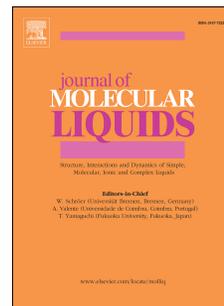


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Development of nematic and orthogonal smectic phases in short-core fluorinated hockey-stick shaped liquid crystal compounds

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Abstract:

Here we report synthesis and investigations on novel short-core hockey-stick shaped molecules bearing a 4-n-alkyloxy-2-hydroxybenzylidene moiety at one end and polar fluoro-biphenyl moiety at the other end. The polar chloro and fluoro substituent at the lateral position of the core confers nematic and orthogonal smectic mesomorphism. The mesophase morphology is characterized by polarizing optical microscopy (POM), differential scanning calorimetry (DSC), X-ray diffraction (XRD), electro-optical study and dielectric spectroscopy. The fluorinated compounds **1b** (n=16) and **1c** (n=18) have long terminal hydrocarbon tail and show orthogonal smectic phases with antiferroelectric switching behavior. The chlorinated compound **2e** (n=8) with relatively short terminal chain length exhibits only a monotropic nematic phase on cooling. The nematic phase is comprised of cybotactic clusters as confirmed by XRD and exhibits electro-optic switching. The compound **2i** (n=12) manifests a short range enantiotropic nematic and additional orthogonal smectic phase. Dielectric spectroscopy reveals polar correlations in the nematic and smectic phases.

1. Introduction:

Dipolar intermolecular interactions stabilize the macroscopic polarization density, characteristic of ferroelectric phases, in crystals. When the crystal is transformed into anisotropic layered fluid the dipolar interactions become weak and hence the realization of ferroelectricity is dependent on parallel alignment of the molecular dipoles within each layer. The most attractive features of achiral bent-core compounds¹⁻⁴ are their unusual mesomorphic behaviour, polar order,⁵⁻¹⁰ and macroscopic chirality¹¹. Recently ferroelectric properties have been unambiguously demonstrated in the orthogonal fluid smectic (SmAP_F and SmAP_{Fmod}) phases exhibited by bent-core molecules possessing carbosilane moiety at one end and polar cyano or trifluoromethyl moieties at other end of the molecule as terminal substituents.¹⁻¹⁰ Further orthogonal polar smectic phase with alternating polar order in adjacent layers (SmAP_Δ) is reported in compounds of unsymmetrical achiral banana shaped molecules.¹²⁻¹⁸ Very recently, achiral unsymmetrical bent-shaped molecules possessing a layered, optically uniaxial, orthogonal polar ordered structure with random direction of the layer polarization exhibiting

38 SmAP_R phase¹⁹⁻²⁴ (SmA- stands for orthogonal smectic phase, P for polar layers and subscript R
39 stands for random orientation of polarization in adjacent layers) is reported in few compounds.
40 The polar orthogonal smectic phases are found to possess excellent potential applications to
41 develop fast electro-optic devices. However an orthogonal smectic phase with overall polar
42 order⁹⁻²⁴ is rare and is an experimental challenge which needs special molecular design.

43 Bent-core nematic (BCN) phase has been potentially attractive from both scientific
44 understanding and application perspective owing to remarkable features like biaxiality²⁵,
45 ferronematic phase²⁶⁻²⁹, giant flexoelectricity (which is yet to be confirmed)³⁰ and twist-bend
46 nematic phase³¹⁻³³. Polar dynamics of electro-optic switching in biaxial bent-core nematic liquid
47 crystal was first observed by Lee et.al, in an 1,3,4-oxadiazole based bent core LC^{27(a)}. However
48 the interpretation of the current peaks was based on biaxiality of the system which was not
49 proved unambiguously and also was not in line with the symmetry arguments of LC^{27(b)}. Later
50 similar bent-core molecules based on 1,2,4-oxadiazole core were reported exhibiting
51 ferroelectric switching in the nematic phase and the effect was attributed to reorganization of
52 the polar cybotactic groups.²⁸⁻²⁹ The existence of the cybotactic clusters was proved explicitly
53 on the basis of X-ray diffraction, computer simulation, dielectric measurements etc. Dielectric
54 spectroscopy in the cybotactic nematic phase revealed a peak with very large dielectric strength
55 appearing at low frequency region corresponding to relaxation of cybotactic clusters. However
56 achieving stable BCN was difficult as most of the BC molecules prefer stacking into layers
57 owing to steric interaction and form banana phases. To prohibit the tendency of the bent-core
58 molecules to lock into layers and promote stable nematic phases several tailoring in the
59 molecular structure were required such as substitution of bulky moiety at the core or asymmetry
60 in the terminal chains etc.³⁴ Another promising approach was to reduce the number of benzene
61 rings in the core or/and reduce the bend angle. Wide range of nematic phases has been
62 successfully developed in four ring bent-core LCs with reduced bend angle (~145°).³⁵⁻³⁷ Further,
63 ferroelectric-like switching in the nematic phase in a four-ring compound has been reported
64 recently³⁵.

65 Molecular architecture at the interface of conventional rod-like and bent-core molecules is
66 utmost important owing to their distinct structure-property relationship. One such structure is
67 hockey-stick shaped molecule where one arm of a bent-core is significantly larger than the
68 other. These molecules have attracted growing attention since they help us understand how
69 self-assembly of asymmetric molecules leads to development of varieties of mesophases³⁸⁻⁴¹.

70 Here we report two homologous series of hockey-stick liquid crystals with the same core
71 structure but having either chloro- or fluoro- lateral substituents at one of its rings. The

72 molecular architecture is such that there is reduction in rotational disorder as well as a strong
73 dipole lies in the bent direction, facilitating orthogonal polar phases. The mesophase
74 morphology is characterized by polarizing optical microscopy (POM), differential scanning
75 calorimetry (DSC), X-ray diffraction (XRD), electro-optical and dielectric spectroscopy. It is
76 found that the chlorinated compound with short terminal chain length **2e** (n=8) exhibits only a
77 monotropic nematic phase on cooling. The nematic phase is comprised of cybotactic clusters as
78 confirmed by XRD and dielectric spectroscopy. The higher homologue **2i** (n=12) manifests a
79 short range enantiotropic nematic and an additional orthogonal smectic phase whereas the other
80 two compounds **1b** (n=16) and **1c** (n=18) show only polar orthogonal smectic phases with
81 antiferroelectric switching behavior. Dielectric spectroscopy reveals polar correlations in the
82 nematic and smectic phases.

