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#### Article

# Long Chain Alkyl Esters of Hydroxycinnamic Acids as Promising Anticancer Agents: Selective Induction of Apoptosis in Cancer Cells

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1	Long Chain Alkyl Esters of Hydroxycinnamic Acids as Promising Anticancer
2	Agents: Selective Induction of Apoptosis in Cancer Cells
3	Short title- Hydroxycinnamate alkyl esters induce apoptosis in cancer cells
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#### Abstract

41 Cancer is the major cause of morbidity and mortality worldwide. Hydroxycinnamic acids 42 (HCAs) are naturally-occurring compounds and their alkyl esters may possess enhanced 43 biological activities. We evaluated C4, C14, C16 and C18 alkyl esters of p-coumaric, ferulic, 44 sinapic and caffeic acids (19 compounds) for their cytotoxic activity against four human cancer 45 cells and also examined their effect on cell cycle alteration and apoptosis induction. The 46 tetradecyl (1c) and hexadecyl (1d) esters of p-coumaric acid and tetradecyl ester of caffeic acid 47 (4c), but not the parental HCAs, were selectively effective against MOLT-4 (human lymphoblastic leukemia) cells with IC<sub>50</sub> values of 0.123  $\pm$  0.012, 0.301  $\pm$  0.069 and 1.0  $\pm$  0.1 48 49  $\mu$ M, respectively. Compounds 1c, 1d, and 4c significantly increased apoptotic cells in sub-G1 50 phase and activated the caspase-3 enzyme in MOLT-4 cells. Compound 1c was 15.4 and 23.6 51 times more potent than doxorubicin and cisplatin, respectively, against the drug resistant MES-52 SA-DX5 uterine sarcoma cells. These p-coumarate esters were several times less effective 53 against NIH/3T3 fibroblast cells. Docking studies showed that 1c may cause cytotoxicity by 54 interaction with carbonic anhydrase IX. In conclusion, long chain alkyl esters of p-coumaric acid 55 are promising scaffolds for selective apoptosis induction in cancer cells.

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Keywords: Cancer cell, cytotoxic activity, hydroxycinnamic acids, apoptosis, caspase-3

57	Abbreviations
58	
59	Hydroxycinnamic acids (HCAs)
60	<i>p</i> -Coumaric acid ( <i>p</i> -CA)
61	Ferulic acid (FA)
62	Sinapic acid (SA)
63	Caffeic acid (CA)
64	Carbonic anhydrase IX (CA-IX)
65	3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT)
66	Fetal bovine serum (FBS)
67	Phosphate buffered saline (PBS)
68	Dimethyl sulfoxide (DMSO)
69	Propidium Iodide (PI)
70	Poly(vinylidenedifluoride) (PVDF)
71	5-(1-(4-methylphenyl)-1H-1,2,3-triazol-4-yl)thiophene-2-sulfonamide (MPTTS)
72	Lamarckian Genetic Algorithm (LGA)
73	Root-Mean-Square Deviation (RMSD)
74	Hypoxia inducible factor-1α (HIF-1α)

#### 76 **1. Introduction**

77

Cancer is the major cause of morbidity and mortality worldwide. Different types of cancer have caused 8.2 million deaths in 2012 and this number is escalating to 13.1 million in  $2030^{1}$ . Chemotherapy is the main treatment modality available to cancer patients. However, the resistance of cancer cells to chemotherapeutic agents and their side effects limits the use of these agents and therefore have constantly encouraged the discovery of novel antitumoral agents with better safety and efficacy profiles<sup>2-3</sup>.

84 Hydroxycinnamic acids (HCA), or phenylpropanoids, including *p*-coumaric acid (1a), 85 ferulic acid (2a), sinapic acid (3a) and caffeic acid (4a) (Table 1), are phenolic compounds naturally found in fruits and vegetables<sup>4-6</sup>. Hexadecyl (1d) and octadecyl (1e) esters of *p*-CA 86 have been found in sweet potato<sup>7</sup>. Similarly, long chain alkyl esters of FA were identified in 87 roots of *Ipomoea batatas*<sup>8</sup>, while octadecyl caffeate (4e) and coumarate (1e) are both present in 88 89 the root surface of sweet potato<sup>9</sup>. HCAs and their ester, amide, and glycoside derivatives have also shown various biological activities<sup>5, 10-13</sup>. In particular, anticancer effect of these natural 90 phenolic compounds has been studied by several investigators<sup>14-18</sup>. 91

One of the limiting factors for the pharmacological use of HCAs is their hydrophilicity, which hinders their efficiency to interact with cell membranes and subsequently lowers their efficacy<sup>11-12</sup>. Esterification can significantly increase the partition coefficient and lipophilicity and this may improve the compound's ability in crossing the membranes and lead to its higher pharmacological effectiveness. Previous reports from our and others' laboratories have also dealt with the effect of esterification on biological activities of HCAs. In this regard, different alkyl or aryl esters of HCAs have demonstrated enhanced *in vitro* antioxidant, neuroprotective and anti99 cancer potential compared to their parental compounds<sup>12-13, 16-23</sup>. The anticancer effects of FA 100 and CA have been shown in several reports<sup>17, 21, 24-25</sup>; however, less attention has been focused on 101 *p*-CA and its esters.

102 In our previous study, long chain alkyl esters of HCAs were synthesized and their antioxidant activity was assessed<sup>13</sup>. Following our ongoing program of the study of biological 103 activities of HCAs<sup>26</sup> and in order to obtain new insights on their mechanism of action as 104 105 anticancer agents, herein we evaluated the cytotoxic potential of long-chain alkyl esters of HCAs 106 (1b-1e, 2b-2d, 3b-3e and 4b-4e) against four different human cancer and one non-cancer cell 107 lines. Their cytotoxic effects were investigated on cell cycle progression and apoptosis using 108 flow cytometry and Western blotting, respectively. A molecular docking study was also 109 performed for evaluating the anticancer potential of these HCAs as inhibitors of carbonic 110 anhydrase IX (CA-IX).

# 2. Materials and Methods

113

114 *2.1. Chemicals* 

115

MTT (3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) was purchased from Sigma-Aldrich (Saint Louis, MO, USA) and penicillin/streptomycin were purchased from Invitrogen (San Diego, CA, USA). Fetal bovine serum (FBS), phosphate buffered saline (PBS) and trypsin were purchased from Biosera (Ringmer, UK). Roswell Park Memorial Institute (RPMI) 1640 medium was from PAA (Austria). Doxorubicin was purchased from EBEWE Pharma (Unterach, Austria) and cisplatin from Mylan (Athens, Greece). Dimethyl sulfoxide (DMSO) and isopropanol were from Merck (Darmstadt, Germany).

123 The other chemical reagents and solvents utilized for synthesis were purchased from 124 Sigma-Aldrich Química S.A. and Merck (Sintra, Portugal). Thin layer chromatography (TLC) 125 was performed on silica gel 60 F254 precoated on aluminum plates acquired from Merck 126 (Darmstadt, Germany) and spots were visualized under a UV lamp at 254 nm.

<sup>1</sup>H, <sup>13</sup>C NMR, and DEPT135 data were recorded at room temperature on a Brüker AMX 127 128 300 spectrometer at 400 and 100 MHz, respectively. Mass spectra were obtained on a VG 129 AutoSpec and microTOF (focus) mass spectrometer. A Biotage Initiator Microwave Synthesizer 130 was used for synthesis. The purity of the final products (>97% purity) was verified by high-131 performance liquid chromatography (HPLC) equipped with a UV detector. Chromatograms were 132 obtained in an HPLC/DAD system, a Jasco instrument (pumps model 880-PU and solvent 133 mixing model 880-30, Tokyo, Japan), equipped with a commercially prepacked Nucleosil RP-18 134 analytical column (250 mm x 4.6 mm, 5 µm, Macherey-Nagel, Duren, Germany), and UV

