Biocatalytic site- and enantioselective oxidative dearomatization of phenols

Summer A. Baker Dockrey^{1,2}, April L. Lukowski^{2,3}, Marc R. Becker¹ and Alison R. H. Narayan^{1,2,3*}

The biocatalytic transformations used by chemists are often restricted to simple functional-group interconversions. In contrast, nature has developed complexity-generating biocatalytic reactions within natural product pathways. These sophisticated catalysts are rarely employed by chemists, because the substrate scope, selectivity and robustness of these catalysts are unknown. Our strategy to bridge the gap between the biosynthesis and synthetic chemistry communities leverages the diversity of catalysts available within natural product pathways. Here we show that, starting from a suite of biosynthetic enzymes, catalysts with complementary substrate scope as well as selectivity can be identified. This strategy has been applied to the oxidative dearomatization of phenols, a chemical transformation that rapidly builds molecular complexity from simple starting materials and cannot be accomplished with high selectivity using existing catalytic methods. Using enzymes from biosynthetic pathways, we have successfully developed a method to produce *ortho*-quinol products with controlled site- and stereoselectivity. Furthermore, we have capitalized on the scalability and robustness of this method in gram-scale reactions as well as multi-enzyme and chemoenzymatic cascades.

xidative dearomatization of phenolic compounds is a powerful transformation for the synthesis of complex molecules, providing an avenue for simultaneously introducing stereochemical information and generating products that are primed for further reaction¹. For example, chemical methods exist for the conversion of simple phenols to dearomatized products, with concomitant formation of new C-C, C-N, C-halogen and C-O bonds². A number of reagents for dearomatization to afford ortho-quinol products (2, Fig. 1a)³⁻⁵, including I^{III}, I^V, Pb^{IV} and Cu^I, have been developed and leveraged for the chemical synthesis of a range of bioactive natural products (Fig. 1b)^{1,4,6-8}. However, in addition to the requirement for stoichiometric amounts of these reagents, two major challenges associated with these chemical methods are siteselectivity and product stability. For example, highly substituted resorcinol substrates such as 3 are chemically oxidized to afford mixtures of isomers such as 4, 5 and 6, which can fragment to afford quinone products (11, Fig. 1c)9. Furthermore, the desired quinol products are often difficult to use productively, because side reactions such as dimerization¹⁰ (7), rearomatization^{11,12} (8, 9 and 10) and rearrangement¹³ are facile under the requisite reaction conditions. Moreover, the development of asymmetric catalytic versions of these oxidative dearomatizations has proven challenging, particularly for cases involving concomitant C-O bond formation $(1\rightarrow 2, Fig. 1a)^{14}$. Although high enantioselectivities have been achieved with stoichiometric amounts of chiral hypervalent iodine reagents, superstoichiometric chiral metal complexes⁴ and in cases where intramolecular cyclization is possible¹⁵, a highly enantioselective catalytic method has yet to be reported.

The limitations of traditional methods to achieve site- and enantioselective oxidative dearomatization led us to consider using the tools employed by nature to effect this transformation. Oxidative dearomatization has been proposed as the chirality-generating step in a number of natural product biosynthetic pathways. Enzymes capable of the dearomatization of arenes through dihydroxylation or oxidative ring cleavage have been known for decades, but the proteins responsible for oxidative dearomatization of phenols to generate *ortho*-quinol products, such as **2**, have remained more elusive¹⁶. Only recently have *in vivo* and *in vitro* studies identified enzymes responsible for mediating oxidative dearomatization of phenolic compounds to form *ortho*-quinol products in a handful of natural product pathways¹⁷. We reasoned that these flavin adenine dinucleotide (FAD)-dependent monooxy-genases represent ideal catalysts for oxidative dearomatization reactions, particularly as they require only molecular oxygen and a nicotinamide cofactor to enable catalysis under mild reaction conditions, with high site- and enantioselectivity¹⁸.