83 **2. Experimental:**

84 **2.1 General methods:**

85 We have synthesized two homologous series **1a-1c** and **2a-2i**. Among the **1a-1c** homologous
86 series the n-heptyloxy homologue did not exhibit liquid crystalline phase while the n-
87 octadecyloxy exhibited monotropic phase. The n-hexadecyloxy homologue, **1b**, exhibited
88 enantiotropic orthogonal smectic A phase with polar characteristics and will be described in the
89 next section. In the **2a-2i** homologous series the lower homologues of n-butyloxy to n-octyloxy
90 homologues exhibited monotropic nematic phase, while the n-nonyloxy to n-undecyloxy
91 homologues exhibited monotropic nematic and orthogonal smectic A phases. However the n-
92 dodecyloxy homologue **2i** exhibited enantiotropic nematic and smectic A phases. In this
93 manuscript we have presented the results on four hockey-stick shaped samples viz., **1b** and **1c**
94 of the homologous series I and **2e** and **2i** of the series II. Both homologous series possess
95 essentially the same core except the lateral fluorine atom in series I is substituted by chlorine
96 atom in series II. The samples were filled in planar aligned cells (E.H.C Japan), at temperatures
97 well above their isotropic transition temperatures. The cell is consisted of two parallel glass
98 plates whose inner surfaces are first coated with ITO and then a homogeneous aligning material
99 (Polyvinyl alcohol). Finally the two plates are rubbed in antiparallel directions and mylar
100 spacers are used to maintain uniform thickness of the cell. Dielectric measurements are done in
101 10 μ m thick cells whereas 4 μ m cells are used to study the electro-optical properties of the
102 samples. The temperature of the cells was controlled by a Mettler FP82 hot stage attached to a
103 FP90 temperature controller. For electro-optic measurement, HP33120A signal generator, F10A
104 voltage amplifier and DL1620 oscilloscope were used. Current response was studied by
105 polarization reversal method using a triangular wave field. Dielectric data were recorded in the

106 frequency range from 10Hz to 13MHz using a HP4192A Impedance Analyzer. Thermal
107 behaviour of the samples was studied using differential scanning calorimetry (DSC) and texture
108 was observed by polarizing microscope (DMLP, Leica). X-ray diffraction studies were carried
109 on the compound **2e** on powder samples using CuK α radiation and the DY 1042-Empyrean
110 Diffractometer System.

111

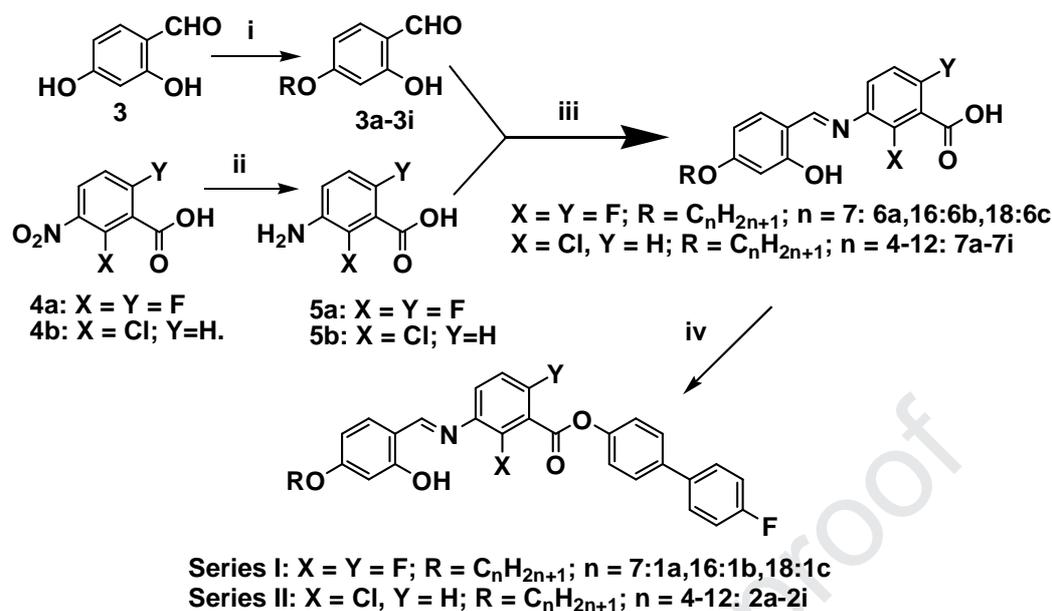
112 **2.2 Design and General Synthetic procedures of the hockey-stick compounds:**

