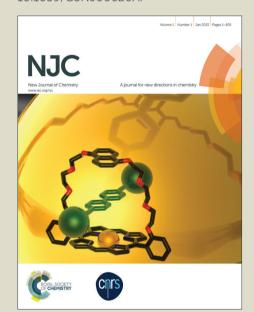


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New Journal of Chemistry

RSCPublishing

ARTICLE

Cite this: DOI: 10.1039/x0xx00000x

Received ooth January 2012, Accepted ooth January 2012

DOI: 10.1039/x0xx00000x

www.rsc.org/

Published on 08 July 2015. Downloaded by UNIVERSITY OF OTAGO on 13/07/2015 13:48:46.

Synthesis and characterization of bifunctional dendrimers. Preliminary use for the coating of gold surfaces and the proliferation of human osteoblasts (HOB).

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Two different novel families of bifunctional water-soluble dendrimers are synthesized, using the specific functionalization of one function of the cyclotriphosphazene core. Dendrimers are grown from the 5 remaining functions, up to generation 2. Water-solubility is attained in the last step of the synthesis by grafting either ammonium terminal groups or carboxylate terminal groups, on generations 1 and 2 of these bifunctional dendrimers. 12 new compounds are synthesized and fully characterized, in particular by multi-nuclear NMR. The function linked to the core is thioctic acid, suitable for the grafting to gold, thus both types of water-soluble dendrimers can be used to coat gold surfaces. These macromolecular assemblies are characterized by Surface Plasmon Resonance (SPR). In a preliminary attempt, the gold surfaces modified by either positively or negatively charged dendrimers are used for studying their interaction with cells. Exposed to human osteoblast cells (OBC), the influence of the surface coatings on the cell responses is investigated. Polycationic dendrimers provoke cell apoptosis, whereas negatively charged dendrimers support cell adhesion and proliferation.

Introduction

Dendrimers¹ are macromolecules with a regularly branched and repetitive structure that are synthesized in an iterative fashion. Due to their monodispersity, well-defined shape and extremely high functionality, dendrimers are ideal nano-sized objects for functional and biocompatible surface coatings. Furthermore, dendrimers play an important role as versatile tools in nanomedicine.² In most cases dendrimers have numerous but identical terminal groups. However, the demand on their structural complexity is increasing,³ and there is a real need for elaborating strategies toward bifunctional dendrimers. Such approach is particularly suitable for the functionalization of the surface of materials at the nanometric scale.⁴

Extensive studies on cell-surface interactions have indicated that surface properties such as hydrophilicity,⁵ surface charge, surface energy,⁶ protein adsorption⁷ and surface topography and morphology,⁸ have a significant effect on these interactions. Typically, cationic charges enhance cell attachment, possibly through electrostatic attraction,⁹ as it was shown for instance for human umbilical vein endothelial cells,¹⁰ and several other types of cells.¹¹ In addition, different cell types typically react entirely differently to an environment;¹² therefore a detailed study for every surface coating is necessary. So far, dendrimers have been used only occasionally for cell-attractive surface coatings, despites the fact that their multivalency should enhance the binding avidity towards cells. Rat neurons showed a high affinity and proliferation rate on multilayered generation

4 (G₄) poly(phosphorhydrazone) dendrimer surfaces that were created by a layer-by-layer deposition technique, with the neurons showing a preference for positively charged outer layers.¹³ Human corneal epithelial cells and mouse fibroblasts showed good adhesion on (G₁-G₄) dendronized surfaces with hydroxyl extremities (non-ionic) of polyamidoamine-based (PAMAM-based) cascade structure.¹⁴ Human fibroblasts and myoblasts also adhered on surfaces coated with D-glucose terminated dendrimers,¹⁵ and embryonic stem cells were maintained in an undifferentiated state on the same surface.¹⁶ Recently, surfaces coated with PAMAM generation 7 dendrimers modified with anti-epithelial cell adhesion molecules (one of the most commonly used circulating tumour cells capturing agents) were efficiently used for the capture of circulating tumour cells in peripheral blood.¹⁷

The surface attachment of osteoblast cells is of particular interest for many biomedical applications. These cells interact with the surface of bones, as they are continuously involved in renewing and reshaping bone tissue. They are also important when foreign material needs to be inserted into the body, for example in the case of implants. It was found that positively charged amino-coated titanium surfaces enhanced the first steps towards osteoblasts adhesion, compared to negatively charged surfaces. This could be related to the negative charge of hyaluronan that is involved in the early adhesion process. TiOx surfaces modified with biotinylated fibronectin (a partial aminoacid sequence of an extracellular matrix protein) adsorbed on a streptavidin-silane self-assembled multilayer

were also found effective for osteoblasts adhesion.²⁰ However, to our knowledge, no experiment to date involving osteoblasts has been carried out with dendrimer coated surfaces.

Here we report the synthesis of two new series of bifunctional dendrimers based on a phosphorus scaffold of the type poly(phosphorhydrazone), a well-established bio-compatible element.²¹ Gold surfaces are chosen to be coated by the dendrimers is a gold surface, as any modification on this surface can be easily investigated by surface plasmon resonance (SPR) spectroscopy and other surface analytical techniques. For this purpose, the dendrimers are functionalized with one dithiolane group linked to the core, suitable for the formation of self-assembled monolayers on gold surfaces, due to the wellknown affinity of gold to thiols. Positively or negatively charged functions are used as terminal groups, to confer a hydrophilic character to the surface of the dendrimer, ²³ and thus to the coated surface. As it has been already shown that the nature of the charges (positive or negative) strongly influences the behaviour of the cells, the presence of charges as terminal groups of dendrimers will enable a comparison with these data. 18 The formation of a self-assembled monolayer on a gold substrate is characterized using surface plasmon resonance (SPR) spectroscopy. In a preliminary attempt, the coated surfaces are exposed to human osteoblast (HOB) cells and their adhesion and proliferation are studied using optical microscopy and biochemical essays.

Results and discussion

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Synthesis and characterization of bifunctional dendrimers.

Hexachlorocyclotriphosphazene is an interesting core for dendrimers, as it possesses 6 functions for growing the branches, instead of 3 or 4 for most classical cores. Furthermore, we have previously shown that it is possible to differentiate one function among six, affording AB₅-type compounds, suitable to elaborate non symmetrical dendrimers²⁴ or highly dense dendrimers.²⁵ The possibility of differentiating the reactivity of each Cl in $P(X)Cl_2$ groups $(X = S, O, or NR)^{26}$ is particularly relevant for the design of new bifunctional dendrimers. The A function is the dithiolane group for the grafting to the gold surface, and the B functions are aldehydes, which are suitable starting points for the synthesis of the branches of poly(phosphorhydrazone) dendrimers,²⁷ and further functionalizations. The reaction of phenols with P-Cl functions is the most convenient reaction (easy and quantitative) for the functionalization of phosphorus dendrimers in basic conditions. Thus we used hydroxybenzaldehyde as the B group and we grafted tyramine to thioctic acid by peptide coupling to elaborate the A group, which contains also a phenol (compound **1**, Scheme 1).

In order to obtain the AB_5 core, two strategies can be envisaged: either first grafting one dithiolane derivative **1** onto the core followed by the reaction of 5 equivalents of hydroxybenzaldehyde (Way **a**, Scheme 1) or grafting first 5 equivalents of hydroxybenzaldehyde then the dithiolane derivative **1** (Way **b**, Scheme 1). We tested both strategies. Way **a** yielded the expected compound **2** as the major product, characterized by the presence of one doublet at 22.4 ppm in the ³¹P NMR spectrum, and a pseudo triplet at 12.2 ppm ($^2J_{PP} = 64$ Hz), characteristic of a single substitution on $N_3P_3Cl_6$. However, the ^{31}P NMR spectrum displays also the presence of small impurities (about 5%) that could not be removed in the purification process. Way **b** yielded cleanly the pentaaldehyde

 ${\bf 3}$, 28 characterized by the presence of a pseudo triplet at 20.8 ppm and a doublet at 5.1 ppm ($^2J_{PP}=84$ Hz) in the ^{31}P NMR spectrum. The grafting of compound 1 in the second step induces the disappearance of these signals and the appearance of a pseudo singlet at 7.4 ppm for compound ${\bf 4}$ - ${\bf G}_0$. We also tried to obtain compound ${\bf 4}$ - ${\bf G}_0$ by continuing the process of Way ${\bf a}$, i.e. by reacting 5 equivalents of hydroxybenzaldehyde with compound 2. The reaction worked, but some impurities from the previous step could not be removed. Thus, only compound ${\bf 4}$ - ${\bf G}_0$ obtained through Way ${\bf b}$ was used in the subsequent steps (Scheme 1).