135	detection (Jasco model 875-UV) at the maximum wavelength of 254 nm. The mobile phase
136	consisted of a methanol/water or acetonitrile/water (gradient mode, room temperature) at a flow
137	rate of 1 mL/min. The chromatographic data was processed in a Compaq computer, fitted with
138	CSW 1.7 software (DataApex, Czech Republic).
139	
140	2.2. Synthesis
141	
142	2.2.1. Synthesis of butyl cinnamates (1b, 2b, 3b, and 4b)
143	The butyl cinnamates were obtained by the following general procedure: The appropriate
144	cinnamic acid (0.5 g), n-butyl alcohol (15 mmol) and 2 drops of concentrated sulphuric acid
145	were mixed in a glass vial (5 mL) and sealed. The temperature of the reaction in the MW reactor
146	was maintained at 20 °C higher than the boiling point of butanol for 5 min. After the reaction the
147	mixture was cooled to room temperature, and the crude product was extracted with ethyl acetate.
148	The organic phase was washed with water, dried and the solvent evaporated. The residue was
149	purified using silica gel flash chromatography and dichloromethane or ethyl acetate as eluent.
150	
151	2.2.2. Synthesis of tetradecyl, hexadecyl, and octadecyl HCAs-
152	The long chain alkyl cinnamates were obtained by a two-step procedure: Step 1:
153	Meldrum's acid and the appropriate alcohol in equimolar quantities were refluxed in dry toluene
154	(5 mL) for 4 h. After the reaction mixture had cooled the crude product was extracted with
155	diethyl ether. The ether phases were combined dried and then evaporated. These half esters of
156	malonic acid were used in the next reaction without further purification. Step 2: The respective
157	half ester of malonic acid and the appropriate benzaldehyde derivative in equimolar quantities

158 were added to cyclohexane (3-5 mL). Anhydrous pyridine (1 equiv.) and aniline (1.6 equiv.)
159 were then, added to the mixture and it was refluxed for 6-10 h. After neutralization (pH-7), the
160 crude products were extracted with diethyl ether. The organic phases were combined, dried and
161 the solvent was evaporated. The residues were purified by flash chromatography using petroleum
162 ether/ ethyl acetate mixtures. The short and long chain alkyl HCAs (1b-1e, 2b-2d, 3b-3e and 4b163 4e) structural assignments were in agreement with the previously reported data<sup>12-13, 27-29</sup>.

164 (*E*)-Butyl-3-(4-hydroxyphenyl)propenoate **1b**; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>): δ 0.85 (t, 3H, J =165 6.8 Hz, CH<sub>3</sub>), 1.19 (m, 2H, CH<sub>2</sub>), 1.47 (m, 2H, CH<sub>2</sub>), 3.50 (t, 2H, J = 6.6 Hz, CH<sub>2</sub>), 6.31 (d, 1H, 166 J = 16.0 Hz, H-α), 6.65 (d, 2H, J = 8.7 Hz, H-3, 5), 7.50 (m, 3H, H-2, 6, β), 9.43 (s, 1H, OH); 167 <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>) δ 14.4 (CH<sub>3</sub>), 18.9 (CH<sub>2</sub>), 31.7 (CH<sub>2</sub>), 64.2 (CH<sub>2</sub>), 114.7 (C-α), 116.2 (C-168 3, 5), 125.6, 130.7 (C-2, 6), 145.0 (C-β), 160.3 (C-4), 167.1 (CO).

(*E*)-Tetradecyl-3-(4-hydroxyphenyl)propenoate 1c<sup>13, 28</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.88 (t, 3H, *J* = 6.6 Hz, H-14'), 1.26 (bs, 22H, H-3'-13'), 1.69 (m, 2H, H-2'), 4.19 (t, 2H, *J* = 6.6 Hz, H-1'), 5.81 (bs, 1H, OH), 6.30 (d, 1H, *J* = 15.9 Hz, H-α), 6.85 (d, 2H, *J* = 8.7 Hz, H-3, 5), 7.43 (d, 2H, *J* = 8.4 Hz, H-2, 6), 7.63 (d, 1H, *J* = 15.9 Hz, H-β). <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 14.1 (C-14'), 22.7 (C-13'), 26.0 (C-3'), 28.7 (C-2'), 29.27 (C-4'), 29.33 (C-11'), 29.6 (C-5'-10'), 31.9 (C-12'), 64.8 (C-1'), 115.5 (C-α), 115.9 (C-3, 5), 127.1 (C-1), 129.9 (C-2, 6), 144.5 (C-β), 157.9 (C-4), 167.9 (CO); HRESIMS: *m/z* 383.2554 (M + Na)<sup>+</sup>; Calcd for C<sub>23</sub>H<sub>36</sub>O<sub>3</sub>Na<sup>+</sup>: 383.2557.

176 (*E*)-Hexadecyl-3-(4-hydroxyphenyl)propenoate  $1d^{28}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.88 (t, 3H, *J* 177 = 6.6 Hz, H-16'), 1.1-1.8 (m, 28H, H-2'-15'), 4.19 (t, 2H, *J* = 6.6 Hz, H-1'), 5.70 (bs, 1H, OH), 178 6.30 (d, 1H, *J* = 15.9 Hz, H- $\alpha$ ), 6.85 (d, 2H, *J* = 8.6 Hz, H-3, 5), 7.42 (d, 2H, *J* = 8.5 Hz, H-2, 6), 179 7.62 (d, 1H, *J* = 15.9 Hz, H- $\beta$ ).

(E)-Octadecyl-3-(4-hydroxyphenyl)propenoate  $1e^{28}$ ; <sup>1</sup>H NMR (CDC1<sub>3</sub>): 0.88 (t, 3H, J = 180 181 6.7 Hz, H-18'), 1.25-1.71 (m, 32H, H-2'-16'), 4.18 (t, 2H, J = 6.7 Hz, H-1'), 5.16 (s, 1H, OH), 182  $6.30 (d, 1H, J = 15.9 Hz, H-\alpha), 6.84 (d, 2H, J = 8.7 Hz, H-3, 5), 7.44 (d, 2H, J = 8.6 Hz, H-2, 6),$ 183 7.62 (d, 1H, J = 15.9 Hz, H- $\beta$ ). (E)-Butyl-3-(4-hydroxy-3-methoxyphenyl)propenoate  $2b^{12}$ : <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.97 (t. 184 185  $3H, J = 7.4 Hz, CH_3$ , 1.39-1.49 (m, 2H, CH<sub>2</sub>), 1.65-1.72 (m, 2H, CH<sub>2</sub>), 3.92 (s, 3H, OCH<sub>3</sub>), 4.20 (t, 2H, J = 6.7 Hz, CH<sub>2</sub>), 5.97 (s, 1H, OH), 6.29 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.92 (d, 1H, J = 8.1186 187 Hz, H-5), 7.03 (d, 1H, J = 1.8 Hz, H-2), 7.07 (dd, 1H, J = 8.1, 1.8 Hz, H-6), 7.61 (d, 1H, J = 15.9 Hz, H-β); <sup>13</sup>C NMR (DMSO-d<sub>6</sub>): δ 14.7 (CH<sub>3</sub>), 20.1 (CH<sub>2</sub>), 31.7 (CH<sub>2</sub>), 56.8 (OCH<sub>3</sub>), 65.2 188 189 (CH<sub>2</sub>), 110.2 (C-5), 115.6 (C-2), 116.5 (C-α), 123.9 (C-6), 127.9 (C-1), 145.6 (C-β), 147.7 (C-4), 190 158.1 (C-3), 168.3 (CO). (E)-Tetradecyl-3-(4-hydroxy-3-methoxyphenyl)propenoate  $2c^{13}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$ 191

191 (*E*)-retradecyi-3-(4-hydroxy-3-methoxyphenyi)propendate 2*c*<sup>-</sup>, H<sup>-</sup> NMK (CDCl<sub>3</sub>). 6 192 0.87 (t, 3H, J = 6.6 Hz, H-14'), 1.25 (bs, 22H, H-3'-13'), 1.68 (m, 2H, H-2'), 3.93 (s, 3H, OCH<sub>3</sub>), 193 4.18 (t, 2H, J = 6.7 Hz, H-1'), 5.87 (bs, 1H, OH), 6.29 (d, 1H, J = 15.9 Hz, H-α), 6.91 (d, 1H, J =194 8.1 Hz, H-5), 7.03 (s, 1H, H-2), 7.07 (dd, 1H, J = 8.1, 1.8 Hz, H-6), 7.60 (d, 1H, J = 15.9 Hz, H-195 β).