To harness the advantages offered by FAD-dependent monooxygenases, the challenges classically associated with biocatalysts, including limited substrate scope and the ability to achieve the desired selectivity, must be overcome¹⁹. A common strategy in biocatalyst development involves: (1) first selecting a single enzyme; (2) evaluating its substrate scope, site- or enantioselectivity; and then (3) engineering the protein to operate on a different suite of compounds or with altered selectivity²⁰. Given the diversity that nature has evolved in the context of natural product biosynthetic pathways, we hypothesized that greater synthetic utility could be accessed by profiling the substrate promiscuity and selectivity of a number of different FAD-dependent monooxygenases capable of catalysing the oxidative dearomatization of phenol and resorcinol substrates.

Our initial studies focused on a set of enzymes with orthogonal site- and stereoselectivities. We were guided by the work of the $Cox^{17,21}$, $Tang^{22}$ and $Watanabe^{23}$ groups, which have each biochemically characterized FAD-dependent monooxygenases that mediate the oxidative dearomatization of resorcinol substrates to *ortho*quinol products that are further elaborated into various classes of natural products by downstream biosynthetic enzymes (Fig. 1d). Cox and co-workers first demonstrated this transformation through *in vitro* characterization of a FAD-dependent monooxygenase, TropB, included in the gene cluster that encodes for the fungal tropolone natural product stipitatonic acid (16). TropB catalyses the site- and stereoselective oxidative dearomatization of resorcinol 14 to alcohol 15 (Fig. 2). Also in 2012, Tang identified a similar FAD-dependent monooxygenase from a silent *Aspergillus*

¹Department of Chemistry, University of Michigan, Ann Arbor, Michigan 48109, USA. ²Life Sciences Institute, University of Michigan, Ann Arbor, Michigan 48109, USA. ³Program in Chemical Biology, University of Michigan, Ann Arbor, Michigan 48109, USA. *e-mail: arhardin@umich.edu

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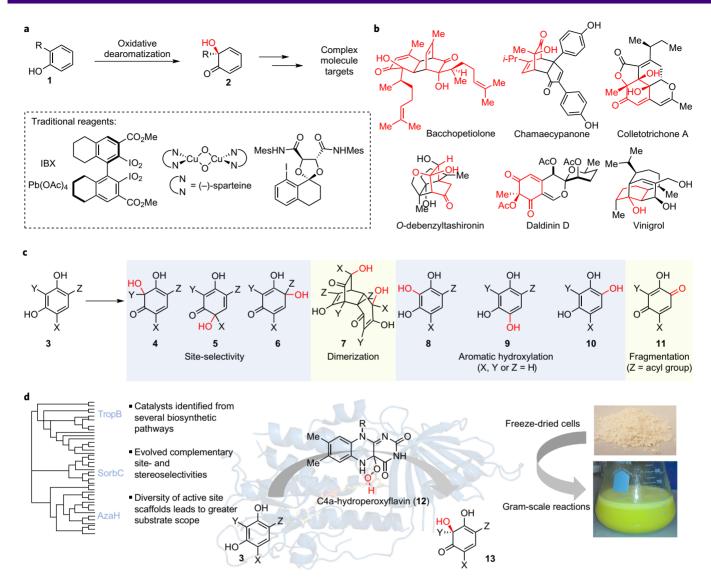


Figure 1 | Strategies for oxidative dearomatization of phenolic compounds and application in complex molecule synthesis. a, Oxidative dearomatization of phenolic substrates to afford *ortho*-quinol products and small-molecule reagents used for this transformation. IBX, 2-iodoxybenzoic acid. **b**, Natural products accessible from *ortho*-quinol intermediates. **c**, Potential products afforded by conditions for resorcinol oxidative dearomatization including potential product isomers, dimers and undesired products. **d**, Biocatalytic oxidative dearomatization leveraging the diversity of a suite of flavin-dependent monooxygenases from natural product biosynthetic pathways. The protein structure shown is a homology model of TropB based on the structure of Protein Data Bank ID 2DKH using the Phyre2 server.

niger ATCC 1015 gene cluster and demonstrated the role of this enzyme, AzaH, in the site-selective oxidative dearomatization of resorcinol substrate 17 to afford 18 with unknown configuration at the newly formed stereocentre. While TropB and AzaH operate with the same site-selectivity, a third enzyme in this class, SorbC, has evolved orthogonal site- and facial selectivity within the sorbicillactone A (22) pathway, oxidizing sorbicillin (20) to the C5-hydroxylation product 21^{24} .

