Scope and Limitations of a Cyclization Leading to Phenanthrene Derivatives

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The scope and limitations of a modified Bogert-Cook cyclization was investigated. It was found that the sequence is applicable only for the unsubstituted and the meta-substituted series.

La généralité et les limitations d'une réaction de cyclisation de Bogert-Cook modifiée ont été examinées. Il a été trouvé que la séquence est applicable uniquement aux séries de composés non substitués ou substitués en mêta. [Traduit par le journal]

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Recently we reported on a sequence (1) which could be regarded as a modification of the Bogert-Cook (2) reaction $(1 \rightarrow 2 \rightarrow 3)$. For obvious reasons this reaction would be more useful if it could be extended to compounds in which the aromatic nuclei are carrying potential functional groups. The present paper gives a full account on the unsubstituted example and reports on all compounds carrying one methoxy group in the aromatic ring. It has been found that the sequence is applicable only to the metasubstituted and the unsubstituted series. Starting with the ortho- and para-substituted Nef adducts 7a and b led to no useful products.

Results and Discussion

The addition of the appropriately substituted sodium phenylacetylide to 2,6-dimethylcyclohexanone in liquid ammonia led to the acetylene alcohols 1a and 1b and 7a and 7b. Apparently only one stereoisomer was formed in these Nef reactions corroborated by the fact that 7a and b were obtained in crystalline form. Although o-methoxyphenylacetylene was previously prepared from benzofurane using a sealed tube (3, 4), we developed a more practical preparation starting with o-methoxyacetophenone. The so far neglected traditional method gives a 35%yield after purifying the product as the silver salt.

The Rupe rearrangment of acetylenic alcohols 1a and b gave unsaturated ketones 2a and b, respectively, in 60% yield. In both reactions a less polar unidentified polymer was also formed

whose formation could not be avoided under various conditions.

Cyclization of unsaturated ketone 2a to the tricyclic product 3a was carried out in polyphosphoric acid in 90% yield. The appearance of a saturated carbonyl group (i.r. 1710 cm⁻¹) and a three-proton singlet at 8.93 τ corresponding to the angular methyl group are evidence for the tricyclic structure in 3a.

From 2b the cyclization in polyphosphoric acid led to a crystalline mixture of monoketone 3b and diketone 4b. The latter compound must have been formed as a result of atmospheric oxidation. Due to the experimental features of the reaction it was impossible to exclude oxygen completely. Since the two compounds could not be separated by re-crystallization or chromatography the entire sample was subjected to SeO₂ oxidation leading to the pure diketone 4b in 75% yield.

For diketone 4b there is an alternative structural possibility 6; a similar structure is possible for the corresponding monoketone 3b. It is well known from the vast information concerning aromatic substitutions that the formation of a 1,2,3-vicinal trisubstituted isomer is disfavored in comparison to the 1,2,4-trisubstituted compound (5). Since only one isomer was formed in the cyclization, structure 4brather than 6 is assigned to the diketone. This is corroborated by the 220 MHz n.m.r. of diketone 4b. The extracted coupling constants in the aromatic region, $J_{6,8} = 3.0$ Hz, $J_{5,8} = 0.1$ Hz, and $J_{5,6} = 8.8$ Hz are in agreement with the accepted meta, para, and ortho values, respectively (6). Structure 6 would have been expected

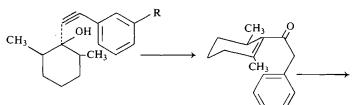
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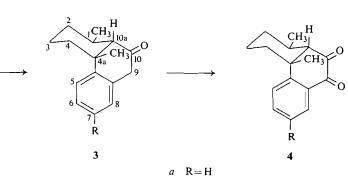


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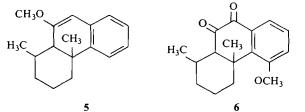
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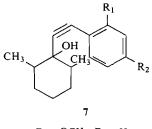
b



2



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a $R_1 = OCH_3; R_2 = H$ b $R_1 = H; R_2 = CH_3O$

to have two coupling constants of 8 Hz and another of 3 Hz. The central hydrogen should have appeared as a triplet with two ortho couplings, contrary to the actual splitting pattern: τ 2.73 (m), 2.62 (d), and 2.40 (d).