The condensation of 5 equivalents of H2NNMeP(S)Cl2 resulted in the first generation bifunctional dendrimer $5-G_1$. The completion of the reaction is shown by the disappearance of the signals corresponding to the aldehydes both in ¹H and ¹³C NMR. Compound $5-G_1$ is the starting material for pursuing the growing of the branches. Compound 5-G₁ is reacted with 10 equivalents of hydroxybenzaldehyde. The completion of the reaction affording 4-G₁ is detected by ³¹P NMR, which displays the disappearance of the intermediate singlet at 69 ppm, corresponding to the monosubstitution (P(S)Cl(OC₆H₄CHO)) on behalf of the appearance of a singlet at 60.4 ppm, corresponding to the full substitution. Starting from compound 4-G₁, the second generation of the bifunctional dendrimer is obtained by the condensation with H₂NNMeP(S)Cl₂. Compound 5-G₂ is isolated and characterized, as was 5-G₁ previously. It is in particular characterized in ³¹P NMR by the presence of three singlets at 8.3 ppm (N₃P₃), 61.9 ppm (the 5 P=S groups of the first generation), and 62.8 ppm (the 10 P=S groups of the second generation). Compound $5-G_2$ is then reacted with 4-hydroxybenzaldehyde to afford dendrimer 4-G₂ (Scheme 2). In this case also, ³¹P NMR displays the appearance of the intermediate singlet at 69 ppm, corresponding to the monosubstitution, which disappears when the reaction has gone to completion. All these steps are compatible with the presence of the dithiolane linked to the core, as shown by ¹H and ¹³C NMR, with unchanged signals corresponding to the CH and CH₂ groups of the 5-membered ring.

Dendrimers 4-G₁, 4-G₂, 5-G₁, and 5-G₂ are the precursors of the hydrophilic bifunctional dendrimers suitable for the grafting to the gold surface. For this purpose, two different strategies have been applied. For obtaining positively charged dendrimers, N,N-diethylethylenediamine is reacted with the P(S)Cl₂ terminal groups (1 diamine per Cl). HCl generated in the reaction is trapped by the tertiary amine moieties, 29 affording directly the water-soluble bifunctional dendrimer 6- G_1 from 5- G_1 . As the protonation of the tertiary amine is reversible, the percentage of protonation varies depending on the media, and in particular depending on the pH. 13 The simultaneous presence of two types of terminal groups is clearly detected by ¹H and ¹³C NMR, in particular for the CH₃ groups of NH⁺(CH₂CH₃)₂ (major, δ ¹H = 1.33 ppm (t), δ ¹³C = 7.8 ppm) and N(CH₂CH₃)₂ (minor, $\delta^{-1}H = 1.26$ ppm (t), $\delta^{-13}C =$ 9.0 ppm). The same type of reaction is applied to compound 5- G_2 , used as precursor for the synthesis of the water-soluble bifunctional dendrimer 6-G₂ (Scheme 3).

Compound **4-G**₁ is the starting point for affording another type of water-soluble (negatively charged) bifunctional dendrimer suitable for the grafting to gold surfaces. For this purpose, a Doebner-like reaction is carried out with malonic acid in pyridine with a catalytic amount of piperidine. This reaction affords compound **7-G**₁ ended by carboxylic acids. The characterization is performed at this step. The completion of the reaction is shown by 1H NMR, with the disappearance of the

signal corresponding to the aldehydes on behalf of two doublets at 6.43 and 7.19 ppm (${}^{3}J_{\rm HH}=15.9$ Hz), corresponding to the alkene groups. Compound 7- \mathbf{G}_{1} ended by carboxylic acids is not soluble in water, contrarily to its sodium salt, obtained by addition of NaOH. Finally, compound 4- \mathbf{G}_{2} is used as precursor for dendrimer 7- \mathbf{G}_{2} , obtained by reaction with malonic acid (Scheme 4 displays the full structure of compound 7- \mathbf{G}_{2} , after reaction with NaOH). ${}^{31}P$ NMR displays the presence of 3

signals corresponding to the core N_3P_3 (8.4 ppm) and the P=S groups of the first (62.4 ppm) and second (62.0 ppm) layers. Interestingly, all these steps also are compatible with the presence of the dithiolane function linked to the core. Indeed, it is by far not trivial to have at each step of the multi-step synthesis of dendrimers two types of functions that do not interfere.

$$\begin{array}{c} O \\ HO \\ \\ + \\ - \\ NH_2 \end{array} \begin{array}{c} DIPEA, CH_2CI_2 \\ \hline TBTU, DMF \end{array} \begin{array}{c} HO \\ \\ N \\ \end{array} \begin{array}{c} O \\ \\ N \\ \end{array} \begin{array}{c} N_3P_3CI_6 \\ \hline CS_2CO_3 \\ \hline THF \end{array} \begin{array}{c} CI \\ \hline CS_2CO_3 \\ \hline CI \\ \hline CS_2CO_3 \\ \hline \end{array} \begin{array}{c} CI \\ \hline CI \\ \hline CS_2CO_3 \\ \hline CI \\ \hline CS_2CO_3 \\ \hline \end{array} \begin{array}{c} SNaO \\ \hline CI \\ \hline CI \\ \hline CS_2CO_3 \\ \hline \end{array} \begin{array}{c} SNaO \\ \hline CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline COP-NO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline COP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline COP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline COP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline COP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} CHO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OHC \\ \hline \end{array} \begin{array}{c} OP-NO \\ \hline \end{array} \begin{array}{c} OHC \\$$

Scheme 1. Synthesis of the dithiolane derivative 1, and two strategies for its grafting to the cyclotriphosphazene core. Only Way b affords pure compounds.

Scheme 2. Synthesis of bifunctional dendrimers having one dithiolane function at the core, and either Cl $(5-G_1 \text{ and } 5-G_2)$ or CHO $(4-G_1 \text{ and } 4-G_2)$ terminal functions.

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{1}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

$$[P_{3}N_{3}] \leftarrow O \leftarrow C = N - N - P \leftarrow CI \\ H \rightarrow S - G_{2}$$

Scheme 3. Synthesis of water-soluble bifunctional dendrimers having one dithiolane function at the core, and 10 (6- G_1) or 20 (6- G_2) ammonium terminal groups.

, pyridine, piperidine CO₂H CHO /5 ii) NaOH 7-G₁ 4-G ⊕Na , pyridine, piperidine Na CO2H ii) NaOH (Na[⊕] -Me Na⊕ 7-G₂

Scheme 4. Synthesis of water-soluble bifunctional dendrimers having one dithiolane function at the core, and 10 $(7-G_1)$ or 20 $(7-G_2)$ ammonium terminal groups.

Gold surface coating with the bifunctional dendrimers.

Thin glass slides commonly used for optical microscopy were coated with thin layers of chromium (5 nm) and gold (48 nm). In a first attempt, dendrimers 6- G_1 and 7- G_1 were used in solution in water. A self-assembled layer of the bifunctional

first generation dendrimer was formed on the gold surfaces. The dendrimers attach to the gold surface through the dithiolane moiety at the core, which strongly binds to gold via quasi-covalent bonds.³¹ The successful assembly process was characterized by contact angle and Surface Plasmon Resonance (SPR) measurements. After about five hours, the binding

process was completed. Figure 1 displays the SPR angular scans of a gold sample coated with either dendrimer $\mathbf{6}$ - \mathbf{G}_1 or dendrimer $\mathbf{7}$ - \mathbf{G}_1 . Assuming a refractive index of 1.5^{32} for the dendrimer layer, film thicknesses of about 1.0 nm were obtained. This low value suggests that the hydrophobic interior of the dendrimers collapses upon contact with the aqueous environment. This is in agreement with the behaviour of phosphorus dendrimers in water measured earlier. Analogous experiments were attempted with the second generations of the bifunctional dendrimers $\mathbf{6}$ - \mathbf{G}_2 and $\mathbf{7}$ - \mathbf{G}_2 but failed, presumably due to the protection/shielding of the dithiolane induced by the branches of the dendrimers, which prevents the interaction with the gold surface.