We began by synthesizing the native substrates for TropB, AzaH and SorbC (14, 17, and 20, respectively), which were subsequently used as positive controls for the activity of each FAD-dependent monooxygenase (see Supplementary Section III 'Biocatalytic reactions' for details). In parallel, the three proteins were heterologously expressed in *Escherichia coli* and purified using standard Ni-affinity chromatography. Analytical-scale *in vitro* reactions with each enzyme and the corresponding native substrate confirmed productive catalysis. In the case of TropB, we observed complete consumption of the starting material in 1 h, which corresponds to

1,000 turnovers of the catalyst (Table 1, entry I). Partial conversions were seen in the analogous assays for AzaH and SorbC, with total turnover numbers (TTNs) of 725 and 816, respectively (entries XVII and XXIX).

With activity on the natural substrates benchmarked, we next assessed the reactivity of each monooxygenase on a panel of compounds designed to probe the steric and electronic requirements of a substrate for productive catalysis. As shown in Table 1, each enzyme demonstrated a unique footprint of substrate scope. For example, TropB can tolerate an *n*-butyl ketone in place of the C1 aldehyde present in the native substrate (entries II and VIII–X), but a benzoyl substituent was not compatible (Supplementary Fig. 55). In contrast, SorbC exhibited the highest TTNs on ketone substrate (**20**) (entries VIII, X, XXVI and XXVIII–XXXIII). AzaH demonstrated the most flexibility in the carbonyl substituent, operating with TTNs exceeding 250 for a range of aldehyde and ketone substrates (entries I, IV, V, XI–XIII, XVII–XXI, XXVII and XXX–XXXIII).

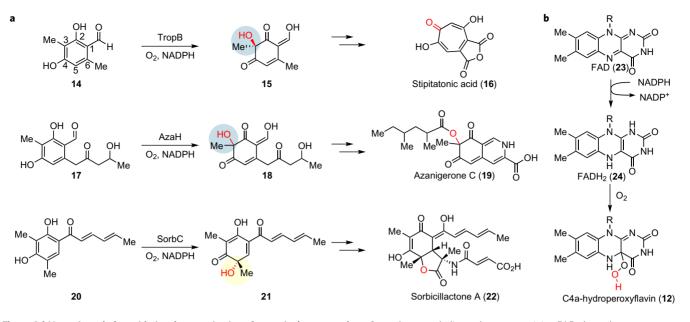


Figure 2 | Nature's tools for oxidative dearomatization of resorcinol compounds. a, Secondary metabolite pathways containing FAD-dependent monooxygenases that mediate the oxidative dearomatization of resorcinol substrates with orthogonal selectivities including the biosynthetic pathways to stipitatonic acid (16), azanigerone C (19) and sorbicillactone A (22). **b**, Generation of C4a-hydroperoxyflavin (12) from FAD (23) through NADPH reduction of FAD (23) to FADH₂ (24) and subsequent oxidation to 12.

Variation of the substitution pattern on the aryl ring was also tolerated. In the case of TropB, substrates lacking substituents at the C5 and C6 positions (entries III and VII) were converted to dearomatized products with TTNs approaching those observed with the native substrate, but AzaH and SorbC both required at least one substituent at either C5 or C6. The more sterically demanding biaryl and 6,7-bicyclic substrates were converted to dearomatized products by both TropB and AzaH (entries XI and VI).

These transformations are anticipated to proceed through nucleophilic attack of the electron-rich phenol substrate onto the hydroperoxyflavin cofactor (12), so we were interested in evaluating more electron-poor substrates with the three FAD-dependent monooxygenases. Interestingly, brominated substrates (entries IV and V) were dearomatized by TropB and AzaH. However, even the bromine-containing substrate that meets the steric preferences of SorbC showed no detectable conversion to oxidized product with SorbC, but was hydroxylated by AzaH (entry XXI). AzaH was the only monooxygenase, of the three tested, with the ability to perform an oxidative dearomatization on even less electron-rich substrates such as a nitroresorcinol compound (entry XIX) and monophenols (entries XX and XXII). These results prompted us to examine additional monophenol substrates (entries XXIII-XXV). AzaH catalysed the oxidative dearomatization of brominated monophenols with low turnover numbers. Although the origin of this reactivity difference between AzaH and the other two monooxygenases is unclear, these results highlight the advantage of our approach of concurrently examining similar enzymes, which has allowed for the rapid identification of a promising general biocatalyst for the asymmetric transformation of phenols into *ortho*-quinols.

