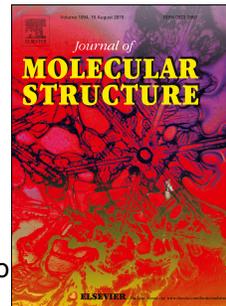


# Accepted Manuscript

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# Polymorphism and configurational isomerism in 3-(9-anthryl)-1-(3-hydroxyphenyl)prop-2-en-1-one

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## Abstract

In this study, 3-(9-anthryl)-1-(3-hydroxyphenyl)prop-2-en-1-one was synthesized and formed two *cis* isomers ( $\alpha$  and  $\beta$  polymorphs) and one *trans* isomer under different crystallization conditions. Single crystal X-ray diffraction revealed that all the three crystals exhibit the similar crystal structures, in which the two adjacent chalcone molecules form dimers through intermolecular H-bonds between the phenolic hydroxyl and carbonyl groups, and all of the dimers stack parallel to construct their 3D structures. In order to rationalize the configurational phenomenon and investigate the stability of the three crystals, Hirshfeld surface analyses, theoretical calculation and grinding experiments were performed. The results revealed that the coexistence of H-bonds and C-H $\cdots\pi$  interactions around the two hydrogen atoms on the C=C double bond may be crucial for formation of the *cis* configuration, and the *trans* isomer is more stable than the other two *cis* isomers. Moreover, the optical-physical properties of these crystals were also investigated. Due to the similar crystal structures, the similar fluorescence bands were observed in their spectra.

Keywords: configurational isomerism, chalcone, polymorphism

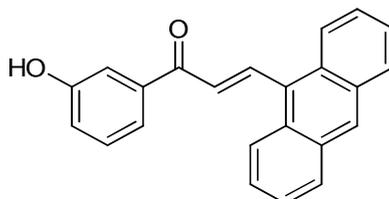
## Introduction

*Cis-trans* isomerism of organic compounds, also known as geometric isomerism or configurational isomerism, is always a subject of great interest from both academia and industry, for their distinctive physical and chemical properties, such as solubility, stability and mechanical properties (tableability), *etc* [1-6].

The importance of *cis-trans* isomerism in luminescent material system was also underscored, because the different configuration of a given molecule usually exhibits distinct optical-physical properties [7,8]. For example, 5-(1-(4-bromo-phenyl)-3*a*',7*a*'-hexahydro-1*H*-indazol-3-yl)-3-methyl-1-phenyl-1*H*-pyrazole-4-carbonitrile (PZ) displays an emission band at 396 nm in *trans* form, whereas its fluorescence emission maximum is 475 nm in *cis* form [9].

Chalcone [10], an important natural compounds possessing a wide range of bioactivities [11], can be also used as organic luminescent materials due to their excellent optical-physical properties [12,13]. In this study, an chalcone derivative, 3-(anthracen-9-yl)-1-(3-hydroxyphenyl)prop-2-en-1-one (Scheme 1), was synthesized and some interesting solid state behaviors have been observed. The compound afforded three different crystals from a variety of different solvents. Single crystal X-ray analyses revealed that two of them adopt the *cis* configuration and only one exhibits a *trans* configuration. The *trans* isomer crystallizes in a monoclinic system with space group *C2/c*, and its asymmetric units (ASU) accommodates one crystallographically independent molecule. However, both the two *cis* isomers (named as  $\alpha$  and  $\beta$  polymorphs) crystallize in the triclinic system with space group *P1*, and their ASUs accommodate two and one crystallographically independent molecules, respectively. To the best of our knowledge, for the chalcone derivative, the *trans* isomers usually exhibit greater stability than the *cis* isomers, and many

48 chalcones in the previous reports, such as 3-(1-pyrenyl)-1-(4-chlorophenyl)prop-2-en-1-one, 1-phenyl-3-(1-  
 49 pyrenyl)prop-2-en-1-one and 4-bromo-1-naphthyl Chalcone, mainly adopt *trans* configuration due to their strikingly  
 50 steric effect and stabilities [14,15]. Conformational polymorphism was rarely reported for the *cis* chalcone  
 51 molecules, even rarer an ASU accommodates two *cis* conformers simultaneously [16]. Based on the three crystal  
 52 structures, computational methods were performed for rationalizing the configuration phenomenon and investigating  
 53 their stability. Meanwhile, the solid-state properties of the three crystals were also investigated.



54  
 55 **Scheme 1.** Chemical structure of 3-(anthracen-9-yl)-1-(3-hydroxyphenyl)prop-2-en-1-one.

## 56 Experiment

### 57 Material Synthesis and Characterization

58 The chalcone molecule was synthesized and characterized by a previous reported method [18]. A mixture of 3-  
 59 hydroxyacetophenone (1.6 g), 9-anthracenecarboxaldehyde (2.3 g), and 3 M of aqueous sodium hydroxide (6 mL) in  
 60 ethanol (20 mL) was stirred at 50°C for 16 h. The crude product was collected by filtration and recrystallized from  
 61 95% ethanol to give pure chalcone (*trans* isomer) as orange crystals: 2.71 g, yield: 74.9%, mp: 198-200 °C. <sup>1</sup>H  
 62 NMR (DMSO, Figure 1S of the Supporting Information):  $\delta$  (ppm) 7.03–7.08 (dd, 1H), 7.32–7.38 (t, 1H), 7.46–7.49  
 63 (t, 1H), 7.53–7.64 (m, 6H), 8.10–8.17 (dd, 2H), 8.21–8.28 (d, 2H), 8.55–8.62 (d, 1H), 8.67 (s, 1H), 9.83(s, 1H). <sup>13</sup>C  
 64 NMR (DMSO, Figure 2S):  $\delta$  (ppm) 115.13, 120.18, 121.00, 125.44, 126.05, 127.25, 128.70, 129.32, 129.43, 130.14,  
 65 130.47, 131.29, 131.85, 139.10, 140.59, 158.23, 189.13.

### 66 Crystals' Preparation

67 The preparation of the *trans* isomer crystals has been mentioned in the synthesis part.  $\alpha$  polymorph of the *cis*  
 68 isomers was recrystallized from ethanol/chloroform mixed solvent ( $v : v = 1 : 3$ ). Slow evaporation of the solvent at  
 69 room temperature for 4–5 days yielded orange needlelike crystals. However, despite extensive efforts,  $\beta$  polymorph  
 70 of the *cis* isomers was obtained only once from acetone/dichloromethane ( $v : v = 1 : 1$ ) mixed solvent. Since it was  
 71 difficult to get an adequate amount of  $\beta$  polymorph, only its single crystal data was measured.