113 The hockey-stick liquid crystals bearing a 4-*n*-alkyloxy-2-hydroxybenzylidene moiety at one
114 end and the replacement of an azobenzene or 2-hydroxybenzylidene or phenyl benzoate unit in
115 the longer arm of the bent molecule by a polar fluoro-biphenyl moiety, which lends stiffness to
116 the molecule with a strong dipole moment in the lateral direction, were designed. Further the
117 introduction of a 2-chloro moiety or 2,6-difluoro substituents in the 1,3-disubstituted phenyl
118 ring of a hockey-stick molecular architecture can generate an obtuse bend angle of $\sim 145^\circ$,
119 which gives rise to an increase in bend angle as well as a strong dipole in the bending direction.
120 The reduced bend from ~ 120 to $\sim 145^\circ$ of the 1,3-phenyl moiety by the introduction of a chloro
121 moiety in the 2-position or fluoro substituents in 2- and 6- positions and with the decrease in the
122 number of rings from five or more to four in the molecular unit, places these compounds at the
123 borderline between classical rod-like LCs and bent-core mesogens. A combination of the lateral
124 dipole in the form of a fluoro-biphenyl moiety in one of the arms of the bent-core molecule,
125 while the central bent core possesses a chloro moiety projected at a location inside the
126 molecular core to represent the transverse dipole or the projected 2-, 6- difluoro substituents
127 contribute towards the transverse (2-fluoro) dipole as well as lateral (6-fluoro) dipole. The
128 other end of the molecule is linked to 4-*n*-alkyloxy-2-hydroxybenzaldehyde through an imine
129 moiety, which actually seems superior to the benzylidene aniline core and is more stable
130 towards hydrolysis due to intramolecular hydrogen bonding. The realization of such a
131 molecular architecture leads to a reduction in rotational disorder as well as a strong dipole in the
132 bent direction. If molecular interactions are strong enough then the molecular structure can
133 promote polar biaxial nematic or smectic phases.

134 The starting material in the present study 4-*n*-alkyloxy-2-hydroxybenzaldehyde was prepared
135 by Williamson etherification of 2, 4-dihydroxybenzaldehyde with appropriate *n*-alkyl bromide.
136 2-chloro-3-nitrobenzoic acid and 2-, 6-difluoro-3-nitrobenzoic acid were converted into
137 corresponding amines by reduction under balloon pressure filled with hydrogen gas using 5%

138 Pd-C. The crude products were then purified by column chromatography (silica gel 60-120
139 mesh, using dichloromethane/ethanol, (99.5:0.5::V/V) as eluent to give pure products 3-amino-
140 2-chlorobenzoic acid and 2-, 6-difluoro-3-aminobenzoic acid respectively. 3-amino-2-
141 chlorobenzoic acid was condensed with 4-n-octyloxy (or n-dodecyloxy)-2-hydroxybenzaldehyde
142 in presence of few drops of glacial acetic acid to get 3-[N-(4-n-octyloxy (or n-dodecyloxy) 2-
143 hydroxybenzylidene)] amino 2-chlorobenzoic acid in good yields. 2-, 6-difluoro-3-
144 aminobenzoic acid was condensed with 4-n-hexadecyloxy (or n-octadecyloxy)-2-
145 hydroxybenzaldehyde in presence of few drops of glacial acetic acid to get 4-[N-(4-n-
146 hexadecyloxy (or n-octadecyloxy) 2-hydroxybenzylidene)amino]-2,6-difluorobenzoic acid in
147 good yields. 3-[N-(4-n-octyloxy (or n-dodecyloxy) 2-hydroxybenzylidene)]amino 2-
148 chlorobenzoic acid was further esterified with 4'-fluoro-[1,1'-biphenyl]-4-ol using DCC-DMAP
149 reaction to yield the designed products **2e** and **2i**. 4-[N-(4-n-hexadecyloxy (or n-octadecyloxy)
150 2-hydroxybenzylidene) amino]-2,6-difluorobenzoic acid was further esterified with 4'-fluoro-
151 [1,1'-biphenyl]-4-ol using DCC-DMAP reaction to yield the designed products **1b** and **1c**
152 (Scheme 1). The compounds were further recrystallized repeatedly from ethanol to get the
153 pure samples. Elemental analyses of all the compounds were consistent with the proposed
154 molecular formulae. All the compounds were characterized by FTIR, UV-Vis and ¹H NMR
155 studies. The synthetic procedures for all the proposed compounds as detailed in **scheme 1** and
156 characterization of the compounds **1a-1c** and **2a-2i** (Scheme 1) by elemental analysis and
157 spectroscopic data are described in electronic supporting information (ESI). The assigned $\nu_{\text{O-H-N}}$
158 stretching band confirmed the presence of intermolecular H-bonding in all the compounds.
159 The introduction of ortho hydroxyl group in benzylidene moiety not only enhances the stability
160 of the imines through intramolecular H-bonding to overcome the hydrolytic instability of the
161 molecules towards moisture but also enhances the transverse dipole-moment. The infrared
162 spectra of all the compounds exhibited characteristic bands in region 1625~1631 cm^{-1} ($\nu_{\text{CH=N}}$,
163 imine), 1743~1755 cm^{-1} ($\nu_{\text{C=O}}$, ester), 3045~3201 cm^{-1} ($\nu_{\text{O-H}}$, H-bonding).

164



165

166 **Scheme 1:** Synthetic details of compounds **1a - 1c**, **2a- 2i**. Reagent and conditions: i.
 167 Dry acetone KHCO₃, C_nH_{2n+1}Br (*n* = 4-12, 16, 18); KI, Δ, 48 h; ii. 5% Pd/C, H₂, EtOAc
 168 stirring 24 h; iii. Abs EtOH, AcOH, Δ, 6 h; iv. DCC, DMAP, DCM, stirring 48 h.
 169

TABLE 1: Phase transition temperatures (°C) and liquid crystalline phase thermal range of the compounds **1a-1c** (*n* = 7, 16, 18) and **2a-2i** (*n* = 4- 12) recorded for second heating (first row) and second cooling (second row) cycles at 5°C/min from DSC and confirmed by polarized optical microscopy. The enthalpies (Δ*H* in kJ/mol) and entropies (Δ*S* in J/mol.K) respectively are presented in parentheses.