196 (*E*)-Octadecyl-3-(4-hydroxy-3-methoxyphenyl)propenoate  $2e^{13}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$ 197 0.87 (t, 3H, J = 6.6 Hz, H-18'), 1.25 (bs, 30H, H-3'-17'), 1.68 (m, 2H, H-2'), 3.93 (s, 3H, OCH<sub>3</sub>), 198 4.19 (t, 2H, J = 6.8 Hz, H-1'), 5.86 (bs, 1H, OH), 6.30 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.90 (d, 1H, J =199 8.1 Hz, H-5), 7.04 (s, 1H, H-2), 7.07 (dd, 1H, J = 8.1, 1.8 Hz, H-6), 7.60 (d, 1H, J = 15.9 Hz, H-200  $\beta$ ).

201 (*E*)-Butyl-3-(3,5-dimethoxy-4-hydroxyphenyl)propenoate  $3b^{27}$ ; <sup>1</sup>HNMR (DMSO- $d_6$ ):  $\delta$ 202 0.91 (t, 3H, J = 7.3 Hz, CH<sub>3</sub>), 1.33-1.42 (m, 2H, CH<sub>2</sub>), 1.56-1.64 (m, 2H, CH<sub>2</sub>), 3.80 (s, 6H, 2 ×

203 OCH<sub>3</sub>), 4.12 (t, 2H, J = 6.4 Hz, CH<sub>2</sub>), 6.53 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 7.03 (s, 2H, H-2, 6), 7.55 (d, 1H, J = 15.9 Hz, H- $\beta$ ), 8.94 (s, 1H, OH); <sup>13</sup>C NMR (DMSO- $d_6$ ):  $\delta$  13.6 (CH<sub>3</sub>), 18.8 (CH<sub>2</sub>), 204 205  $30.4 (CH_2), 56.1 (2 \times OCH_3), 63.4 (CH_2), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 115.0 (C-\alpha), 124.4 (C-1), 138.3 (C-4), 106.2 (C-2, 6), 106$ 206 145.3 (C-β), 148.0 (C-3, 5), 166.7 (CO); EI-MS, 280 (88,M<sup>•+</sup>). (E)-Tetradecvl-3-(3.5-dimethoxy-4-hydroxyphenyl)propenoate  $3c^{13}$ : <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$ 207 208 0.87 (t, 3H, J = 6.6 Hz, H-14'), 1.25 (bs, 22H, H-3'-13'), 1.69 (m, 2H, H-2'), 3.91 (s, 6H, 2 × OCH<sub>3</sub>), 4.18 (t, 2H, J = 6.6 Hz, H-1'), 5.81 (s, 1H, OH), 6.30 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.77 (s, 209 2H, H-2, 6), 7.58 (d, 1H, J = 15.9 Hz, H- $\beta$ ); <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  14.1 (C-14'), 22.7 (C-13'), 26.0 210 211 (C-3'), 28.8 (C-2'), 29.29 (C-4'), 29.33 (C-11'), 29.6 (C-5'-10'), 31.9 (C-12'), 56.3  $(2 \times OCH_3)$ , 212 64.6 (C-1'), 105.1 (C-2, 6), 116.1 (C-α), 126.0 (C-1), 137.1 (C-4), 144.8 (C-β), 147.2 (C-3, 5), 167.21 (CO); HRESIMS: m/z 443.2762 (M + Na)<sup>+</sup>; Calcd for C<sub>25</sub>H<sub>40</sub>O<sub>5</sub>Na<sup>+</sup>; 443.2768. 213

(*E*)-Hexadecyl-3-(3,5-dimethoxy-4-hydroxyphenyl)propenoate  $3d^{13}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$ 214 215 0.87 (t, 3H, J = 6.6 Hz, H-16'), 1.25 (bs, 26H, H-3'-15'), 1.69 (m, 2H, H-2'), 3.91 (s, 6H, OCH<sub>3</sub> × 216 2), 4.18 (t, 2H, J = 6.6 Hz, H-1'), 5.80 (s, 1H, OH), 6.30 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.77 (s, 2H, H-2, 6), 7.58 (d, 1H, J = 15.9 Hz, H- $\beta$ ); <sup>13</sup>C NMR (CDCl<sub>3</sub>);  $\delta$  14.1 (C-16'), 22.7 (C-15'), 26.0 (C-217 218 3'), 28.8 (C-2'), 29.3 (C-4'), 29.33 (C-13'), 29.7 (C-5'-12'), 31.9 (C-14'), 56.3 (OCH<sub>3</sub> × 2), 64.6 219 (C-1'), 105.1 (C-2, 6), 116.1 (C-α), 126.0 (C-1), 137.1 (C-4), 144.78 (C-β), 147.2 (C-3, 5), 167.2 220 (CO). HRESIMS: m/z 471.3078 (M + Na)<sup>+</sup>; Calcd for C<sub>27</sub>H<sub>44</sub>O<sub>5</sub>Na<sup>+</sup>: 471.3081. (E)-Octadecvl-3-(3.5-dimethoxy-4-hydroxyphenyl)propenoate  $3e^{13, 28}$ : <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$ 221 222 0.87 (t, 3H, J = 6.6 Hz, H-18'), 1.25 (bs, 30H, H-3'-17'), 1.70 (m, 2H, H-2'), 3.92 (s, 6H, 2 × 223 OCH<sub>3</sub>), 4.19 (t, 2H, J = 6.6 Hz, H-1'), 5.78 (s, 1H, OH), 6.30 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.77 (s,

224 2H, H-2, 6), 7.59 (d, 1H, J = 15.9 Hz, H-β); <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 14.1 (C-18'), 22.7 (C-17'), 26.0

225 (C-3'), 28.8 (C-2'), 29.3 (C-4'), 29.33 (C-15'), 29.7 (C-5'-14'), 31.9 (C-16'), 56.3 (2 × OCH<sub>3</sub>), 64.6

226 (C-1'), 105.1 (C-2, 6), 116.1 (C-α), 126.0 (C-1), 137.1 (C-4), 144.8 (C-β), 147.2 (C-3, 5), 167.24 (CO); HRESIMS: m/z 499.3398 (M + Na)<sup>+</sup>; Calcd for C<sub>29</sub>H<sub>48</sub>O<sub>5</sub>Na<sup>+</sup>: 499.3394. 227 (E)-Butyl-3-(3,4-dihydroxyphenyl)propenoate 4b<sup>12</sup>; <sup>1</sup>H NMR (DMSO-d<sub>6</sub>): δ 0.90 (t, 3H, 228 229 J = 7.5 Hz, CH<sub>3</sub>), 1.32-1.42 (m, 2H, CH<sub>2</sub>), 1.56-1.65 (m, 2H, CH<sub>2</sub>), 4.11 (t, 2H, J = 6.6 Hz, CH<sub>2</sub>),  $6.27 (d, 1H, J = 15.9 Hz, H-\alpha), 6.76 (d, 1H, J = 8.1 Hz, H-5), 7.00 (dd, 1H, J = 8.1, 2.0 Hz, H-6),$ 230 7.05 (d, 1H, J = 2.0 Hz, H-2), 7.46 (1H, d, J = 15.9 Hz, H- $\beta$ ), 9.60 (2H, s, 3, 4-OH); <sup>13</sup>C NMR 231 232 (DMSO-d<sub>6</sub>):  $\delta$  23.3 (CH<sub>3</sub>), 28.4 (CH<sub>2</sub>), 40.0 (CH<sub>2</sub>), 73.2 (CH<sub>2</sub>), 123.8 (C-5), 124.3 (C-2), 125.5 233 (C-α), 131.2 (C-6), 135.2 (C-1), 154.7 (C-β), 155.3 (C-OH), 158.1 (C-OH), 176.5 (CO). (E)-Tetradecyl-3-(3,4-dihydroxyphenyl)propenoate  $4c^{13, 29}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.88 (t, 234 235 3H, J = 6.6 Hz, H-14', 1.26 (bs, 22H, H-3'-13'), 1.67 (m, 2H, H-2'), 4.18 (t, 2H, J = 6.7 Hz, H-14') 236 1'), 5.65 (s, 1H, OH), 5.66 (s, 1H, OH), 6.27 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.87 (d, 1H, J = 8.1 Hz, H-5), 7.02 (dd, 1H, J = 8.1, 1.9 Hz, H-6), 7.09 (d, 1H, J = 1.8 Hz, H-2), 7.57 (d, 1H, J = 15.9 Hz, 237 238 H-β); <sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 14.0 (C-14'), 22.6 (C-13'), 25.9 (C-3'), 28.4 (C-2'), 29.2 (C-4', 11'), 239 29.6 (C-5'-10'), 31.8 (C-12'), 64.7 (C-1'), 114.3 (C-α), 115.4 (C-5), 115.9 (C-2), 122.3 (C-6), 240 127.7 (C-1), 143.6 (C-3), 144.4 (C-β), 146.0 (C-4), 167.5 (CO); HRESIMS: *m/z* 399.2508 (M + Na)<sup>+</sup>; Calcd for  $C_{23}H_{36}O_4Na^+$ : 399.2506. 241 (E)-Hexadecyl-3-(3,4-dihydroxyphenyl)propenoate  $4d^{13, 29}$ ; <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  0.87 (t, 3H, 242 J = 6.6 Hz, H-16'), 1.25 (bs, 26H, H-3'-15'), 1.67 (m, 2H, H-2'), 4.18 (t, 2H, J = 6.7 Hz, H-1'), 243 244 5.69 (bs, 2H, 2 × OH), 6.27 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.87 (d, 1H, J = 8.1 Hz, H-5), 7.02 (dd, 245 1H, J = 8.1, 1.8 Hz, H-6), 7.09 (d, 1H, J = 1.8 Hz, H-2), 7.57 (d, 1H, J = 15.9 Hz, H- $\beta$ ); <sup>13</sup>C

