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Magnesium(II)-Binaphtholate as a **Practical Chiral Catalyst for the Enantioselective Direct Mannich-Type Reaction with Malonates**

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ABSTRACT

A highly enantioselective direct Mannich-type reaction of aldimines with dialkyl malonates was developed with the use of a Mg(II)-BINOLate salt, which was designed as a cooperative acid—base catalyst that can activate both aldimines and malonates. Optically active β -aminoesters and α -halo- β -aminoesters could be synthesized in high yields and with high enantioselectivities. This inexpensive and practical Mg(II)-BINOLate salt could be used in gram-scale catalysis.

A catalytic enantioselective direct Mannich-type reaction between aldimines and carbonyl compounds is highly useful for the synthesis of chiral building blocks of β -amino carbonyl compounds. As a result of the importance of these enantioenriched derivatives, particularly in biological and pharmaceutical chemistry, over the past decade considerable effort has been devoted to establishing a methodology for the direct Mannichtype reaction with chiral metal catalysts² or organocatalysts.³ In this regard, we have recently developed chiral Li(I)-BINOLate [BINOL = 1,1'-bi-2-naphthol] salts⁴ as effective acid—base catalysts⁵ for the direct Mannich-type reaction with 1,3-diketone, 1,3-ketoester, 1,3-ketolactone, 1,3-ketothioester, and 1,3-ketoamide (eq 1).6 However, less-reactive malonates could not be used in the Li(I)-catalysis, unlike other favored 1,3-dicarbonyl compounds.

ketothioester, ketoamide, ketolactone

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Among 1,3-dicarbonyl compounds, the inherent difficulty of the direct Mannich-type reaction with malonates^{2a,d,e,3c-f,i,1} is due to their weak acidity⁷ and stronger chelation to the metal center without the generation of an activated metal-

Figure 1. Expected Mg(II)-malonate BINOLate salt in situ.

enolate. To overcome these problems, we designed a cooperative acid—base catalyst with divalent group II elements. In particular, an uncommon but easily prepared chiral Mg(II)-BINOLate salt^{8,9} is attractive because it should have enough Brønsted basicity to generate Mg(II)-enolate in situ without the release of BINOL (Figure 1). Therefore, when this cooperative acid—base Mg(II)-salt catalyst activates both aldimine and malonate, a *divalent* Mg(II) center would be firmly bound to both BINOL and malonate through ionic and coordinate bonds. In sharp contrast to previous metal

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catalysts and organocatalysts, we report here that the extremely simple and inexpensive Mg(II)-BINOLate salt is highly effective for the catalytic enantioselective direct Mannich-type reaction of aldimines with dialkyl malonates. Smooth conversion was established within 3–4 h at $-20\,^{\circ}\text{C}$ with the use of a smaller amount of catalyst loading (2.5–5 mol %) of the Mg(II)-BINOLate salt compared to the reactions with many previous catalysts, which often needed a catalyst loading of 10–20 mol % and/or a longer reaction time (sometimes >12 h). $^{2a,d,e,3c-f,i,l}$

First, we examined the enantioselective direct Mannich-type reaction of aldimine **1a** with dimethyl malonate (**2a**) (Table 1).

Table 1. Screening of Catalysts

entry	MX (mol %)	conditions	yield (%)	ee (%)
1^a		rt, 24 h	0	
2	<i>n</i> -BuLi (5)	−40 °C, 6 h	<3	
3^b	n-BuLi (5) + t -BuOH (10)	−40 °C, 6 h	98	0
4	<i>n</i> -BuLi (10)	−40 °C, 6 h	>99	28
5^b	n-BuLi (10) + t -BuOH (20)	−40 °C, 6 h	99	0
6	n-Bu ₂ Mg (2.5)	−40 °C, 6 h	14	
7	n-Bu ₂ Mg (5)	−40 °C, 6 h	98	92
8	n-Bu ₂ Mg (10)	-40 °C, 6 h	97	80

 a 5 mol % of (R)-BINOL was used without a metal complex. b In the absence of MgSO₄.