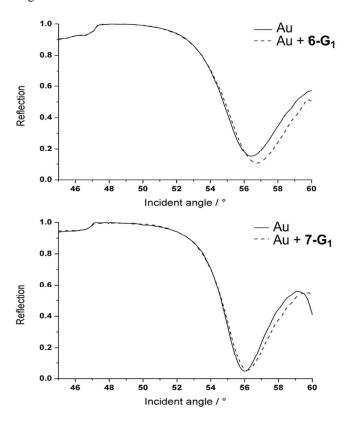


Figure 1. SPR angular scan of gold samples. up: with and without a **6-G**₁ coating; down: with and without a **7-G**₁ coating.

Water contact angle measurements (see SI) showed contact angles of approximately 50° for both the polycationic and polyanionic first generation dendrimer coatings. This value suggests that the hydrophobic interior of the dendrimers is exposed to the aqueous phase, resulting in a film without extreme polarity for both $6\text{-}G_1$ and $7\text{-}G_1$.

Human osteoblast (HOB) cell proliferation on dendrimer functionalized surfaces.

In order to demonstrate the potential utility of the new water soluble bifunctional dendrimers in biology, the dendrimer-coated glass substrates were exposed to a cell culture of Human Osteoblast HOB cells and the proliferation behaviour of these cells was studied optically. Optical microscopy is a valuable method for assessing cell morphology during the cell adhesion and proliferation. During the first 24 hours, the cells were

observed several times in order to follow the cell attachment and spreading process. The HOB cells were seeded to the dendrimer coated substrates and spread similarly on the polycationic, the polyanionic and on the control substrates (Au coated glass and the Petri dish plastic). After 24 hours, most of the cells had adopted their typical, stretched shape and initiated cell-cell contact. These contacts are vital for survival and are usually made within the first few days.

The cell proliferation was followed until a confluence of 80-100%. After the first few days, the cells started to show a different behaviour on the samples coated with positively charged dendrimers $6\text{-}G_1$ (Figure 2). On the negatively charged dendrimers $7\text{-}G_1$ as well as on the control substrates, the cells attached, stretched and proliferated normally. On the positively charged dendrimers $6\text{-}G_1$, however, the cells proliferated less, resulting in many rounded cells and a low surface coverage. The rounded cells were probably dead cells, which concurs with the decreased proliferation rate. A few rounded cells were observed on the other samples (polyanionic $7\text{-}G_1$ and control), but most of them spread again. This is a common process and is related to mitosis. Moreover, on the positively charged dendrimers $6\text{-}G_1$ it was observed that all cells were retracting on longer time scales.

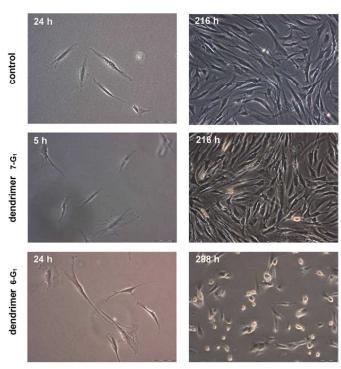


Figure 2. HOB cell proliferation on control, polyanionic $(7-G_1)$ and polycationic $(6-G_1)$ samples at different stages.

The microscopy images of the cells on positively charged dendrimer have been studied in more detail for the visualization of the dead cells (Figure 3). Most cells died through apoptosis ("programmed" cell death), rather than necrosis (traumatic cell death). It was possible to observe the main apoptotic hallmarks by their appearance: chromatin condensation (3A), cell retraction (3B-3C), membrane blebbing (3C) and the final phase where apoptotic bodies are formed (3C-3D). Cell shrinkage manifests itself with irregular cell shape and shady areas around the cells. Membrane blebbing is an advanced form of cell shrinkage, where as a result the membrane starts to deform heavily, showing large quasi-spherical protrusions. It

manifests itself as small blobs attached to the cell.³⁴ Apoptotic bodies are the leftovers of the entire process and can be identified under the microscope (phase contrast) as condensed, ring-like features. Figures 3A and 3B were obtained after staining cells with DAPI (4',6-diamidino-2-phenylindole dihydrochloride), which selectively reveals the location of genetic material. By overlaying the obtained fluorescent images with the regular microscopy images, it was possible to distinguish between healthy cells (nucleus stained blue) and apoptotic cells (diffuse blue stain throughout the cell, Figure 3B) and to recognize apoptotic hallmarks such as chromatin condensation and breakdown of the nuclear envelope.

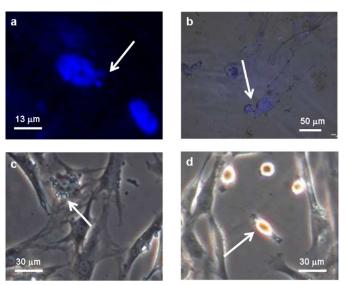


Figure 3. Hallmarks of apoptosis among HOB cells were only found on positively charged dendrimers (**6-G**₁). a: Fluorescence image of a DAPI stained cell nucleus; b: fluorescence and optical microscopy overlay image of a DAPI stained cell; c and d: optical microscopy of cells. All images were recorded after approximately 280 hours.

The proliferation behaviour and health status of the cells have been quantified in terms of cell viability (Figure 4A). The viability was determined as the ratio between the number of living and total cells. A significant difference was observed between cells that grew on polycationic $\mathbf{6}$ - \mathbf{G}_1 or on polyanionic $\mathbf{7}$ - \mathbf{G}_1 dendrimers. For each sample, values obtained for cells adhered on the Petri dish plastic served as a positive internal reference. Cells on polyanionic dendrimers $\mathbf{7}$ - \mathbf{G}_1 and positive control samples showed a very similar behaviour. This indicates that polyanionic dendrimers $\mathbf{7}$ - \mathbf{G}_1 did not affect HOB cell growth as compared to any reference sample. In contrast, polycationic dendrimer $\mathbf{6}$ - \mathbf{G}_1 coatings had cytotoxic effects, resulting in a decreased cell number and viability.

A Caspase 3/7 specific assay was performed, where the luminescence of Luciferase directly reflects the presence and/or activity of the caspases 3 and 7 (Figure 4B). These enzymes are only active when a cell undergoes apoptosis. The polyanionic dendrimers 7-G₁ and the control substrates showed similar low values, clearly indicating that cells were not apoptotic. The polycationic dendrimers 6-G₁, however, did strongly provoke apoptosis, confirming the microscopy observations.

Water-soluble positively charged dendrimers (polyamido amine type) and linear polymers have been described to induce apoptosis among many cell types, 35,36 mostly through uptake by

the cells. Polyamidoamine dendrimers and linear polycations were found to diffuse through the cell membrane and to integrate into the mitochondrial membrane. They compromised the integrity of organelles resulting in a sudden cytochrome c release, which indirectly triggers apoptosis. Furthermore, the amine content as well as the amine substitution degree (primary quaternary) seems to affect the cytotoxicity; higher substitution degrees were found to be more lethal. 35,37 In contrast to these experiments, the dendrimers in the current study were attached to a solid support. There are only few reports on apoptosis specifically induced by surface coatings.³⁸ In general, cells are unaffected by amines or by positively charged surfaces. In fact, cells adhere preferentially to cationic surfaces due to the stronger electrostatic interaction between surface and cell membrane. Furthermore, similar dendrimers with diethyl ammonium groups have been electrostatically attached to a substrate and showed no apoptotic effect on neuronal cells.13

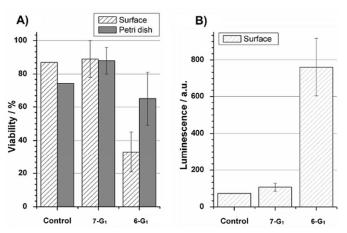


Figure 4. Proliferation and apoptosis quantified on different dendrimer (negatively charged 7- G_1 ; positively charged 6- G_1) coated surfaces: A Viability, B Caspase 3/7 activity assay. These values have been obtained after approximately 280 hours of cell adhesion and proliferation.