### 72 Measurement

73 Single crystal X-ray diffraction experiments were carried out using a Bruker D8 QUEST diffractometer equipped  
 74 with a Bruker APEX-II ( $\lambda = 0.71073 \text{ \AA}$ ). Data collection for the three crystals was done at ambient temperature.  
 75 Crystal structures were solved with direct methods using SHELXL-2014 program and refined anisotropically using  
 76 a full-matrix least-squares procedure [19]. All non-hydrogen atoms were refined anisotropically. All the hydrogen  
 77 atoms, including hydrogen atom of the phenolic hydroxyl groups, were inserted at their calculated positions and  
 78 fixed at their positions. The structural data for three crystals have been deposited as CIFs at the Cambridge  
 79 Crystallographic Data Base (CCDC No. 1868346, 1855435 and 1868347) and are also available as Supporting  
 80 Information (SI). Powder X-ray diffraction (PXRD) patterns were measured with a Bruker D8 advance superspeed  
 81 powder diffractometer, which operated at ambient temperature, using Cu K $\alpha$  radiation ( $\lambda = 0.15405 \text{ nm}$ ).

82 UV-Vis absorption spectra were recorded on a Shimadzu UV-3600 spectrometer. Fluorescence spectra were  
 83 obtained on a Horiba FluoroMax 4 spectrofluorometer. Quantum yields of the chalcone in different solvents  
 84 were also determined on Horiba FluoroMax 4 spectrofluorometer, quinolone sulfate in 0.5 M H<sub>2</sub>SO<sub>4</sub> was used as a

85 standard and excitation was chosen at 365 nm. Solid fluorescent quantum yields were obtained by using an  
86 Edinburgh Instrument with an excitation wavelength at 365 nm.

### 87 Hirshfeld surface analysis

88 Hirshfeld surface graphical representation over  $d_{\text{norm}}$  around each crystallographically independent molecule in the  
89 three polymorphs, and associated 2D fingerprint plots were performed out by using Crystal Explorer 3.1 [20].

### 90 Calculation Details

#### 91 Comparison of crystals' stability

92 The geometry optimization of crystals was performed starting from the X-ray structures. Cambridge Sequential  
93 Total Energy Package (CASTEP) module based on the Planewaves Pseudopotential method of density functional  
94 theory (DFT) was used to optimize three crystals, and the most stable structures and energy are calculated.  
95 Generalized Gradient Approximation (GGA) and Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional  
96 were used. To ensure the correctness of the calculation, the cut-off energy was selected as 400.0 eV. All the  
97 calculations were performed by Material Studio 6.0 program [21].

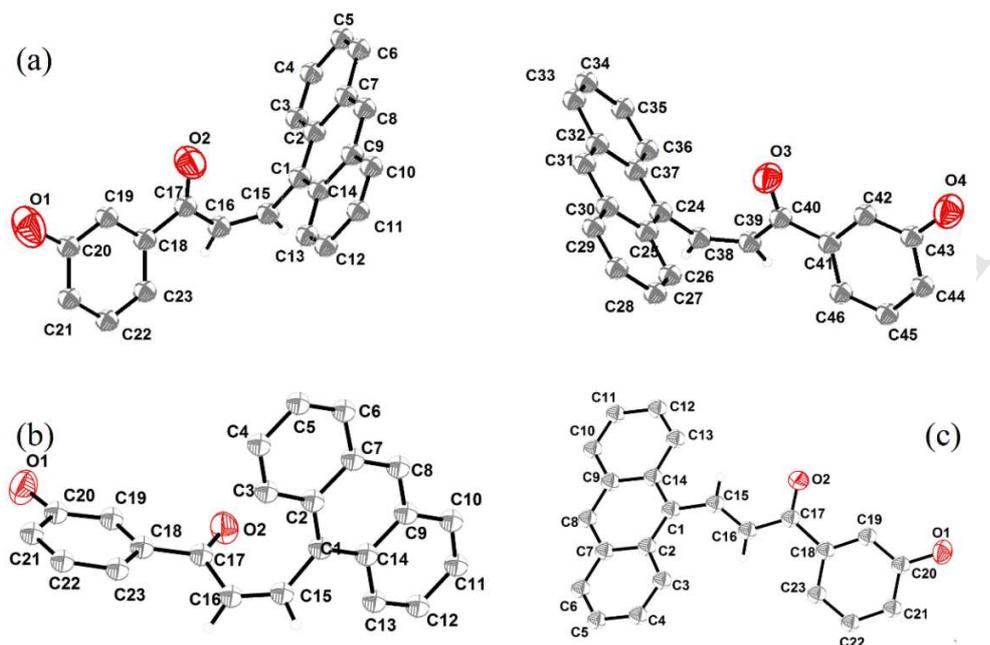
#### 98 Theoretical study of spectra

99 Structural, electronic and optical properties were studied in the framework of the density functional theory (DFT).  
100 The M062X functional with 6-31+G (d,p) basis set level was chosen to calculate excited state [22]. All calculations  
101 were performed using the Gaussian 09 version B.01 suite of programs [23]. The absence of imaginary frequency  
102 modes for the optimized structures at the DFT level confirms a true minimum on the potential energy surface.  
103 Further analyses of electronic and optical properties were performed by calculations of both vertical and adiabatic  
104 ionization potentials and the calculation of the visible-UV absorption and emission spectra in the framework of  
105 time-dependent DFT (TD-DFT).

### 106 Result and Discussion

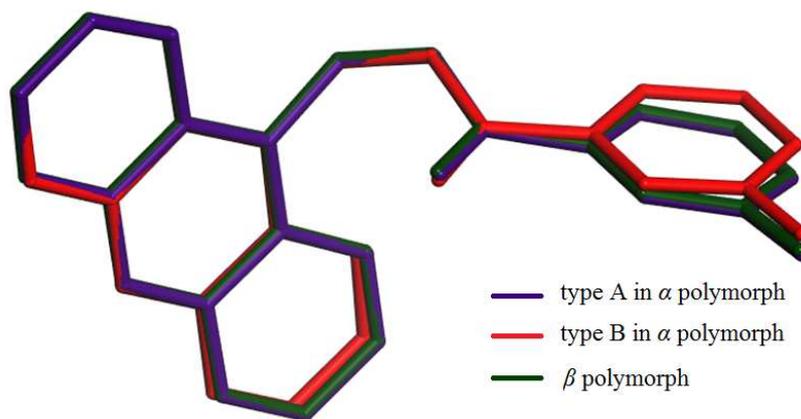
#### 107 Crystal structure

108 Single crystal X-ray diffraction analyses were performed for the three crystals to determine their structures. Thermal  
109 ellipsoids of the chalcone molecules in the three ASUs were shown in Figure 1. It was worth noting that all the *cis*  
110 isomers exhibit the similar conformation with each other, in which the dihedral angles between the anthracene and  
111 benzene rings are 80.07° (type A in  $\alpha$  polymorph), 83.08° (type B in  $\alpha$  polymorph) and 82.43° ( $\beta$  polymorph),  
112 respectively (Figure 2). In contrast, the *trans* isomer shows a nearly planar structure with the dihedral angle of  
113 29.95°. The crystallographic data was presented in Table 1.



