# Dalton Transactions

An international journal of inorganic chemistry

www.rsc.org/dalton

Volume 42 | Number 12 | 28 March 2013 | Pages 4093-4320



## Featuring the themed issue: Mechanistic organometallic chemistry

ISSN 1477-9226

## **RSC** Publishing

COVER ARTICLE

M. Mar Díaz-Requejo, Feliu Maseras, Pedro J. Pérez *et al.* Catalytic cross-coupling of diazo compounds with coinage metal-based catalysts: an experimental and theoretical study



1477-9226(2013)42:12;1-R

## Dalton Transactions

#### PAPER

#### Cite this: Dalton Trans., 2013, 42, 4132

### Catalytic cross-coupling of diazo compounds with coinage metal-based catalysts: an experimental and theoretical study†

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We examined the ability of  $Tp^{x}M$  ( $Tp^{x}$  = hydrotris(pyrazolyl)borate ligand; M = Cu and Ag) and IPrMCI (IPr = 1,3-bis(diisopropylphenyl)imidazol-2-ylidene; M = Cu, Ag, Au) complexes as catalyst precursors for the cross-coupling of diazo compounds. Experimental data showed that the metal centre can be tuned with the appropriate selection of the ligand to yield either the homo- or hetero-coupling (cross-coupling) products. A computational study of the reaction mechanism allowed the rationalization of the experimental reactivity patterns, and the identification of the key reaction step controlling the selectivity: the initial reaction between the metallocarbene intermediate and one of the diazo compounds.

Received 12th October 2012, Accepted 21st December 2012

DOI: 10.1039/c2dt32439c

#### Introduction

The metal-catalyzed carbene transfer reaction of diazo compounds has constituted a useful methodology in organic synthesis, both in inter- and intramolecular fashions.<sup>1</sup> During this reaction, unsaturated fragments can be modified upon addition of a CR<sup>1</sup>R<sup>2</sup> fragment to yield three member rings (Scheme 1). Also, saturated X–Y bonds can be functionalized, affording the corresponding insertion products.

The main drawback of all these reactions consists of the non-desired side-reaction that originates from the coupling of the metallocarbene intermediate with a second molecule of the diazo reagent (Scheme 1). Further, this homocoupling reaction is favoured over the addition/insertion processes, although the use of a low diazo concentration usually precludes such coupling. Several groups have explored this reaction as an alternative synthetic route for olefin synthesis,

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Scheme 1 Metal-catalyzed carbene transfer reactions.

where the coupling of two fragments always derives from the same diazo reagent. The ruthenium-based systems were the commonly used catalysts for this transformation,<sup>2</sup> and the transition metals from groups  $4-6^3$  and 9-11 have also been employed.<sup>4</sup> Hodgson and co-workers described<sup>5</sup> the crosscoupling of two diazoacetates of formulae N<sub>2</sub>C(H)CO<sub>2</sub>R with different R groups. But it was not until very recently that Davies and co-workers have described a rhodium-based catalytic system to promote the efficient cross-coupling of two distinct diazo compounds<sup>6</sup> with a very high regioselectivity toward the *E* isomer [eqn (1)].



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<sup>†</sup>Electronic supplementary information (ESI) available: Crystallographic data for compound 4 (CIF). Conformational analysis of selected structures. Evaluation of steric/electronic effects through ONIOM calculations. Total energies and Cartesian coordinates of all reported structures. CCDC 905569. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c2dt32439c

We have described several catalytic systems based on the group 11 metal complexes for the addition<sup>7</sup> or insertion<sup>8</sup> of CHCO<sub>2</sub>Et (derived ethyl diazoacetate, EDA) to organic substrates. In view of the interest on the above cross-coupling reaction, we have studied the potential of our catalysts ( $Tp^{x}M$  and IPrMCl;  $Tp^{x} =$  hydrotris(pyrazolyl)borate ligand; IPr = *N*-heterocyclic carbene ligand) in this transformation, where we have found that both the copper and silver can be tuned with ligands to afford the formation of desired olefins. Theoretical calculations were carried out to rationalize the mechanistic details.