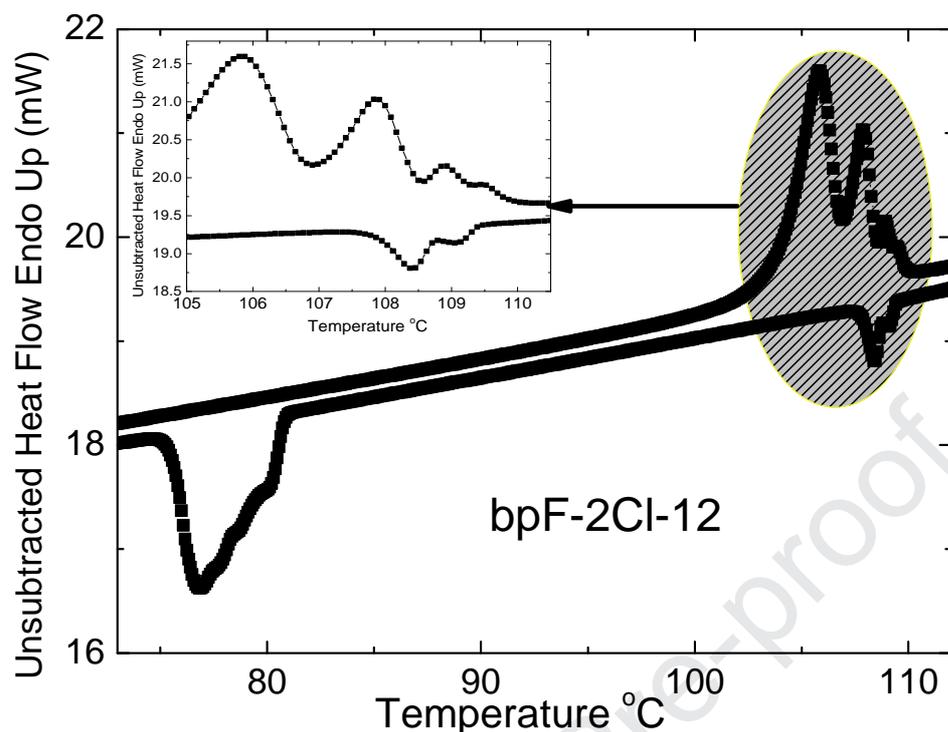
| Code | n | X | Y | Phase transition temperatures °C (enthalpy kJ/mol; entropy J/mol.K) |
|-----------|----|---|---|---|
| 1a | 7 | F | F | Cr 114.2 (28.3, 73.2) Iso |
| | | | | Cr 126.4 (28.3, 70.9) Iso |
| 1b | 16 | F | F | Cr 114.8(22.6, 58.4) SmA 117.9(9.75, 24.9) Iso |
| | | | | Cr 101.2 (27.4, 73.4) SmA 116.1(8.15, 20.9)Iso |
| 1c | 18 | F | F | Cr 117.8 (61.9, 158.4) Iso |

| | | | | |
|-----------|----|----|---|---|
| | | | | Cr 99.0 (54.9, 147.6) SmA 112.6 (11.2, 29.0) Iso |
| 2a | 4 | Cl | H | Cr 122.9 (35.9, 90.9) Iso Cr 83.5 (20.7, 58.2) N 110.7 (0.085, 0.22) Iso |
| 2b | 5 | Cl | H | Cr 117.2 (26.7, 68.6) Iso Cr 74.2 (14.3, 41.3) N 106.4 (0.13, 0.35) Iso |
| 2c | 6 | Cl | H | Cr 133.2 (28.0, 68.9) Iso Cr 79.0 (9.80, 27.8) N 105.4 (0.15, 0.41) Iso |
| 2d | 7 | Cl | H | Cr 114.5 (34.7, 89.7) Iso Cr 92.9 (30.2, 82.6) N 100.5 (0.15, 0.41) Iso |
| 2e | 8 | Cl | H | Cr 112.3 (32.6, 84.6) Iso Cr 88.8 (26.6, 73.7) N 103.7 (0.15, 0.40) Iso |
| 2f | 9 | Cl | H | Cr 112.3 (34.8, 90.5) Iso Cr 78.0 (24.5, 70.0) SmA 85.3 (0.22, 0.63) N 103.1 (0.18, 0.48) Iso |
| 2g | 10 | Cl | H | Cr 109.0 (27.9, 72.9) Iso Cr 85.0 (20.9, 58.6) SmA 96.9 (0.33, 0.89) N 106.1 (0.23, 0.62) Iso |
| 2h | 11 | Cl | H | Cr 104.8 (38.3, 101.4) Iso Cr 76.3 (31.3, 89.7) SmA 101.8 (0.88, 2.35) N 105.0 (0.30, 0.79) Iso |
| 2i | 12 | Cl | H | Cr 106.2 (27.0, 71.2) SmA 108.9 (0.83, 2.18) N 109.5 (0.45, 1.18) Iso Cr 78.0 (24.1, 68.7) SmA 108.4 (1.27, 3.33) N 109.0 (0.47, 1.24) Iso |

170

171 **3. Results and discussions:**172 **3.1 DSC and Polarizing optical microscopy:**

173 The phase transition temperatures are determined through differential scanning calorimetry
 174 (DSC) at a scan rate of 5°C in both heating and cooling cycles (Supplementary). A
 175 representative DSC plot for the compound **2i** is shown in **Figure 1**.

