiv.), **46** (0.92 g, 2.65 mmol, 1 equiv.) and DIEA (1.39 mL, 7.95 mmol, 3 equiv.) in DMF (15 mL). The resulting mixture was stirred at rt for 1 h and diluted with EtOAc, and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent in vacuo, the residue was purified by flash chromatography on silica gel to afford compound **63**. 10% Pd-C (200 mg) was added to a solution of the obtained **63** in MeOH (60 mL). The solution was stirred under 1 atm of H<sub>2</sub> at rt for 3 h before being filtered through celite and concentrated. The resulting amine was purified by HPLC to afford compound **64** (1.73 g, 77% over three steps). <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.92 (s, 1H), 7.57 (d, *J* = 8.7 Hz, 1H), 7.46 (d, *J* = 8.8 Hz, 1H), 7.38 – 7.31 (m, 5H), 7.30 – 7.24 (m, 6H), 6.19 (s, 1H), 5.61 (dd, *J* = 12.1, 5.6 Hz, 1H), 4.78 (t, *J* = 8.9 Hz, 1H), 4.70-4.66 (m, 1H), 4.59 (dd, *J* = 9.5, 5.0 Hz, 1H), 4.29 – 4.05 (m, 4H), 3.72-3.61 (m, 2H), 3.54 (t, *J* = 12.6 Hz, 1H), 3.42 (t, *J* = 12.4 Hz, 1H), 2.45 – 2.27 (m, 3H), 2.24 – 2.01 (m, 3H), 2.00 – 1.70 (m, 4H), 1.29 (td, *J* = 7.1, 3.2 Hz, 6H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>42</sub>H<sub>51</sub>F<sub>2</sub>N<sub>7</sub>O<sub>8</sub>P<sup>+</sup> 850.35, found [M+H]<sup>+</sup> 850.37.

Trimethyl-amine (10 mL) was added to a mixture of compound **66** (1.3 g, 4.0 mmol, 1 equiv.), oct-7-ynoic acid **67** (0.56 g, 4.0 mmol, 1 equiv.), CuI (154 mg, 0.8 mmol, 0.2 equiv) and Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (282 mg, 0.4 mmol, 0.1 equiv) in DMF (10 mL). The resulting mixture was purged and refilled with argon three times then stirred at 70-80 °C for 3 h under argon. The reaction mixture was then cooled to rt and evaporated to remove the solvent. The residue was purified by HPLC to yield compound **68** (1.18 g, 76%). <sup>1</sup>H NMR (400 MHz, DMSO) δ 11.99 (s, 1H), 10.99 (s, 1H), 7.77 – 7.68 (m, 1H), 7.68 – 7.59 (m, 1H), 7.52 (t, *J* = 7.6 Hz, 1H), 5.15 (dd, *J* = 13.3, 5.0 Hz, 1H), 4.46 (d, *J* = 17.7 Hz, 1H), 4.33 (d, *J* = 17.7 Hz, 1H), 2.97-2.88 (m, 1H), 2.63-2.59 (m, 1H), 2.53 – 2.47 (m, 3H), 2.24 (t, *J* = 7.2 Hz, 2H), 2.13 – 1.94 (m, 1H), 1.78 – 1.27 (m, 6H). <sup>13</sup>C

NMR (101 MHz, DMSO)  $\delta$  174.90, 173.32, 171.45, 168.14, 144.23, 134.52, 132.46, 129.05, 123.05, 119.32, 96.73, 76.91, 52.14, 47.47, 34.07, 31.68, 28.32, 28.25, 24.50, 22.83, 19.14. UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{21}H_{23}N_2O_5^+$  383.16, found  $[M+H]^+$  383.28.

HATU (295 mg, 0.77 mmol, 1.1 equiv.) was added to a solution of **64** (600 mg, 0.71 mmol, 1 equiv.), **68** (270 g, 0.71 mmol, 1 equiv.) and DIEA (0.37 mL, 2.12 mmol, 3 equiv.) in DMF (10 mL) and the resulting mixture was stirred at rt for 1 h. The solution was diluted with EtOAc and washed with H<sub>2</sub>O, saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent *in vacuo*, the residue was purified by flash chromatography on silica gel to afford **65** (702 mg 82 %). <sup>1</sup>H NMR (400 MHz, MeOD:CDCl<sub>3</sub> = 1:1)  $\delta$  7.90 (s, 1H), 7.76 – 7.66 (m, 1H), 7.57-7.51 (m, 2H), 7.47 – 7.35 (m, 2H), 7.33 – 7.19 (m, 11H), 6.27 – 6.06 (m, 1H), 5.33 – 4.87 (m, 2H), 4.55 – 4.37 (m, 4H), 4.26 – 3.75 (m, 7H), 3.71 – 3.26 (m, 2H), 2.90 – 2.71 (m, 2H), 2.67 – 1.85 (m, 14H), 1.83 – 1.47 (m, 8H), 1.29 (t,  $J$  = 7.1 Hz, 6H).