#### **Results and discussion**

**Dalton Transactions** 

## Catalyst screening for the cross-coupling reaction of diazo compounds

Previous work carried out in our laboratory has shown that two families of group 11 metal-based catalysts containing hydrotris(pyrazolyl)borate ( $Tp^x$ ) or *N*-heterocyclic carbene (NHC) ligands (Scheme 2) readily transferred carbene units : CHCO<sub>2</sub>Et from ethyl diazoacetate (EDA, N<sub>2</sub>CHCO<sub>2</sub>Et) to several saturated or unsaturated substrates.<sup>7–9</sup> The catalytic coupling of two carbene groups was observed as a side reaction, and this process could be avoided by slow addition of EDA. The aforementioned work by Davies and co-workers<sup>6</sup> guided us to explore the catalytic potential of these compounds toward the coupling of two different diazo compounds with the aim of inducing the synthesis of the olefin derived from the crosscoupling of both carbenes.

In the first series of experiments, we tested the catalytic activity of several  $Tp^x$ -containing complexes in the crosscoupling reaction of two different diazo compounds, EDA [A in eqn (2)] and ethyl 2-phenyldiazoacetate [B in eqn (2)].



We could expect the formation of three olefins: those that are coming from the homocoupling (1, 2) and the targeted



Scheme 2 Ligands employed in this work.

heterocoupling olefin (3) with both Z and E isomers. As shown in Table 1, the copper-based catalysts exclusively afforded a mixture of diethyl fumarate and maleate (i.e., the homocoupling products from EDA). Neither of the other homocoupling olefin 2 nor the heterocoupling 3 were detected at the end of the reaction, and diazo compound B was recovered. In contrast, the analogous silver-based complexes gave 90% of the targeted cross-coupling product 3, whereas the homocoupling derivatives 1 and 2 were not formed. Different E:Zregioselectivities were induced by both silver catalysts (Table 1, entries 4, 5). Interestingly, a minor product was also formed in this case, and was identified as an azine (4) that formally derived from the coupling of two molecules of diazo compound **B** after the loss of a molecule of N<sub>2</sub>. Yet described,<sup>10</sup> we have unambiguously characterized compound 4 by comparing the literature data as well as by X-ray diffraction studies (see ESI<sup>†</sup>).

After these findings, we wonder if the complexes IPrMCl (IPr = 1,3-bis(diisopropylphenyl)imidazol-2-ylidene; M = Cu, Ag and Au) could be also active for the reaction shown in eqn (2), given their already commented capabilities for carbene transfer from EDA.<sup>8*a,b*,11</sup> Table 2 summarizes the results that we have obtained. From which, the following information can be extracted: (i) a halide scavenger (NaBAr'<sub>4</sub> (Ar' = 3,5-bis(trifluoromethyl) phenyl)) is required for the reaction to occur with the Cu- and Ag-based catalysts; (ii) the gold complex remained catalytically inactive with and without such a scavenger. With copper (Table 2, entry 2), homocoupling of EDA was observed as the minor product, while the cross-coupling product, **3**, was obtained as the main product (89%). A similar result was observed with the silver analogue, but with the lack of **1**.

Table 1 Cross-coupling of diazo compounds A and B catalysed by  $\text{Tp}^{x}M$   $(M=\text{Cu},\text{Ag})^a$ 

Entry	Catalyst	1:2:3:4(%)	E:Z(3)
		( )	0
1	Tp <sup>BI3</sup> Cu	100:0:0:0	_
2	Tp <sup>Pn</sup> Cu	100:0:0:0	—
3 <sup>b</sup>	CuI	100:0:0:0	_
4	Tp <sup>*,Br</sup> Ag	0:0:90:10	78:22
5	Tp <sup>Br3</sup> Ag	0:0:90:10	58:42

<sup>*a*</sup> Reaction conditions: 0.0125 mmol catalyst; 5 mL CH<sub>2</sub>Cl<sub>2</sub>; 0.25 mmol of each diazo compound at 5 °C. <sup>*b*</sup> The diazo compound **B** remained unreacted in the reaction mixture.

Table 2 Cross-coupling of diazo compounds A and B using IPrMCI (M = Cu, Ag and Au) as a precatalyst  $^{a}$ 

Entry	Catalyst	1:2:3:4(%)	E:Z(3)
1	IPrCuCl	_	_
2	$IPrCuCl + NaBAr'_{4}$	5:0:89:6	76:24
3	IPrAgCl	_	_
4	$IPrAgCl + NaBAr_{4}$	0:0:91:9	80:20
5 <sup>b</sup>	$IPrAuCl + NaBAr_4$	—	—

<sup>*a*</sup> Reaction conditions: 0.0125 mmol catalyst; 5 mL CH<sub>2</sub>Cl<sub>2</sub>; NaBAr<sub>4</sub> (1 equiv.); 0.25 mmol diazo compounds at 5 °C. <sup>*b*</sup> Both diazo compounds remain unreacted in the reaction mixture.