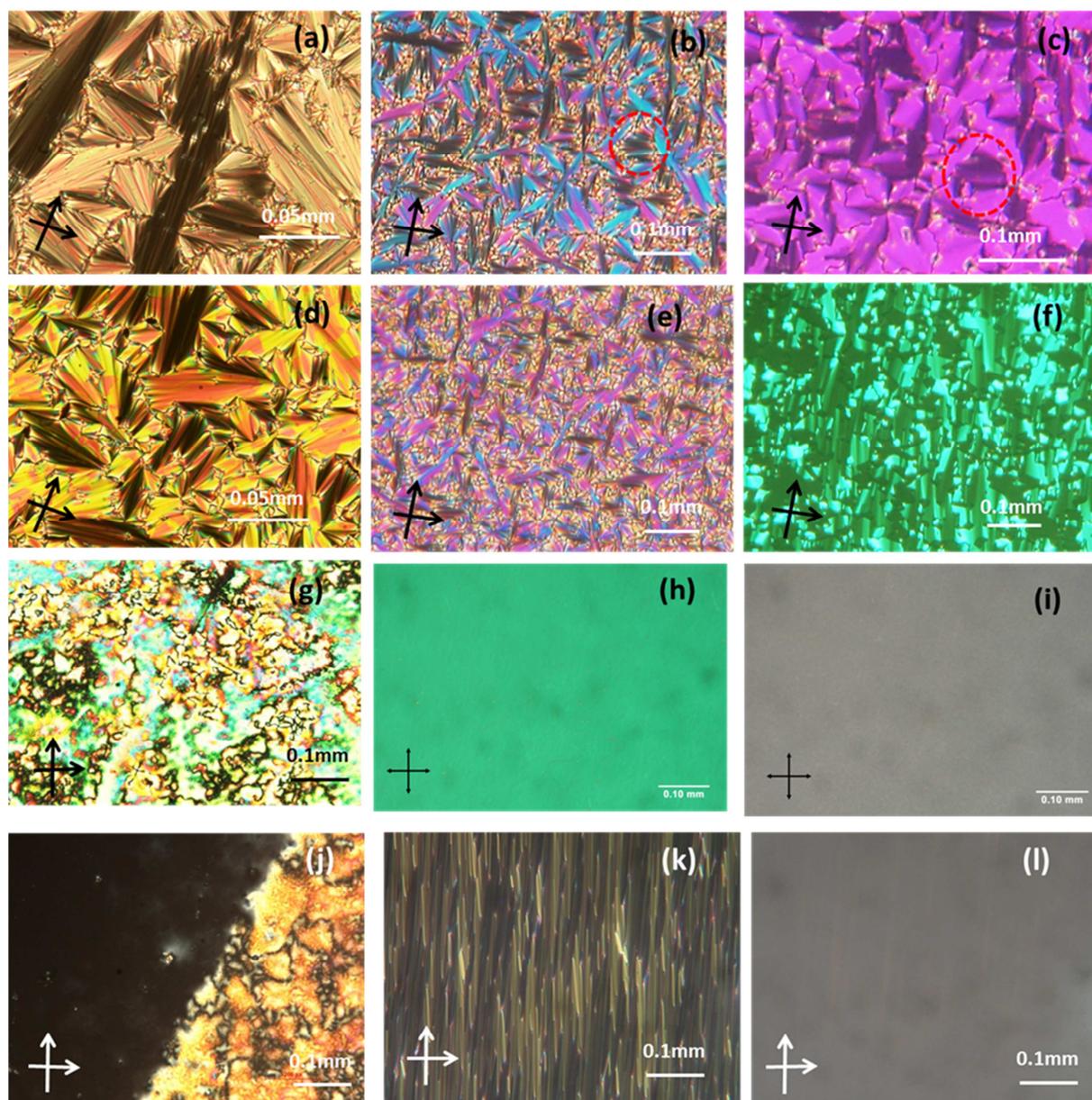


176
177 *Figure 1. DSC plot of the compound 2i at a scan rate of 5°C in both heating and cooling cycles.*

178
179 The phase transitions of these compounds are further confirmed by polarizing optical
180 microscopy (POM) and summarized in **Table 1**. The compounds **1b** and **1c** exhibit fan shaped
181 textures typical for smectic A phase in non-treated cell on cooling (**figure 2(a)** and **2(d)**). In
182 planar cells before and after applying electric field, the extinction regions are parallel to the
183 direction of polarizer and analyzer (**figure 2(b)** and **2(e)**). After the a.c. electric field is applied,
184 electro-optical switching along with textural changes occurred with a simultaneous change in
185 birefringence (**figure 2(c)** and **2(f)**). The increase in birefringence is attributed to rotation of the
186 local polar domains towards the electric field direction. The lower homologue **1a** of this series
187 was not mesogenic (table 1).

188 Substitution of fluorine atom in the transverse direction by another electronegative atom
189 chlorine and the lateral fluorine by hydrogen dramatically changes the character of the formed
190 mesogens. **2e** shows a nematic phase on cooling. It exhibits characteristic marble textures in
191 cells without any aligning layer (**figure 2(g)**), while homogeneous alignment was observed in
192 planar cell (**figure 2(h)**). Under electric field, the change of birefringence is clearly observed
193 (**figure 2(i)**). The optical response varies quadratically with applied electric field and indicates
194 dielectric reorientation of the molecules. Another higher homologue of this series **2i** shows
195 polymorphism, i.e. an additional nematic to SmA phase transition although the nematic range is

196 very small ($\sim 0.5^\circ\text{C}$). The SmA phase has striped pattern in planar cells which turns to nematic-
 197 like texture after application of electric field ($20\text{V}/\mu\text{m}$) suggesting that the width of the stripes
 198 are reduced considerably (**figure 2(k)-(l)**). These changes of textures upon application of
 199 electric field phases indicate that the molecules are actually reorienting and the phase cannot be
 200 paraelectric in nature. The current response is confirmed further the polar properties of these
 201 phases.



202
203

204 *Figure 2. Optical micrographs during cooling for (a) **1b** at 108°C (SmA phase) in non-treated*
 205 *glass plates, (b) **1-b** at 108°C in $10\mu\text{m}$ planar cell & (c) **1b** at 108°C in $10\mu\text{m}$ planar cell*

206 applying electric field $15\text{V}/\mu\text{m}$, 10Hz ; (d) **1c** at 104°C (SmA phase) in nonaligned glass plates,
 207 (e) & (f) **1c** at 105°C with applied electric field 0V and $15\text{V}/\mu\text{m}$, 10Hz , (g) **2e** at 98°C in N
 208 phase in non-treated glass plate, (h) & (i) **2e** in planar cell at 95°C under electric field 0V and
 209 $15\text{V}/\mu\text{m}$, 10Hz (j) **2i** at 109°C showing isotropic to nematic transition (Inset: fan-shaped
 210 texture); (k) & (l) optical micrographs of **2i** in planar cells at 0V and $20\text{V}/\mu\text{m}^{-1}$, 10Hz
 211 104.5°C .