As expected, the exclusive use of (R)-BINOL without a metal precursor did not promote the reaction, even at room temperature for 24 h (entry 1). Unlike in the reaction with diketones, ketoesters, etc., ⁶ lithium salts of (R)-BINOL (5 mol %) in the presence of t-BuOH (10-20 mol %) showed low enantioselectivity for 3a despite high reactivity at −40 °C for 6 h (entries 3 and 5). However, a dry dilithium salt of (R)-BINOL (5 mol %) in the absence of t-BuOH improved the enantioselectivity up to 28% ee (entry 4), whereas a corresponding monolithium salt scarcely provided **3a** (entry 2). ¹⁰ In sharp contrast, *dry* magnesium salts of (R)-BINOL greatly improved the enantioselectivity of **3a**. After optimization of the amount of *n*-Bu₂Mg (entries 6-8), (R)-3a was obtained in 98% yield with 92% ee when 5 mol % each of (R)-BINOL and n-Bu₂Mg were used in the presence of MgSO₄¹¹ (entry 7). Interestingly, modification of the skeleton of (R)-BINOL (e.g., substitution at the 3,3'positions, etc.) gave 3a in low reactivity and/or low enantioselectivity. Therefore, to our delight, we selected simple and inexpensive nonmodified (R)-BINOL for subsequent experiments.

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⁽¹⁰⁾ During the preliminary investigation with lithium salt catalysts, we found that previously optimized $3,3'-(3,4,5-F_3C_6H_2)_2$ -BINOL did not promote the reaction between 1a and 2a at -78 to -20 °C. Also see ref 6.

⁽¹¹⁾ MgSO $_4$ was not an actual source of the catalyst. While it was not essential, it was used as a drying agent to remove adventitious water in situ. Powdered MS 4Å was also effective in place of MgSO $_4$.

Table 2. Direct Mannich-Type Reaction with N-Boc Aldimines and Dimethyl Malonate

entry	1	Ar	\mathbb{R}^1	3	yield (%)	ee (%)
1	1a	Ph	CO ₂ t-Bu (Boc)	3a	>99	92
2	1b	Ph	CO ₂ Bn (Cbz)	3b	98	81
3	1c	$4\text{-ClC}_6\mathrm{H}_4$	Boc	3c	98	93
4	1d	$3\text{-MeC}_6\mathrm{H}_4$	Boc	3 d	94	87
5	1e	$3,4-({\rm MeO})_2{\rm C}_6{\rm H}_3$	Boc	3e	$55, [91]^a$	$87, [90]^a$
6	1f	2-furyl	Boc	3f	>99	90
7	1g	3-thienyl	Boc	3g	>99	95
8	1h	3-pyridyl	Boc	3h	>99	89
9	1i	1-naphthyl	Boc	3 i	98	88

 $[^]a$ 5 mol % of (R)-BINOL and 7.5 mol % of n-Bu₂Mg were used.

We further found that the reactions proceeded at -20 °C for 3 h without any loss of enantioselectivity (Table 2). With regard to the protecting group in the N-moiety of aldimines, tert-butoxycarbonyl (Boc) showed better enantioselectivity than benzyoxycarbonyl (Cbz) (entries 1 and 2). A variety of aryl aldimines with an electron-withdrawing or electrondonating group and heteroaryl aldimines could be applied, and the desired products 3c-i were obtained in high yields and with high enantioselectivities (entries 3-9). 12 For 1e with a 3,4-(MeO)₂C₆H₃ moiety, an optimal 1/1 ratio of (R)-BINOL/n-Bu₂Mg (5 mol % each) provided 3g in moderate yield (55%) (entry 5). Interestingly, however, a slight excess of *n*-Bu₂Mg (7.5 mol %) to (*R*)-BINOL (5 mol %) improved the yield and enantioselectivity (91% with 90% ee) (entry 5, data in brackets). A chelatable moiety such as an o-dimethoxy group may partially ligate the Mg(II) center, and this may prevent the generation of the active catalyst.