Again, homocoupling of the diazo compound **B** (*i.e.*, olefin 2) was not detected. The E:Z ratio of 3 was similar with both metals (entries 2 and 4), indicating a similar catalytic pocket. The azine, 4, was also formed to a similar extent to that in the Tp<sup>x</sup>M system. It is worth mentioning that the experiments were carried out upon addition of the diazo compounds in one portion at the beginning of the reaction.

The optimized results shown in Tables 1 and 2 were obtained at 5 °C, and no significant reaction outcome was observed below this temperature. When the reactions were carried out at room temperature (23 °C) with Tp<sup>\*,Br</sup>Ag and IPrAgCl as catalyst precursors, the 1:2:3:4 ratio of products found at the end of the reaction was 0:0:57:43 and 0:0:47:53, respectively. Further, both catalysts provide a significant increase of the azine, 4. The *E*/*Z* selectivity did not change with the temperature.

The above experimental data have shown that  $Tp^{x}Ag$  and IPrMCl (M = Cu and Ag) complexes are active catalysts for the cross-coupling reaction of N<sub>2</sub>C(H)CO<sub>2</sub>Et and N<sub>2</sub>C(Ph)CO<sub>2</sub>Et to afford the desired heterocoupling-derived olefins **3**. Further, this is the first example of group 11 metal-based catalysts for this reaction at those levels of efficiency. A minor product was identified as the azine (4). On the other hand, the  $Tp^{x}Cu$  system exclusively led to the homocoupling products, **1**. Therefore, there is a clear effect of the ligand ( $Tp^{x} vs$ . IPr) in the copper case as well as an effect of the metal, Cu vs. Ag, in the  $Tp^{x}$  case. In order to ascertain the nature of the mechanism that governs this transformation, a complete theoretical study has been carried out with both the  $Tp^{Br3}M$  (M = Cu, Ag) and IPrMCl systems (M = Cu, Ag, Au), which is the subject of the next section.

#### **Computational studies**

The proposed mechanism for the reaction of N<sub>2</sub>C(Ph)CO<sub>2</sub>Et (6a) and  $N_2C(H)CO_2Et$  (6b) catalyzed by  $Tp^xM$  (5) is shown in Scheme 3. The first step of this mechanism is the coordination of 6a and 6b to the catalyst (5). Starting from the resulting complexes (7a and 7b),  $N_2$  dissociation leads to the active metallocarbene intermediates 8a and 8b via TS1a and TS1b, respectively. These metallocarbenes can react with N<sub>2</sub>C(Ph)- $CO_2Et$  (6a) or  $N_2C(H)CO_2Et$  (6b) to form the cross-coupling (CC) product (3), homocoupling (HC) products (1 and 2), and azine (AZ, 4) (see Fig. S1a, ESI<sup>+</sup>). The cross-coupling and homocoupling processes undergo through TS2. It is wellknown from the literature<sup>12</sup> that TS2 should have an antiperiplanar arrangement of the M-C and C-N bonds, but this leaves still some conformational flexibility associated with the approach of the two fragments. We report here only the most stable conformation of TS2, information on the conformational search can be found in the ESI<sup>+</sup> section.

#### Tp<sup>Br3</sup>M systems

First, we computed the free energy profiles for the reaction of  $N_2C(Ph)CO_2Et$  (6a) and  $N_2C(H)CO_2Et$  (6b) catalyzed by



Scheme 3 Proposed catalytic cycle for the reaction of  $N_2C(Ph)CO_2Et$  (6a) and  $N_2C(H)CO_2Et$  (6b) catalyzed by Tp<sup>x</sup>M (M = Ag, Cu).