212

213 3.2 Electro-optical studies:

214 We have investigated the current responses in these mesogens applying a triangular wave
 215 voltage. Distinct current peaks are observed per half cycle of the applied voltage. In **1b** and **1c**
 216 two well-separated current humps are present in the SmA phase (**Figure 3(a)** and **3(b)**). This
 217 indicates to the antiferroelectric nature of the smectic phase. The threshold voltage at which
 218 peaks appear prominently is $12\text{V}/\mu\text{m}^{-1}$ for **1b** (**Figure 3(a) inset**). **Figure 3(b)** shows evolution
 219 of the current peaks with temperature for **1c**. The two peaks A and B disappear completely as
 220 the isotropic phase is approached indicating pure polar origin of the peaks. Relatively broader
 221 peak B suggests two overlapped processes.

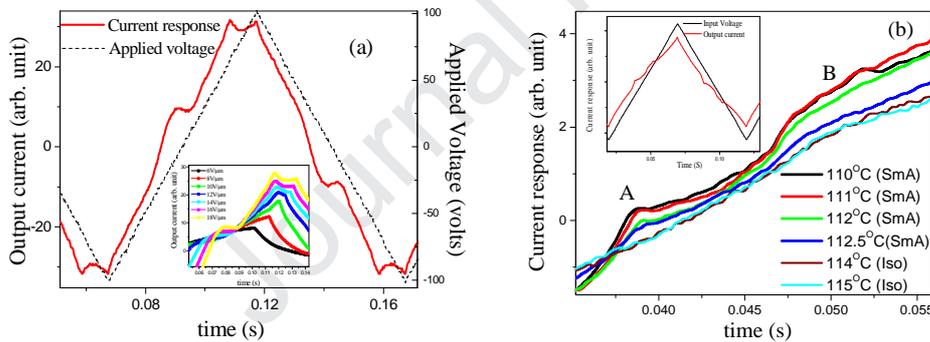
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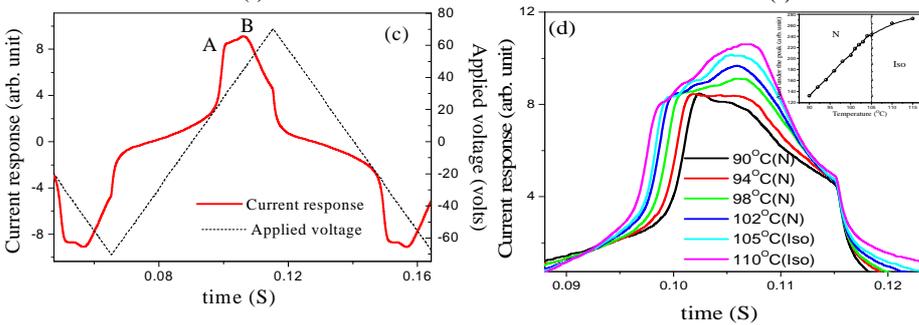


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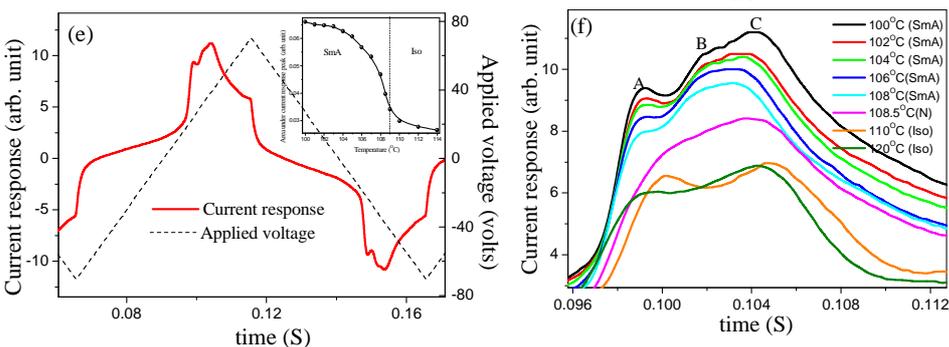
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234 *Figure 3. (a) Current response curve of 1b at 110°C applying triangular voltage 100V_{pp}, 10 Hz*
 235 *(Inset: voltage dependence of current response at frequency 10 Hz), (b) Current response curve*
 236 *of 1c at different temperatures applying triangular voltage 90V_{pp}, 10Hz (Inset: variation of*
 237 *current response in a full cycle of applied triangular voltage at 110°C), (c) Current response*
 238 *curve of 2e at 98°C applying triangular voltage 70V_{pp}, 10Hz, (d) Current response curve of 2e*
 239 *at different temperatures applying triangular voltage 70V_{pp}, 10Hz (Inset: temperature*
 240 *dependence of area under the peak of current response), (e) Current response curve of 2i at*
 241 *100°C applying triangular voltage 70V_{pp}, 10Hz (Inset: temperature dependence of area under*
 242 *the peak of current response), (f) evolution of the three peaks in the current response curve with*
 243 *temperature for 2i applying triangular voltage 70V_{pp}, 10 Hz.*

244

245 The compound **2e** exhibits two closely spaced current peaks on application of triangular electric
 246 field and their development over temperature is studied (**Figure 3(c)** and **3(d)**). Peak A is
 247 almost negligible in isotropic phase and becomes prominent with reduction of temperature.
 248 Since the molecular structure of these mesogens involve an OH group which is capable of
 249 proton conductivity and can easily complex ions to provide a current peak, the ionic
 250 contribution to the current response should also be considered. Since the peak at right (peak B)
 251 is growing with temperature and present in the isotropic phase, it may have partly ionic origin.
 252 Thus Peak A can be attributed to purely polar origin whereas peak B is a combination of polar
 253 and ionic contribution. Area under the peak is calculated for different temperatures and seems
 254 to increase continuously throughout the nematic phase and has high saturated value in the
 255 isotropic phase (**Figure 3(d) inset**).

256 The other compound **2i** shows similar current peaks in the SmA phase, however the broad
 257 overlapped peak in the right side now can be separated. Hence we have now three peaks A, B
 258 and C (**Figure 3(e)**). The peaks do not grow with temperature and suppresses appreciably in
 259 the isotropic phase (**Figure 3(f)**). Here it can be noticed that the short range nematic phase also
 260 shows polar switching and can be identified as cybotactic nematic phase. The area of the current
 261 peak gradually diminishes with increase of temperature suggesting typical orthogonal smectic
 262 nature of the phase.