When the *o*-methoxy substituted phenylacetylene alcohol 7a was refluxed with formic acid the product formed contained no carbonyl and its n.m.r. showed the absence of the methoxy group. Consequently it was not investigated any further. The para-substituted isomer 7b under the same conditions led to the formation of unidentified polymers only.

The stereochemistry of tricyclic ketone 3a was erroneously assigned in our previous publication as *trans* (1). This error should now be corrected.

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In order to elucidate the stereochemistry of the ring juncture in 3a an attempt was made to isomerize it to the thermodynamically more stable product. The alkaline treatment of 3a led to complex changes. Refluxing in methyl alcohol containing hydrochloric acid led to the enol ether 5 and diketone 4a. In the absence of oxygen under the same conditions only enol ether 5 and monoketone 3a were recovered. The fact that enol ether 5 was also formed during the attempted isomerization indicates that enolization indeed took place thus providing suitable conditions for equilibration. This contention could be corroborated by demonstrating that deuterium was incorporated into ketone 3a at positions 9 and 10a when the same reaction was carried out in CH₃OD. Therefore, it can be concluded that the thermodynamically more stable isomer was formed during the cyclization, and the necessary conditions were provided for the isomerization.

It is now generally accepted that in angularly methylated hexahydrophenantrene systems the *cis* isomer is more stable than the corresponding *trans*-fused compound (7–9). Therefore, the ring junction in 3*a* is reassigned as *cis*. With SeO₂ the monoketone 3*a* was oxidized to diketone 4*a*. In the n.m.r. of the latter compound a clearly resolved one-proton doublet at $\tau = 7.45$, J = 10Hz indicates a *trans*-diaxial relationship of the two hydrogens at C-1 and C-10*a*. Consequently, the 4-methyl group in 4*a* is assigned equatorial.

The same stereochemistry is assigned to diketone 4b since the angular methyl signal and the one-proton doublet assigned to H_{10a} deviated less than 0.04 p.p.m. in the two diketones. While in the Bogert-Cook reaction a *cis-trans* mixture is formed (8,9), in this cyclization only the cis isomer is formed. Naturally the possibility cannot be excluded that the *trans*-fused isomer was also formed in this reaction and then it subsequently isomerized to the thermodynamically more stable *cis*-isomer under the conditions of cyclization. The obtained stereochemical outcome can however be explained more coherently on mechanistic grounds. It is recognized that the unexceptional pathway of trans-diaxial addition of a proton and an aryl group on the $\Delta^{1,2}$ double bond of unsaturated ketone 2 should produce the stereochemistry of tricyclic ketone 3.

Due to its predictable stereochemical outcome, the cyclization discussed here is now used in some synthetic studies in the field of diterpenes.

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Experimental

All melting points were taken on a Fisher-Johns melting point apparatus and are uncorrected. The elemental analyses were carried out by Pascher Mikroanalytical Laboratorium, Bonn, West Germany, and Schwarzkopf Mikroanalytical Laboratory, Woodside, N.Y. The i.r. spectra were recorded on a Perkin-Elmer Model 137B infracord spectrophotometer. The u.v. spectrograms were taken on a Coleman-Hitachi Model 124 double-beam grating spectrophotometer. The n.m.r. spectra were recorded on a Varian Associates T60 spectrophotometer. The mass spectra were obtained with a Hitachi Perkin-Elmer Model RMS-4 spectrometer.

I-(Phenylethynyl)-2,6-dimethylcyclohexanol (1a)

To 100 ml of liquid ammonia containing 20 mg of ferric nitrate 240 mg of sodium was added in three portions. The flask was equipped with a magnetic stirrer and a drying tube containing soda lime. After the disappearance of the blue color 1.09 g of phenylacetylene dissolved in 5 ml of dry tetrahydrofuran was added. Stirring was continued for 30 min, then a solution of 1.19 g of 2,6-dimethyl-cyclohexanone in tetrahydrofuran (2 ml) was added in 10 min. The reaction mixture was stirred for 4 h then 2 g of ammonium chloride was added. After the evaporation of ammonia the product was dissolved in water and extracted with ether (4 \times 35 ml). The combined ether extracts were washed in succession with saline, diluted sulfuric acid, and sodium bicarbonate solution. After drying (MgSO₄) and evaporation 2.0 g (92%) of oily product was obtained. An analytical sample was obtained by microdistillation at 120°/0.5 mm Hg. Mass spectrum (70 eV) m/e (relative intensity) 228 (31, parent peak), 210 (13), 171 (80), 129 (38), 102 (100), etc.; i.r. (CCl₄) 3400, 2840, 1600, 1485, 1155 cm⁻¹; u.v._{max} (95% C2H5OH) 252 mµ (ε 17 100), 242 (20 000); n.m.r. $(CCl_4) \tau 8.85 (d, 6, J = 6 Hz, methyls), 8.60 (cyclohexane)$ protons), 2.80 (m, 5, aromatic protons).