Compound **65** (500 mg, 0.41 mmol, 1.0 equiv) and DCM (40 mL) were placed in a round bottomed flask. The solution was cooled to 0 °C before adding CF<sub>3</sub>CON(TMS)<sub>2</sub> (635 mg, 2.5 mmol, 6.0 equiv) and a 1 M solution of TMS-I in DCM (1.65 mL, 1.65 mmol, 4.0 equiv). The reaction mixture was stirred at 0 °C for 10 min then the solvent was removed under vacuum at 0 °C. The residue was dissolved in a mixture of CH<sub>3</sub>CN (5 mL), H<sub>2</sub>O (5 mL) and TFA (0.3 mL), and purified by HPLC to yield SD-36 (**14**, 420 mg, 88%). <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1)  $\delta$  7.90-7.85 (m, 1H), 7.73 – 7.59 (m, 1H), 7.56 – 7.36 (m, 4H), 7.35 – 7.05 (m, 11H), 6.06-6.03 (m, 1H), 5.12 – 4.87 (m, 2H), 4.48 – 4.19 (m, 5H), 3.98 – 3.49 (m, 3H), 3.36 – 3.24 (m, 1H), 2.88 – 2.64 (m, 2H), 2.61 – 2.23 (m, 7H), 2.21 – 1.99 (m, 4H), 1.94 – 1.35 (m, 11H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{59}H_{63}F_2N_9O_{12}P^+$  1158.43, found  $[M+H]^+$  1158.60.

**((2-(((5S,8S,10aR)-8-(((S)-5-amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(9-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)non-8-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (15).** Compound **15** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.99-7.87 (m, 1H), 7.73-7.41 (m, 5H), 7.40 – 6.99 (m, 11H), 6.07-6.04 (m, 1H), 5.12 – 4.91 (m, 2H), 4.46 – 4.21 (m, 5H), 3.98 – 3.53 (m, 3H), 3.34-3.26 (m, 1H), 2.88 – 2.68 (m, 2H), 2.57 – 2.06 (m, 10H), 1.90 – 1.21 (m, 14H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>60</sub>H<sub>66</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P<sup>2+</sup> 586.73, found [M+2H]<sup>2+</sup> 586.95.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(11-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)undec-10-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (16).** Compound **16** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN : D<sub>2</sub>O = 1:1) δ 7.89-7.88 (m, 1H), 7.72-7.67 (m, 1H), 7.60 – 7.39 (m, 4H), 7.37 – 7.13 (m, 11H), 6.06-6.03 (m, 1H), 5.17 – 4.86 (m, 2H), 4.47 – 4.19 (m, 5H), 3.95 – 3.53 (m, 3H), 3.38-3.27 (m, 1H), 2.90 – 2.67 (m, 2H), 2.57 – 2.01 (m, 11H), 1.93 – 1.20 (m, 17H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>62</sub>H<sub>70</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P<sup>2+</sup> 600.74, found [M+2H]<sup>2+</sup> 600.88.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-yn-1-yl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (17).** Compound **17** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.86 (s, 1H), 7.67-

7.64 (m, 1H), 7.48-7.37 (m, 4H), 7.29-7.14 (m, 11H), 6.01 (s, 1H), 5.45 (br, 1H), 5.02-4.96 (m, 1H), 4.68-4.53 (m, 2H), 4.32-4.21 (m, 3H), 3.73-3.70 (m, 1H), 3.54-3.49 (m, 2H), 3.29 (t,  $J = 1.2$  Hz, 1H), 3.14-3.08 (m, 2H), 2.82-2.64 (m, 2H), 2.38-2.15 (m, 6H), 2.14-1.95 (m, 3H), 1.93-1.23 (m, 13H). UPLC-MS calculated for  $C_{59}H_{64}F_2N_8O_{11}PS$   $[M + H]^+$ : 1144.45, found: 1144.50.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(((6-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)hex-5-yn-1-yl)oxy)carbonyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (18).** Compound **18** was prepared from **64** by a procedure similar to that used for SD-36.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.90 (s, 1H), 7.72 (d,  $J = 7.5$  Hz, 1H), 7.65 – 7.39 (m, 4H), 7.38 – 6.99 (m, 11H), 6.05-6.03 (m, 1H), 5.12 – 4.90 (m, 2H), 4.46 – 4.16 (m, 6H), 4.07-3.97 (m, 1H), 3.68-3.31 (m, 4H), 2.86-2.69 (m, 2H), 2.56 – 1.98 (m, 10H), 1.90 – 1.43 (m, 8H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{58}H_{63}F_2N_9O_{13}P^{2+}$  580.71, found  $[M+H]^{2+}$  581.11