Tp<sup>Br3</sup>Ag. Results are summarized in Scheme 4A. Coordination of **6a** and **6b** on Tp<sup>Br3</sup>Ag is endergonic by +9.5 (7a) and +5.0 (7b) kcal mol<sup>-1</sup>, respectively. The key step happens to be the subsequent  $N_2$  elimination from 7a, leading to the metallocarbene 8a through transition state TS1a with a relative energy of 19.8 kcal mol<sup>-1</sup>. In a similar vein, the second metallocarbene, 8b, can be formed through TS1b with a relative energy of 22.0 kcal mol<sup>-1</sup>. This step is critical because it constitutes the highest energy point in pathways leading to the products. The relative energies of TS1a and TS1b indicate that in the case of Tp<sup>Br3</sup>Ag, N<sub>2</sub>C(Ph)CO<sub>2</sub>Et will react before that N<sub>2</sub>C(H)CO<sub>2</sub>Et. We analyze the origin of the discrimination on the reaction of the first diazo molecule with the metal complex through ONIOM-(B3LYP: MM3) calculations with a mechanical embedding scheme (see Fig. S2, ESI<sup>+</sup>). This proved that the reason is purely electronic, when using an MM description for phenyl the discrimination disappeared.

Starting from the favoured metallocarbene (8a), two close energy competing pathways lead to cross-coupling product (3) and azine (4), through transition states with relative free energies of 11.8 kcal mol<sup>-1</sup> (TS2<sub>CC</sub>) and 12.3 kcal mol<sup>-1</sup> (TS<sub>AZ</sub>), respectively. Further, these two transition states yield the 3 : 4 ratio of 70 : 30, which is in reasonable agreement with the experimental value (90 : 10). The most stable transition states leading to the *E* and *Z* forms of the cross-coupling products hold the barrier heights of 11.8 and 11.9 kcal mol<sup>-1</sup>, giving rise to the computed E:Z ratio of 54 : 46, which is in agreement with the experimentally observed value (58 : 42). In both transition states, Ag–C and C–N bonds are in the antiperiplanar conformation (Scheme 3). The favourable cross-coupling product, 3 (*E*), is -81.1 kcal mol<sup>-1</sup> below the entry channel



Scheme 4 Free energy profiles (kcal mol<sup>-1</sup>) for the reaction of N<sub>2</sub>C(Ph)CO<sub>2</sub>Et (**6a**) and N<sub>2</sub>C(H)CO<sub>2</sub>Et (**6b**) catalyzed by (A) Tp<sup>Br3</sup>Ag and (B) Tp<sup>Br3</sup>Cu.

(not shown in the free energy profile). The alternative pathway leading to the homocoupling product (2) from **8a** must be discarded because of the high barrier of the transition state (22.9 kcal mol<sup>-1</sup> for **TS2**<sub>HC</sub>, more than 10 kcal mol<sup>-1</sup> than the competing pathways). Therefore, there is a strong preference for N<sub>2</sub>C(H)CO<sub>2</sub>Et to be the second substrate to react with the system. We attribute this preference to steric effects, as the system becomes too crowded to accept a second substrate containing a phenyl group.

For the sake of completion, we also checked the barriers for the homocoupling and cross-coupling starting from the less favourable metallocarbene, **8b**, red lines in Scheme 4A. The free energies for the corresponding transition states are prohibitively high 21.5 kcal mol<sup>-1</sup> (**TS**<sub>HC'</sub>) and 23.2 kcal mol<sup>-1</sup> (**TS**<sub>CC'</sub>) to compete with the pathways through **8a**. It is however worth remarking that the entry of **6a** as a second substrate molecule, leading in this case to homocoupling, is also favoured.

Calculated free energy profiles for the analogous  $Tp^{Br3}Cu$ system are shown in Scheme 4B. The most striking difference is that now the formation of **8b** has a lower free energy barrier (19.5 kcal mol<sup>-1</sup>) than that of **8a** (22.6 kcal mol<sup>-1</sup>). This is due to the fact that the coordination of N<sub>2</sub>C(Ph)CO<sub>2</sub>Et to the  $Tp^{Br3}Cu$  is difficult, as the Cu-coordination sphere (*i.e.*, Culigand bond distances) is relatively smaller than the Ag-based system (Fig. 1). As a result, computed free energies of **7a** and the subsequent transition state for the N<sub>2</sub> dissociation are relatively higher in energy. Therefore, **8b** is the active metallocarbene intermediate in solution. Starting from **8b**, binding of N<sub>2</sub>C(H)CO<sub>2</sub>Et as the second diazo molecule is favored due to steric reasons, analogously to the silver system. However, in this case binding of a second unit of **6b** leads to the homocoupling product.



Fig. 1 Optimised structures of **7a**: (A) Ag-based system and (B) Cu-based system.