As a first step towards evaluating the selectivity and scalability of this oxidative dearomatization, substrates with the highest TTNs were selected for milligram-scale reactions. Based on the robust expression of these monooxygenases (>100 mg protein per litre of *E. coli* culture), purified protein was used in these reactions. A nicotinamide adenine dinucleotide phosphate (NADPH) recycling system was used to reduce cofactor $cost^{25}$. As shown in Table 2, these conditions led to the efficient transformation of substrates to dearomatized products with conversions mirroring those observed for initial analytical-scale reactions. In some cases with excellent conversions of starting material to product, the isolated yields do not mirror these conversions. In these instances, the mass balance can be accounted for as the product dimer arising through [4+2] cycloaddition, which occurs upon concentration of the isolated product. Characterization of products from these reactions provided information on the site- and stereoselectivity of each enzyme. Operating on their natural substrates, both TropB and AzaH selectively hydroxylate the C3 position (15 and 36, Table 2). This site-selectivity is conserved across a panel of unnatural substrates (Table 2 top and middle, TropB and AzaH products). Even in cases where the substrate bears a substituent at C5, the preference for C3 hydroxylation is maintained (28 and 35, Table 2). In contrast, SorbC demonstrates orthogonal site-selectivity, exclusively hydroxylating at C5 across the library of phenols (Table 2 bottom, SorbC products).

With their native substrates, both TropB and SorbC deliver the expected product in >99% e.e. (Table 2). The configuration of the two stereocentres present in the native AzaH product 36 was previously unknown. Therefore, racemic 17 was synthesized and presented to AzaH. Both enantiomers of 17 were converted to azaphilone product 36 to afford a 1:1 mixture of diastereomers each formed in >99% e.e. Without knowledge of the absolute configuration at C3 of azaphilone products from the aza gene cluster, we compared the products of TropB and AzaH with a common substrate 14. Both enzymes gave rise to the same stereoisomer, providing evidence that AzaH installs a hydroxyl group to generate (R)-C3 products. Analysis of TropB and AzaH reactions with a range of unnatural substrates indicates that a high level of stereoselectivity is maintained with product e.e. values typically >99%. These results can be compared favourably to the state-of-the-art copperoxo-sparteine oxidant developed by Porco and co-workers, which, when used superstoichiometrically, delivers o-quinols from resorcinol precursors in up to 98% e.e. values⁴. SorbC displays altered facial selectivity producing (S)-C5 products (Table 2). Excellent stereoselectivity is observed in all cases examined, except when the C3 methyl group is replaced with an ethyl group, or the carbonyl substituent is shortened to a butyl chain, eroding the stereoselectivity to deliver 40 and 43 in 94% and 95% e.e., respectively. Notably,