114  
115

Figure 1. Thermal ellipsoids plots of the two *cis* isomers (a and b) and *trans* isomer (c).



116  
117  
118

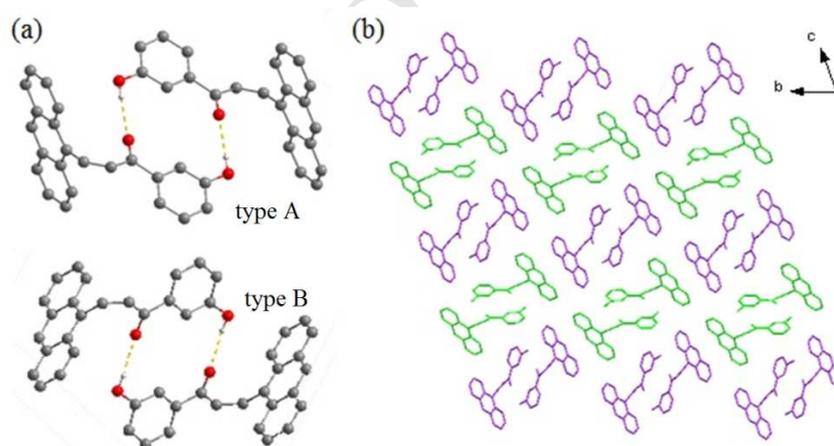
Figure 2. Comparison of the conformation for the three *cis* isomers.

Table 1. Numerical details of the solutions and refinements of the three crystal structures.

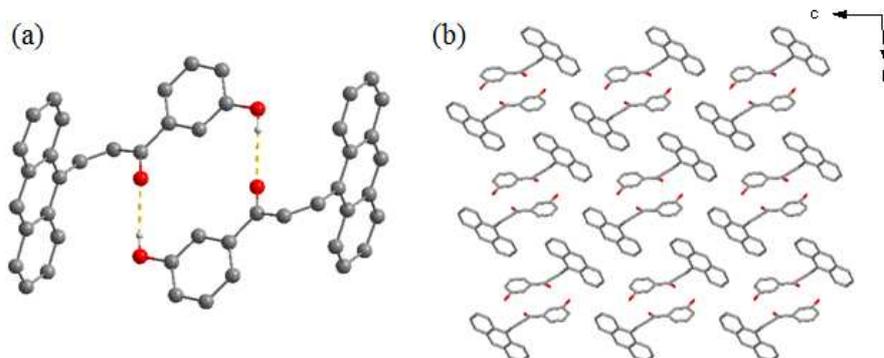
Crystals	$\alpha$ polymorph	$\beta$ polymorph	<i>trans</i> isomer
Formula	$C_{23}H_{16}O_2$	$C_{23}H_{16}O_2$	$C_{23}H_{16}O_2$
Morphology	needle	needle	needle
Crystal system	triclinic	triclinic	monoclinic
Space group	$P\bar{1}$	$P\bar{1}$	$C2/c$
$a / \text{\AA}$	5.4651(6)	5.4587(6)	33.809(4)
$b / \text{\AA}$	16.891(2)	11.5366(14)	5.5199(7)
$c / \text{\AA}$	19.743(3)	13.5526(16)	21.394(2)
$\alpha / \text{deg}$	68.888(4)	96.297(4)	90
$\beta / \text{deg}$	89.737(4)	96.784(4)	124.694(2)

$\gamma$ /deg	89.614(4)	90.865(4)	90
$V$ / $\text{\AA}^3$	1700.2(4)	842.04(17)	3282.8(7)
$Z$	4	2	8
$\rho$ (calcd)/ $\text{Mg m}^{-3}$	1.267	1.279	1.313
$\theta$ Range for data collection/ $^\circ$	2.418–27.581	1.523–27.576	2.316–27.548
$F(000)$	680	340	1360
$R_1, w R_2$ ( $I > 2\sigma(I)$ )	0.0577, 0.1132	0.0571, 0.1464	0.0601, 0.1490
$R_1, w R_2$ (all data)	0.1735, 0.1424	0.1211, 0.1794	0.1057, 0.1679
Goodness-of-fit	1.002	1.098	1.068
CCDC	1868346	1855435	1868347

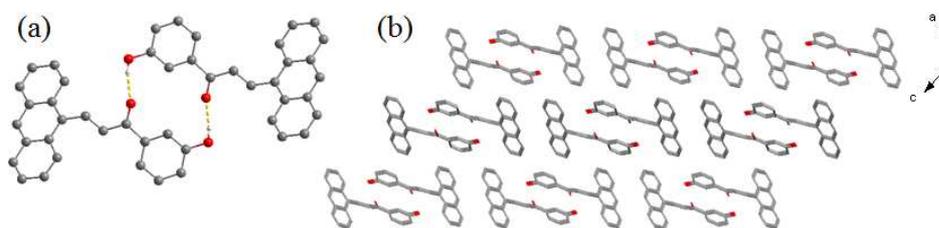
119 It was interesting that the three crystals have the similar structures. As shown in Figures 3–5, due to the  
 120 intermolecular H-bonds (O-H $\cdots$ O, summarized in Table 2) between the phenolic hydroxyl and carbonyl groups, the  
 121 chalcone molecules in all the three crystals pair up and generate the molecular dimers. In the  $\beta$  polymorph and *trans*  
 122 isomer, the molecular dimers are parallel stacked along the  $a$ ,  $b$  and  $c$  axes to give their 3D structures. The analogous  
 123 structure could be found in the monoclinic polymorph of 1-phenyl-3-(3-hydroxyphenyl)-2-propen-1-one, where the  
 124 molecular dimer constructed *via* H-bonds (O-H $\cdots$ O) is also the repeating motif [24]. Compared with above two  
 125 crystals, the  $\alpha$  polymorph possesses two types of chalcone molecules, namely types A and B. In this crystal, the two  
 126 adjacent chalcone molecules connect with each other and form A-A or B-B dimers. These dimers are stacked  
 127 alternately along the  $c$  axis and adopt parallel arrangement along the  $a$  and  $b$  axes to provide its 3D structure.  
 128 Furthermore, in the three crystals, the closest centroid distances between the anthracene rings is 5.459  $\text{\AA}$ , thus no  
 129 strong  $\pi$ -overlap exist among them.



130  
 131 **Figure 3.** Crystal structure of  $\alpha$  polymorph. (a) The two types of the hydrogen bonding dimers. (b) 3D packing diagram of  $\alpha$   
 132 polymorph projected in the  $bc$  plane. The types of A and B chalcone molecules are shown in purple and green, respectively.  
 133 Hydrogen atoms not participating in the interactions have been omitted for clarity.