263 **3.3 Dielectric spectroscopy:** The dielectric spectroscopy is a useful tool to study the molecular
 264 dynamics of liquid crystals. Dielectric investigations of the compounds **2e** and **2i** were carried
 265 out in planar aligned cells with thickness of 4 μm in the frequency range 10Hz to 13MHz, at

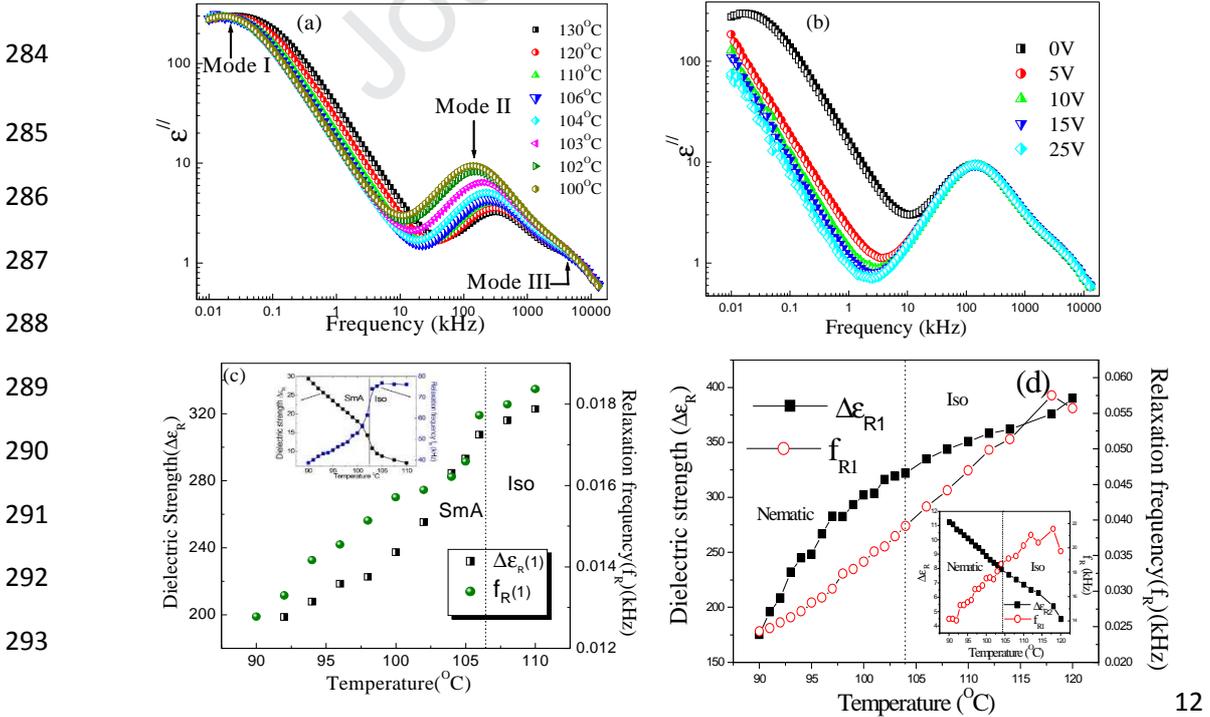
266 various temperatures. The frequency dependence of the complex dielectric permittivity in the
 267 specified conditions is described by the superposition of the Havriliak–Negami fit function and
 268 conductivity contribution. Characteristic dielectric parameters such as dielectric strength and
 269 relaxation frequency were extracted after incorporating the dielectric data into the following
 270 extended Havriliak–Negami function equation.

271

$$272 \quad \varepsilon'' = \frac{\sigma_0}{\varepsilon_0} \times \frac{1}{\omega^s} + \sum_{k=1}^N \text{Im} \left\{ \frac{\Delta\varepsilon_k}{(1 + (i\omega\tau_k)^{\alpha_k})^{\beta_k}} \right\} \dots\dots\dots(1)$$

273 Where $\Delta\varepsilon_k$ is the dielectric strength and τ_k is relaxation time of each individual process k
 274 involved in dielectric relaxation, ε_0 is the vacuum permittivity (8.854 pF/m) and σ_0 is the
 275 conduction parameter. The exponents α and β are empirical fit parameters, which describes a
 276 symmetric and non-symmetric broadening, respectively, of the relaxation peaks. The exponent s
 277 of the angular frequency determines the nonlinearity of the dc conductivity arising from charge
 278 accumulation at the interfacial layers. In the case of an Ohmic behaviour $s=1$.

279 Two compounds **2i** exhibiting SmA and a very narrow range nematic phase and **2e** exhibiting
 280 monotropic nematic phase were investigated by dielectric spectroscopy with a weak applied
 281 field ($0.1 \text{ V}\mu\text{m}^{-1}$) in the frequency range 10 Hz to 13MHz and at different temperatures in the
 282 SmA phase of **2i**. Dielectric investigations are carried out for the hockey-stick compound **2i** in
 283 SmA phase (30°C) in planar aligned cells with thickness $4 \mu\text{m}$.