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(((6-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)hex-5-yn-1-yl)carbamoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (19).** Compound **19** was prepared from **64** by a procedure similar to that used for SD-36.  $^1H$  NMR (400 MHz,  $CD_3CN : D_2O = 1:1$ )  $\delta$  7.84 (d,  $J = 4.8$  Hz, 1H), 7.63-7.55 (m, 1H), 7.53 – 7.42 (m, 3H), 7.41 – 7.01 (m, 12H), 6.07 (s, 1H), 5.04-4.92 (m, 1H), 4.78 (d,  $J = 8.1$  Hz, 1H), 4.45-4.32 (m, 4H), 4.09-4.04 (m, 1H), 3.87 (d,  $J = 13.5$  Hz, 2H), 3.43 – 3.22 (m, 2H), 3.15-3.09 (m, 2H), 2.88 – 2.63 (m, 2H), 2.51 (t,  $J = 6.4$  Hz, 2H), 2.39 – 2.16 (m, 4H), 2.12 – 2.02 (m, 4H), 1.96-1.90 (m, 1H), 1.83 – 1.43 (m, 7H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{58}H_{62}F_2N_{10}O_{12}P^+$  1159.42, found  $[M+H]^+$  1159.44.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(1-(5-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)pent-4-yn-1-yl)-1H-pyrazole-4-carbonyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (20).** Compound **20** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 8.14-8.03 (m, 1H), 7.86-7.60 (m, 3H), 7.51-7.10 (m, 15H), 6.00-5.99 (m, 1H), 5.15-4.95 (m, 2H), 4.27-4.18 (m, 7H), 3.82-3.48 (m, 3H), 2.74-2.66 (m, 2H), 2.38-1.95 (m, 11H), 1.92-1.54 (m, 5H). UPLC-MS calculated for C<sub>60</sub>H<sub>61</sub>F<sub>2</sub>N<sub>11</sub>O<sub>12</sub>P [M + H]<sup>+</sup>: 1196.42, found: 1196.28.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(4-(4-((2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)ethynyl)-1H-pyrazol-1-yl)butanoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (21).** Compound **21** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.89-7.78 (m, 2H), 7.71-7.60 (m, 2H), 7.50-7.34 (m, 4H), 7.27-7.13 (m, 11H), 6.01-5.96 (m, 1H), 5.05-4.88 (m, 2H), 4.37-4.21 (m, 7H), 3.75-3.29 (m, 4H), 2.82-2.70 (m, 2H), 2.65-1.94 (m, 11H), 1.93-1.65 (m, 5H). UPLC-MS calculated for C<sub>60</sub>H<sub>61</sub>F<sub>2</sub>N<sub>11</sub>O<sub>12</sub>P [M + H]<sup>+</sup>: 1196.42, found: 1196.44.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(4-(4-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)but-3-yn-1-yl)piperidine-1-carbonyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (22).** Compound **22** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.84 (s, 1H), 7.70-7.68 (m, 1H), 7.55-7.41 (m, 4H), 7.29-7.13 (m, 11H), 6.02 (s, 1H), 5.14-5.02 (m, 2H), 4.44-4.13 (m, 6H), 3.64-3.52 (m, 4H), 3.27-3.15 (m, 2H), 2.83-2.61 (m, 4H), 2.45-2.02 (m, 9H), 1.92-

1.49 (m, 9H), 1.20-1.05 (m, 2H). UPLC–MS calculated for C<sub>61</sub>H<sub>66</sub>F<sub>2</sub>N<sub>10</sub>O<sub>12</sub>P [M + H]<sup>+</sup>: 1199.46, found: 1199.48.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(3-(4-((2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)ethynyl)piperidin-1-yl)propanoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (23).** Compound **23** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.88-7.85 (m, 1H), 7.74-7.70 (m, 1H), 7.64-7.57 (m, 1H), 7.50-7.42 (m, 3H), 7.29-7.13 (m, 11H), 6.01-5.97 (m, 1H), 5.20-5.00 (m, 2H), 4.43-4.18 (m, 5H), 3.76-3.32 (m, 8H), 3.21-2.72 (m, 7H), 2.39-2.04 (m, 9H), 1.85-1.65 (m, 7H). UPLC–MS calculated for C<sub>61</sub>H<sub>66</sub>F<sub>2</sub>N<sub>10</sub>O<sub>12</sub>P [M + H]<sup>+</sup>: 1199.46, found: 1199.68.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(3-(4-((2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)ethynyl)piperidin-1-yl)-3-oxopropanoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (24).** Compound **24** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.87-7.67 (m, 2H), 7.51-7.38 (m, 3H), 7.30-7.13 (m, 12H), 6.03-5.97 (m, 1H), 5.21-5.01 (m, 2H), 4.35-4.19 (m, 5H), 3.85-3.62 (m, 5H), 3.36-3.32 (m, 4H), 2.92-2.65 (m, 4H), 2.35-2.04 (m, 9H), 1.88-1.69 (m, 7H). UPLC–MS calculated for C<sub>61</sub>H<sub>64</sub>F<sub>2</sub>N<sub>10</sub>O<sub>13</sub>P [M + H]<sup>+</sup>: 1213.44, found: 1213.56.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)octanoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (25).** Compound **25** was prepared from **64** by a procedure