Anal. Calcd. for $C_{16}H_{20}O$: C, 84.16; H, 8.83. Found: C, 84.18; H, 8.85.

1-(2,6-Dimethylcyclohexene-1-y1) Benzyl Ketone (2a)

The acetylenic alcohol 1a (1.06 g) was refluxed in 50 ml of 80% formic acid for 2 h. The reaction mixture was diluted with water and extracted with ether $(4 \times 100 \text{ ml})$. The organic layer was washed with sodium bicarbonate solution and then dried (MgSO₄). After evaporation 0.90 g of an oil was obtained. The product consisted of two components which were separated by thick layer chromatography on silica gel plates using benzene for development. The more polar compound 6a was isolated in 60%yield (0.605 g). An analytical sample was prepared by microdistillation at 95°/0.3 mm Hg. Mass spectrum (70 eV) m/e (relative intensity) M⁺ ion (228) absent, 138 (25), 137 (65), 109 (100), 91 (63), etc.; i.r. (CCl₄) 2875, 1685, 1450 cm⁻¹; u.v._{max} (95% C₂H₅OH), 244 mµ (3800), 211 (8044); n.m.r. (CCl₄) τ 9.18 (d, 3, J = 8 Hz, CH–CH₃), 8.40 (s, 3, $=C-CH_3$), 6.40 (s, 2, $CO-CH_2$ -Ph), 2.83 (s, 5, aromatic protons).

Anal. Calcd. for $C_{16}H_{20}O$: C, 84.16; H, 8.83. Found: C, 84.13; H, 8.85.

The less polar compound did not contain a carbonyl (i.r.) and it was not characterized any further.

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10(9H)-1,2,3,4,4a,10aα-hexahydro-1α,4aα-dimethylphenanthrone (3a)

(a) Preparation

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A sample of 1.046 g of unsaturated ketone 2*a* was heated with polyphosphoric acid (8 g) at 120 °C for 10 min. The cooled reaction mixture was diluted with water and extracted with ether. The organic layer was washed successively with saline and 10% sodium bicarbonate. The ether solution was dried (MgSO₄) then evaporated to dryness (1.02 g, 96%). T.I.c. revealed the presence of one component. The solid product was recrystallized from pentane, m.p. 64-65°. Mass spectrum (70 eV) *m/e* (relative intensity) 228 (77, parent), 213 (94), 195 (100), 159 (97); i.r. (CCl₄) 2875, 1710, 1450, 1240 cm⁻¹; u.v.max (95% C₂H₅OH) 282 mµ (ε 1384) and 209 (9300); n.m.r. (CDCl₃) τ 9.20 (d, 3, J = 7 Hz, 1-methyl), 8.93 (s, 3, angular methyl), 6.50 (s, 2, CO—*CH*₂—Ph), 2.80 (m, 5, aromatic).

Anal. Calcd. for $C_{16}H_{20}O$: C, 84.16; H, 8.83. Found: C, 84.14; H, 8.80.

(b) Equilibration of Tricyclic Ketone 3a

The alkaline treatment of 3a led to a complicated mixture. Next an acidic treatment was tried.

Ketone 3a (276 mg) was refluxed for 3 h in 10 ml of methanol containing 2 drops of concentrated hydrochloric acid. After cooling, the reaction mixture was diluted with water and extracted with ether (4 × 40 ml). The combined ether extracts were washed with saline, sodium bicarbonate solution (5%), and saline in succession. The product consisted of three components which were separated by thick layer chromatography on a silica gel plate using 10:90 ether-benzene for development. The most polar product was isolated in crystalline form (66 mg, 24%). This sample was shown to be identical with diketone 4a prepared from monoketone 3a with SeO₂ oxidation (*vide infra*).

