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COMMUNICATION

N-Heterocyclic Carbene (NHC) Catalyzed Atom Economical Construction of 2,3-Disubstituted Indoles

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A novel organocatalytic approach harnessing the unique reactivities of *N*-heterocyclic carbenes (NHCs) has been revealed for the construction of indoles. The NHC catalysed atom economic synthesis of a wide range of 2-substituted indole-3-acetic acid derivatives is displayed. Strategic application of the developed method was demonstrated for a short synthesis of cyclin-dependent kinase (CDK) inhibitor, paullone.

Historically, the construction of indole nucleus is one of the most sought after challenges that has received a great deal of attention from chemistry community over several decades.¹ There have been excellent methods developed for the construction of indole framework ranging from the classical Möhlau and Fischer indole syntheses to the modern Larock indole synthesis.² Many of these syntheses suffer from strong acidic/basic conditions, use of toxic metal catalysts, low yields, less stable or expensive starting materials, atom economy issues and generation of by-products. Considering these concerns, metal-free approaches utilizing readily available precursors for the construction of indoles are very much required and such routes would definitely complement the hitherto known strategies. Despite the concept of organocatalysis has marked the significant breakthroughs in the plethora of organic transformations,³ its application in the construction of indole nucleus has been very scarcely realized.

2-Substituted indole-3-acetic acid derivatives have gained considerable attention because they have shown a wide range of potential biological activity profiles (Figure 1).⁴ Though there have been several syntheses reported for these class of

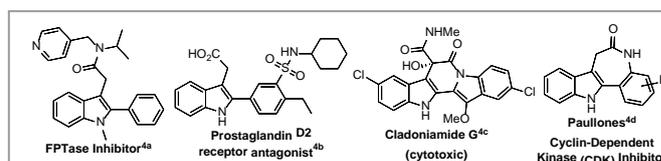
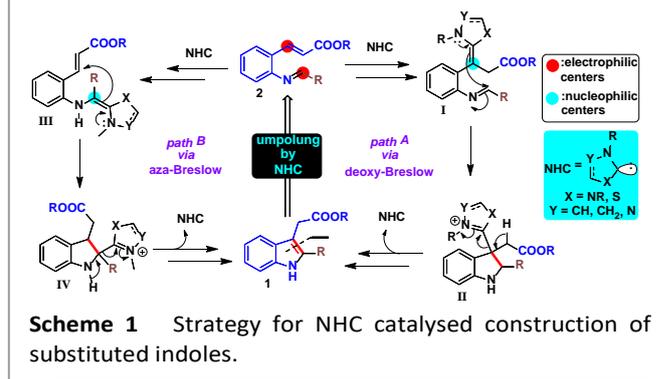


Fig 1 Biologically active 2-substituted indole-3-acetic acid derivatives.



Scheme 1 Strategy for NHC catalysed construction of substituted indoles.

compounds, the methods involve the use of unfriendly reagents, toxic metals and harsh reaction conditions.⁵ Recently, Opatz *et al.* and Lee *et al.* have reported sodium cyanide mediated and catalysed reactions, respectively.^{5i,j}

Organocatalytic approaches underpinning atom-economics would provide alternative route and complement the existing routes for the construction of these important entities. However, very few reports are available on the organocatalytic routes for indole construction in general⁶ and such routes are elusive for the synthesis of 2-substituted indole-3-acetic acid derivatives. For more than a decade, *N*-heterocyclic carbenes (NHCs) have emerged as powerful organocatalysts by their unique reactivities towards electrophiles to reverse their polarities known as umpolung.⁷

Sheidt and co-workers⁸ have developed the synthesis of 2-arylindoles by the addition of acyl anion equivalents, generated through NHC, to the transient aza-*ortho* quinone methides. We envisioned the synthesis of 2-substituted indole-3-acetic acid derivatives from *ortho*-imino cinnamate **2** using the disconnection approach as shown in the Scheme 1.

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We hypothesized that NHC can inverse the polarity of electrophilic centers of either β -carbon of the α,β -unsaturated ester (via deoxy-Breslow intermediate I)^{7d,9} or imine carbon (via aza-Breslow intermediate III)¹⁰ of the precursor **2** that would provide a nucleophilic center (through umpolung) and subsequently add on to the remaining electrophilic center. This course would eventually lead to cyclization-aromatization cascade to provide 2-substituted indole-3-acetic acid derivatives.