#### **IPrMCl systems**

According to the experimental observations, IPrCuCl and IPrAgCl systems cannot perform cross-coupling of  $N_2C(Ph)$ - $CO_2Et$  and  $N_2C(H)CO_2Et$ , and they only become active in the presence of a base, NaBAr<sub>4</sub>. This observation and previous reports in the literature<sup>11</sup> suggested that NaBAr<sub>4</sub><sup>'</sup> could abstract Cl<sup>-</sup> from IPrMCl, leading the active precursors, IPrM<sup>+</sup> that may initiate the catalytic cycle. However, the Au-based system is not active for cross-coupling or homocoupling. We carried out DFT calculations to understand these puzzling observations.

Our calculations (summarized in Scheme 5) indicated that it is more difficult to form the  $IPrM^+$  active species from the starting  $IPrMCl + NaBPh_4$  in the case of gold. The relative energies are 19.5 kcal mol<sup>-1</sup> for the copper system, 21.9 kcal mol<sup>-1</sup> for the silver system, and 28.0 kcal mol<sup>-1</sup> for the gold system. As a result, the availability of  $IPrAu^+$  will be lower than that of  $IPrCu^+$  and  $IPrAg^+$ , which explains the inferior reactivity of the gold system. This is not in contradiction with the efficiency of the IPrAuCl + EDA system for other processes such as C–H activation,<sup>11</sup> because the reactivity depends both on concentration and energy barrier.<sup>13</sup>

Our experimental results showed that both the IPrCu<sup>+</sup> and IPrAg<sup>+</sup> systems prefer cross-coupling rather than homocoupling. According to the free energy profiles (Scheme 6), N<sub>2</sub> dissociation from N<sub>2</sub>C(Ph)CO<sub>2</sub>Et bound complex (7**a**) is easier than the N<sub>2</sub>C(H)CO<sub>2</sub>Et bound species (7**b**) in both systems. Therefore, the reaction passes through the metallocarbene 8**a**, leading to the desired cross-coupling product, 3. In the case of IPrAg<sup>+</sup>, *E* and *Z* forms of 3 are formed with barriers of 15.5 and 18.2 kcal mol<sup>-1</sup>, respectively. Further, the calculated *E* : *Z* ratio of



 $\mbox{Scheme 5}$   $\mbox{Free energy profiles (kcal <math display="inline">\mbox{mol}^{-1}\mbox{)}$  for the formation of  $\mbox{IPr}\mbox{M}^+$  from  $\mbox{IPr}\mbox{MCI}.$ 

99:1 reproduced the experimental trend (E:Z = 80:20). Similar features can be seen for the analogous Cu-based system, where the calculated barriers for the E (17.8 kcal mol<sup>-1</sup>) and Z (20.4 kcal mol<sup>-1</sup>) products yield the E:Z ratio of 99:1, which also supports the experimental observations (76:24).

We observed azine as a side product with both the catalysts, and our calculated 3:4 ratio of 99:1 for the Agbased system and 100:0 for the Cu-based system support the experimental trends. It is important to note that the IPrCu<sup>+</sup> system provides homocoupling product (2) as a minor product due to the fact that the energy separation between **TS1a** and **TS1b** is only 2.6 kcal mol<sup>-1</sup>, and therefore the metallocarbene **8b** can be formed. However, we did not observe homocoupling product (1) in the case of IPrAg<sup>+</sup>, because the energy gap between **TS1a** and **TS1b** is 5.5 kcal mol<sup>-1</sup>.

Our calculations reproduce all experimental observations, and provides a simple rationalization for them. Computational chemistry is thus a promising tool for the evaluation of the potential efficiency of new ligands for this chemical process prior to their experimental testing.

#### Conclusions

We have shown that  $Tp^{x}Ag$  and IPrMCl + NaBAr'<sub>4</sub> (M = Cu and Ag) complexes are active catalysts for the cross-coupling reaction of N<sub>2</sub>CHCO<sub>2</sub>Et and N<sub>2</sub>C(Ph)CO<sub>2</sub>Et to afford heterocoupled olefins EtO<sub>2</sub>C(H)C=C(Ph)CO<sub>2</sub>Et, which constitute the first example of group 11 metal-based catalysts at this level of efficiency. The related Tp<sup>x</sup>Cu complexes exclusively lead to the



Scheme 6 Free energy profiles (kcal mol<sup>-1</sup>) for the reaction of N<sub>2</sub>C(Ph)CO<sub>2</sub>Et and N<sub>2</sub>C(H)CO<sub>2</sub>Et catalyzed by (A) IPrAg<sup>+</sup> and (B) IPrCu<sup>+</sup>.

homocoupling products,  $EtO_2C(H)C=C(H)CO_2Et$ . IPrAuCl does not react under similar conditions.