To the least polar oily product (51 mg, 20%) enol ether structure **5** was assigned; i.r. (CCl₄) 2900, 1647, 1486, 1450, 1370, 1282, 1235, 1193, 1152, 1026 cm⁻¹; u.v._{max} 277 mµ (11 754), 222 (15 557); n.m.r. (CDCl₃) τ 9.1 (d, 3, J = 6 Hz, 1-methyl), 8.87 (s, 3, angular methyl), 6.28 (s, 3, O—CH₃), 4.55 (s, 1, proton at C-9), 2.33 (m, 4, aromatic protons) mass spectrum (70 eV) m/e (relative intensity) 242 (38, parent peak), 227 (31), 173 (25), 172 (100), 141 (33), 129 (37), 128 (38). A sample was distilled for analysis at 100°/1 mm Hg.

Anal. Calcd. for $C_{17}H_{22}O$: C, 84.25; H, 9.14. Found: C, 84.23; H, 9.16.

From the middle band of the chromatogram monoketone 3a (75 mg) was recovered. When the reaction was carried out under nitrogen only the starting material 3aand the enol ether 5 were isolated.

The same isomerization experiment was carried out in the presence of CH₃OD. Ketone 3a (200 mg) was refluxed in CH₃OD (2 ml) in the presence of CF₃COOD (0.1 ml) + D₂O (0.2 ml) for 3 h in a nitrogen atmosphere. After evaporation to dryness the entire sample was chromatographed on a silica gel plate (20 × 20). From the least polar band ketone 3a (75 mg) was recovered. Its n.m.r. showed that the singlet at 6.50 (CO—CH₂—Ph) decreased to about one fourth its original height. Another slight change was noticeable at 7.9 attributable to position 10a. In the aromatic region the signals decreased also by 25%. The position and intensity of the signals at 9.1 (d) and 8.87 (s) have not changed. 1,2,3,4,4a,10aα-Hexahydro-1α,4aα-dimethylphenanthrenequinone (4a)

A solution of monoketone 3a (150 mg) in 3 ml of dioxane was mixed with sublimed selenium dioxide (100 mg) and a drop of water. The mixture was stirred and refluxed for 6 h. When the oxidation was complete (t.l.c.) the mixture was filtered. The filtrate was diluted with ether and washed with saline. After drying (MgSO₄) and evaporation to dryness, 140 mg (88%) of crystalline diketone was obtained. It was recrystallized from pentane, yellow needles, m.p. 115–117°. Mass spectrum (70 eV) m/e (relative intensity) 242 (35, parent), 214 (11), 199 (29), 181 (31), 171 (30), 159 (57), 129 (71), 128 (100); i.r. (CCl₄) 2860, 1730, 1695, 1435, 1370, 1307, 1235 cm⁻¹; u.v._{max} (95% C₂H₅OH) 283 mµ (ε 8750), 209 (10 454), n.m.r. τ 9.18 (d, 3, J = 6 Hz, 1-methyl), 8.67 (s, 3, angular methyl), 7.45 (d, 1, J = 10 Hz, proton at C-10a), 2.47 (m, 4, aromatic protons).

Anal. Calcd. for C₁₆H₁₈O₂: C, 79.31; H, 7.49. Found: C, 79.34; H, 7.51.

l-(m-Methoxyphenylethynyl)-2,6-dimethylcyclohexanol (1b)

The acetylene alcohol 1*b* was prepared as described for 1*a* in a 90% yield using *m*-methoxyphenylacetylene (10). T.l.c. examination showed only one major component and a small amount of polymers. It was purified by thick layer chromatography on silica plates using benzene for development. An analytical sample was distilled at $102^{\circ}/0.3$ mm Hg. Mass spectrum (70 eV) *m/e* (relative intensity) 258 (36), 240 (67), 225 (25), 201 (54), 155 (100); i.r. (CCl₊) 3400, 2890, 1587, 1497, 1157 cm⁻¹; u.v._{max} (95% C₂H₅OH) 298 mµ (ϵ 2921), 290 (2900), 252 (15 357), 243.5 (15 357); n.m.r. (CDCl₃) τ 8.83 (d, 6, *J* = 6 Hz, methyls), 8.55 (cyclohexane protons), 6.20 (s, 3, OCH₃), 3.0 (m, 5, aromatic protons).

Anal. Calcd. for C₁₇H₂₂O₂: C, 79.03; H, 8.58. Found: C, 79.07; H, 8.53.