similar to that used for SD-36.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{CN} : \text{D}_2\text{O} = 1:1$ )  $\delta$  7.90-7.88 (m, 1H), 7.69 – 7.41 (m, 4H), 7.41 – 7.07 (m, 12H), 6.07-6.03 (m, 1H), 5.13 – 4.90 (m, 2H), 4.51 – 4.18 (m, 5H), 3.99 – 3.55 (m, 3H), 3.36-3.27 (m, 1H), 3.01 – 2.02 (m, 13H), 1.91 – 1.09 (m, 15H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $\text{C}_{59}\text{H}_{67}\text{F}_2\text{N}_9\text{O}_{12}\text{P}^+$  1162.46, found  $[\text{M}+\text{H}]^+$  1162.44.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(7-((2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)amino)heptanoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (26).** Compound **26** was prepared from **64** by a procedure similar to that used for SD-36.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{CN} : \text{D}_2\text{O} = 1:1$ ) 7.89-7.87 (m, 1H), 7.61 – 7.41 (m, 4H), 7.38 – 7.08 (m, 12H), 6.06-6.03 (m, 1H), 5.13 – 4.90 (m, 2H), 4.50 – 4.18 (m, 5H), 3.97 – 3.50 (m, 3H), 3.41-3.33 (m, 1H), 3.26-3.30 (m, 2H), 2.90 – 2.65 (m, 2H), 2.56 – 2.00 (m, 9H), 1.92-1.84 (m, 2H), 1.73-1.55 (m, 7H), 1.42 – 1.21 (m, 4H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $\text{C}_{57}\text{H}_{60}\text{F}_2\text{N}_9\text{O}_{12}\text{P}^{2+}$  565.71, found  $[\text{M}+\text{H}]^{2+}$  582.65

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1,3-dioxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (27).** Compound **27** was prepared from **64** by a procedure similar to that used for SD-36.  $^1\text{H}$  NMR (400 MHz,  $\text{CD}_3\text{CN}:\text{D}_2\text{O} = 1:1$ )  $\delta$  7.89-7.85 (m, 1H), 7.76-7.65 (m, 3H), 7.57 – 7.41 (m, 2H), 7.38 – 7.08 (m, 11H), 6.06-6.03 (m, 1H), 5.13 – 4.84 (m, 2H), 4.35-4.21 (m, 3H), 3.395-3.65 (m, 3H), 3.38 – 3.26 (m, 1H), 2.87 – 2.68 (m, 2H), 2.65 – 2.36 (m, 5H), 2.33 – 2.00 (m, 6H), 1.96 – 1.36 (m, 11H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $\text{C}_{59}\text{H}_{61}\text{F}_2\text{N}_9\text{O}_{13}\text{P}^+$  1172.41, found  $[\text{M}+\text{H}]^+$  1172.29



**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-5-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (28).** Compound **28** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.93-7.83 (m, 1H), 7.65-7.54 (m, 1H), 7.47-7.10 (m, 15H), 6.03-5.99 (m, 1H), 5.00-4.90 (m, 2H), 4.30-4.18 (m, 6H), 3.91-3.63 (m, 3H), 3.29-3.26 (m, 1H), 2.76-2.57 (m, 3H), 2.45-1.94 (m, 10H), 1.93-1.44 (m, 10H). UPLC-MS calculated for C<sub>59</sub>H<sub>64</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P<sup>2+</sup>: 579.72, found: 580.24

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-3-oxoisindolin-5-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (29).** Compound **29** was prepared from **64** by a procedure similar to that used for SD-36. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.95-7.82 (m, 1H), 7.68-7.61 (m, 1H), 7.53-7.09 (m, 15H), 6.03-5.99 (m, 1H), 4.99-4.90 (m, 2H), 4.31-4.15 (m, 6H), 3.93-3.64 (m, 3H), 3.29-3.28 (m, 1H), 2.78-2.69 (m, 2H), 2.57-2.13 (m, 7H), 2.03-1.94 (m, 4H), 1.92-1.47 (m, 10H). UPLC-MS calculated for C<sub>59</sub>H<sub>63</sub>F<sub>2</sub>N<sub>9</sub>O<sub>12</sub>P [M + H]<sup>+</sup>: 1158.43, found: 1158.45.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (30).** Compound **69** was prepared from **55** by a procedure similar to that used for compound **56**. <sup>1</sup>H NMR (400 MHz, MeOD) δ 7.91 (s, 1H), 7.76 – 7.67 (m, 1H), 7.64 – 7.53 (m, 2H), 7.51 – 7.40 (m, 2H), 7.33-7.24 (m, 1H), 5.27 – 5.07 (m, 2H), 4.59