Accordingly, we have started our investigations using the precursor **2a** under NHC catalysis settings. Initially, we have performed the reaction of **2a** with the NHC precursors such as thiazolium (**A**), imidazolium (**B**) salts and a base like DBU (Table 1, entries 1-2). However, these reactions were not successful to provide the desired product. Much to our delight, triazolium NHC precatalyst **C** in the presence of DBU has furnished the desired product **1a** in high yield (Table 1, entry 3). It is interesting to note that while bicyclic triazolium NHC precatalyst **D** bearing *N*-mesityl group has afforded the product **1a** in good yield (Table 1, entry 4), triazolium NHC precatalyst **E** containing *N*-pentafluorophenyl group has failed to give the product (Table 1, entry 5). DBU was found to give better yields among the bases screened (Table 1, entries 3 and 6-7). It should be noted that this transformation did not work with either base or NHC alone.

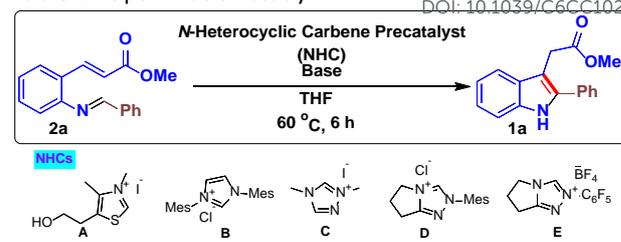
With the suitable NHC and base in hand, we turned to perform this transformation sequentially—starting from *ortho*-aminocinnamate **3a**. Accordingly, **3a** and benzaldehyde **4a** were reacted to give the imine **2a**. Subsequently, the crude **2a** was subjected to NHC catalysis conditions to obtain the product **1a** in 90% yield (Scheme 2). Later several bases, solvents and reaction conditions were screened for this sequential transformation (see supporting information for a detailed optimization study). It turned out that NHC precatalyst **C** in the presence of DBU in THF solvent stands out the best combination amongst the conditions tested.

We then studied the scope of this NHC-catalyzed transformation. Initially, the scope of the ester group on the acrylate part has been studied (Scheme 3). All the tested esters gave comparable yields of the corresponding indole derivatives **1a-c** while methyl ester stands out the best. This transformation was well tolerated with the cinnamide precursor as the corresponding 2-phenyl substituted indole-3-acetamide derivative **1d** was synthesised in 66% yield. *ortho*-Imino cinnamionitrile has also been converted to the corresponding 2-phenyl 3-indole acetonitrile derivative **1e** under the NHC catalysis settings. Later we turned our attention to rework on the aniline part—as expected we have isolated the differently substituted indoles **1f-h** in moderate to good yields. Next the generality of the benzaldehyde part has been tested using different electron withdrawing groups like NO₂, CN, CF₃—all gave high yields of the corresponding indole derivatives **1i-k**. Halogen substituted benzaldehydes have been used in this sequential transformation—*ortho*-imino cinnamates derived from *o*-, *m*- and *p*-substituted chloro

Table 1 Optimization study^a

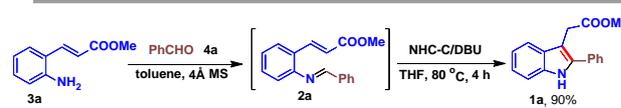
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Entry	NHC	Base	%yield of 1a ^b
1	A	DBU	—
2	B	DBU	—
3	C	DBU	85 (90)^c
4	D	DBU	82
5	E	DBU	—
6	C	TBD	65
7	C	Cs ₂ CO ₃	68