Our results are in marked contrast with several previous reports studying the influence of the charges on the behaviour of cells, including of osteoblasts. Indeed, a lower osteoblast cell proliferation on COOH-terminated titanium films was observed compared with NH₂-terminated titanium films, ¹⁸ and recent observations described in literature with positively charged polymeric-dendritic poly(lysine)-coated surfaces³⁹ also emphasize the positive role of such surface on the adhesion of cells. However, the topology of dendrimers is different from that of small molecules and of polymers, and it is well-known that subtle changes in the periphery of dendrimers may deeply modify their properties and cytotoxicity. 40 In the case of phosphorus dendrimers, positively charged dendrimers with the same ammonium terminal groups than 6-G1 (but without the thioctic arm) have been used in many cases in contact with cells, as transfection agents, ²⁹ as anti-HIV agents, ⁴¹ as in vivo anti-prion agents, 42 and as agents against the aggregation of Alzheimer's peptides. 43 No acute toxicity was observed, except in the case of human mononuclear blood cells, the polycationic dendrimers inducing changes in their morphology, and also the loss of cell attachment properties.44 Negatively charged phosphorus dendrimers have many properties for modulating in vitro the response of the human immune system, 45 and have

also anti-inflammatory properties *in vivo.* ⁴⁶ Phosphorus dendrimers ended by the same carboxylate groups than $7-G_1$ have been already used as non-covalent carriers of anti-HIV aminolactitol, ⁴⁷ and *in vivo* for the delivery of an anti-hypertensive drug, ⁴⁸ without acute toxicity effect.

Since only surfaces covered by the polycationic dendrimers $\mathbf{6}$ - \mathbf{G}_1 were cytotoxic to HOB cells, the specific interaction between the surface bound amine groups and the HOB cells caused an activation of the apoptotic pathway. Stronger electrostatic attraction between cells and substrate can be advantageous for cell initial adhesion, but most cells require mobility and cell-cell contact for proper proliferation, which is inhibited by a stronger attraction, and may account for the observed apoptosis.

Conclusions

Water-soluble generation 1 and generation 2 bifunctional dendrimers with 10 or 20 peripheral charges and one thioctic acid function linked to the core were synthesized and characterized. Only the first generations could be bound to a gold surface via the dithiolane moiety. Such function is a convenient alternative to classical thiols for interaction with gold, and may found uses in other fields, such as the coating of gold nanoparticles, which have many biological applications.⁴⁹ These functionalized gold surfaces were exposed to Human Osteoblast (HOB) cells and probed for their ability to sustain cell proliferation. Diethylammonium terminated polycationic dendrimers induced apoptosis among HOB cells, probably triggered by the strong attractive electrostatic interaction between the cells and substrate. A caspase 3/7 activity assay confirmed the apoptotic hallmarks observed by optical microscopy. The carboxyl terminated polyanionic derivatives showed no significant effect on the proliferation of HOB cells. The two different dendrimer coatings are thus potential candidates for application in coatings of biomedical devices, if a specific cell response to the coating is required. Implants require a strong cell adhesion and good cell proliferation, while other applications require a non-adhesive coating. Contrarily to polymers classically used for such purpose, the high peripheral functionality, the monodispersity and well-defined shape of dendrimers allow for fine-tuning the surface properties, with high reproducibility, as required for ideal cell-surface interactions.

Experimental

Syntheses of the dendrimers.

All reactions were carried out under argon atmosphere and in freshly distilled solvents. All column chromatography experiments were carried out with silica gel 60 as the static phase. All starting compounds were purchased from Aldrich, Merck or Fluka and used as received. Solvents were dried and distilled prior to use. The references for NMR are Me₄Si for ¹H and ¹³C NMR, H₃PO₄ (85% in water) for ³¹P NMR. The numbering used for NMR assignment is shown in Figure 5. *p*-hydroxybenzaldehyde sodium salt was prepared by ion exchange with NaH. H₂NNMeP(S)Cl₂⁵⁰ and compound 3²⁸ were prepared as published previously.

Figure 5. Numbering used for NMR assignment.

Compound 1. In a Schlenk flask under argon atmosphere, thioctic acid (2.509 g, 12.2 mmol, 1.23 eq) and DIPEA (diisopropylethylamine, 2.2 mL, 12.6 mmol, 1.27 eq) were dissolved in 20 mL of dry dichloromethane and cooled down to -20°C. A 20 mL DMF solution of TBTU (O-(Benzotriazol-1-vl)-N,N,N',N'tetramethyluronium tetrafluoroborate, 3.634 g, 11.3 mmol, 1.14 eq) was added dropwise and the mixture was stirred for 30 minutes at room temperature. This mixture was added to a 10 mL DMF suspension of tyramine (1.356 g, 9.9 mmol, 1.0 eq) and the reaction was completed overnight at room temperature. The solvents were evaporated under reduced pressure. The product was diluted in dichloromethane and washed twice with a saturated aqueous NaHCO₃ solution and once with brine and finally dried on Na₂SO₄. The crude product was purified by column chromatography (1% MeOH in CHCl₃). This procedure quantitatively yielded the product 1 as a yellow solid. ¹H-NMR (250 MHz, CDCl₃, δ: 1.39 (m, 2H, $C^{(3)}H_2$), 1.62 (m, 4H, $C^{(2)}H_2$, $C^{(4)}H_2$), 1.87 (m, 1H, $C^{(6)}H_2$), 2.16 (t, $^{3}J_{HH} = 7.4 \text{ Hz}, 2H, C^{(1)}H_{2}CO), 2.40 \text{ (m, 1H, C}^{(6)}H_{2}), 2.72 \text{ (t, }^{3}J_{HH} =$ 7.0 Hz, 2H, CH₂Ar), 3.12 (m, 2H, $C^{(7)}H_2S$), 3.48 (m, 3H, $C^{(5)}H_2S$, CH₂N), 6.06 (br s, 1H, NH), 6.81 (d, ${}^{3}J_{HH} = 8.4$ Hz, 2H, C_T²H), 7.00 (d, ${}^{3}J_{HH} = 8.4 \text{ Hz}$, 2H, $C_{1}^{3}H$), 7.98 (br s, 1H, OH). ${}^{13}C\{{}^{1}H\}$ -NMR (75.5 MHz, CDCl₃, δ): 25.4 (C^(2/4)H₂), 28.8 (C⁽³⁾H₂), 34.5 (C^(2/4)H₂), 34.7 (CH₂Ar), 36.5 (C⁽¹⁾H₂CO), 38.5 (C⁽⁷⁾H₂S), 40.3 (C⁽⁶⁾H₂), 41.0 (CH_2NH) , 56.5 $(C^{(5)}H)$, 115.7 (C_T^2) , 129.7 $(C_T^{3,4})$, 155.3 (C_T^{1}) , 173.7 (NCO).

Compound 2. A solution of compound 1 (0.506 g, 1.557 mmol, 1 eq) in THF (50 mL) was added dropwise to a mixture of $N_3P_3Cl_6$ (0.648 g, 1.868 mmol, 1.2 eq) and Cs_2CO_3 (1.015 g, 3.114 mmol, 2.0 eq) in THF (200 mL) at -20°C. The resulting mixture was allowed to reach slowly room temperature and was stirred overnight. The mixture was concentrated, then centrifuged. The solution was taken and evaporated to dryness. The product was tentatively purified by column chromatography on silicagel (hexane/ethylacetate as eluent). Compound **2** was obtained as a yellow oil (0.40 g, 0.629 mmol, 40% yield), containing about 5% of impurities, as detected by ³¹P and ¹H NMR. ³¹P { ¹H} -NMR (101.3 MHz, CDCl₃, δ): 12.2 (pseudo t, $^2J_{PP}$ = 64 Hz, PCl₂). ¹H-NMR (250 MHz, CDCl₃, δ): 1.44 (m, 2H, C(³H₂), 1.66 (m, 4H, C(²H₂), C(⁴H₂), 1.90 (m, 1H, C(⁶H₂), 2.16 (t, ³ J_{HH} = 7.4 Hz, 2H, C(¹H₂CO), 2.46 (m, 1H, C(⁶H₂), 2.84 (t, ³ J_{HH} = 7.0 Hz, 2H, CH₂Ar), 3.15 (m, 2H, C(⁷H₂S), 3.52 (m, 3H, C(⁵H-S, CH₂N), 5.68 (br s, 1H, NH), 7.26 (br s, 4H, C_T^{2,3}H).