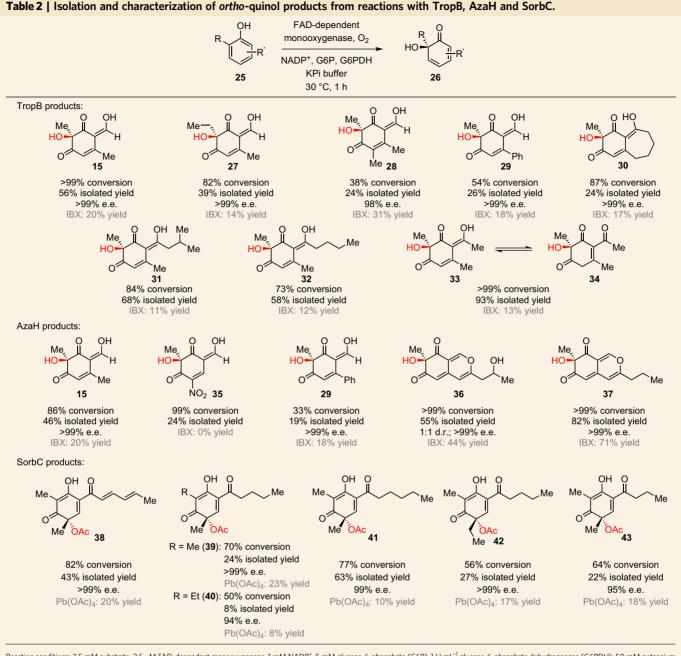
Substrate	Total turnover number			Substrate	Total turnover number		number	Substrate	Total turnover number		
	-	ropB AzaH			-	AzaH			-	AzaH	
	1,000	296	0	Me HO Me XII	377	385	371	OH O Me H Br XXIII	0	49	0
Me HO HO HO	1,000	0	0	HO Me HO Me Me Me XIII	432	261	0	Me KXIV	0	13	0
Me HO III	1,000	0	0	HO KIV HO KIV	793	0	0	Me Br XXV	0	28	0
OH O Me HO Br IV	1,000	1,000	0	Me HO XV	100	0	0	HO Me Me Me XXVI	0	16	68
HO HO Br V	1,000	534	0	HO Me XVI	402	0	0	Me HO Me XXVII	0	329	383
HO VI	976	78	0	HO XVII	0	725	0	OH O Me HO Me XXVIII	0	277	646
HO VII	934	0	0	HO XVIII	0	639	0	HO Me HO Me XXIX	0	93	816
OH O Me HO WIII	787	0	479	Me HO NO ₂	0	678	0	HO Me HO Me XXX	0	308	656
OH O Me HO IX	829	0	0	Me Me XX	0	632	0	Me HO Me XXXI	0	841	858
OH O Me Me HO Me X	669	0	331	HO Br XXI	0	284	0	Me HO Me XXXII	0	418	889
HO Ne HO XI	646	542	0	Me HO XXII	0	16	0	Me HO Me XXXIII	0	639	919

Table 1 | Promiscuity profile substrate scope of FAD-dependent monooxygenases TropB, AzaH and SorbC.

Values given are total turnover numbers (TTNs) of each enzyme/substrate pair. Complete conversion of substrate to dearomatized product: TTN = 1,000 (dark green). No observed conversion of starting material is represented by 0 (light grey). Reaction conditions: 2.5 mM substrate, 2.5 μ M FAD-dependent monoxygenase, 1 mM NADP⁺, 5 mM glucose-6-phosphate (G6P), 1 U ml⁻¹ glucose-6-phosphate dehydrogenase (G6PDH), 50 mM potassium phosphate buffer, pH 8.0, 30 °C, 1 h. TTN was assessed by quantifying the remaining substrate after 1 h by UPLC, where the area of the substrate peak was divided by the area of the internal standard and compared to a substrate standard curve prepared with the internal standard.

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Reaction conditions: 2.5 mM substrate, 2.5 mM FAD-dependent monooxygenase, 1 mM NADP⁺, 5 mM glucose-6-phosphate (G6P), 1 U mL⁻¹ glucose-6-phosphate dehydrogenase (G6PDH), 50 mM potassium phosphate buffer, pH 8.0, 30 °C, 1 h.

racemic 38 has been employed as a key intermediate in a number of natural product syntheses; however, no enantioselective method for accessing this class of o-quinols has been reported as yet. The stereochemical outcome of the biotransformation is probably controlled by the pose the substrate adopts in the enzyme active site, wherein a specific face of the substrate is presented to the hydroperoxyflavin cofactor (12).

In contrast, isolation of the racemic standards of these dearomatized products from traditional chemical methods employing IBX^{26} or Pb(OAc)₄ (ref. 27) proved challenging, often affording low yields of the desired product (listed in grey, Table 2), with the mass balance accounted for as isomers, quinol dimers arising through [4+2] cycloaddition, overoxidation and product decomposition pathways. Notably, a subset of the products generated enzymatically were not accessible using standard chemical methods, including nitroquinol **35** and **45**, thus precluding the determination of the enantioselectivity of the enzymatic transformation by comparison to racemic material. Additionally, products **31–33** were observed as a mixture of tautomers (see **33** and **34**) in a variety of solvents, which prohibited successful chiral separation and measurement of the enantiopurity of these compounds.