246 NMR (DMSO-*d*<sub>6</sub>): δ 13.4 (C-16'), 21.6 (C-15'), 24.9 (C-3'), 27.7 (C-2'), 28.1 (C-4', 13'), 28.5 (C-

247 5'-12'), 30.8 (C-14'), 63.2 (C-1'), 113.5 (C-α), 114.3 (C-5), 115.2 (C-2), 120.8 (C-6), 125.0 (C-1),

248 144.5 (C-3), 145.1 (C-β), 147.9 (C-4), 166.12 (CO); HRESIMS: *m/z* 427.2815 (M + Na)<sup>+</sup>; Calcd
249 for C<sub>25</sub>H<sub>40</sub>O<sub>4</sub>Na<sup>+</sup>: 427.2819.

(E)-Octadecyl-3-(3,4-dihydroxyphenyl)propenoate  $4e^{13, 29}$ ; <sup>1</sup>H NMR (DMSO- $d_6$ ):  $\delta$  0.84 250 251 (t, 3H, J = 6.6 Hz, H-18'), 1.22 (bs, 30H, H-3'-17'), 1.61 (m, 2H, H-2'), 4.09 (t, 2H, J = 6.5 Hz, 252 H-1'), 6.25 (d, 1H, J = 15.9 Hz, H- $\alpha$ ), 6.75 (d, 1H, J = 8.1 Hz, H-5), 7.0 (dd, 1H, J = 8.1, 1.8 Hz, 253 H-6), 7.04 (d, 1H, J = 1.8 Hz, H-2), 7.45 (d, 1H, J = 15.9 Hz, H- $\beta$ ), 9.17 (s, 1H, OH), 9.61 (s, 254 1H, OH); <sup>13</sup>C NMR (DMSO-*d*<sub>6</sub>): δ 13.4 (C-18'), 21.6 (C-17'), 24.9 (C-3'), 27.8 (C-2'), 28.2 (C-4', 255 15'), 28.5 (C-5'-14'), 30.8 (C-16'), 63.2 (C-1'), 113.5 (C- $\alpha$ ), 114.2 (C-5), 115.2 (C-2), 120.8 (C-256 6), 125.0 (C-1), 144.5 (C-β), 145.1 (C-3), 147.9 (C-4), 166.1 (CO); HRESIMS: m/z 455.3135 (M  $+ Na)^{+}$ ; Calcd for C<sub>27</sub>H<sub>44</sub>O<sub>4</sub>Na<sup>+</sup>: 455.3132. 257

258

259 2.3.*Cell lines* 

260 HL-60 (human promyelocytic leukemia), MCF-7 (human breast adenocarcinoma), 261 MOLT-4 (human acute lymphoblastic leukemia) and NIH/3T3 (mouse embryonic fibroblast) 262 cells were obtained from the National Cell Bank of Iran at Pasteur Institute, Tehran, Iran, MES-263 SA/DX5 (human sarcoma cells multi-resistant) cells were purchased from Sigma-Aldrich. All 264 cells were grown in monolayer cultures and maintained at 37 °C in humidified air containing 5% CO<sub>2</sub> except for HL-60 and MOLT-4 cells that were grown in suspension. Cells were maintained 265 in RPMI 1640 containing 10% FBS, and 100 units/mL of penicillin-G and 100 µg/mL of 266 streptomycin. Only for HL-60 cells, RPMI 1640 containing FBS at the concentration of 20% was 267 268 used.

269

270 *2.4. Cytotoxicity assay* 

The cytotoxic activities of the compounds were evaluated by MTT reduction assay  $^{30-31}$ . 272 273 One hundred  $\mu$ L of cell suspension having a density of 50,000 cells/mL were seeded into each 274 well of a 96-well microplate and incubated overnight at 37 °C. Three to four concentrations of 275 compounds, ranging from 50 nM to 100  $\mu$ M, determined by the potency of the compound, were 276 added to the wells in triplicate and the plates were incubated for another 72 h at 37 °C. All 277 compounds were dissolved in DMSO, except for compounds 2e, 3e and 4e, which were 278 solubilized in isopropanol. The final concentrations of DMSO or isopropanol in the wells did not 279 exceed 0.25%. This concentration of the solvents did not affect the viability of the cell lines 280 tested in this study. At the end of the incubation, the growth medium was replaced with 0.5 281 mg/mL of MTT dissolved in fresh RPMI 1640 without phenol red. After an additional 4 h of 282 incubation at 37 °C, the formazan crystals were dissolved in 200 µL DMSO and the optical 283 absorbance was measured at 570 nm (applying a background correction at 655 nm) using a Bio-284 Rad microplate reader (Model 680). The cell viability expressed as percent inhibition for each concentration of the compound was calculated with reference to the control and the  $IC_{50}$  values 285 286 were calculated with the CurveExpert software version 1.34 for Windows. Each experiment was 287 repeated 3-4 times.

288

289 *2.5. Cell cycle analysis* 

The analysis of cells in different phases of the cell cycle and the sub-G1 phase were monitored using propidium iodide (PI)-based assessment of cell cycle by flow cytometry. MOLT-4 cells were seeded in 12-well plates ( $1 \times 10^6$  cells /well) and treated with different concentrations of **1c** (30, 100 and 300 nM), **4c** (300, 1000 and 3000 nM) and **1d** (100, 300 and

.. .

294	1000 nM) for 24 and 48 h. At the end of the incubation, the cells in each well were collected and
295	washed with PBS. Then, they were fixed with 70% ethanol overnight at -20 °C. After 24 h, the
296	fixed cells were washed with PBS and subsequently stained with DNA staining solution (PI 20
297	$\mu$ g/mL and RNase 200 $\mu$ g/mL) at room temperature for 30 min in the dark. Twenty thousand
298	cells of each sample were analyzed using a FACS Calibur flow cytometer (BD Biosciences,
299	USA) and the percentage of the cells in sub-G1, G0/G1, S, and G2/M phases were calculated
300	using CellQuest (BD, USA) software.

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## 2.6. Preparation of cell lysates for immunoblotting

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304 MOLT-4 cells were seeded at a density of 250,000 cells/mL in 12-well plates and were 305 incubated at 37 °C for 24 h. The alkyl ester derivatives of HCAs were introduced into the cell 306 cultures and they were further incubated for 24 h. The cells were harvested and lysed for 30 min 307 in ice-cold RIPA lysis buffer (Tris-HCl 250 mM, NaCl 750 mM, NP-40 5%, EDTA 5 mM, 308 sodium deoxycholate 2.5 %, SDS 0.5%) with frequent vortex mixing. PMSF and a protease 309 inhibitor cocktail (Roche) were added to the extraction buffer in order to inhibit the protease 310 enzymes. The lysates were centrifuged at 15,000 g for 20 min at 4 °C. Supernatants were isolated 311 in fresh tubes and stored at -80 °C before use. Protein contents of the cell extracts were measured 312 by a BCA protein assay kit (Quanti-Pro ®BCA, Sigma-Aldrich, St. Louis, USA) using bovine 313 serum albumin as the protein standard.