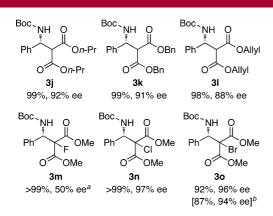


Figure 2. Scope of malonates. The reactions were performed in toluene at -20 °C for 3 h in the presence of 5 mol % each of (*R*)-BINOL and *n*-Bu₂Mg, unless otherwise noted. (a) Yield and enantioselectivity when 10 mol % each of (*R*)-BINOL and *n*-Bu₂Mg were used. (b) Yield and enantioselectivity when 2.5 mol % of (*R*)-BINOL and 3.75 mol % of *n*-Bu₂Mg were used.

We next explored the scope of malonates (Figure 2). Not only dimethyl malonate (2a) but also di(n-propyl) malonate, dibenzyl malonate, and diallyl malonate were applied successfully, and the corresponding products were obtained from 1a in almost quantitative yields with 88-92% ee (see 3j-1). The reaction of dimethyl α-halomalonates was also examined.¹³ Although dimethyl 2-fluoromalonate gave the corresponding adduct (3m) with moderate enantioselectivity (50% ee), the reaction of dimethyl 2-chloromalonate and dimethyl 2-bromomalonate proceeded smoothly with the formation of a chiral quaternary carbon center, and the desired α -halo- β -aminoesters (3n and 3o) were obtained in high yields (92->99%) and with high enantioselectivities (96–97% ee). The reaction proceeded smoothly even in the presence of 2.5 mol % of (R)-BINOL and 3.75 mol % of n-Bu₂Mg, ¹⁴ and **30** was obtained in 87% yield with 94% ee.

To evaluate the tolerance of this catalyst, a 2-g-scale (5 mmol) synthesis of **30** was examined in the reaction of **1a** with dimethyl 2-bromomalonate (Scheme 1). The reaction proceeded smoothly with the use of 5 mol % each of (R)-BINOL and n-Bu₂Mg in toluene at -20 °C for 4 h, and the desired product (**30**) was obtained in quantitative yield (>99%) with 99% ee.

Scheme 1. Catalytic Gram-Scale Synthesis of Mannich Adduct

With regard to the utility of the resulting Mannich product, we transformed **3a** (92% ee) to the corresponding β -lactam **5** (Scheme 2). ^{15,16} Without any loss of enantioselectivity

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Scheme 2. β -Lactam Synthesis

(92% ee), β -phenyl-substituted β -lactam **5** was obtained in 71% yield in three steps via the synthetically useful optically active β -aminoester (**4**).

- (12) The compatibility of this catalysis with aliphatic aldimines would need further examinations due to their lower reactivity. However, we examined the preliminary reaction of PMPN=CCO₂Et (PMP = *p*-methoxyphenyl) as a non-aromatic aldimine with dimethyl 2-bromomalonate, and the corresponding product was obtained in 81% yield with 60% ee.
- (13) Recently, some research groups have reported the catalytic asymmetric direct Mannich-type reaction with 2-halo-1,3-dicarbonyl compounds. See refs 2f and 3j-1
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In summary, we have developed a catalytic enantioselective direct Mannich-type reaction of aldimines with dialkyl malonates in the presence of a simple Mg(II)-BINOLate salt. Smooth conversion was established within 3–4 h at –20 °C with 2.5–5 mol % catalyst loading of the Mg(II)-BINOLate salt. This was in sharp contrast to the reactions with previous catalysts, which often needed 5–10 mol % loading and a longer reaction time. Although a mechanistic investigation of the actual catalysts (Brønsted acid—Brønsted base or Lewis acid—Brønsted base) will be necessary in the future, this inexpensive and practical Mg(II)-BINOLate salt catalyst should be highly attractive in academic and industrial process chemistry. Further applications of BINOL-alkali and alkaline earth metal (group I and II elements) complexes to other catalytic enantioselective reactions are now underway.

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Supporting Information Available: Experimental procedures, spectral data, and copies of NMR spectra. This material is available free of charge via the Internet at http://pubs.acs.org.

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