While enzymatic reactions are routinely carried out on submilligram quantities to characterize their biochemical function, the challenges associated with preparative-scale biocatalytic reactions can serve as a barrier to enzymes being embraced as tools for synthesis¹⁹. Complications with enzyme supply, labour-intensive protein purification, substrate solubility in aqueous buffer and cofactor expense can all be hurdles to preparative-scale biocatalysis. To move from milligram- to gram-scale reactions and beyond, we envisioned transitioning from *in vitro* reactions, conducted with purified protein, to a scalable platform that would be readily accessible to synthetic chemists. Toward this goal, a series of experiments using whole *E. coli*

freeze-dried cells.

	I	ОН О Ме	Whole cell TropB									
	l	но	NADP⁺, G6P, G6PDH KPi buffer									
		44	30 °C, 2 h	45								
	Entry	Cell preparat	ion Additive	% conversion								
	1	Wet	None	>99								
	2	Wet	None	>99*								
	3	Lyophilized	None	98								
	4	Lyophilized	10 wt% skim mi	lk 83								
	5	Lyophilized	10 wt% sucrose	>99								
	6	Lyophilized	PEG 4 × 10 ³	>99								

Table 3 | Whole cell TropB reactions with wet cells versus

Reaction conditions: 2.5 mM substrate, 10 mg wet cell mass per ml, 1 mM NADP⁺, 5 mM glucose-6-phosphate (G6P), 1U ml⁻¹ glucose-6-phosphate dehydrogenase (G6PDH), 50 mM potassium phosphate buffer, pH 8.0, 30 °C, 2 h. *Reaction on 1 g 44.

cells containing heterologously expressed protein in place of purified protein was undertaken. Whole cell reactions were sufficient for complete conversion of aldehyde 44 in 2 h (Table 3, entry 1). Additionally, gram-scale reactions on a given substrate could be carried with whole cells to give comparable conversions as observed on an analytical scale. To enhance the accessibility of this method to traditional synthetic laboratories, wet whole cells were freeze-dried and stored as a lyophilized powder, which could be weighed on the benchtop and directly used in reactions without suffering a significant loss in activity compared to experiments with wet whole cells (entries 3-6). A number of excipients commonly employed to aid in the long-term stabilization of proteins were screened²⁸. When lyophilized in the presence of 10 wt% sucrose or polyethylene glycol (PEG) 4×10^3 , full activity of the enzyme was retained and the freeze-dried catalyst could be stored in the freezer at -80 °C for at least six months without any impact on reactivity.

To rapidly build molecular complexity from enzymatically generated ortho-quinols, we sought to leverage the reactivity of these compounds in cascade reactions by performing subsequent in situ enzymatic or chemical transformations. We anticipated that various natural product scaffolds could be accessed in one pot using biocatalytic dearomatization-initiated cascades. Toward this goal, we explored the synthesis of the tropolone (48), azaphilone (51) and sorbicillinoid $(53)^{29}$ cores (Fig. 3). To access the tropolone natural product stipitatic aldehyde (48), TropB dearomatization of aldehyde 14 produced ortho-quinol 15. Next, 15 was further hydroxylated by an a-ketoglutarate-dependent non-haem iron enzyme, TropC, to afford 1,2-diol 46. Diol 46 readily underwent ring expansion in the presence of TropC to afford seven-membered ring 47, which tautomerized to afford the tropolone, stipitatic aldehyde (48). Additionally, the first asymmetric synthesis of azaphilone natural product 51³⁰ was achieved from methyl ketone 49. Initial AzaH-mediated dearomatization delivered enol 50, which spontaneously cyclized to the natural azaphilone 51, which could be isolated in 54% yield and >99% e.e. Finally, urea sorbicillinoid 53 was generated directly from ketone 20 in a cascade that commenced with SorbC oxidation to deliver sorbicillinol 21. The addition of bisacylated urea 52 led to facile [4+2] cycloaddition. Addition of LiOH to the reaction completed the first synthesis of urea sorbicillinoid natural product 53 in 21% yield over three steps.