Bifunctional dendrimer 4-G₀. A 150 mL THF solution of **3** (2.82 g, 3.64 mmol, 1.0 eq) and Cs₂CO₃ (1.79 g, 5.46 mmol, 1.5 eq) was cooled down to 0°C. A 20 mL THF solution of 1 (1.30 g, 4.00 mmol, 1.1 eq) was added and the reaction mixture was stirred overnight at room temperature. The mixture was centrifuged (30 min, 10k rpm), the salts were removed, and the THF was evaporated. The product was purified with column chromatography using an increasing

gradient of ethyl acetate/hexane starting at a 1:1 ratio. This yielded the dendrimer 4-G₀ (2.91 g, 2.72 mmol, 75%) as a yellow oil. $^{31}P\{^{1}H\}$ -NMR (101.3 MHz, CDCl₃, δ): 7.4 (m, N₃P₃). ^{1}H -NMR (250 MHz, CDCl₃, δ): 1.41 (m, 2H, C $^{(3)}H_2$), 1.62 (m, 4H, C $^{(2)}H_2$), C $^{(4)}H_2$), 1.90 (m, 1H, C $^{(6)}H_2$), 2.12 (t, $^{3}J_{HH}$ = 7.4 Hz, 2H, C $^{(1)}H_2$ CO), 2.40 (m, 1H, C $^{(6)}H_2$), 2.73 (t, $^{3}J_{HH}$ = 7.0 Hz, 2H, CH₂Ar), 3.11 (m, 2H, C $^{(7)}H_2$ S), 3.50 (m, 3H, C $^{(5)}H$ -S, CH₂N), 5.81 (br s, 1H, NH), 6.82 (m, 2H, C_T²H), 7.02 (m, 2H, C_T³H), 7.28 (m, 10H, C₀²H), 7.80 (m, 10H, C₀³H), 9.95 (2 s, 5H, CHO). $^{13}C\{^{1}H\}$ -NMR (62.9 MHz, CDCl₃, δ): 25.3 (C $^{(2'4)}H_2$), 28.9 (C $^{(3)}H_2$), 34.6 (C $^{(2'4)}H_2$), 35.1 (CH₂Ar), 36.4 (C $^{(1)}H_2$ CO), 38.5 (C $^{(7)}H_2$ S), 40.3 (C $^{(6)}H_2$), 40.5 (CH₂NH), 56.4 (C $^{(5)}H$), 120.7 (C_T²), 121.3 (C₀²), 129.9 (C_T³), 131.4 (C₀³), 133.7 (C₀⁴), 136.6 (C_T⁴), 154.7 (C₀¹, C_T¹), 172.8 (NCO), 190.5 (CHO).

Bifunctional dendrimer 5-G₁. A 56.7 mL 0.24 M solution of H₂NNMePSCl₂ (1.26 mmol, 5 eq.) in chloroform was added to a 50 mL solution of 4- G_0 (2.906 g, 2.72 mmol, 1.0 eq) in chloroform. After one night the amount of solvent was reduced to a few milliliters and then added dropwise to a large amount of pentane. The product precipitated readily and the solvent was filtered off by means of a canula. This process was repeated three times. Dendrimer 5-G₁ was obtained as a white (pale yellow) solid with a yield of 4.68 g (2.50 mmol, 92%). ³¹P{¹H}-NMR (121.5 MHz, CDCl₃, δ): 8.3 (m, N_3P_3), 62.4/62.6 (s, P_1). 1H -NMR (300 MHz, CDCl₃, δ): 1.41 (m, 2H, $C^{(3)}H_2$), 1.63 (m, 4H, $C^{(2)}H_2$), 1.85 (m, 1H, $C^{(6)}H_2$), 2.12 $(t, {}^{3}J_{HH} = 7.2 \text{ Hz}, 2H, C^{(1)}H_{2}CO), 2.45 \text{ (m, 1H, C}^{(6)}H_{2}), 2.77 \text{ (t, }^{3}J_{HH})$ = 7.2 Hz, 2H, CH₂Ar), 3.14 (m, 17H, $C^{(7)}$ H₂S, P₁-N-CH₃), 3.45 (m, 3H, $C^{(5)}$ H-S, CH_2N), 5.64 (br s, 1H, NH), 6.91-7.06 (m, 12H, C_T^2 H, C_0^2 H), 7.58-7.65 (m, 12H, C_T^3 H, C_0^3 H), 7.70 (s, 5H, CH=N). $^{13}C\{^1$ H}-NMR (75.5 MHz, CDCl₃, δ): 25.4 ($C_0^{(2/4)}$ H₂), 28.9 ($C_0^{(3)}$ H₂), 32.0 (d, ${}^{2}J_{CP} = 13.2 \text{ Hz}, P_{1}\text{-N-CH}_{3}$), 34.6 ($C^{(2/4)}H_{2}$), 35.2 ($CH_{2}Ar$), 36.4 (C⁽¹⁾H₂), 38.5 (C⁽⁷⁾H₂S), 40.3 (C⁽⁶⁾H₂), 40.7 (CH₂NH), 56.5 (C⁽⁵⁾H), 121.1 (C_T²), 121.4 (C₀²), 128.6 (C₀³), 129.7 (C_T³), 131.3 (C₀⁴), 135.9 (C_T⁴), 140.8 (br d, $^{3}J_{CP} = 20.1$ Hz, CH=N-N-P₁), 149.0 (C_T¹), 151.7 (C₀¹), 173.0 (NCO).

Bifunctional dendrimer 6-G₁. N,N-diethylenediamine (765) μL, 5.35 mmol, 10 eq) was added dropwise to a 150 mL THF solution of 5-G₁ (1.002 g, 5.36 mmol, 1.0 eq). The reaction was left to stir at room temperature overnight. A white solid appeared during the reaction. The precipitate was washed with dry THF and diethylether and lyophilized. Dendrimer $\textbf{6-G_1}$ was obtained as a white powder (1.226 g, 405 μ mol, 76 %). $^{31}P\{^{1}H\}$ -NMR (121.5 MHz, CD₃OD, δ): 8.5 (s, N₃P₃), 70.4 (s, P₁). ¹H-NMR (300 MHz, CD₃OD, δ): 1.26 (t, ${}^{3}J_{HH} = 7.2$ Hz, about 10H, NCH₂CH₃), 1.33 (t, $^{3}J_{HH} = 7.2 \text{ Hz}$, about 50H, N⁺CH₂CH₃), 1.40 (m, 2H, C⁽³⁾H₂), 1.66 (m, 4H, $C^{(2)}H_2$, $C^{(4)}H_2$), 1.85 (m, 1H, $C^{(6)}H_2$), 2.16 (t, ${}^3J_{HH} = 7.2$ Hz, 2H, $C^{(1)}H_2CO$), 2.43 (m, 1H, $C^{(6)}H_2$), 2.78 (t, ${}^3J_{HH} = 7.2$ Hz, 2H, CH_2Ar), 2.97 (q, ${}^3J_{HH}$ = 7.2 Hz, about 6H, NCH_2CH_3), 3.12 (m, 2H, $C^{(7)}H_2S)$, 3.15-3.50 (m, 91H, $C^{(5)}H$ -S, CH_2N , P_1 -N- CH_3 , $N^+CH_2CH_3$), 6.82 (m, 2H, C_T^2H), 7.00-7.11 (m, 12H, C_T^3H , C_0^2H), 7.70-7.81 (m, 15H, C_0^{3} H, CH=N-N-P₁). 13 C $_0^{1}$ H $_0^{1}$ -NMR (75.5 MHz, CD₃OD, δ): 7.8 (N⁺CH₂CH₃), 9.0 (NCH₂CH₃), 25.4 (C^(2/4)H₂), 28.5 (C⁽³⁾H₂), 31.4 (2d, 2 J_{CP} = 10.6 Hz, P₁-N-CH₃), 34.4 (C^(2/4)H₂), 35.5 (CH₂Ar), 36.2 (C⁽¹⁾H₂CO, CH₂-N-P₁), 38.0 (C⁽⁷⁾H₂S), 40.0 (C⁽⁶⁾H₂), 40.4 (*CH*₂NHCO), 49.0 (N-*CH*₂CH₃), 52.2 (d, ${}^{3}J_{CP} = 6.9$ Hz, P₁-N-CH₂CH₂), 56.5 (C⁽⁵⁾H-S), 120.5 (C_T²), 120.9 (C₀²), 128.0 (C₀³), 129.7 (C_T³), 133.2 (C₀⁴), 136.4 (C_T⁴), 137.7 (m, CH=N-N-P₁), 150.8 $(m, C_0^{-1}, C_T^{-1}), 174.5 (NCO).$