134  
135 **Figure 4.** Crystal structure of  $\beta$  polymorph. (a) The hydrogen bonding dimer. (b) 3D packing diagram of  $\beta$  polymorph projected  
136 in the  $bc$  plane. Hydrogen atoms not participating in the interactions have been omitted for clarity.



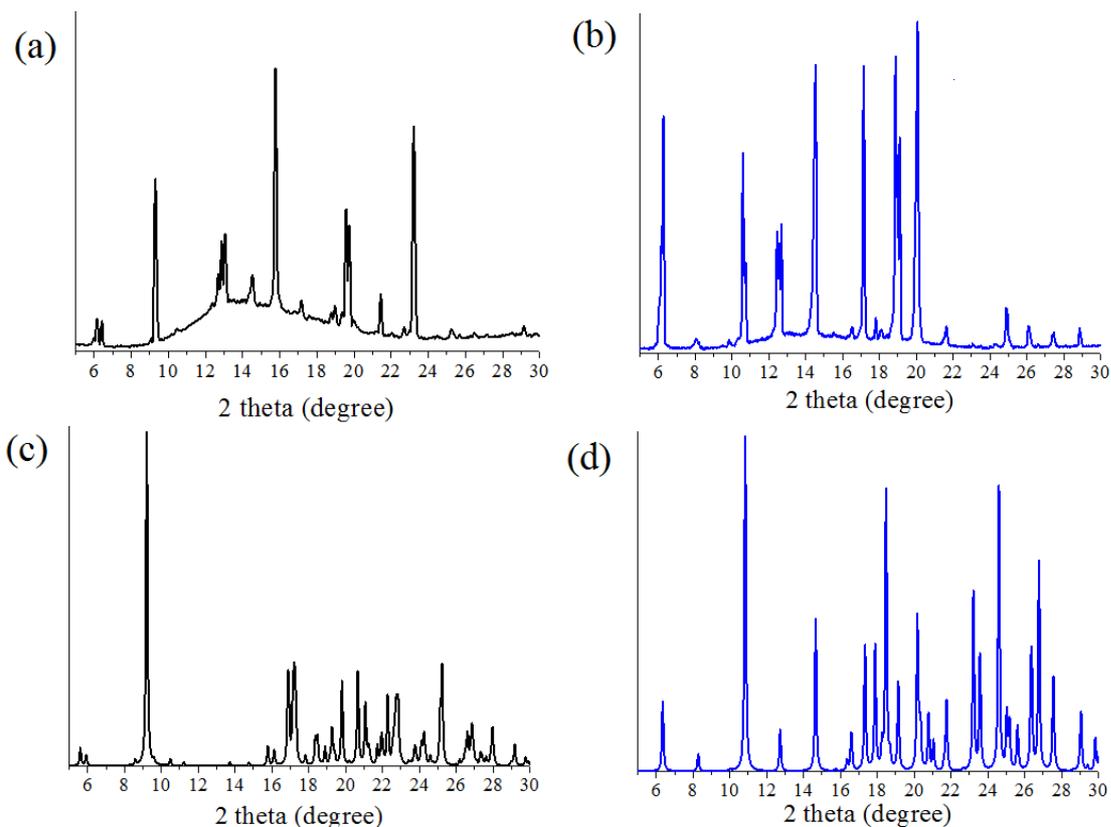
137  
138 **Figure 5.** Crystal structure of the *trans* isomer. (a) The hydrogen bonding dimer. (b) 3D packing diagram of the *trans* isomer  
139 projected in the  $ac$  plane. Hydrogen atoms not participating in the interactions have been omitted for clarity.

140 **Table 2.** H-bonds geometry ( $\text{\AA}$ , deg) in the three crystals.

Crystals	D-X...A	D-X	X...A	D...A	D-X...A
$\alpha$ polymorph	O1-H16...O2	0.82	2.14	2.916(2)	159.2
	O4-H32...O3	0.82	2.09	2.900(2)	169.3
$\beta$ polymorph	O1-H16...O2	0.82	2.10	2.8973(19)	163.3
<i>trans</i> isomer	O1-H1...O2	0.82	2.07	2.8637(18)	162.0

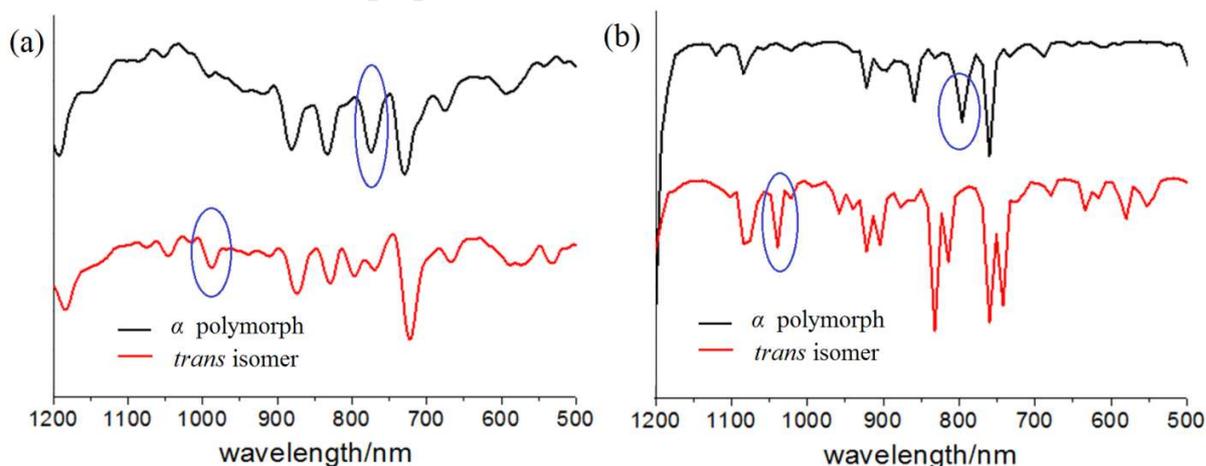
#### 141 Powder X-ray Diffraction (PXRD) Patterns and FT-IR Spectroscopy

142 As shown in Figure 6, PXRD patterns of  $\alpha$  polymorph and *trans* isomer are distinctly different and are in agreement  
143 with that simulated from their crystal data. Thus, the powder product could be used for the solid-state properties  
144 investigation later.



145  
146 **Figure 6.** Comparison of the experimental and simulated PXRD patterns of the  $\alpha$  polymorph (a and c) and *trans* isomer (b and d).

147 FT-IR spectra were also recorded to identify the *cis* and *trans* isomers. From the fingerprint spectra, the two peaks  
148 with medium intensity at 773 and 993  $\text{cm}^{-1}$  could be observed in their fingerprint areas (Figure 7a, the entire spectra  
149 were shown in Figure 3S), corresponding to the two peaks at 793 and 1039  $\text{cm}^{-1}$ , which were calculated in ideal gas  
150 phase conditions (Figure 7b). The two peaks are specific for the nonplanar deformation vibrations of the hydrogens  
151 of an ethylenic bond in the *cis* or *trans* isomer, suggesting that the opposite configuration between the two crystals.