This diverse reactivity could be explained by a computational study on the reaction mechanism. The reaction takes place in a mononuclear complex, with one diazo compound reacting sequentially after the other. In all reacting systems except  $Tp^xCu$ , the initial reaction with  $N_2C(Ph)CO_2Et$  is favored because of electronic reasons.

The second diazo compound to react is always  $N_2C(H)$ -CO<sub>2</sub>Et due to steric reasons. As a result, the heterocoupling product is obtained from Tp<sup>x</sup>Ag and IPrMCl (M = Cu and Ag), and the homocoupling product from Tp<sup>x</sup>Cu. The lack of reactivity of IPrAuCl is satisfactorily explained by the higher energy cost of displacement of the Cl<sup>-</sup> group to produce the active catalyst.

#### **Experimental section**

#### **General manipulations**

All experiments were performed using conventional vacuum line and Schlenk techniques or in a drybox. The complexes  $Tp^{x}M^{14}$  and  $IPrMCl^{15}$  were prepared according to literature procedures as well as the diazo compounds ethyl-2-diazo-acetate-2-phenylacetate<sup>16</sup> and NaBAr'<sub>4</sub> (Ar' = tetrakis(3,5-bis-(trifluoromethyl)phenyl)borate).<sup>17</sup> Ethyl diazoacetate was purchased from Sigma Aldrich. NMR spectra were recorded at 298 K using a Varian Mercury 400 instrument. GC were run in a Varian 3900 model.

#### General catalytic reaction

In a typical experiment, the catalyst (0.0125 mmol) was dissolved in 5 mL of the  $CH_2Cl_2$ . In the case of IPrMCl complexes, 1 equiv. of NaBAr<sub>4</sub>' was added to the above solution. Then, ethyl-2-diazoacetate (0.25 mmol) and ethyl-2-diazoacetate-2-phenylacetate (0.25 mmol) were added in one portion. The reaction mixture was stirred at 5 °C until no diazo reagents were detected by GC. The volatiles were removed under vacuum, and the residue was purified by SiO<sub>2</sub>-column chromatography with AcOEt–petroleum ether (10:1). NMR studies revealed the formation of three products (see eqn (2)). The compounds were identified by comparing with the literature data.<sup>10,18</sup>

#### **Computational details**

All calculations were performed using DFT with the B3LYP functional as implemented in the Gaussian09 program.<sup>19</sup> The LanL2DZ<sup>20</sup> basis set and associated effective core potentials with a single *f* polarization function were used for Ag (1.611), Cu (3.525), and a d polarization was added for Br (0.4280).<sup>21</sup> The 6-31G(d) basis set was used for the C, H, N, O, and B atoms.<sup>22</sup> The SMD approach of Truhlar and co-workers was applied for solvation treatments,<sup>23</sup> where dichloromethane ( $\varepsilon$  = 8.93) was used as the solvent. All structure optimizations were full in the solvent phase with no restrictions, and vibrational frequency calculations were performed in order to confirm

that the stationary points were minima or transition states. All transition states had a single imaginary frequency in the optimization in solvent phase. Free energy corrections at 298.15 K and  $10^5$  Pa pressure were used, including zero point energy corrections. Connectivity of the transition state structures was confirmed by relaxing the transition state geometry towards both the reactant and the product. Single-point test calculations with M06 and B97D produced slightly worse agreement with experiment than the B3LYP calculations, there seems to be some problem with the introduction of dispersion corrections in these systems.

Hybrid quantum mechanics/molecular mechanics  $(QM:MM)^{24}$  calculations were performed with a new ONIOM-(DFT:MM3) implementation developed by our group, where we used the Gaussian09 standardized interface to run Tinker6.0.<sup>25</sup>

#### Acknowledgements

We thank MINECO (CTQ2011-28942-CO2-01, CTQ2011-27033 and Consolider Ingenio 2010 CSD2006-0003), Junta de Andalucía (Proyecto P10-FQM-06292), Generalitat de Catalunya (2009SGR-2059 and Xarxa de Referència en Química Teòrica i Computacional) and the ICIQ Foundation for financial support.

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