– 4.40 (m, 4H), 4.35–4.25 (m, 1H), 4.25 – 4.09 (m, 4H), 4.02–3.99 (m, 1H), 3.82–3.54 (m, 3H), 2.95 – 2.47 (m, 7H), 2.45 – 1.85 (m, 10H), 1.83 – 1.49 (m, 7H), 1.30 (t,  $J = 7.1$  Hz, 6H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{50}H_{60}F_2N_8O_{13}P^+$  1049.40, found  $[M+H]^+$  1049.53.

Compound **30** was prepared from **69** by a procedure similar to that used for compound **5**.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.98–7.87 (m, 1H), 7.79 – 7.45 (m, 4H), 7.44 – 7.35 (m, 1H), 7.35 – 6.92 (m, 6H), 5.12 – 4.93 (m, 2H), 4.46 – 4.21 (m, 6H), 3.94–3.28 (m, 5H), 2.81–2.73 (m, 2H), 2.63 – 1.99 (m, 12H), 1.92 – 1.38 (m, 10H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{53}H_{59}F_2N_9O_{12}P^+$  1082.40, found  $[M+H]^+$  1082.42

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(methylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (31).** Compound **31** was prepared from **69** by a procedure similar to that used for compound **5**.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.97 – 7.82 (m, 1H), 7.81 – 6.96 (m, 6H), 5.16 – 4.93 (m, 2H), 4.49–4.18 (m, 5H), 3.95–3.18 (m, 4H), 3.00 – 1.99 (m, 14H), 1.95 – 1.08 (m, 13H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{47}H_{55}F_2N_9O_{12}P^+$  1006.37, found  $[M+H]^+$  1006.49.

**2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl dihydrogen phosphate (32).** Compound **70** was prepared from **61** by a procedure similar to that used for compound **62**.  $^1H$  NMR (400 MHz, MeOD)  $\delta$  7.74 (d,  $J = 7.6$  Hz, 1H), 7.63 – 7.56 (m, 1H), 7.49 (t,  $J = 7.6$  Hz, 1H), 7.36 – 7.22 (m, 10H), 6.16 (s, 1H), 5.26 – 5.11 (m, 1H), 4.65 – 4.34 (m, 5H),

4.20-4.04 (m, 2H), 3.88 – 3.40 (m, 3H), 2.93-2.74 (m, 2H), 2.67 – 2.23 (m, 8H), 2.19 – 1.52 (m, 14H).

Compound **32** was prepared from **70** by a procedure similar to that used for compound **2**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.66 – 7.54 (m, 1H), 7.52 – 7.09 (m, 14H), 7.07-6.92 (m, 2H), 6.00-5.96 (m, 1H), 5.05 – 4.82 (m, 2H), 4.47 – 4.22 (m, 5H), 3.89 – 3.46 (m, 3H), 3.26-3.19 (m, 1H), 2.76-2.62 (m, 2H), 2.57 – 1.97 (m, 12H), 1.85 – 1.35 (m, 10H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>58</sub>H<sub>64</sub>N<sub>9</sub>O<sub>13</sub>P<sup>2+</sup> 562.72, found [M+2H]<sup>2+</sup> 563.17.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)methyl)phosphonic acid (33).** Compound **33** was prepared from **70** by a procedure similar to that used for compound **3**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.67 – 7.54 (m, 1H), 7.54 – 6.82 (m, 16H), 6.01-5.96 (m, 1H), 5.05 – 4.82 (m, 2H), 4.41 – 4.22 (m, 5H), 3.88 – 3.53 (m, 3H), 3.27 – 3.07 (m, 3H), 2.77-2.66 (m, 2H), 2.56 – 1.94 (m, 12H), 1.87 – 1.35 (m, 10H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>59</sub>H<sub>66</sub>N<sub>9</sub>O<sub>12</sub>P<sup>2+</sup> 561.73, found [M+2H]<sup>2+</sup> 561.97.