Bifunctional dendrimer 4-G₁. In a Schlenk tube under argon atmosphere were mixed 4.68 g of **5-G₁** (2.50 mmol, 1.0 eq), 7.00 g of p-hydroxybenzaldehyde (57.4 mmol, 23 eq, excess to accelerate

the reaction) and 16.25 g of Cs₂CO₃ (50 mmol, 20 eq) and dissolved in 250 mL of THF. The reaction mixture was stirred overnight at room temperature. The mixture was centrifuged, concentrated under reduced pressure, precipitated from pentane/ether and finally put on a small silicagel column to separate the product from the excessive amount of p-hydroxybenzaldehyde. This procedure yielded 5.97 g (88%) of dendrimer 4- G_1 in the form of a pale yellow solid. ${}^{31}P\{{}^{1}H\}$ -NMR (101.3 MHz, CDCl₃, δ): 8.2 (s, N₃P₃), 60.4 (s, P₁). ¹H-NMR (250 MHz, CDCl₃, δ): 1.38 (m, 2H, C⁽³⁾H₂), 1.57 (m, 4H, $C^{(4)}H_2$, $C^{(2)}H_2$), 1.86 (m, 1H, $C^{(6)}H_2$), 2.06 (m, 2H, CH₂CO), 2.38 (m, 1H, $C^{(6)}H_2$), 2.70 (m, 2H, CH_2Ar), 3.06 (m, 2H, $C^{(7)}H_2S$), 3.36 (m, 18H, C⁽⁵⁾H-S, CH₂NH, P₁-N-CH₃), 5.98 (s, 1H, NH), 6.96 (m, 2H, C_T^2H), 7.03 (m, 12H, C_0^2H , C_T^3H), 7.34 (m, 20H, C_1^2H), 7.56 (m, 10H, C_0^{3} H), 7.65 (s, 3H, CH=N-N), 7.67 (s, 2H, CH=N-N), 7.81 (m, 20H, C_1^{3} H), 9.93 (s, 10H, CHO). ¹³C{¹H}-NMR (62.9 MHz, CDCl₃, 8): 25.4 (C^(2/4)H₂), 28.8 (C⁽³⁾H₂), 32.8 (d, ²J_{CP} = 12.8 Hz, P₁-N-CH₃), 34.6 (C^(2/4)H₂), 35.0 (CH₂Ar), 36.2 (C⁽¹⁾H₂), 38.4 (C⁽⁷⁾H₂S), 40.2 $(C^{(6)}H_2)$, 40.5 (CH₂NH), 56.5 ($C^{(5)}H_2$ S), 121.0 (C_T^2), 121.4 (C_0^2), 121.8 (d, ${}^3J_{CP} = 6.8$ Hz, C_1^2), 128.3 (C_1^3), 129.7 (C_T^3), 131.4 (C_1^4), 131.8 (C_0^3), 133.7 (C_0^4), 135.8 (C_T^4), 139.7 (d, ${}^3J_{CP} = 13.4$ Hz, CH=N-N-P₁), 151.5 (d, ${}^2J_{CP} = 7.0$ Hz, C_0^1 , C_T^1), 155.0 (d, ${}^2J_{CP} = 7.0$ Hz, C₁¹), 172.8 (NCO), 190.7 (CHO).

Bifunctional dendrimer 7-G₁. Compound 4-G₁ (1.00 g, 0.367 mmol, 1.0 eq), 0.95 g of malonic acid (9.17 mmol, 25 eq) and 45 µL of freshly distilled (on CaH₂) piperidine (0.459 mmol, 1.25 eq) were dissolved in 15 mL of freshly distilled pyridine (on CaH₂) and left to stir overnight at 95°C. The mixture was then refluxed for 15 minutes more to remove the CO₂ and let to cool down before precipitating it on ice cooled HCl (37%). The precipitate was subsequently washed with water (3 times) and ether (2 times) and dried under vacuum. In order to obtain the sodium salt, the product was taken into water and 12.25 mL of 0.1996 M NaOH (aq) (excess) was added dropwise yielding a slightly turbid solution. The overall yield was 0.811 g of the sodium salt 7-G₁ (241 µmol, 66%). The NMR spectra were recorded before ion exchange (COOH terminated dendrimer). $^{31}P\{^{1}H\}$ -NMR (121.5 MHz, DMSO- d_6 , δ): 8.4 (s, N₃P₃), 62.0 (s, P₁). ¹H-NMR (300 MHz, DMSO- d_6 , δ): 1.22 (m, 2H, $C^{(3)}H_2$), 1.42 (m, 4H, $C^{(4)}H_2$, $C^{(2)}H_2$), 1.75 (m, 1H, $C^{(6)}H_2$), 1.96 (m, 2H, CH_2CO), 2.29 (m, ^{1}H , $^{(6)}H_{2}$), 2.58 (m, ^{2}H , $^{2}CH_{2}Ar$), 3.04 (m, ^{2}H , $^{(1)}H_{2}S$), 3.27-3.41 (m, 18H, $C^{(5)}$ H-S, CH_2 NH, P_1 -N-CH₃), 5.35 (br s, 1H, NH), 6.43 (d, ${}^{3}J_{HH}$ = 15.9 Hz, 10H, CH=CHCO₂), 6.80 (m, 2H, $C_{T}^{2}H$), 7.03 (m, 12H, $C_{T}^{3}H$, $C_{0}^{2}H$), 7.16 (m, 20H, $C_{1}^{2}H$), 7.19 (d, $^{3}J_{HH} = 15.9 \text{ Hz}, 10\text{H}, \text{CH} = CH\text{CO}_{2}, 7.61 \text{ (m, 30H, C}_{0}^{3}\text{H, C}_{1}^{3}\text{H}), 7.85$ (s, 3H, CH=N-N), 7.91 (s, 2H, CH=N-N), 12.4 (s, 10H, COOH). 13 C 1 H 13 -NMR (75.5 MHz, DMSO- d_6 , δ): 25.5 (C $^{(2/4)}$ H $_2$), 28.8 $(C^{(3)}H_2)$, 33.4 (2d, ${}^2J_{CP} = 11.9 \text{ Hz}$, P_1 -N-CH₃), 34.6 $(C^{(2/4)}H_2)$, 34.9 (CH_2Ar) , 35.7 $(C^{(1)}H_2)$, 38.5 $(C^{(7)}H_2S)$, 56.5 $(C^{(5)}H_2S)$, 119.9 (Ar-CH=CH), 120.7 (C_T^2), 121.4 (C_0^2), 121.8 (d, $^3J_{CP} = 4.1$ Hz, C_1^2), 128.7 (C_0^3), 129.0 (C_1^3), 130.2 (C_1^3), 132.2 (C_1^4), 132.4 (C_0^4), 137.2 (C_1^4), 141.1 (CH=N-N), 143.1 (Ar-CH=CH), 148.4 (C_1^1), 151.0 (C_0^{-1}) , 151.7 (C_1^{-1}) , 167.9 (COOH), 172.3 (NCO). $(C_0^{(6)}H_2)$ and CH₂NH hidden by DMSO).