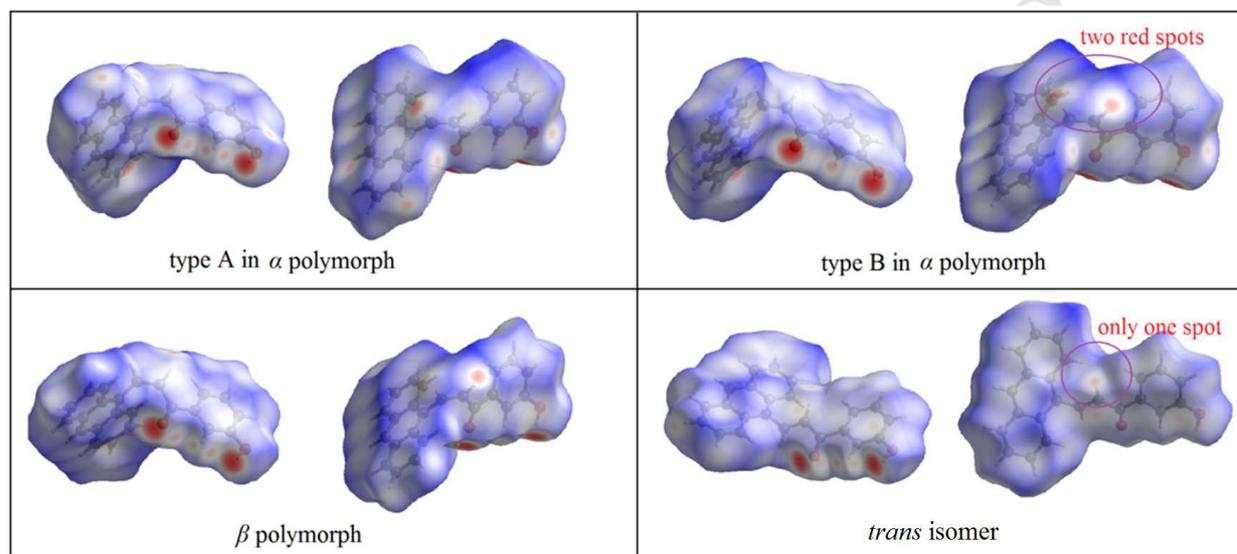


152  
153 **Figure 7.** The experimental and simulated fingerprint spectra of  $\alpha$  polymorph (a) and *trans* isomer (b).

154 **Configuration and stability analyses of 3-(9-anthryl)-1-(3-hydroxyphenyl)prop-2-en-1-one**

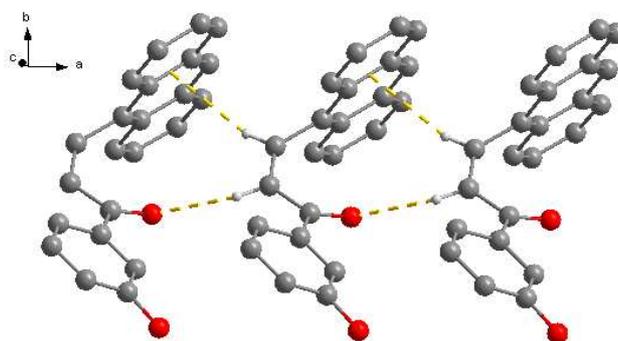
155 In contrast to many other chalcone molecules exhibiting the *trans* configuration, the chalcone in this study mainly  
 156 exhibits the *cis* configuration. Aiming to rationalize the configurational phenomenon of this chalcone, computational  
 157 methods were performed.

158 First, Hirshfeld surface was performed to give insights regarding the important intermolecular interactions in the  
 159 three crystals. The inspection of the intermolecular interactions was normalized by van der Waals radii through a  
 160 red-white-blue color scheme, where the red spots denote closer contacts of molecules. The non-covalent interactions  
 161 occurring within the structures have clear signatures on the fingerprint plots.



162  
 163 **Figure 8.** Hirshfeld surface mapped with  $d_{\text{norm}}$  for the four independent molecules in the three crystals.

164 From the Hirshfeld surface (Figure 8), it was obviously observed that all the four chalcone molecules exhibit two red  
 165 spots on the phenolic hydroxyl and carbonyl groups, corresponding to the H-bonds ( $\text{O-H}\cdots\text{O}$ ) for constructing the  
 166 molecular dimers. That is to say, these H-bonds play a key role in crystal packing for the three crystals. In addition,  
 167 for each molecule in  $\alpha$  and  $\beta$  polymorphs, another two red spots could be found around the hydrogen atoms on  $\text{C}=\text{C}$   
 168 double bond (one red spot on type A molecule in  $\alpha$  polymorph is not very obvious), which should be ascribed to the  
 169 interactions among the 1D chain motifs in crystal structures (Table 3). Take type A molecule for example (Figure 9),  
 170 one spot should be assigned to the weak H-bond ( $\text{C}=\text{O}\cdots\text{H}$ ) associated with carbonyl group from the neighboring  
 171 chalcone molecule, another spot could be assigned to intermolecular  $\text{C-H}\cdots\pi$  interaction. However, for the *trans*  
 172 chalcone, only one red spot corresponding to the intermolecular H-bond ( $\text{C}=\text{O}\cdots\text{H}$ ) was observed around  $\text{C}=\text{C}$   
 173 double bond. Based on the Hirshfeld surface analyses, a conclusion could be drawn that the coexistence of H-bond  
 174 ( $\text{C}=\text{O}\cdots\text{H}$ ) and  $\text{C-H}\cdots\pi$  interactions around  $\text{C}=\text{C}$  double bond are crucial for formation of the *cis* isomer, which like  
 175 two hands pull the two hydrogen atoms to the same side of  $\text{C}=\text{C}$  double bond. In contrast, disappearance of one  
 176 interaction would result in formation of the *trans* chalcone configuration.