**((4-((E)-4-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)amino)-4-oxobut-2-en-2-yl)phenyl)difluoromethyl)phosphonic acid (34).** Compound **34** was prepared from **70** by a procedure similar to that used for compound **4**. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN:D<sub>2</sub>O = 1:1) δ 7.66 – 7.56 (m, 1H), 7.52 – 7.29 (m, 6H), 7.28 – 7.02 (m, 10H), 6.19 (s, 1H), 5.97-5.93 (m, 1H), 5.00-4.72 (m, 2H), 4.45 – 4.17 (m, 5H), 4.11-4.00 (m, 1H), 3.85 – 3.30 (m, 3H), 3.20 – 3.05 (m, 1H),

2.77-2.61 (m, 2H), 2.52 – 1.91 (m, 14H), 1.87 – 1.30 (m, 11H). UPLC-MS (ESI-MS)  $m/z$ :  
calculated for  $C_{60}H_{66}F_2N_8O_{12}P^+$  1159.45, found  $[M+H]^+$  1159.64.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(benzhydrylamino)-1,5-dioxopentan-2-yl)carbamoyl)-3-(8-(2-(1-methyl-2,6-dioxopiperidin-3-yl)-1-oxoisindolin-4-yl)oct-7-ynoyl)-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (35, SD-36Me).** SD-36Me was prepared from **64** by a procedure similar to that used for SD-36.  $^1H$  NMR (400 MHz,  $CD_3CN:D_2O = 1:1$ )  $\delta$  7.88 (d,  $J = 16.1$  Hz, 1H), 7.72 – 7.59 (m, 1H), 7.56 – 7.36 (m, 4H), 7.35 – 7.06 (m, 11H), 6.06-6.03 (m, 1H), 5.12 – 4.87 (m, 2H), 4.45 – 4.20 (m, 5H), 3.98 – 3.50 (m, 3H), 3.37 – 3.22 (m, 1H), 3.08 – 2.94 (m, 3H), 2.88 – 2.73 (m, 2H), 2.61 – 2.37 (m, 4H), 2.34-1.99 (m, 7H), 1.95-1.81 (m, 2H), 1.79 – 1.40 (m, 9H). UPLC-MS (ESI-MS)  $m/z$ : calculated for  $C_{60}H_{65}F_2N_9O_{12}P^+$  1172.45, found  $[M+H]^+$  1172.45.

**((2-(((5S,8S,10aR)-8-(((S)-5-Amino-1-(((S)-2-((8-(3',6'-dihydroxy-3-oxo-3H-spiro[isobenzofuran-1,9'-xanthene]-5-carboxamido)octyl)amino)-2-oxo-1-phenylethyl)amino)-1,5-dioxopentan-2-yl)carbamoyl)-3-methyl-6-oxodecahydropyrrolo[1,2-a][1,5]diazocin-5-yl)carbamoyl)-1H-indol-5-yl)difluoromethyl)phosphonic acid (74).** HATU (42 mg, 0.11 mmol, 1.1 equiv.) was added to a solution of **71** (50 mg, 0.1 mmol, 1 equiv.), (S)-2-((*tert*-butoxycarbonyl)amino)-2-phenylacetic acid **72** (25 mg, 0.1 mmol, 1 equiv.), and DIEA (0.05 mL, 0.3 mmol, 3 equiv.) in DMF (3 mL). The resulting mixture was stirred at rt for 1 h then diluted with EtOAc, and washed with  $H_2O$ , saturated sodium bicarbonate aqueous solution and brine, and dried over sodium sulfate. After removal of the solvent under vacuum, the residue was dissolved in DCM (5 mL) and TFA (1 mL) was added. The resulting mixture was stirred at rt for 3 h. After removal of the organic solvent,

the residual was purified by HPLC to afford compound **73** (32 mg, 50% over two steps). HATU (21 g, 0.055 mmol, 1.1 equiv.) was added to a solution of **73** (32 mg, 0.05 mmol, 1 equiv.), **56** (35 mg, 0.05 mmol, 1 equiv.) and DIEA (0.025 mL, 0.15 mmol, 3 equiv.) in DMF (3 mL). The resulting mixture was stirred at rt for 1 h and directly purified by HPLC. Compound **74** was achieved using a similar method as for SD-36 from **65** and the yield was 65% over two steps. <sup>1</sup>H NMR (400 MHz, CD<sub>3</sub>CN) δ 8.30 (s, 1H), 8.06 (dd, *J* = 8.0, 1.5 Hz, 1H), 7.82 (s, 1H), 7.45-7.39 (m, 2H), 7.34 – 7.08 (m, 7H), 6.75-6.72 (m, 2H), 6.65-6.63 (m, 2H), 6.55-6.53 (m, 2H), 5.37 (s, 1H), 5.24 (s, 1H), 4.63-4.51 (m, 2H), 4.34-4.28 (m, 1H), 3.79-3.55 (m, 2H), 3.45 – 3.19 (m, 4H), 3.13-3.06 (m, 1H), 2.98-2.91 (m, 1H), 2.87 (s, 3H), 2.32-2.21 (m, 3H), 2.11 – 1.93 (m, 3H), 1.86 – 1.65 (m, 4H), 1.56 – 1.39 (m, 2H), 1.33 – 1.01 (m, 10H). UPLC-MS (ESI-MS) *m/z*: calculated for C<sub>63</sub>H<sub>70</sub>F<sub>2</sub>N<sub>9</sub>O<sub>15</sub>P<sup>2+</sup> 630.73, found [M+2H]<sup>2+</sup> 630.92.