Bifunctional dendrimer 5-G₂. To an ice cooled 70 mL CHCl₃ solution of 3.97 g of compound **4-G**₁ (1.46 mmol, 1.0 eq) were added 64 mL (0.24 M, 15.3 mmol, 10.5 eq) of H₂NNMeP(S)Cl₂ in CHCl₃. The reaction mixture was stirred at room temperature for two hours and checked with NMR for completion. The volume of CHCl₃ was reduced and the mixture was precipitated from pentane several times until the excess of H₂NNMeP(S)Cl₂ was completely removed. Dendrimer **5-G**₂ was obtained as a pale yellow solid in 94% yield (5.97 g, 1.37 mmol). 31 P{ 1 H}-NMR (121.5 MHz, CDCl₃, δ): 8.3 (s, N₃P₃), 61.9 (s, P₁), 62.8 (s, P₂). 1 H-NMR (300 MHz, CDCl₃, δ): 1.35

(m, 2H, $C^{(3)}H_2$), 1.61 (m, 4H, $C^{(4)}H_2$, $C^{(2)}H_2$), 1.88 (m, 1H, $C^{(6)}H_2$), 2.03 (m, 2H, $C^{(1)}H_2CO$), 2.42 (m, 1H, $C^{(6)}H_2$), 2.72 (t, 2H, CH_2Ar), 3.13 (m, 2H, $C^{(7)}H_2S$), 3.28-3.50 (m, 48H, $C^{(5)}H_-S$, CH_2NH , $P_{1,2}$ -N- CH_3), 5.40 (s, 1H, NH), 7.01 (m, 14H, $C_T^{\ 2}H$, $C_0^{\ 2}H$, $C_T^{\ 3}H$), 7.25 (m, 20H, $C_1^{\ 2}H$), 7.66 (m, 45H, $C_0^{\ 3}H$, $C_1^{\ 3}H$, CH=N-N). $^{13}C_1^{\ 4}H_1^{\ 2}-NMR$ (75.5 MHz, $CDCl_3$, δ): 25.3 ($C^{(2/4)}H_2$), 28.9 ($C^{(3)}H_2$), 31.8 (d, $^2J_{CP}=13.1$ Hz, P_2 -N- CH_3), 33.1 (d, $^2J_{CP}=12.9$ Hz, P_1 -N- CH_3), 34.6 ($C^{(2/4)}H_2$), 35.1 (CH_2Ar), 36.4 ($C^{(1)}H_2$), 38.5 ($C^{(7)}H_2S$), 40.3 ($C^{(6)}H_2$), 40.5 (CH_2NH), 56.5 ($C^{(5)}H$ -S), 121.0 ($C_T^{\ 2}$), 121.4 (d, $^3J_{CP}=6.2$ Hz, $C_0^{\ 2}$), 121.9 (d, $^3J_{CP}=4.3$ Hz, $C_1^{\ 2}$), 128.3 ($C_0^{\ 3}$), 128.8 ($C_1^{\ 3}$), 129.8 ($C_T^{\ 3}$), 131.6 ($C_1^{\ 4}$), 131.9 ($C_0^{\ 4}$), 135.9 ($C_T^{\ 4}$), 138.9 (m, $CH=N-N-P_1$), 140.6 (d, $^2J_{CP}=18.7$ Hz, $CH=N-N-P_1$), 151.4 (m, $C_0^{\ 1}$), 151.8 (d, $^2J_{CP}=7.2$ Hz, $C_1^{\ 1}$), 172.6 (NCO).

Bifunctional dendrimer 6-G₂. In a Schlenk tube, 1.00 g of compound 5-G₂ (0.231 mmol, 1.0 eq) was dissolved in 150 mL of THF to which 650 µL of N,N-diethylethylenediamine (4.59 mmol, 19.9 eq) was added dropwise. The reaction was left to stir overnight at room temperature. The precipitate was washed twice with dry THF, yielding 1.00 g (0.15 mmol, 65%) of dendrimer 6-G₂ as a pale yellow solid. ${}^{31}P\{{}^{1}H\}$ -NMR (121.5 MHz, CDCl₃, δ): 8.9 (s, N₃P₃), 62.4 (s, P₁), 70.2 (s, P₂). ¹H-NMR (300 MHz, CDCl₃, δ): 1.26 (t, ${}^{3}J_{HH} = 6.9 \text{ Hz}$, about 15H, NCH₂CH₃), 1.30 (t, ${}^{3}J_{HH} = 6.9 \text{ Hz}$, about 105H, N⁺CH₂CH₃), 1.40 (m, 2H, C⁽³⁾H₂), 1.51 (m, 4H, C⁽⁴⁾H₂, $C^{(2)}H_2$), 1.85 (m, 1H, $C^{(6)}H_2$), 2.10 (m, 2H, $C^{(1)}H_2CO$), 2.36 (m, 1H, $C^{(6)}H_2$), 2.79 (q, ${}^3J_{HH} = 6.9$ Hz, about 10H, NCH₂CH₃), 2.86 (m, 2H, CH_2Ar), 3.07 (2H, $C^{(7)}H_2S$), 3.20-3.40 (m, about 198H, $C^{(5)}H-S$, CH_2N , $N^+CH_2CH_3$, $P_{1,2}$ -N-CH₃), 6.90 (m, 2H, C_T^2), 7.00 (m, 10H, C_0^2), 7.11 (m, 2H, C_T^3), 7.25 (m, 20H, C_1^2), 7.60-7.95 (m, 45H, C_0^3 , C_1^3 , CH=N-N-P_{1,2}). 13 C{ 1 H}-NMR (75.5 MHz, CDCl₃, δ): 7.9 $(N^{+}CH_{2}CH_{3}), 9.7 (NCH_{2}CH_{3}), 25.1 (C^{(2/4)}H_{2}), 28.5 (C^{(3)}H_{2}), 31.3 (d, 1)$ $^{2}J_{CP} = 9.4 \text{ Hz}, P_{2}\text{-N-CH}_{3}, 32.5 \text{ (d, }^{2}J_{CP} = 12.2 \text{ Hz}, P_{1}\text{-N-CH}_{3}), 34.4$ $(C^{(2/4)}H_2)$, 35.6 (CH_2Ar) , 36.2 (CH_2-N-P_2) , 36.4 $(C^{(1)}H_2)$, 38.1 $(C^{(7)}H_2S)$, 40.1 $(C^{(6)}H_2$, $CH_2NHCO)$, 49.5 $(N-CH_2CH_3)$, 52.2 $(d, {}^3J_{CP})$ = 6.9 Hz, P_1 -N-CH₂CH₂), 56.3 (C⁽⁵⁾H-S), 121.0 (C₀², C_T²), 121.4 (C_1^2) , 128.0 (C_1^3) , 128.3 (C_0^3) , 130.0 (C_T^3) , 132.6 (C_0^4) , 133.3 (C_1^4) , 137.5 (d, ${}^{2}J_{CP} = 13.4$ Hz, CH=N-N-P_{1,2}), 151.0 (m, C₀¹, C_T¹, C₁¹), 175.0 (NCO).

Bifunctional dendrimer 4-G₂. In a Schlenk tube, 4.00 g of 5-G₂ (0.916 mmol, 1.0 eq), 2.45 g of p-hydroxybenzaldehyde (20.1 mmol, 22 eq) and 11.92 g of Cs₂CO₃ (36.6 mmol, 40 eq) were dissolved in 600 mL of THF. The reaction was left to stir overnight at room temperature. The solution was filtered, its volume was reduced and the dendrimer was precipitated from pentane several times until all excess of p-hydroxybenzaldehyde was removed. This quantitatively yielded dendrimer 4- G_2 as a pale yellow solid (5.54 g). ${}^{31}P\{{}^{1}H\}$ -NMR (121.5 MHz, CDCl₃, δ): 8.3 (s, N₃P₃), 60.3 (s, P₂), 62.3 (s, P₁). ¹H-NMR (300 MHz, CDCl₃, δ): 1.35 (m, 2H, C⁽³⁾H₂), 1.66 (m, 4H, $C^{(4)}H_2$, $C^{(2)}H_2$), 1.88 (m, 1H, $C^{(6)}H_2$), 1.99 (t, ${}^3J_{HH} = 7.2$ Hz, 2H, CH₂CO), 2.34 (m, 1H, $C^{(6)}$ H₂), 2.66 (t, ${}^{3}J_{HH} = 7.2$ Hz, 2H, CH₂Ar), 3.07 (m, 2H, $C^{(7)}H_2S$), 3.25-3.39 (m, 48H, $C^{(5)}H-S$, CH_2NH , $P_{1,2}-N-$ CH₃), 5.75 (s, 1H, NH), 6.80-7.08 (m, 14H, C_T^2 , C_T^3 , C_0^2), 7.21 (m, 20H, C_1^2), 7.36 (m, 40H, C_2^2), 7.61 (m, 45H, C_0^3 , C_1^3 , CH=N-N), 7.83 (m, 40H, C_2^3), 9.90 (s, 20H, CHO). $^{13}C\{^1H\}$ -NMR (75.5 MHz, CDCl₃, δ): 25.3 (C^(2/4)H₂), 28.9 (C⁽³⁾H₂), 33.0 (2d, ${}^{2}J_{CP} = 12.8$ Hz, $P_{1,2}$ -N-CH₃), 34.5 (C^(2/4)H₂), 35.0 (CH₂Ar), 36.3 (C⁽¹⁾H₂), 38.5 $(C^{(7)}H_2S)$, 40.3 $(C^{(6)}H_2$, $CH_2NH)$, 56.5 $(C^{(5)}H-S)$, 120.7 $(C_T^{\ 2})$, 121.3 (C_0^2) , 121.3 (d, ${}^3J_{CP} = 4.0 \text{ Hz}$, C_1^2), 122.0 (d, ${}^3J_{CP} = 4.8 \text{ Hz}$, C_2^2), 128.4 $(C_{0,1}^{3})$, 129.6 $(C_{T_{0}^{3}})$, 131.5 (C_{2}^{3}) , 132.0 $(C_{0,1}^{4})$, 133.7 (C_{2}^{4}) , 135.9 (C_T^4), 139.6 (2d, ${}^2J_{CP} = 15.1$ Hz, CH=N-N-P_{1,2}), 151.5 (2d, $^{2}J_{CP} = 7.1 \text{ Hz}, C_{0,1}^{1}, 155.1 \text{ (d, } ^{2}J_{CP} = 7.2 \text{ Hz}, C_{2}^{1}), 172.8 \text{ (NCO)},$ 190.7 (CHO).