- 315
- 2.7. Immunoblotting of caspase-3
- 316

317 The activation of the caspase-3 enzyme was detected by immunoblotting. Twenty ug of 318 total proteins were loaded on 12% SDS-polyacrylamide gels and electrophoresis was performed 319 at a constant voltage. The proteins were then electrotransferred onto poly(vinylidene difluoride) 320 (PVDF) membranes and non-specific binding sites were blocked with 5% fat-free milk dissolved 321 in Tris-buffered saline containing Tween 20 (TBS-T, 150 mM NaCl and 50 mM Tris-HCl pH 322 7.4, 0.1% Tween 20) at room temperature for 40 min. Proteins were then detected by specific 323 primary antibodies, rabbit monoclonal anti-caspase-3 (dilution 1:400, clone 8G10, Cell 324 Signaling, USA) and anti-actin (dilution 1:2000, Sigma-Aldrich, USA) overnight at 4 °C. 325 Membranes were washed three times in TBST buffer and then incubated with secondary 326 antibody (goat anti-rabbit horseradish peroxidase conjugated IgG, dilution 1:1000, Santa Cruz 327 Biotechnology) for 1 h at room temperature. After 3 further washes in TBST buffer, 328 immunoreactive bands were visualized using enhanced chemiluminescence detection substrate 329 (Thermo Fisher Scientific, Waltham, MA). Images were acquired with an image acquiring 330 system (G: BOX Chemi, Syngene, Cambridge, U.K.) with GeneSnap software. The density of 331 the protein bands was quantified by GeneTools software (Syngene), followed by normalization 332 to the  $\beta$ -actin as a loading control. All experiments were replicated at least three times.

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334

#### 2.8. Target prediction for anticancer activity of HCAs

335

The method that we applied for exploring the plausible molecular targets responsible for the anti-cancer potential of HCAs, consisted of the following steps<sup>32</sup>:

338 1. Extraction of molecular targets of interest for HCAs from Drug Bank, ChemMapper <sup>33</sup>,
 339 DINIES<sup>34</sup>, SuperPred<sup>35</sup> and BindingDB<sup>36</sup>,

340 2. Gathering targets based on highest structural and physiochemical similarities of their341 ligands with HCA derivatives.

342 3. Selecting molecular targets involved in the mechanism of anti-cancer action based on
 343 CancerResource<sup>33, 37</sup>.

344 4. Text mining with the purpose of investigation of the exact molecular target (among
345 selected targets in steps 2 and 3) involved in the anticancer activity of the test compounds.

346

347 2.9. Molecular docking

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349 A molecular docking study of alkyl HCAs was conducted in order to further explore the 350 mechanisms of cytotoxicity as inhibitors of CA-IX and evaluation of their structural requirement 351 for the binding interaction with this potential target. The X-ray crystal data of three-dimensional 352 structure of human CA-IX in complex with 5-(1-(4-methylphenyl)-1H-1,2,3-triazol-4-353 vl)thiophene-2-sulfonamide (MPTTS, PDB ID: 5FL6) was acquired from the Protein Data Bank 354 (http://www.rcsb.org). The crystal structure of the protein was further refined by removing the 355 co-crystallized ligand and water molecules and the protein was converted to pdbgt format using 356 Autodock Tools (1.5.4). The active site was derived from the X-ray crystal structure data. The 357 3D coordinates of studied ligands were generated by using Chem3D Ultra 8 and energetically minimized by the conjugate gradient algorithm (gradient convergence value of 0.1 kcalmol<sup>-1</sup> was 358 359 used) using the HyperChem software. The Gastiger charges (empirical atomic partial charges) 360 were calculated by HyperChem software and the torsional degrees of freedom were assigned on 361 the PDB files. The pdbqt files were generated for each ligand separately using ADT 1.5.4. The grid maps of the active site for enzyme were calculated by Auto-Grid with three dimensions of 362

 $60 \times 60 \times 60$  (x, y, z), grid spacing of 0.375 Å and the cubic grids were centered on the binding site of MPTTS as the cognate ligand.

365 Docking computations were performed using the Lamarckian genetic algorithm (LGA). 366 For this purpose, maximum numbers of evaluations were set to 2,500,000, the numbers of GA 367 runs were 50 and the maximum numbers of generations were set as 27,000 and all other options 368 were set as default. Resulting conformers differing by less than 1.0 Å in positional root-mean-369 square deviation (RMSD) were clustered together and represented by the result with the top-370 ranked free energy of binding interaction.

- 371
- 372 *2.10. Statistical analysis*
- 373

The data were expressed as the mean  $\pm$  S.D. or S.E.M. and were analyzed by one-way ANOVA using the SPSS software version 14.0 for Windows with LSD post hoc test, when applicable.

378	3. Results
379	
380	3.1. Cytotoxicity of alkyl hydroxycinnamates against cancer cells
381	
382	Cytotoxic activities of hydroxycinnamic acids (HCAs) including 1a, 2a, 3a, 4a and their
383	medium and long chain alkyl ester derivatives (butyl "b", tetradecyl "c", hexadecyl "d" and
384	octadecyl "e" esters, Table 1) were evaluated against 4 cancer cell lines (HL-60, MCF-7, MOLT-
385	4 and MES-SA/DX5) and on the non-cancer NIH/3T3 cells using MTT reduction assay. The cell
386	viability assay results were compared with cisplatin and doxorubicin, which were used as
387	standard anticancer agents (Table 2).
388	
389	3.2. Effect of alkyl hydroxycinnamates on cancer cell cycle
390	
391	In order to explore the plausible mechanism of cytotoxicity of the most potent HCA
392	esters, the cell-cycle distribution of MOLT-4 cells incubated with 1c, 1d and 4c were evaluated
393	using propidium iodide (PI)-based assessment of cell cycle by flow cytometry after 24 and 48 h.
394	Figures 1 and 2 illustrate the distribution of the cells in the G0/G1, S and G2/M phases of cell
395	cycle. The results indicated that the number of apoptotic cells in sub-G1 phase was significantly
396	increased after treatment with different concentrations of test compounds after 24 and 48 h. The
397	cell cycle profile of MOLT-4 cells treated with increasing concentrations of 1c at 30, 100 and
398	300 nM after 24 h indicated that the percentage of cells in sub-G1 phase showed a dose-
399	dependent increase compared to control cells. Similarly, exposure of cells to 1c for 48 h resulted
400	in a concentration-dependent increase in the percentage of apoptotic cells. Similar patterns of

401 dose- and time-dependent responses were observed in a sub-G1 fraction of cells treated with 1d402 and 4c.

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404 *3.3. Effect of alkyl hydroxycinnamates on the activation of caspase-3* 

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Three of the most effective alkyl hydroxycinnamates were incubated with MOLT-4 cancer cells for 24 h and the total protein was extracted and analyzed by immunoblotting for determination of caspase-3 activation, which was verified by the cleavage of caspase-3 and the appearance of a smaller 17 KD fragment. As shown in Figure 3, exposure of MOLT-4 cells to 1c  $(0.5 \ \mu m)$ , 4c  $(2.5 \ \mu m)$  and 1d  $(2.5 \ \mu m)$  resulted in the cleavage of caspase-3 and the appearance of the cleaved caspase-3 peptide  $(17 \ \text{KD})$ , accompanied by reduction of pro-caspase-3  $(35 \ \text{KD})$ protein.

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414 3.4. Carbonic anhydrase IX as the potential molecular target for alkyl
415 hydroxycinnamates

416

All linear alkyl ester derivatives of HCAs were modeled with Hyperchem 7. Then targets were collected from target prediction databases, through a process schematically shown in Figure 4. We used a text-mining method and examined experimental applications to cancer studies based on the existing literature. As the final finding, carbonic anhydrase isoforms were identified as putative targets for HCA derivatives.

3.5. Molecular modeling study: interaction of HCAs in complex with carbonic anhydrase

- 424 *IX*
- 425

The crystal structure of CA-IX (PDB code 5FL6) in complex with MPTTS was used for molecular docking study. For validation of the docking procedure, RMSD (root mean square) of experimental conformer was calculated by re-docking of MPTTS in CA-IX's active sites. The top-ranked conformer of MPTTS into the binding site of CA-IX superimposed well over the Xray coordinates of experimental one with the RMSD value of 1.03Å (Figure 5).