In summary, the results disclosed herein demonstrate the potential that FAD-dependent monooxgenases offer for site- and stereoselective oxidative dearomatization. The structural diversity available from various natural product pathways has provided a robust platform for developing a suite of biocatalysts with orthogonal selectivity and complementary substrate scope. The catalytic

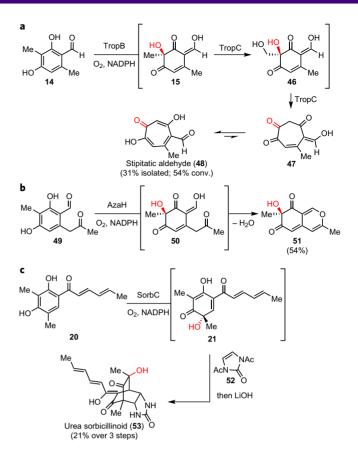


Figure 3 | One-pot cascades featuring biocatalytic oxidative dearomatization to access natural products. a, Stipitatic aldehyde (48) synthesis from aldehyde 14 through a two-enzyme cascade. b,c, Synthesis of natural azaphilone 51 from methyl ketone 49 (b) and the first synthesis of the natural product, urea sorbicillinoid 53, through a chemoenzymatic sequence initiated by the SorbC-catalysed oxidative dearomatization of 20 (c).

efficiency and exquisite stereoselectivity of these catalysts paired with the mild reaction conditions provide an excellent opportunity to apply these biocatalysts in complexity-generating chemoenzymatic and multi-enzyme reaction cascades. This work provides an initial data set that will fuel the engineering of these catalysts and exploration of alternative reaction pathways.

Methods

Analytical-scale reactions. Analytical-scale reactions were performed on a 50 μ l scale. Each reaction contained 25 μ l 100 mM potassium phosphate buffer, pH 8.0, 2.5 mM substrate (2.5 μ l of a 50 mM stock solution in DMSO), 2.5 μ M FAD-dependent monooxygenase, 5 mM G6P (0.5 μ l, 500 mM), 1 mM NADP⁺ (0.5 μ l, 100 mM), 1 U ml⁻¹ G6P-DH (0.5 μ l, 100 U ml⁻¹) and Milli-Q water to a final volume of 50 μ l. The reaction was carried out at 30 °C for 1 h and quenched by the addition of 75 μ l acetonitrile with 25 mM pentamethylbenzene as an internal standard. Precipitated biomolecules were pelleted by centrifugation (16,000g, 12 min). The supernatant was analysed by ultra-high performance liquid chromatography with diode array detection (UPLC-DAD) and conversion was obtained by comparison to calibration curves of the substrate.

Whole-cell preparative-scale reactions. Whole-cell enzymatic reactions were conducted on 1 g of substrate under the following conditions: 20 weight equivalents of wet cell pellet, 5 mM substrate, 10% (vol/vol) toluene, 0.1 mM NADP⁺, 0.1 U ml⁻¹ G6PDH and 10 mM G6P for NADPH regeneration in reaction buffer (50 mM potassium phosphate buffer, pH 8.0). The reaction mixture was added to a 1 l Erlenmeyer flask and incubated at 30 °C with shaking at 100 r.p.m. After 2 h, the reaction mixture was filtered through Celite, acidified to pH 2.0 and extracted with EtOAc (3×500 ml). The combined organic layers were dried over sodium sulfate, filtered and concentrated under reduced pressure. The resulting mixture was purified on silica gel (MeOH/AcOH/DCM, 1:1:10) to afford the *o*-quinol product.

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Data availability. Data supporting the findings of this study are available in the Supplementary Information or from the corresponding author upon request. The Supplementary Information file contains full details on the synthesis and characterization of compounds as well as the expression and purification of proteins employed in this work.

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Author contributions

S.A.B.D., A.L.L. and A.R.H.N. designed, carried out and analysed all experiments. S.A.B.D. and M.R.B. synthesized all compounds. S.A.B.D. and A.L.L. expressed and purified proteins. S.A.B.D. and A.R.H.N. wrote the manuscript, with input from all of the authors.

Additional information

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Competing financial interests

The authors declare no competing financial interests.

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