293

294 *Figure 4. Dielectric investigations of 2i: (a) Dielectric spectra at different temperatures, (b)*
 295 *effect of bias voltage on relaxation peaks at 100°C, (c) temperature dependence of dielectric*
 296 *strength ($\Delta\epsilon_R$) and relaxation frequency (f_R) of Mode I (Inset: variations of $\Delta\epsilon_R$ and f_R with*
 297 *temperature for mode II); (d) temperature dependence of dielectric strength ($\Delta\epsilon_R$) and*
 298 *relaxation frequency (f_R) of P1 for 2e (Inset: variations of $\Delta\epsilon_R$ and f_R with temperature for P2).*

299

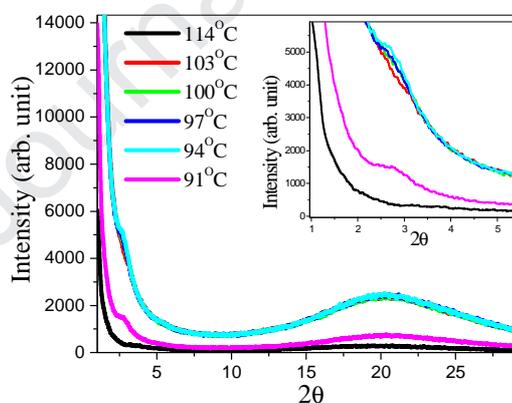
300 The compound **2i** exhibited three relaxation modes in the smectic A phase and also in the fluid
 301 phase. Typical dielectric spectra variations at different temperatures are shown in **Figure 4(a)**.
 302 Due to narrow range of nematic phase the data was not recorded in nematic phase because of
 303 experimental limitation. The influence of bias voltage on the relaxation peaks is shown in
 304 **Figure 4(b)**. The low frequency mode (Mode I) ranging between 12 Hz and 20 Hz is a
 305 collective process as it gets suppressed totally under an applied bias voltage (**Figure 4(b)**). This
 306 peak can be ascribed to the formation of ferroelectric clusters even in isotropic phase. The
 307 dielectric strength ($\Delta\epsilon_R(I)$) and relaxation frequency ($f_R(I)$) decreases upon cooling (**Figure**
 308 **4(c)**). The large dielectric permittivity in low frequency region with low relaxation frequency
 309 indicates the presence of a strong positive dipolar correlation and dipole cooperative motions in
 310 the SmA phase. These characteristic features reflect the ferroelectric short range order in this
 311 phase.

312 The other mode at high frequency range defined as Mode II (kHz region) can be attributed to
 313 molecular processes as it is not suppressed by bias voltage. Mode II is due to rotation of the
 314 molecules around the short axis. $\Delta\epsilon_R(II)$ increases while $f_R(II)$ decreases upon cooling (**Figure**
 315 **3c inset**). The increase in relaxation frequency with an increase in temperature for both Mode I
 316 and II is due to reduction of viscosity of the material (**Figure 4(c)**). Similar relaxation modes
 317 could be found in the calamitic nematic LCs in GHz regime. Here the down shift of the
 318 relaxation frequencies of these modes can be because of high viscosity of the medium and its
 319 large molecular size. Mode III is assigned to ITO relaxation as it is independent of both bias
 320 voltage and temperature. Similar results are reported previously for few other bent-core
 321 materials exhibiting smectic A phase with random polarization (SmAP_R) inside the layers.

322 The compound **2e** exhibits two peaks; one (P1) at very low frequency (12-18 Hz) region and
 323 another (P2) at high frequency (40-80 kHz) range. The peaks have similar polar and molecular
 324 origin as its higher homologue. The variations of dielectric strength and relaxation frequency
 325 with temperature of these peaks are studied (**Figure 4(d)**).

326

327 **3.4 XRD investigations:** To get information on the conformation of the nematic phase of the
328 compound **2e**, we carried out the XRD measurements. On cooling the sample from the
329 isotropic phase down to $\sim 1^\circ$ above the isotropic - nematic phase transition, only a diffuse
330 wide angle peak corresponding to the fluid-like ordering is revealed in the diffraction
331 pattern. But as the temperature is decreased further and the sample enters to nematic phase,
332 a distinct peak starts emerging in the small angle region (**Figure 5**), well above the nematic
333 to crystal transition temperature ($T_{N-Cr} \sim 13^\circ\text{C}$). Hence this peak can be attributed to the
334 formation of cybotactic clusters in the nematic phase and not due to start of crystallization.
335 On further cooling in the nematic phase, the peak in the small angle region becomes
336 gradually sharper (**Figure 5 inset**) with corresponding layer spacing $d \sim 3.24\text{nm}$ at 91°C .
337 From DFT studies we have found the length
338 (l) of the molecule to be 3.22nm . Thus the d to l ratio is ~ 1 , which indicates that the clusters
339 are of SmA type. This is clearly sharper than diffuse small angle peak of conventional
340 nematics. Hence, this might indicate the cybotactic nature of the nematic phase (probably
341 clusters with lamellar order).



354 *Figure 5. X-ray diffraction studies of 2e. Intensity profiles in the isotropic and nematic phases.*
355 *Inset: Enlarged view of the small angle peak at $2\theta \sim 2.8^\circ$.*

356 **4. Conclusion:**

357 In conclusion we have designed, synthesized and characterized new achiral unsymmetrical four
358 -ring hockey-stick compounds with a biphenyl moiety with polar substituents in the transverse
359 and lateral directions of the molecule successfully. We further performed the measurements of

360 the induced polarization in two homologous series of hockey-stick liquid crystals, one
361 exhibiting nematic as well as SmAP phase and the other exhibiting only SmAP in a cell of
362 thicknesses of 4 μm with homogeneous alignment. The most interesting observation is the
363 existence of polar switching in the entire nematic and isotropic phases of the material **2e** and the
364 SmAP phase exhibited by **2i**, **1b** and **1c**. Dielectric investigation has complemented the
365 electro-optically obtained results. X-ray analysis confirms the existence of cybotactic clusters in
366 the nematic phase of **2e**. On the basis of these investigations it is clear that the hockey-stick
367 molecules stick together to form clusters of cybotactic groups featuring short range SmA-like
368 ordering in the nematic phase and even manifest themselves in isotropic phase because of polar
369 interactions. We believe the present study would give significant insight to the development of
370 ferroelectric fluids with overall polar order.

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373

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498

Highlights:

- Investigations on novel short-core hockey-stick molecules are reported.
- The compounds exhibit nematic and orthogonal smectic mesomorphism.
- Cybotactic clusters in the nematic phase are confirmed by XRD.
- Polar orthogonal smectic phases with antiferroelectric type switching are observed.

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