431 Docking results and comparative binding interactions of 1a and 1c, into CA-IX complex, 432 are depicted in Figure 6B and 6A, respectively. Based on the docking results, the corresponding 433 binding free energies of 1a and 1c into CA-IX were -5.35 and -5.80 Kcal/mol, respectively. 434 Compound 1a showed 3 hydrogen binding interactions with Asn66, His68 and Thr200 of CA-435 IX. Esterification of the acidic moiety of compound **1c** resulted in favorable hydrogen bonding 436 interactions with Asn66, His68, Gln71 and Thr200 of CA-IX catalytic site, which was observed 437 in all CA-IX inhibitors. Moreover, 1c was also positioned in a close interaction (less than 3Å) 438 with Leu199 and Thr201, which are conserved residues in the catalytic site of all carbonic 439 anhydrases.

440 Comparing the binding interaction of 1c with its methoxylated counterparts 2c ( $\Delta G$ = -441 5.21 Kcal/mol) and 3c ( $\Delta G$ = -4.77 Kcal/mol), it could be concluded that introduction of methoxy 442 substitution into the phenyl ring might distort the binding orientation of ester pendant into CA-IX 443 catalytic site and hinder the hydrogen bonding interaction with key residues such as Asn66, 444 His68, and Gln71. Compound 2c takes part in hydrogen bonding interactions with Thr200 and 445 Gln92, while 3c only interacted with Thr200. Dislocation of tetradecyl ester side chain was

446	demonstrated in the case of 2c and 3c (Figure 6C and 6D). Moreover, addition of hydroxyl
447	moieties into the phenyl ring of HCAs, also had negative effects on the binding orientation of the
448	compound in the CA-IX active site; 4c (containing two hydroxyl groups at R2 and R3 position),
449	is devoid of any H-bond interactions with Asn66, His68, and Gln71, and is only involved in H-
450	bond interaction with Thr200, Thr201, and Gln92 (Figure 6E).

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452 Discussion
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453

454 Cytotoxic activities of hydroxycinnamic acids (HCAs) and their medium and long chain 455 alkyl ester derivatives were evaluated against 4 human and one non-cancer cell line using MTT 456 reduction assay. The effects of the most potent compounds were examined on cell cycle and 457 induction of apoptosis.

458 The MTT assay results showed that among different tested HCA derivatives, tetradecyl 459 (1c) and hexadecyl (1d) esters of p-CA were the most potent compounds against MOLT-4 cells with IC<sub>50</sub> values of 0.123 and 0.317  $\mu$ M, respectively. Interestingly, these derivatives showed 460 461 IC<sub>50</sub> values (0.317 and 0.414 µM, respectively) against multidrug resistant cell line MES-462 SA/DX5 that were much lower than those of doxorubicin (1.9  $\mu$ M) and cisplatin (2.9  $\mu$ M), 463 standard chemotherapeutic agents (Table 2). Doxorubicin and cisplatin are commonly used 464 chemotherapeutic agents for treatment of several solid tumors and leukemias and have been 465 utilized by us and other authors as standard cytotoxic agents. All p-CA derivatives were inactive 466 against HL-60 and MCF-7 cells, which shows that these compounds may specifically affect 467 certain cancer cell lines and not others. On the basis of the obtained cytotoxic data, the following 468 structure-activity relationships could be deduced for the synthesized compounds of this study:

469 Influence of aromatic substitution pattern on cytotoxic activity

In general, *p*-CA ester derivatives (1b-e) showed higher cytotoxic effects against MOLT4 and MES-SA/DX5 cells, followed by caffeate (4b-e) and ferulate esters (2b-e), while sinapate
esters (3b-e) showed the lowest anticancer effects against these cells.

The number of hydroxyl functions and the nature of *m*-substituents on the aromatic ring seems to influence the cytotoxic activity of the test compounds. In this case, the presence of a single 4-OH function was the most favorable substitution pattern (as in *p*-CA derivatives), followed by the presence of a 3,4-dihydroxy (catechol) moiety (as in CA derivatives).

The results obtained in HL-60, MCF-7 cells do not allow a straightforward evaluation of the influence of the aromatic substitution pattern due to lower activity levels. However, CA (**4a**) and its ester derivatives (**4b-e**), bearing a catechol moiety, were the only group of compounds that showed a moderate activity against HL-60 cells.

481 *Effect of carboxylic acid esterification on cytotoxicity* 

Esterification of the carboxylic acid function generally improved the cytotoxic activity of all HCA derivatives against MOLT-4 and MES-SA/DX5 cells. For instance, *p*-CA (**1a**) was inactive in these cellular models ( $IC_{50} > 100 \mu M$ ), while the ester derivatives **1b-e** showed a significant improvement in cytotoxic effect against these cell lines (Table 2).

486 Length of alkyl chain and physicochemical properties

With the exception of butyl caffeate (**4b**) in HL-60 cells, tetradecyl esters were the most active derivatives in all cancer cellular models. The existence of an optimal chain length points towards specific structural and physicochemical requirements that allow effective transport and/or diffusion across the cell membrane and interaction with intracellular targets. Among them, lipophilicity (as determined by the partition coefficient LogP, Table **1**), stands out as an

492 important physicochemical descriptor of bioactivity. As shown in Table 1, the naturally-493 occurring carboxylic acids (1a, 2a, 3a and 4a) are the most hydrophilic compounds in this series 494 (1.36 < Log P < 1.87), which may hinder their cellular uptake and intracellular accumulation, 495 resulting in the poor cytotoxic activity observed. Accordingly, esterification of the carboxylic 496 acid moiety improved the derivatives' lipophilicity, as could be observed in increased LogP 497 values. However, chain lengths longer than the optimal size may increase the interaction with the 498 phospholipids in the membrane and decrease the solubility in the intracellular compartments, 499 which may justify the observed decrease in cytotoxicity in the current cellular models. This 500 observation was in accordance with previous reports regarding the antioxidant activity and membrane affinity of FA ester derivatives<sup>38-39</sup>. Similar observation of a biphasic correlation 501 between lipophilicity and anticancer activity of caffeate esters has also been reported <sup>29</sup>. In the 502 503 present case, the tetradecyl ester moiety may provide optimal membrane anchoring, orienting the 504 HCA moiety towards its intracellular targets and thus providing maximum activity.

505 Other authors have screened the effect of different alkyl esters ranging from C3 to C22 of 506 CA and FA against different cancer cell lines. They identified C12 and C16 caffeate esters, and 507 also C8 and C12 ferulate esters as the optimum alkyl chain length against MCF-7, HCT-116 508 (colon adenocarcinoma), CCI-H460 (lung adenocarcinoma), SF-26 (CNS tumor) and AGS 509 (gastric adenocarcinoma) cells with an IC<sub>50</sub> range of 2.5 to 18.7  $\mu$ g/ml. The C14 ester was not 510 included in this study and the authors did not find any preferential effect against a certain type of 511 cancer<sup>21</sup>.

512 It has been previously shown that hexyl caffeate and hexyl ferulate exhibit cytotoxicity 513 against certain types of cancer including MCF-7 cells<sup>18</sup>. Uwai and colleagues have shown that 514 C11-C14 caffeate esters have the highest cytotoxicity against Raw264.7 macrophage cells, with 515 C11 ester being the most potent in inhibition of NO in these cells<sup>29</sup>. The biphasic correlation 516 between ClogP, the index of lipophilicity, and cytotoxicity indicated that the cytotoxicity of 517 caffeate esters was directly correlated with lipophilicity, having an optimum range<sup>29</sup>.

The effect of these compounds against cancer cells was also compared with non-cancer cells. Derivatives **1c** and **1d**, with remarkable submicromolar potency against MOLT-4 and MES-SA/DX5 cells, were almost devoid of cytotoxicity against non-malignant NIH/3T3 cells. Moreover, *meta* substitution with hydroxyl group could affect the selectivity of compounds against cancer cells; Although the presence of a 3,4-dihydroxy (catechol) group in the aromatic ring broadened the cytotoxic activity spectrum to HL-60 cells (**4b-e**, Table **2**), it also induced toxic effects against non-cancer cells, which raises selectivity issues.

We also examined the effect of the most active compounds on cell cycle; At 300 nM the percentage of sub-G1 cells exposed to **1c** for 24 and 48 h (27.0 and 54.7%, respectively) were higher than the percentages related to compounds **4c** (4.9 and 6.5%, respectively) and **1d** (17.7 and 34.7%, respectively). Therefore based on these findings, induction of apoptosis could be considered as a conceivable mechanism of anticancer activity of these HCA esters.