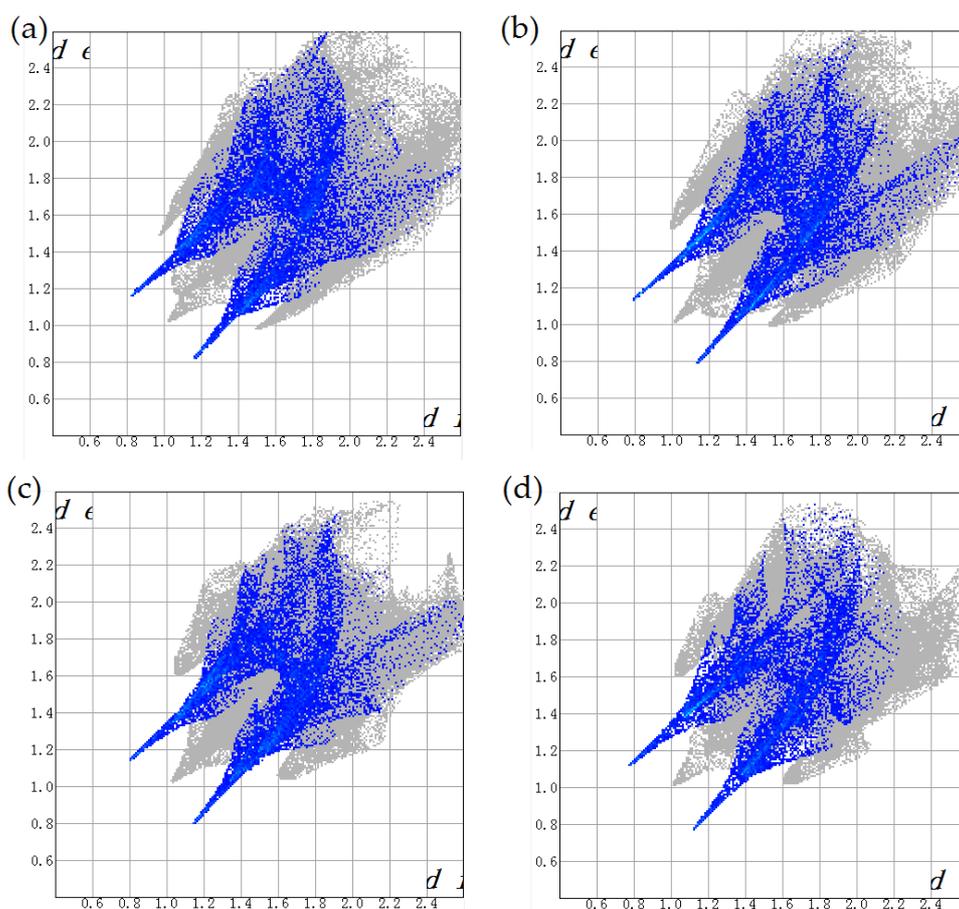


**Figure 9.** H-bond ( $C=O \cdots H$ ) and  $C-H \cdots \pi$  interaction around the hydrogen atoms on  $C=C$  double bond.

**Table 3.** Weak H-bonds and  $C-H \cdots \pi$  interactions geometry ( $\text{\AA}$ , deg) in the three crystals.

Crystals	D-X $\cdots$ A	D-X	X $\cdots$ A	D $\cdots$ A	D-X $\cdots$ A
$\alpha$ polymorph	C16-H11 $\cdots$ O2	0.930	2.670	3.434	139.91
	C15-H10 $\cdots$ $\pi$	0.819	2.673	3.566	161.20
	C39-H27 $\cdots$ O3	0.930	2.517	3.353	149.67
$\beta$ polymorph	C38-H26 $\cdots$ $\pi$	0.929	2.877	3.802	173.34
	C16-H11 $\cdots$ O2	0.971	2.465	3.331	148.50
<i>trans</i> isomer	C15-H10 $\cdots$ $\pi$	0.930	2.857	3.766	166.22
	C16-H16 $\cdots$ O2	0.930	2.608	3.486	157.78

180 Table 4 summarized the contacts contributing to the Hirshfeld surface represented in normal mode. It was found that  
 181 weak  $H \cdots H$  contacts display the major contribution in all the three polymorphs, with 44.2%, 41.9%, 43.8% and 43.0%  
 182 of total surface area, respectively. The  $C \cdots H$  contacts with second major contribution comprise 38.2%, 40.1%, 38.2%  
 183 and 37.1% of total surface area. Apart from that,  $O \cdots H$  contacts in the three polymorphs also show important  
 184 contribution for the supramolecular architectures from 12.3 to 14.6% of total surface area and appear as two wings  
 185 in the left ( $H \cdots O$ ) and right ( $O \cdots H$ ) areas of the related plots (Figure 10).



186  
187 **Figure 10.** Two-dimensional fingerprint plots for O...H / H...O contacts: types A (a) and B (b) in  $\alpha$  polymorph,  $\beta$  polymorph (c)  
188 and *trans* isomer (d).

189 **Table 4.** Contributions of the intermolecular contacts.

Chalcone molecule	H...H %	C...H %	O...H %	C...C %	C...O %
$\alpha$ polymorph (type A)	44.2	38.2	14.3	2.3	1.1
$\alpha$ polymorph (type B)	41.9	40.1	14.6	1.8	1.7
$\beta$ polymorph	43.8	38.2	14.3	2.5	1.3
<i>trans</i> isomer	43.0	37.1	12.3	5.2	2.4

190 Second, according to former research, the most chalcone molecules contained large  $\pi$ -conjugated groups, such as  
191 anthryl or pyrenyl, usually exhibit twisted structure [25,26]. However, in our system, the dihedral angles between  
192 the anthracene and benzene rings in all the *cis* isomers are nearly vertical. In contrast, the *trans* chalcone molecule  
193 shows a nearly planar structure. In order to investigate stability of the three crystals, theoretical calculation and  
194 correlative phase transition experiments were performed.

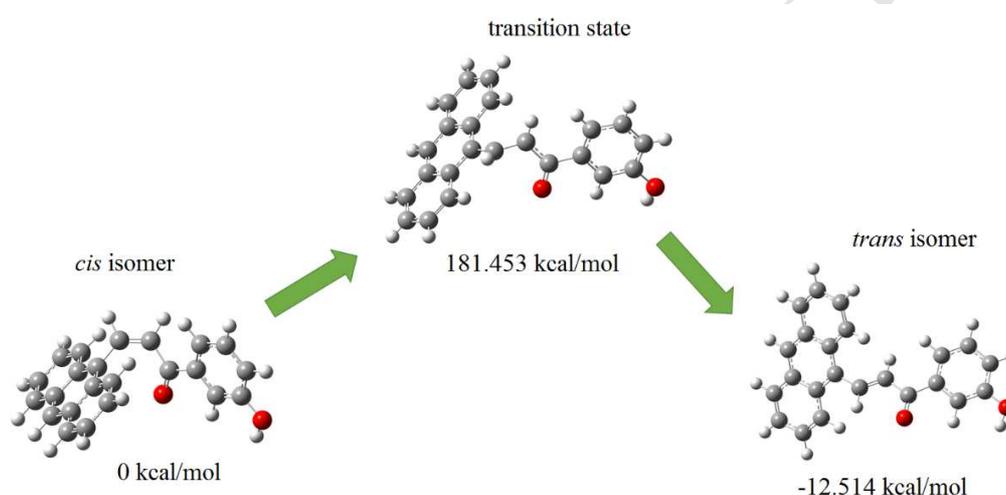
195 Calculation details has been described in experiment part. Fixed the unit cell parameters, the molecules were relaxed  
196 to obtain the energy of each unit cell, and the result was summarized in Table 5. It could be found that the *trans*  
197 isomer exhibits lowest energy of 4690.19 eV, which has 0.18 and 0.1eV lower than  $\alpha$  and  $\beta$  polymorphs, suggesting  
198 it is more stable than the other two *cis* polymorphs. Moreover, as shown in Figure 11, the conversion energy barrier  
199 from *cis* isomer to *trans* isomer was also calculated by DFT in gas phase condition at M062X/6-31+G(d,p) level.  
200 The *cis* isomer need to overcome 181.453 kcal/mol for transforming into *trans* isomer.