1-(2,6-Dimethylcyclohexene-1-yl) m-Methoxybenzyl Ketone (2b)

The acetylenic alcohol 1b (9.3 g) was refluxed with 80% formic acid for 2 h and worked-up as described for 2a. The two-component mixture was separated by column chromatography on silica gel eluting with benzene. The less polar component contained no carbonyl and had a tendency to polymerize.

The more polar component (5.2 g) was isolated as an oil in 55% yield. Mass spectrum (70 eV) m/e (relative intensity) 258 (3, parent), 243 (2), 225 (1), 150 (50), 137 (82), 135 (100); i.r. (CCL₄) 2825, 1675, 1587, 1477, 1443, 1250, 1143, 1042 cm⁻¹; u.v._{m.x} (95% C₂H₅OH) 310 mµ (ϵ 690), 282 (2670), 275 (2987), 208 (15 960); n.m.r. (CDCl₃) τ 9.08 (d, 3, J = 6 Hz, CH--CH₃), 8.38 (s, 3, =C--CH₃), 6.23 (s, 5, OCH₃ and CO--CH₂--Ar), 3.20 (m, 4, aromatic). A sample was distilled at 105°/0.3 mm Hg for analysis.

Anal. Calcd. for $C_{17}H_{22}O_2$: C, 79.03; H, 8.58. Found: C, 79.05; H, 8.56.

10(9H)-1,2,3,4,4a,10aa-Hexahydro-1a,4aa-dimethyl-7-

methoxyphenanthrone (8b) and 1,2,3,4,4a,10aa-Hexahydro-7-methoxy-1a,4aa-dimethylphenanthrenequinone (4b)

A 1.2 g sample of unsaturated ketone 2b was heated with polyphosphoric acid (8 g) at 110° for 10 min. The

reaction mixture was worked-up as described for 3a. The yield of the crystalline product, m.p. 71-75 °C was nearly quantitative. However, even after several recrystallizations from different solvents the pure ketone 3b could not be isolated. The contaminant was the corresponding α -diketone, 4b, as recognized from the mass and n.m.r. spectra of the samples.

Consequently the mixture was oxidized to pure 4b. A solution of 3b and 4b (142 mg) in dioxane (3 ml) was mixed with sublimed selenium dioxide (80 mg) and a drop of water and heated under reflux for 6 h. When the oxidation was complete (t.l.c.) the product was filtered, diluted with ether, washed with brine, and dried (MgSO₄). After evaporation 103 mg (73%) of crystalline diketone was isolated. It was recrystallized from pentane, m.p. 133-135°. Mass spectrum (70 eV) m/e (relative intensity) 272 (93, parent), 257 (12), 244 (25), 229 (100), 201 (50); i.r. (CHCl₃) 2850, 1724, 1678, 1602, 1493, 1460, 1319, 1285 cm⁻¹; u.v._{max} (95% C₂H₃OH) 286 mµ (ϵ 5900), 226 (17 400); n.m.r. at 220 MHz (CDCl₃) τ 9.20 (d, 3, J = 6 Hz, CH-CH₃), 8.72 (s, 3, angular methyl), 7.49 (d, 1, J = 10 Hz, proton at C-10a), 6.13 (s, 3, OCH₃), 2.73 (m, 1, $J_{5,6} = 8.8$ Hz, $J_{6,8} = 3.0$ Hz, H₆), 2.62 (d, 1, $J_{5,6} = 8.8$ Hz, $J_{5,8} = 0.1$ Hz, H₅), 2.40 (d, 1, $J_{6,8} = 3.0$ Hz, $J_{5.8} = 0.1$ Hz, H₈).

Anal. Calcd. for $C_{17}H_{20}O_3$: C, 74.97; H, 7.40. Found: C, 74.99; H, 7.42.