530 On the other hand, caspase-3 assessment results were in accordance with cell cycle 531 analysis that evidenced the induction of apoptosis by compounds **1c**, **1d**, and **4c**. Caspase-3 is an 532 executioner caspase and its activation was one of the signs of apoptosis induction in cells<sup>25, 40-42</sup>.

It has been shown that FA was capable of apoptosis induction in prostate cancer cells PC-3 and LNCaP<sup>24</sup>. However, very high doses (300-500  $\mu$ M) were tested in this study, which cannot be compared to our findings on FA and its esters. In a recent study, methyl-caffeate showed induction of apoptosis in MCF-7 cells, involving the caspase-3 and poly (ADP-ribose) polymerase-1 (PARP1) activation<sup>25</sup>. Various studies have shown that inhibition of matrix metalloproteinase (MMP) and vascular endothelial growth factor  $(VEGF)^{15, 43-44}$ ; inhibition of NF-*k*B, Bcl-2; activation of Bax, Bak and JNK<sup>19, 45</sup>; cell cycle arrest by inhibition of cyclin D1, E, B1 and induction of p16, p21, p17<sup>46-48</sup> are the common mechanisms involved in the anti-proliferative and apoptosis inducing effects of HCA derivatives.

543 In our target prediction study, carbonic anhydrase isoforms were identified as putative targets for HCA derivatives, which was also corroborated by previous literature reports<sup>49</sup>. 544 545 Carbonic anhydrases are abundant zinc metalloenzymes that catalyze the reversible interconversion of CO<sub>2</sub> and HCO<sub>3</sub><sup>-50</sup>. Several different carbonic anhydrase isoforms have been 546 547 identified, among which CA-IX, a membrane-associated glycoprotein, may have an important role in cancer <sup>51</sup> and was overexpressed as a result of hypoxia in many cancer cells <sup>52-53</sup> through 548 the activation of hypoxia inducible factor-1 $\alpha$  (HIF-1 $\alpha$ )<sup>54</sup>. CA-IX was considered to be a good 549 550 candidate for cancer targeted therapy, as its expression was very limited in normal tissues, while it was highly expressed in various cancers<sup>55</sup>. Different studies have shown that the cytotoxic 551 552 activity of phenolic acid esters including CA, FA, p-CA as well as other phenolic compounds is possibly due to the inhibition of carbonic anhydrase<sup>49, 56-57</sup>. 553

From the findings of the docking study, it might be concluded that esterification improves the binding pose of HCAs into the CA-IX binding site, however, this improvement does not seem to be sufficient to explain the dramatic effect of esterification on the cytotoxicity as it was observed in the case of **1c** compared to **1a** against MOLT-4 and MES-SA/DX5 cells. The superior cytotoxic potential of tetradecyl and other ester derivatives over the parental compounds could also be largely attributed to the improved physicochemical properties by esterification, increased lipophilicity and cell membrane permeability of HCAs. Alternatively, it could be

561	assumed that these compounds have additional mechanisms of action, through which, the
562	considerable increase of activity caused by esterification could be explained.
563	Regarding the pharmacokinetic properties of HCAs, it has been shown that free <i>p</i> -CA has
564	high bioavailability after oral intake but data for its conjugated forms is limited <sup>58</sup> . It was further
565	observed that p-CA as a metabolite from its ester conjugates was detected at lower
566	concentrations in plasma indicating its different metabolic pathway compared to the free $p$ -CA <sup>58</sup> .
567	The free forms of HCA are easily absorbed in the upper gastrointestinal tract <sup>59</sup> . For example,
568	detection of 24% of p-CA 24h after its administration has shown less metabolism and faster
569	uptake of this free HCA compared to cinnamic acid <sup>60</sup> . An extensive review of the
570	pharmacokinetics and biological activities of HCA discusses the high rate of absorption of free
571	<i>p</i> -CA and FA compared to CA from concluded from findings of various studies <sup>6</sup> .
571 572	<i>p</i> -CA and FA compared to CA from concluded from findings of various studies <sup>6</sup> . In an <i>in vivo</i> study, <i>p</i> -CA and gallic acid were orally administered to rats and their blood
<ul><li>571</li><li>572</li><li>573</li></ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was</li> </ul>
<ul><li>571</li><li>572</li><li>573</li><li>574</li></ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood</li> <li>concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was</li> <li>quickly absorbed from the gastrointestinal tract and 10 minutes after oral administration, the</li> </ul>
<ul> <li>571</li> <li>572</li> <li>573</li> <li>574</li> <li>575</li> </ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was quickly absorbed from the gastrointestinal tract and 10 minutes after oral administration, the portal vein serum concentration of intact p-CA was 165.7 μM. Interestingly, this study reported</li> </ul>
<ul> <li>571</li> <li>572</li> <li>573</li> <li>574</li> <li>575</li> <li>576</li> </ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was quickly absorbed from the gastrointestinal tract and 10 minutes after oral administration, the portal vein serum concentration of intact p-CA was 165.7 μM. Interestingly, this study reported that the bioavailability of <i>p</i>-CA was 70 times higher than gallic acid<sup>61</sup>. On the other hand,</li> </ul>
<ul> <li>571</li> <li>572</li> <li>573</li> <li>574</li> <li>575</li> <li>576</li> <li>577</li> </ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was quickly absorbed from the gastrointestinal tract and 10 minutes after oral administration, the portal vein serum concentration of intact p-CA was 165.7 μM. Interestingly, this study reported that the bioavailability of <i>p</i>-CA was 70 times higher than gallic acid<sup>61</sup>. On the other hand, another study in Beagle dogs has shown that maximum plasma concentration of FA is 0.977</li> </ul>
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<ul> <li>571</li> <li>572</li> <li>573</li> <li>574</li> <li>575</li> <li>576</li> <li>577</li> <li>578</li> <li>579</li> </ul>	<ul> <li><i>p</i>-CA and FA compared to CA from concluded from findings of various studies<sup>6</sup>.</li> <li>In an <i>in vivo</i> study, <i>p</i>-CA and gallic acid were orally administered to rats and their blood</li> <li>concentrations and pharmacokinetic profiles were measured<sup>61</sup>. It was found that <i>p</i>-CA was</li> <li>quickly absorbed from the gastrointestinal tract and 10 minutes after oral administration, the</li> <li>portal vein serum concentration of intact p-CA was 165.7 μM. Interestingly, this study reported</li> <li>that the bioavailability of <i>p</i>-CA was 70 times higher than gallic acid<sup>61</sup>. On the other hand,</li> <li>another study in Beagle dogs has shown that maximum plasma concentration of FA is 0.977</li> <li>μM<sup>62</sup>.</li> <li>Since, long chain alkyl esters of HCAs are way more lipophilic compared to the parental</li> </ul>

lymphatic circulation, due to their lipophilic nature<sup>63</sup>. On the other hand, since the lymphatic 581 system transports lipophilic compounds, it can be suggested that incorporation of the HCAs into 582

583 nanostructured lipid carriers may further increase their accumulation in the lymphatic system  $^{64-}$ 584  $^{65}$ .

In conclusion, the findings of this study demonstrate that long chain alkyl ester derivatives of p-CA (compounds 1c and 1d) show remarkable submicromolar potency against certain cancer cells and are selective towards these cells over non-malignant cells. Therefore, they represent promising scaffolds as anticancer agents that selectively induce apoptosis in cancer cells.

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#### 591 **Author contributions**

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J.C.J.M.D.S.M: Performed experiments, wrote the paper; N.E.: Designed the study, wrote the paper; S.P.K.: Performed experiments; M.K: Performed experiments; Z.K.: Performed experiments; H.H.M.: Performed experiments; R.M.: Designed the study, wrote the paper; N.E.: Designed the study, wrote the paper; M.N.: Performed experiments; J.A.S.C.: Performed experiments; T.S.: Performed experiments, wrote the paper; L.S.: Designed the study, wrote the paper; F.B. and O.F.: Designed the study, supervised the project, wrote the paper.