201 In order to verify the above result, the phase transitions were investigated. Analysis of the grinding product by  
 202 PXRD patterns (Figure 5S), whether or not the solvent was added, the  $\alpha$  polymorph always transformed into the  
 203 *trans* isomer polymorph. This result further proved that the *trans* isomer is more stable, which may be also  
 204 responsible for unavailability of  $\beta$  polymorph, despite extensive efforts.

205 **Table 5.** The energy (eV) of the three polymorphs calculated by GGA-PBE method (cut-off energy 400.0 eV) [27].

Optimized crystal structure <sup>[a]</sup>	energy
$\alpha$ polymorph	-4690.01
$\beta$ polymorph	-4690.09
<i>trans</i> isomer	-4690.19

206 <sup>[a]</sup> Optimized super cell were shown in Figure 4S.

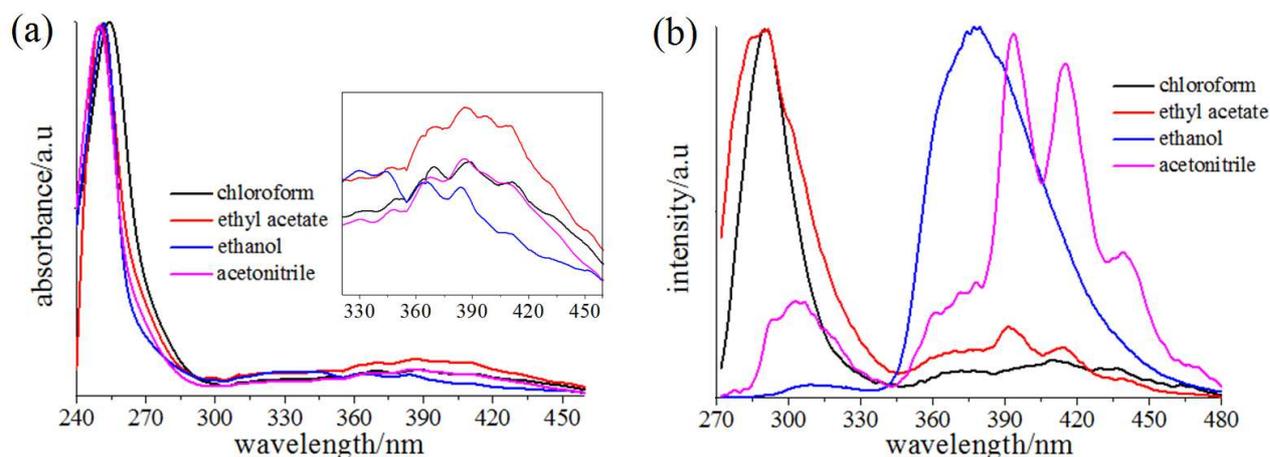


207  
 208 **Figure 11.** Conversion energy barrier from *cis* isomer to *trans* isomer.

### 209 Optical–physical properties

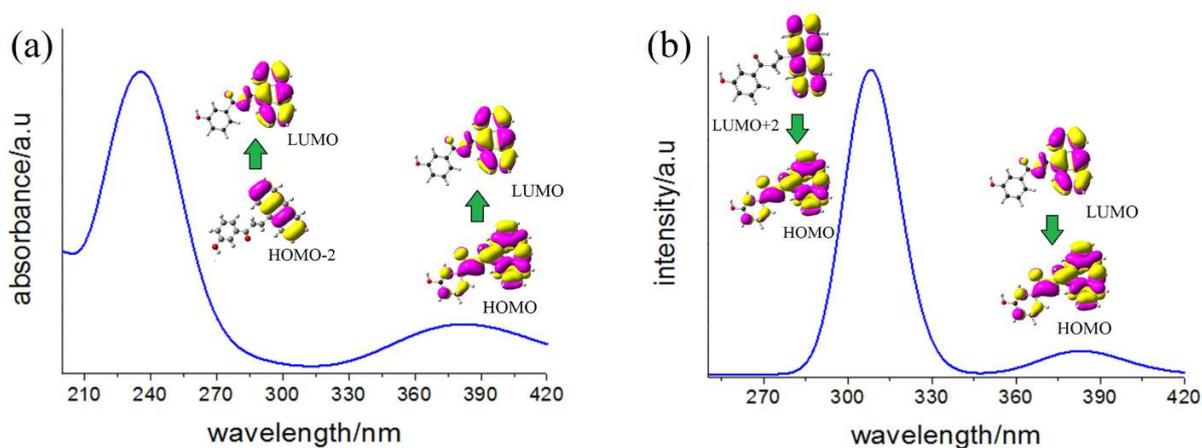
210 The absorption and fluorescence spectra of the chalcone in various solvents with different polarities were shown in  
 211 Figure 12. The absorption spectra show very little change with an increase in the solvent polarity and exhibit the  
 212 narrow absorption bands with the absorption maxima around 250 nm. Besides, several weak absorption bands in  
 213 340–420 nm could be also observed, which are attributed to anthracene chromophore [28]. Agreeing with the above  
 214 experiments, TD-DFT calculation revealed that the chalcone molecule (*trans* isomer) has two dominant absorption  
 215 bands (Figure 13a). The one band was found at 5.10 eV (243 nm), which is associated with HOMO-2→LUMO  
 216 transition. The other band is around 3.24 eV (383 nm), corresponding to HOMO→LUMO transition.  
 217 In Figure 12b, the fluorescence spectra in various solvents were presented. Compared with the absorption spectra,  
 218 the intensity of fluorescence peaks exhibits obvious change with an increase in the polarity of solvents. When the  
 219 solvent was changed from chloroform to acetonitrile, fluorescence intensity decrease / increase could be found  
 220 around 280–330 and 360–460 nm in the spectra, respectively. The spectrum of the chalcone in acetonitrile shows  
 221 vibration peaks at 393, 415 and 438 nm, corresponding to anthracene chromophore emission [28]. However, when  
 222 measured in the other solvents, the vibration structure is less clear, even disappears almost completely in ethanol.

223 The emission spectrum of the chalcone molecule (*trans* isomer) in gas phase calculated at the M062X/6-31+G(d,p)  
 224 level of theory were plotted with respect to the integrated amplitude and were shown Figure 13b. It could be  
 225 observed that the dominant emission bands are centered at 3.10 eV (404 nm) and 4.03 eV(308 nm), which are  
 226 attributed to the LUMO→HOMO and LUMO+2→HOMO transitions, respectively.