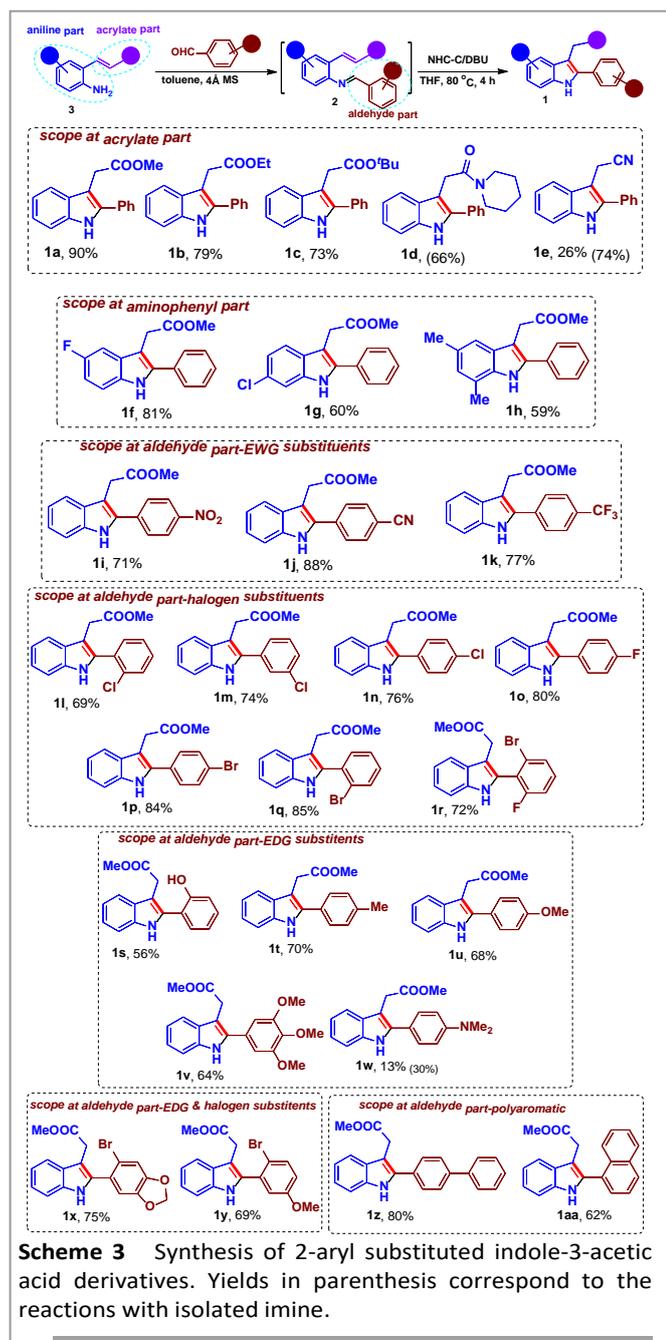
^aReaction conditions: **2a** (0.5 mmol), NHC (30 mol%), base (1.2 equiv), THF (4 mL); ^bYields are for isolated products; ^cReaction was performed at 80 °C for 4 h; Mes: 2,4,6-trimethylphenyl



Scheme 2 Sequential imine formation—NHC catalysed construction of methyl 2-(2-phenyl-1*H*-indol-3-yl)acetate **1a**; MS: Molecular Sieves

benzaldehydes have provided the corresponding indoles **1l-n** in very good yields under the NHC catalysis conditions. Indoles **1o-r** bearing fluoro and bromo substitutions on the 2-aryl group have also been synthesized in high yields. Comparatively, *ortho*-imino cinnamates primed from benzaldehydes having electron donating substituents have resulted in slightly lower yields of the corresponding 2-aryl-indol-3-acetic esters **1s-w**. *ortho*-imino cinnamates derived from multisubstituted benzaldehydes bearing halogen and electron donating groups furnished the corresponding substituted indole derivatives **1x-y** in 69-75% yields. Biphenyl-4-carboxaldehyde- and 1-naphthaldehyde-derived *ortho*-imino cinnamates have also been tested in this transformation to afford the corresponding indole derivatives **1z** and **1aa** in 80% and 62% yields, respectively.