**Expression and Purification of STAT3.** STAT3 (residues 127-688) was cloned into a pHIS-SUMO vector, transformed into Rosetta DE3 cells and grown in LB media overnight at 20 °C. HIS-SUMO-STAT3 was purified from the cleared cell lysate using Ni-NTA resin (Qiagen), then loaded onto a Superdex 200 column (GE Healthcare) in buffer containing 50 mM Tris pH 8.0, 150 mM NaCl, 0.1% NP-40, 1 mM DTT and 10% glycerol. For crystallography, the HIS-SUMO tag was cleaved overnight with SUMO protease. The cleaved protein was then applied to a Ni-NTA column, concentrated and loaded onto a Superdex 200 column pre-equilibrated with 50 mM Tris pH 8.5, 150 mM NaCl, and 1 mM DTT.

**Fluorescence polarization (FP) assay.** FP assay was performed as described previously<sup>12</sup>. To determine the dissociation constant (*K<sub>d</sub>*) for the interaction between STAT3 SH2 domain binder **74** and STAT3, 5 nM of **74** was incubated with serially diluted STAT3 proteins in FP assay buffer (50 mM NaCl, 10 mM Hepes pH 7.5, 1 mM EDTA pH 8.0, 0.01% Triton X-100, 2 mM

DTT). FP was measured 1 h after incubation on a Tecan Infinite microplate reader.  $K_d$  values were determined from the binding isotherm derived from curves of mP vs protein concentrations. For the competitive binding assay, STAT3 recombinant protein was first incubated with tracer **74** for 5 min, then added to the serially diluted compounds for 1h.  $IC_{50}$  values of **74** displacement were calculated by nonlinear regression analysis using GraphPad Prism software. The  $K_i$  values of competitive inhibitors were calculated as described previously.<sup>33</sup>

**Molecular Modeling.** The binding pose of compound **4** with STAT3 protein was modeled based on the crystal structure of STAT3 (pY705) with DNA (PDBID: 1BG1<sup>34</sup>). First, chain A of STAT3 (pY705) was extracted from the crystal structure and protons were added using the “protonate 3D” module in MOE<sup>35</sup> by setting the pH value at 7.0. Then, compound **4** was geometrically optimized using MOE. We used the GOLD program (version 5.2)<sup>36</sup> was used for the docking calculations. In the docking simulation, the center of the binding site in STAT3 was set at V637 and the radius at 13 Å. Additional parameters in the genetic algorithm were the same as in our previous report.<sup>37</sup> GoldScore was used as the fitness function to rank the docked poses. The top ranked pose was considered as the binding model and displayed in Figure S2. The figures were prepared using PyMOL.

**Western Blot analysis** Western blotting was performed as described previously.<sup>22</sup> Cells were lysed in Cell Lysis Buffer (Cell Signaling Technology, #9803), separated by SDS-PAGE NuPAGE gel (Thermo Fisher Scientific), and transferred to a PVDF membrane (Millipore). PVDF membranes were first blocked for 1 h using 5% Blotting-Grade Blocker (#1706404, Bio Rad) in Tris-buffered saline with Tween 20 (TBST, Pierce), then were incubated with the primary and subsequently their corresponding secondary antibodies. SuperSignal West Pico Plus Chemiluminescent Substrate (Thermo Fisher Scientific) was used for signal development.

**Cell Growth Assay.** Cell viability analysis was performed as described previously.<sup>22</sup> Cells grown in 384-well white plates (Corning Costar) were incubated with serially diluted compounds for 4 days. Cell viability was determined using the CellTiter-Glo Luminescent Cell Viability Assay (Promega) following the manufacturer's instructions.

**Crystallization and Structure Determination of STAT3 bound to SI-109.** 1.2-fold molar excess of SI-109 was incubated with STAT3 (6.25 mg/mL) for 3 h at 4 °C and the crystals were grown by sitting drop vapor diffusion against well solution containing 10% PEG 3350 and 100 - 150 mM ammonium nitrate at 4 °C. Prior to flash freezing in liquid nitrogen, crystals were cryoprotected in mother liquor containing 20% ethylene glycol. Data were collected at the Life Sciences Collaborative Access Team beamline 21-ID-D at the Advanced Photon Source, Argonne National Laboratory. HKL2000<sup>38</sup> was used to process data and the structures were solved by molecular replacement using Phaser<sup>39</sup> with PDB 3CWG as a search model. The structures were iteratively refined with Buster<sup>40</sup> and fit with COOT<sup>41</sup>. Coordinates and geometric restraints for the compounds were generated in Grade<sup>42</sup>. The complexes crystallized in space group P41212 with 1 molecule in the asymmetric unit. Residues 136-687 were modeled with the exception of the following missing loop regions: 181-191, 372-378 and 419-429. Prior to deposition, the structures were validated with Molprobity<sup>43</sup> and the PDB validation server (wwPDB Validation Server).