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#### 601 **Conflict of interest statement**

602

## The authors hereby declare that they have no conflict of interest.

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808	Figure captions
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810	
811	Figure 1. Effect of HCA ester derivatives on the cell cycle distribution of MOLT-4
812	cells.
813	MOLT-4 cells were treated with various concentrations of compounds $1c$ (A), $4c$ (B) and
814	1d(C) and for 24 and 48 h. At the end of the incubation time, the cells were collected and fixed
815	with 70% ethanol overnight at -20 °C. After 24 h, the fixed cells were stained with DNA staining
816	solution (PI 20 $\mu\text{g/mL}$ and RNase 200 $\mu\text{g/mL})$ at room temperature for 30 min in the dark.
817	Twenty thousand cells of each sample were analyzed using a FACS Calibur flow cytometer.
818	
819	Figure 2. Alterations of percentages of MOLT-4 cells in each cell cycle phase after
820	treatment with HCA esters.
821	The percentages after treatment with compounds 1c (A), 4c (B) and 1d (C) are shown.
822	
823	Figure 3. Effect of HCA esters on activation of caspase-3 in MOLT-4 cells.
824	MOLT-4 cells were incubated with compounds 1c (0.5 $\mu M$ ), 4c (2.5 $\mu M$ ) and 1d (2.5
825	$\mu M)$ for 24 h. Cells were lysed and cell lysate was analyzed by immunoblotting. The ratios of
826	pro-caspase and cleaved caspase bands to actin were calculated and compared to control. Data
827	are expressed as mean ± S.E.M of at least 3 independent experiments. *: Pro-caspase/actin ratio
828	of the test compound was significantly different from the same ratio in the control (P < 0.005); #:
829	Cleaved caspase/actin ratio of the test compound was significantly different from the same ratio
830	in the control ( $P < 0.05$ ).
831	
832	Figure 4. The schematic workflow of our methodological approach for finding
833	plausible molecular targets involved in the anti-cancer activity of HCAs.
834	Drug targets (DTs) were obtained by collecting information from different target
835	prediction databases in the different phases of the drug discovery. Data extracted from these
836	databases were used to predict the potential targets.
837	Figure 5. Comparison of docked and crystallographic conformers into carbonic
838	anhydrase IX active-site.

The protein key residues are shown in the line style, the crystallized conformer of the
ligand (5-(1-(4-methylphenyl)-1H-1,2,3-triazol-4-yl)thiophene-2-sulfonamide) is colored by its
atom type, while the docked conformer is demonstrated in yellow (D). For sake of clarity, only
catalytic residues are shown in this figure (see text for detail). The graphic image was produced
using the UCSF Chimera program (University of California, San Francisco).
Figure 6. Binding interactions of HCA derivatives with carbonic anhydrase IX.

Binding interactions of compounds 1c (A), 1a (B), 2c (C), 3c (D) and 4c (E) with conserved catalytic residues of CA-IX are depicted. Residues are shown as lines, while ligands are shown in colored sticks. The carbon backbone of 1c is depicted in green in all panels, while the other compounds are superimposed on 1c in panels B-E.

$R_4$ , $R_1$									
		Ĭ	Ŷ	$\checkmark$	<b>\</b>				
	R <sub>3</sub>								
		 R <sub>2</sub>							
Compound	R <sub>1</sub>	<b>R</b> <sub>2</sub>	<b>R</b> <sub>3</sub>	<b>R</b> <sub>4</sub>	MW	LogP			
p-Coumaric acids									
1a	Н	Н	OH	Н	164.16	1.87			
1b	$C_4H_9$	Н	OH	Н	220.11	3.05			
1c	$C_{14}H_{29}$	Н	OH	Н	360.537	7.07			
1d	$C_{16}H_{33}$	Н	OH	Н	388.591	7.86			
1e	$C_{18}H_{37}$	Н	OH	Н	416.64	8.15			
			Ferul	ic acids					
2a	Н	$OCH_3$	OH	Н	194.19	1.62			
2b	$C_4H_9$	$\operatorname{OCH}_3$	OH	Н	250.29	2.86			
2c	$C_{14}H_{29}$	$\operatorname{OCH}_3$	OH	Н	390.56	6.82			
2e	$C_{18}H_{37}$	$\operatorname{OCH}_3$	OH	Н	446.67	8.42			
			Sinap	ic acids					
<b>3</b> a	Н	$OCH_3$	OH	OCH <sub>3</sub>	224.21	1.36			
3b	$C_4H_9$	$OCH_3$	OH	$OCH_3$	280.32	2.60			
3c	$C_{14}H_{29}$	$OCH_3$	OH	OCH <sub>3</sub>	420.59	6.57			
3d	$C_{16}H_{33}$	$\operatorname{OCH}_3$	OH	$\operatorname{OCH}_3$	448.32	7.80			
3e	$C_{18}H_{37}$	$\operatorname{OCH}_3$	OH	$OCH_3$	476.70	9.59			
Caffeic acids									
4a	Н	ОН	OH	Н	180.16	1.58			
4b	$C_4H_9$	OH	OH	Н	236.27	2.82			
4c	$C_{14}H_{29}$	OH	OH	Н	376.54	6.79			
<b>4</b> d	$C_{16}H_{33}$	OH	OH	Н	404.59	7.58			
<b>4e</b>	$C_{18}H_{37}$	OH	OH	Н	432.64	8.37			

Table 1. Chemical structures and physicochemical properties of HCA ester derivatives

Compound	IC <sub>50</sub> (μM)							
Compound	HL-60 MCF-7		MOLT-4	MES-SA/DX5	NIH/3T3			
1a	<b>a</b> >100 >100 >		>100	>100	>100			
1b	>100	>100	$50.9 \pm 13.9$	$63.8\pm7.2$	>100			
1c	>100	>100	$0.123\pm0.012$	$0.317\pm0.088$	$32.4 \pm 5.2$			
1d	>100	>100	$0.301\pm0.069$	$0.414\pm0.129$	>100			
1e	>100	>100	$3.8\pm0.9$	$1.6 \pm 0.2$	>100			
2a	>100	>100	>100	>100	>100			
2b	>100	>100	>100	$66.2 \pm 8.5$	>100			
2c	$54.4 \pm 5.1$	$38.8 \pm 6.9$	$0.860 \pm 0.193$	$5.4 \pm 0.7$	$33.8 \pm 2.4$			
2e	>100	>100	$7.6 \pm 2.7$	$3.9 \pm 0.4$	>100			
3a	>100	>100	>100	>100	>100			
3b	>100	>100	>100	$50.2\pm4.9$	>100			
3c	>100	$35.6 \pm 4.1$	$33.2 \pm 1.4$	$22.1 \pm 1.7$	$47.1\pm9.4$			
3d	>100	>100	$72.9 \pm 19.5$	$22.2 \pm 1.8$	>100			
3e	>100	>100	$20.7\pm9.6$	$19.1 \pm 4.1$	>100			
4a	$26.1\pm10.1$	>100	>100	>100	>100			
4b	$9.4 \pm 3.1$	>100	$9.9 \pm 1.4$	$53.1 \pm 9.6$	$21.4 \pm 6.5$			
4c	$15.0\pm0.3$	$31.4 \pm 9.1$	$1.0 \pm 0.1$	$2.8\pm0.3$	$4.3 \pm 1.1$			
4d	$29.0\pm9.2$	>100	$2.0 \pm 0.5$	$5.1 \pm 1.8$	$7.9 \pm 1.4$			
4e	$36.7 \pm 2.1$	>100	$5.5 \pm 1.9$	$4.3 \pm 1.4$	$9.2 \pm 1.1$			
Doxorubicin	$0.014\pm0.004$	$0.043\pm0.003$	$0.017\pm0.003$	$1.9 \pm 0.7$	$0.163\pm0.095$			
Cisplatin	$3.1\pm0.2$	$2.0 \pm 0.2$	$3.1 \pm 0.1$	$2.9\pm0.5$	$6.9 \pm 3.5$			

 

 Table 2. Cytotoxic activities of HCA esters against different human cancer cells and a noncancer cell line (NIH/3T3) assessed by MTT assay.

Values are expressed as mean  $\pm$  S.D of 3-5 experiments.



Figure 1.



# Figure 2



Figure 3



Figure 4



Figure 5



Figure 6

# TOC Graphic-



On screening of 19 derivatives of hydroxycinnamic acids, long chain alkyl ester derivatives of *p*-coumaric acid induced apoptosis in certain cancer cells (IC<sub>50</sub>: 0.123-1.6  $\mu$ M), conceivably by carbonic anhydrase IX inhibition.