Bifunctional dendrimer 7-G₂. A mixture of 1.00 g of compound 4-G₂ (0.165 mmol, 1.0 eq), 0.86 g of malonic acid (8.27 mmol, 50 eq) and 24.5 µL of piperidine (freshly distilled over CaH₂) was stirred in 15 mL of pyridine at 95°C overnight. After 15 minutes of reflux to remove the CO₂, the mixture was left to cool down and precipitated on ice-cold HCl (37%). The precipitate was washed 3 times with water and twice with ether before it was dried under reduced pressure. After dissolution in water and subsequent ion exchange with 12 mL of 0.1996 M NaOH (aq) (14.5 eq) the solution was freeze-dried. 0.858 g of dendrimer 7-G2 (0.117 mmol, 71%) was obtained as a pale yellow solid. The NMR spectra were recorded before ion exchange (COOH terminated dendrimers). ³¹P{¹H}-NMR (121.5 MHz, DMSO- d_6 , δ): 8.4 (s, N₃P₃), 62.0 (s, P₂), 62.4 (s, P₁). ¹H-NMR (300 MHz, DMSO- d_6 , δ): 1.23 (m, 2H, $C^{(3)}$ H₂), 1.36 (m, 4H, C⁽⁴⁾H₂, C⁽²⁾H₂), 1.75 (m, 1H, C⁽⁶⁾H₂), 1.90 (m, 2H, CH₂CO), 2.18 (m, 1H, $C^{(6)}H_2$), 2.57 (m, 2H, CH_2Ar), 2.99 (m, 2H, $C^{(7)}H_2S$), 3.12-3.59 (m, 48H, $C^{(5)}H_2S$, CH_2NH , $P_{1,2}$ -N-CH₃), 6.43 (d, $^3J_{HH}$ = 16.2 Hz, 20H, CH=CHCO₂), 6.85 (m, 2H, C_T^2 H), 6.98 (m, 12H, C_T^3H , C_0^2H), 7.18 (m, 60H, C_1^2H , C_2^2H), 7.53 (d, $^3J_{HH} = 16.2$ Hz, 20H, CH=*CH*CO₂), 7.66 (m, 70H, C₀³H, C₁³H, C₂³H), 7.88 (m, 15H, CH=N-N), 12.4 (COOH, 20H). ¹³C(¹H}-NMR (75.5 MHz, DMSO d_6 , δ): 25.4 (C^(2/4)H₂), 28.7 (C⁽³⁾H₂), 33.4 (m, P_{1,2}-N-CH₃), 34.0 $(C^{(2/4)}H_2)$, 34.5 (CH₂Ar), 35.6 (C⁽¹⁾H₂), 38.4 (C⁽⁷⁾H₂S), 56.5 (C⁽⁵⁾H-S), 119.8 (Ar-CH=CH), 120.6 (C₀², C_T²), 121.8 (d, ³J_{CP} = 4.8 Hz, C₁², C₂²), 128.8 (C₁_{10,1}³), 130.3 (C₂³), 132.2 (C₂⁴), 132.5 (C_{0,1}⁴), 141.1 (m, CH=N-N-P_{1,2}), 143.1 (Ar-CH=*CH*), 151.3 (d, ${}^{5}J_{CP} = 6.9$ Hz, $C_{0,1}^{-1}$), 151.7 (d, ${}^{5}J_{CP} = 6.9$ Hz C_{2}^{-1}), 167.9 (COOH), 172.4 (NCO). $(C^{(6)}H_2$ and CH_2NH hidden by DMSO).

Surface Plasmon Resonance Spectroscopy.

Dendrimers were adsorbed on Template Stripped Gold, which was prepared following the described procedure. ⁵¹ The freshly prepared gold samples were mounted into a customized surface plasmon resonance ⁵² spectrometer and a 1 mg/mL aqueous solution of either $\mathbf{6}$ - \mathbf{G}_1 or $\mathbf{7}$ - \mathbf{G}_1 was added. The formation of the dendrimer layers was followed in real time as an increase in SPR reflectivity, which could be translated into a layer thickness. The laser used was a HeNe laser with one spectral line at 632.8 nm.

Contact Angle Goniometry.

The hydrophobicity of the different coatings was measured with an OCA 15+ (DataPhysics, Filderstadt, Germany) goniometer equipped with a CCD camera and an electronic dosing unit. For the determination of the contact angles, SCA 20 software was used. The droplet volume was 3 μ L and the water was degassed before usage. Measurements were performed in 5-fold.

Cell experiments.

A cell bank of a commercial cell line was created (HOB, Human Hipbone Osteoblasts, Promocell, Heidelberg, Germany). HOB cells were cultured at 37°C and 5% $\rm CO_2$ in commercially available HOB Growth medium, containing a Promocell supplement mix. The proliferation of the cells was followed by optical inspection. The coated glass substrates were sterilized and transferred in a Petri-dish containing 3 mL of growth medium and $2.0 \cdot 10^4$ HOB cells per mL. The growth medium was exchanged every 48 h.

After about 300 hours, 80-100% confluence was reached and cells were trypsinated and counted. 20 μ L of the solution left after trypsination were mixed with an equal amount of Trypan Blue stain (GIBCO, 0.4%). The cell suspension was transferred to a Neubauer cell counter. Subsequently, the relative number of living cells (stained brightly blue) with respect to the total

number of cells (including dead cells, stained dark blue) was determined.

After trypsination, the cells were transferred from the sample surface to a 96-wells Elisa plate. The cells were allowed to reattach to the Elisa walls for approximately 24 hours in HOB growth medium. 100 μL medium was left in each Elisa well and mixed with 100 μL of the assay solution (Caspase-Glo® 3/7, Promega). Subsequently, the Elisa plate was kept in the dark for 30 minutes for the assay to reach full activity and then measured with a Promega luminometer.

50 μ L of an aqueous DAPI solution (4',6-diamidino-2-phenylindole dihydrochloride. 2 mg/mL, Carl Roth GmbH, Karlsruhe, Germany) were added to the cell culture. Cells were imaged using an Olympus IX 70 microscope (Olympus, Center Valley, USA). Images were recorded at 400-fold magnification using a CCD camera.

Acknowledgements

The European framework program 6 (Marie-Curie EST NANOTOOL) and the Max Planck Society are gratefully acknowledged for funding (ERJ). Thanks are due also to the CNRS (Centre National de la Recherche Scientifique) for financial support, and the COST action CM1302 SIPs.

Notes and references

Published on 08 July 2015. Downloaded by UNIVERSITY OF OTAGO on 13/07/2015 13:48:46

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Electronic Supplementary Information (ESI) available: [NMR spectra of all compounds, and contact angle goniometry data]. See DOI: 10.1039/b000000x/

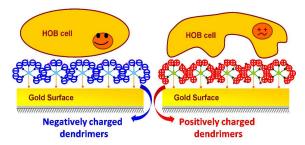
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Table of content entry



Dendrimers having one dithiolane and ammonium or carboxylate functions have been synthesized for coating gold surfaces interacting with human osteoblasts.