227  
228

**Figure 12.** UV-Vis (a) and fluorescence (b) spectra of the chalcone in various solvents.



229  
230 **Figure 13.** UV-Vis (a) and fluorescence (b) spectra of the chalcone calculated in gas phase at M062X/6-31+G(d, p).

231 Apart from that, the optical-physical properties of  $\alpha$  polymorph and *trans* isomer were also investigated. As shown  
 232 in Figure 14, the  $\alpha$  polymorph and *trans* isomer have similar absorption spectra, which exhibit a two broad  
 233 absorption bands around 245 and 300 nm, respectively. Compared with that measured in solvents, the absorption  
 234 bands in 340–420 nm range almost disappear.

235 Excited at 365 nm, the weak fluorescence could be observed in both the  $\alpha$  polymorph and *trans* isomer in our system,  
 236 they exhibit a broad band with only one peak centered at 592 and 586 nm, respectively. Compared with that  
 237 measured in solvents, the solid-state emission maxima shift to the longer wavelength region with a red shift of about  
 238 160 nm. The solid-state properties of a given molecule are usually closely related to its arrangement. Thus, the  
 239 similar optical-physical properties of the two crystals should be ascribed to their similar crystal structures.

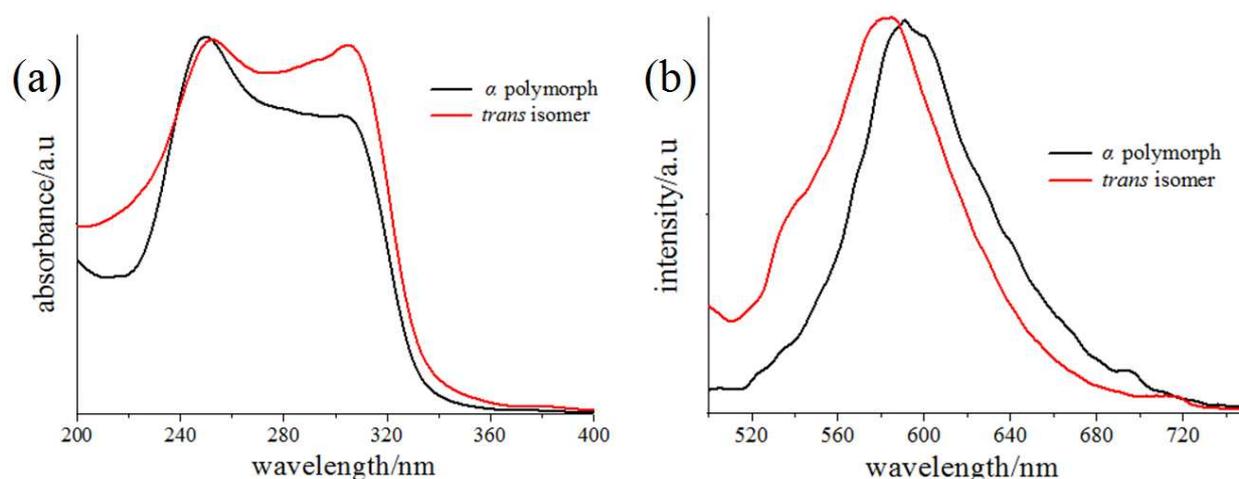


Figure 14. Absorption (a) and fluorescence (b) spectra of the  $\alpha$  polymorph and *trans* isomer.

Table 6. Optical-Physical Properties Data.

solvents / crystals	$\lambda_{ab}$ (nm) <sup>[a]</sup>	$\lambda_{em}$ (nm) <sup>[b]</sup>	$\Phi_F$ <sup>[c]</sup>
ethanol	252	377	0.003
chloroform	254	291	0.002
ethyl acetate	251	292	0.002
acetonitrile	250	394	0.001
$\alpha$ polymorph	249	592	0.003
<i>trans</i> isomer	309	586	0.002

<sup>a</sup> Maximum wavelengths of absorption spectra. <sup>b</sup> Wavelengths of fluorescence emission spectra excited at 365 nm for the chalcone in solvents or crystals. <sup>c</sup> Fluorescent quantum yields excited at 365 nm.

## 245 Conclusions

246 In summary, we discovered an interesting polymorphism in 3-(9-anthryl)-1-(3-hydroxyphenyl)prop-2-en-1-one. The  
 247 compound formed three crystals, two of them adopt the *cis* configuration and only one exhibits the *trans*  
 248 configuration. All the three crystals exhibit the similar structures, in which the two adjacent chalcone molecules  
 249 form the dimers and stack parallel to construct their crystal structures. Hirshfeld surface analyses revealed that the  
 250 intermolecular H-bonds (O-H $\cdots$ O) between the phenolic hydroxyl and carbonyl groups play a key role in crystal  
 251 packing for the three crystals. However, the coexistence of H-bonds (C=O $\cdots$ H) and C-H $\cdots$  $\pi$  interactions around  
 252 C=C double bond are crucial for formation of the *cis* configuration. Moreover, the theoretical calculation and  
 253 grinding experiments revealed that the *trans* isomer is more stable than the two other *cis* isomers. The optical-  
 254 physical properties of the  $\alpha$  polymorph and *trans* isomer were also investigated. Due to their similar crystal  
 255 structures, no obvious change could be found in their fluorescence spectra.

## 256 Conflicts of interest

257 There are no conflicts to declare.

## 258 Acknowledgements

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Dear Referees:

We would like to submit the manuscript entitled “Polymorphism and configurational isomerism in 3-(9-anthryl)-1-(3-hydroxyphenyl)prop-2-en-1-one” to be published in Journal of Molecular Structure. Chalcone have been studied extensively, however, the most chalcone molecules exhibit the *trans* configuration. In this work, an chalcone, 3-(anthracen-9-yl)-1-(3-hydroxyphenyl)prop-2-en-1-one, was synthesized, which afforded three polymorphs under different solvents. To our surprise, two of the three polymorphs exhibit the *cis* configuration, whereas only one exhibit the *trans* configuration. Hirshfeld surface analyses and theoretical calculation were performed for rationalizing the configuration polymorphism phenomenon and investigating the stability of these crystals. The results revealed that the coexistence of H-bonds and C-H $\cdots\pi$  interactions around the two hydrogen atoms on the C=C double bond may be crucial for formation of the *cis* configuration, and the *trans* polymorph is more stable than the two other *cis* polymorphs. Meanwhile, several solid-state properties were also investigated. We believed that the study could provide reference for controlling the polymorphism and configuration in chalcone derivatives. We also deeply appreciate your consideration of our manuscript, and look forward to receiving comments from the reviewers. If you have any queries, please don't hesitate to contact us at the address below.

Thank you and best regards.

Yours sincerely,

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