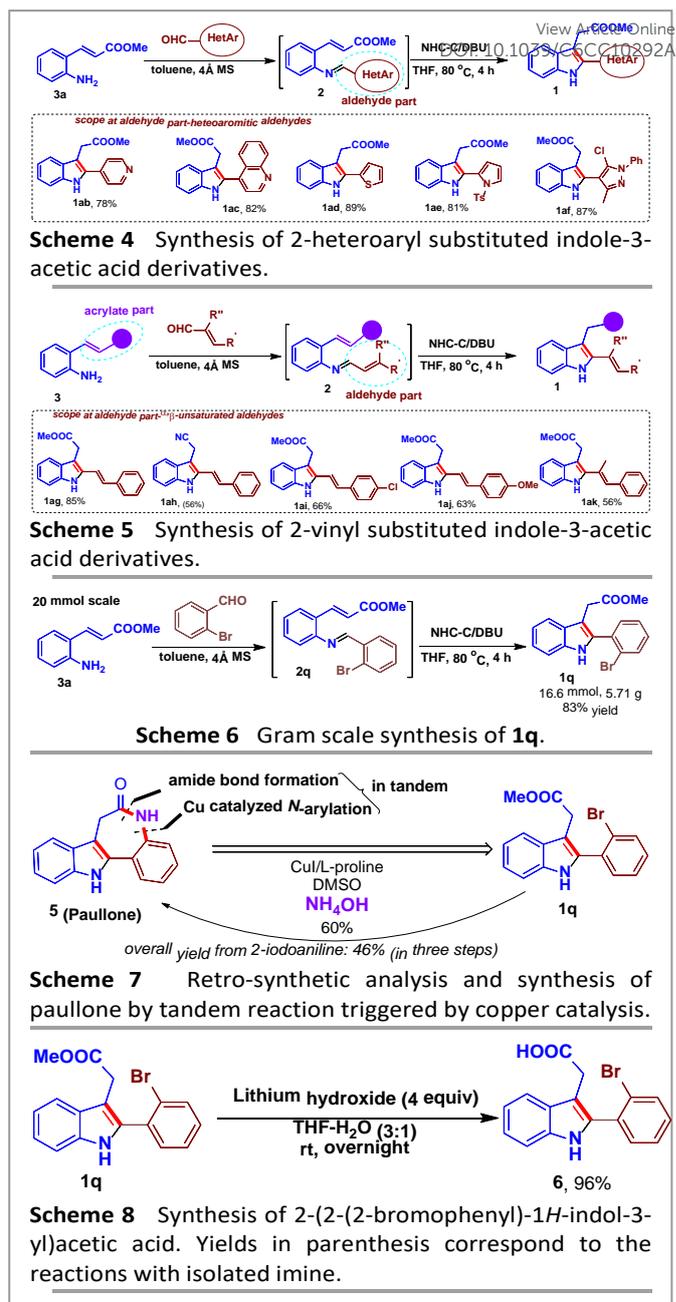
It is interesting to note that different heteroaromatic aldehydes including pyridyl, quinolinyl, thiophenyl, pyrrolyl and pyrazolyl aldehydes have proven to be successful to afford the corresponding variously substituted 2-heteroaryl indol-3-acetic esters **1ab-af** in very good yields (Scheme 4).



Further, this transformation is not limited to only aromatic aldehydes as α,β -unsaturated aldehydes have also furnished the corresponding 2-vinyl substituted indol-3-acetic esters **1ag-ak** in moderate to good yields (Scheme 5). With the aliphatic substituents like $(\text{CH}_2)_2\text{Ph}$ on the aldehyde part, the desired indole derivative was not obtained under the reaction conditions.

It is worth noting that the presented method has enabled to scale up the reaction to a gram scale for the synthesis of methyl 2-(2-(2-bromophenyl)-1*H*-indol-3-yl)acetate **1q** while maintaining the high yield (Scheme 6).

The synthetic utility of the present transformation has been demonstrated with the synthesis of a cyclin-dependent kinase (CDK) inhibitor, paullone.^{4d} In continuation of our interest in the development of copper catalyzed tandem reactions,¹¹ we



became interested to exploit the strategy to the synthesis of paullone. It is worth mentioning that a tandem copper catalyzed *N*-arylation followed by amidation of the methyl 2-(2-(2-bromophenyl)-1*H*-indol-3-yl)acetate **1q** gave the desired paullone **5** in a single step (Scheme 7). The overall yield for the synthesis of paullone is 46% starting from 2-iodoaniline in three simple steps. It should be mentioned that while the same has been synthesized in 12% overall yield starting from 2-iodoaniline in eight steps besides the use of special precursors.^{5e}

We have also synthesized the indole-3-acetic acid **6** of methyl 2-(2-(2-bromophenyl)-1*H*-indol-3-yl)acetate by simple hydrolysis (Scheme 8).

In conclusion, an NHC catalyzed novel approach has been established for the construction of variously substituted 2-aryl/heteroaryl/vinyl indole-3-acetic acid derivatives. Gram

scale synthesis of a representative example, methyl 2-(2-(2-bromophenyl)-1*H*-indol-3-yl)acetate has been presented. A short two-step synthesis of cyclin-dependent kinase (CDK) inhibitor, paullone has been accomplished from *N*-heterocyclic carbene catalysed reaction of methyl (*E*)-3-(2-(((*E*)-2-bromobenzylidene)amino)phenyl)acrylate followed by copper catalysed *N*-arylation-amidation. Efforts are underway to investigate the mechanism of the present NHC catalysed indole construction. Further studies are in progress on exploring the utilization of the presented concept for suitable organic synthesis.

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