**In Vivo PK/PD and Efficacy.** All the *in vivo* studies were carried out under the animal protocol PRO00007499, which has been approved by the Institutional Animal Care & Use Committee (IACUC) of the University of Michigan and is in accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. For PD studies in native mouse tissues, CD-1 female mice were treated with

SD-36 or **19** or vehicle control (10% PEG400 + 90% PBS) as indicated in the Figures. Mice were euthanized at the indicated time points in the Figures, and mouse organs were harvested for Western blotting analysis. For efficacy and PD studies, CB.17 SCID mice were injected subcutaneously with  $3 \times 10^6$  MOLM-16 cells in 5 mg/ml Matrigel (Corning) for tumor growth. When the tumors reached an average volume of  $150 \text{ mm}^3$ , mice were randomly assigned to different experimental groups for treatment. Drugs or vehicle control (10% PEG400 + 90% PBS) were given at the indicated dose schedules in the Figures. Tumor sizes and animal weights were measured 2-3 times per week. Tumor volumes were calculated as: tumor volume ( $\text{mm}^3$ ) =  $(\text{length} \times \text{width}^2)/2$ . For PD studies, mice were euthanized at the indicated time points in the Figures, and tumor tissues or mouse organs were harvested for Western blotting analysis.

## ASSOCIATED CONTENT

### Supporting Information

Supporting Information is available free of charge on the ACS Publications website at DOI: xxxx. Figures of FP binding experiments, computational model and Western blotting analysis of total STAT3 and pSTAT3<sup>Y705</sup> proteins; Tables of binding affinities, and crystallography data collection and refinement statistics; <sup>1</sup>H NMR and UPLC-MS spectrum for representative compounds, molecular-formula strings (CSV)

### Accession Codes

Coordinates for co-crystal structure SI-109 in complex with STAT3 have been deposited in the PDB under accession code 6NUQ and will be released upon publication.

## AUTHOR INFORMATION



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## Disclosure of Conflicts of Interest

The University of Michigan has filed patent applications on SD-36 and its analogues, for which S. Wang, H. Zhou, R. Xu, L. Bai, D. McEachern, CY Yang, J. Stuckey are co-inventors. These patents have been licensed by Oncopia Therapeutics Inc., of which S. Wang is a co-founder, a paid consultant and owns stock. The University of Michigan also owns stock in Oncopia. This study is supported in part by a research contract from Oncopia.

## ABBREVIATIONS

STAT3, signal transducer and activator of transcription 3; PROTAC, proteolysis targeting chimera; SH2, Src homology 2; ASO, antisense oligonucleotide; FP, fluorescence polarization; PTP, protein tyrosine phosphatases; CRBN, cereblon; MDM2, human murine double minute 2;

BET, bromodomain and extra-terminal; AML, acute myeloid leukemia; ALCL, anaplastic large cell lymphoma; PD, pharmacodynamics; DIEA, N,N-diisopropylethylamine; DMF, Dimethylformamide; DCE, 1,2-dichloroethane; DCM, dichloromethane; HATU, 1-[bis(dimethylamino)methylene]-1H-1,2,3-triazolo[4,5-b]-pyridinium 3-oxid hexafluorophosphate; TFA, trifluoroacetic acid; TMSI, Iodotrimethylsilane; Pd/C, palladium on carbon; NBS, N-Bromosuccinimide; THF, Tetrahydrofuran; EDC, N-(3-Dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride; HOBt, 1-Hydroxybenzotriazole hydrate; BSTFA, N,O-Bis(trimethylsilyl)trifluoroacetamide.

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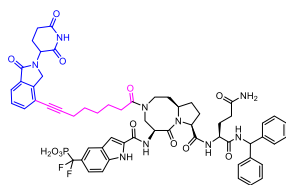
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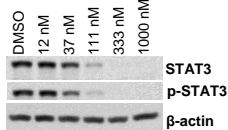
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## TOC Graphics

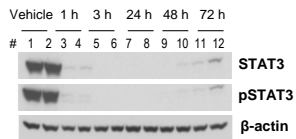


SD-36 (STAT3 Degrader 36)

SD-36 (Molm-16 cells, 4h)



**SD-36** (Molm-16 tumors in mice)



### MOLM16 Tumor Growth