o-Methoxyphenylucetylene

To a well-cooled solution of o-methoxyacetophenone (35 g) in benzene (100 ml) phosphorous pentachloride (50 g) was added in 45 min while stirring. The product was allowed to stand overnight at room temperature. The yellow solution was poured on ice and extracted with ether $(3 \times 100 \text{ ml})$. The combined ether extracts were washed with water, dried (MgSO₄) and evaporated to dryness. The product was mixed with 95% ethanol (42 ml) and potassium hydroxide (34 g) and refluxed for 24 h. Prior to working-up the mixture was tested for the complete disappearance of the dichloride (t.l.c.). The brown reaction mixture was poured on ice-water and extracted with ether (3 \times 100 ml), then the ether was removed by atmospheric distillation. The residue was dissolved in ethyl alcohol (100 ml) and to the magnetically stirred solution 5% AgNO₃ solution was dripped until no more precipitate formed. The precipitate was filtered by suction, washed with ethyl alcohol then with ether. After suspending the precipitate in water (200 ml), 35 g of NH₄SCN was added and the mixture was stirred in the presence of ether (200 ml). The AgSCN was removed by filtration on a Buchner funnel and the filtrate was extracted with ether (4 \times 100 ml). The combined ether extracts were washed with saline, dried (MgSO₄), and the product was distilled at 78-84°/7 mm Hg. Yield: 12 g (35%) colorless oil. Mass spectrum (70 eV) m/e (relative intensity) 132 (45, parent), 131 (33), 117 (11), 89 (100); u.v.max (95% C2H5OH) 301 mµ (ε 3945), 292 (3945), 250 (11 000), 239 (10 620); i.r. (CCl₄) 3448, 1600, 1274, 1242, 1042 cm⁻¹; n.m.r. (CDCl₃) τ 6.70 (s, 1, C=CH), 6.2 (s, 3, OCH₃), 3.1 (s, 4, aromatic protons). The product was previously characterized (3,4).

I-(o-Methoxyphenylethynyl)-2,6-dimethylcyclohexanol (7a)

Preparation

The Nef addition between 2,6-dimethylcyclohexanone

and *o*-methoxyphenylacetylene was carried out as described for 1*a*. A crystalline product was obtained (yield 97%). The analytical sample was prepared by recrystallization from ether, m.p. 84–86°. Mass spectrum (70 eV) *m/e* (relative intensity) 258 (36, parent), 240 (3), 227 (19), 201 (61), 159 (20), 131 (34), 69 (100); i.r. (CHCl₃) 3550, 2837, 1595, 1486, 1453, 1282, 1250, 1151, 1117, 1045, 954, 939 cm⁻¹; u.v._{max} (95% C₂H₅OH) 301 mµ (ϵ 6161), 292 (6140), 154.5 (20 026), 243 (17 713); n.m.r. (CDCl₃) 8.77 (d, 6, *J* = 6 Hz, methyls), 8.53 (cyclohexane protons), 6.47 (s, 3, OCH₃), 2.90 (m, 4, aromatic protons).

Anal. Calcd. for C₁₇H₂₂O₂: C, 79.03; H, 8.58. Found: C, 79.01; H, 8.60.

Formolysis of acetylene alcohol (7a)

A 1.925 g sample of 7*a* was refluxed with 80% formic acid (25 ml) for 3 h. The solution was then diluted with water and extracted with chloroform (3×100 ml). The combined chloroform extracts were washed in succession with water, 10% sodium bicarbonate, and water. The dried (MgSO₄) solution was evaporated to dryness. A single compound was produced according to t.l.c., which did not contain any carbonyl (i.r.) and lost the methoxy group (n.m.r.). Therefore it was not fully characterized.

I-(p-Methoxyphenylethynyl)-2,6-dimethylcyclohexanol (7b)

Preparation

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The Nef addition between 2,6-dimethylcyclohexanone and p-methoxyphenylacetylene (11) was carried out as described for 1a in 93%. The crystalline product was homogeneous according to t.l.c. It was recrystallized from pentane, m.p. 46-47°. Mass spectrum (70 eV) m/e(relative intensity) 258 (24, parent), 244 (21), 243 (100), 225 (31), 201 (33), 135 (98); i.r. (CCl₄) 3500, 2840, 1603, 1500, 1450, 1280, 1260, 1170, 1153, 1035, 960, 940, 833 cm⁻¹; u.v. max (95% C₂H₅OH) 297 mµ (ε 3354), 285.5 (4128), 261 (23 220), 252 (24 940); n.m.r. (CDCl₃) τ 8.87 (d, 6, J = 6 Hz, CH—CH₃), 3.0 (g, 4, aromatic).

Anal. Calcd. for $C_{17}H_{22}O_2$: C, 79.03; H, 8.58. Found: C, 79.05; H, 8.56.

The formolysis of 7b led to an unidentified polymer.

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