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# Kinetic and Theoretical Studies on Ni(0)/N-Heterocyclic Carbene-Catalyzed Intramolecular Alkene Hydroacylation

Yoichi Hoshimoto,<sup>[a,b]</sup> Yukari Hayashi,<sup>[a]</sup> Masato Ohashi,<sup>[a]</sup> and Sensuke Ogoshi\*<sup>[a]</sup>

**Abstract:** A combined kinetic and theoretical study was conducted in order to clarify the details on the reaction mechanism for Ni(0)/IBu-catalyzed intramolecular alkene hydroacylation. The results confirm the hypothesis that this intramolecular hydroacylation proceeds through an oxanickelacycle key intermediate.

Transition-metal-catalyzed hydroacylation is accepted as a promising synthetic method to form C–C bonds between an aldehyde and unsaturated compounds, such as alkenes and alkynes.<sup>[1,2]</sup> The mechanism is believed to proceed through an acyl metal intermediate generated by oxidative addition of the aldehyde to the metal center. The acyl metal complex is also proposed as a key intermediate in the transition-metal-catalyzed decarbonylation reactions of aldehydes.<sup>[3]</sup> Thus, decarbonylation causes a decrement in the yield of the target product in hydroacylation reactions. In order to enhance the efficiency of the hydroacylation process, many strategies have been developed to suppress decarbonylation from the acyl metal intermediate.<sup>[4,5]</sup> In particular, chelation-assisted systems have significantly progressed, and these systems have become a major strategy in the design of novel hydroacylation systems.

Recently, we developed the first example of Ni(0)/1,3-di-tertbutylimidazol-2-ylidene (ItBu)-catalyzed intramolecular hydroacylation (Scheme 1).<sup>[6]</sup> A variety of five- and sixmembered benzocyclic ketones (2) were prepared from 1,5- and 1,6-enals (1) in good to excellent yields. Remarkably, no decarbonylation was observed under our catalytic conditions, and thus, this Ni(0)/ItBu-catalyzed hydroacylation represents 100% atom efficiency. A plausible reaction mechanism was proposed as shown in Scheme 1 based on the results of preliminary mechanistic studies using o-allylbenzaldehyde (1a): (i) C1a and (C2a)<sub>2</sub> were isolated by stoichiometric experiments; and, (ii) transformation of (C2a)<sub>2</sub> into 2-methylindanone (2a) was confirmed under both stoichiometric and catalytic conditions. Herein, we report the additional results obtained by kinetic and theoretical studies, and provide the detailed insights on the reaction mechanism.

**Kinetic experiments:** Reaction of **1a** (0.40 mol m<sup>-3</sup>) with Ni(cod)<sub>2</sub> ( $2.0 \times 10^{-2}$  mol m<sup>-3</sup>) and ItBu ( $2.0 \times 10^{-2}$  mol m<sup>-3</sup>) in mesitylene at 130 °C was monitored by means of GC. The results are shown in Figure 1a. The rate constants of the disappearance of **1a** ( $k_{\rm S}$ ) and the production of **2a** ( $k_{\rm P}$ ) were

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**Scheme 1.** Ni(0)/I*t*Bu-catalyzed intramolecular alkene hydroacylation. A reaction mechanism previously proposed is shown.

evaluated by the least-squares fitting of the time-concentration profiles to zeroth-order rate equations (Eqs 1 and 2):

$$-d[\mathbf{1a}]/d\mathbf{t} = k_{\rm S} = 3.39(11)\times10^{-5} \text{ [mol m}^{-3} \text{ sec}^{-1} \text{]}$$
(1)  
$$d[\mathbf{2a}]/d\mathbf{t} = k_{\rm P} = 2.66(7)\times10^{-5} \text{ [mol m}^{-3} \text{ sec}^{-1} \text{]}$$
(2)

The zeroth-order dependence on [1a] indicates that the coordination of 1a to a Ni(0)/ItBu complex yielding C1a is not involved in the rate-determining event. Next, the  $k_{\rm P}$  values were estimated by varying the concentrations of Ni(cod)<sub>2</sub> and ItBu from  $2.0 \times 10^{-2}$  to  $8.0 \times 10^{-2}$  mol m<sup>-3</sup>, giving the profile shown in Figure 1b. Thus, the kinetic order in the Ni/ItBu catalyst is the first order.

**Theoretical experiments:** Two reaction mechanisms for the hydroacylation of **1a** were evaluated with DFT calculation, which proceeds through (i) oxidative cyclization giving **C2a** (Scheme 2) and (ii) cleavage of the formyl C–H bond giving acyl nickel intermediate **C8a** (Scheme 3). All minima and transition state geometries were optimized with B3PW91 function implemented in Gaussian 09.<sup>[7]</sup> For calculation of the potential energies, the SDD basis set was used for Ni with an f polarization function, and 6-311+G(d,p) was used for other atoms. The SCRF (mesitylene) with IEF-PCM solvation model was used in all calculations. All relative energies presented herein are Gibbs free energies in kilocalories per mole [kcal mol<sup>-1</sup>] at 403.15 K with respect to [**Ni(0)/IfBu + 1a**]. Zero-point vibration energies and thermodynamic corrections at 403.15 K were calculated at the same level as the geometry optimization.

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The calculation results on the transformation of **1a** to  $(\eta^2 - 2a)Ni(ltBu)$  (C6a) through oxidative cyclization giving oxanickelacycle intermediate C2a are shown in Scheme 2. The optimized molecular structures for *anti*-C1a, *trans*-TS1, *trans*-

C2a, TS2, C3a, and TS4 are also given. Coordination of 1a to Ni(0)/ItBu yields two isomers, *anti*-C1a (-29.5 kcal mol<sup>-1</sup>) and *syn*-C1a (-31.5 kcal mol<sup>-1</sup>), depending on the orientation of the C1-H1 bond with respect to the C5-H5 bond. The molecular



**Figure 1.** (a) The profile of the concentration of **1a** ( $\circ$ ) and **2a** (•) [mol m<sup>-3</sup>] with respect to reaction time [sec]. Reaction conditions: **1a** (1.59 mmol), Ni(cod)<sub>2</sub> (0.080 mmol), and *It*Bu (0.080 mmol) were reacted in mesitylene (4 mL) at 130 °C. Reaction was monitored by GC using pentadecane as an internal standard. (b) The profile of the concentration of Ni(cod)<sub>2</sub>/*It*Bu [mol m<sup>-3</sup>] with respect to the rate constants of the production of **2a** ( $k_P$ ) [mol m<sup>-3</sup> sec<sup>-1</sup>]. Reaction conditions: (i) [Ni/*It*Bu] = 0.04 M; **1a** (0.81 mmol), Ni(cod)<sub>2</sub> (0.080 mmol), *It*Bu (0.080 mmol), mesitylene (2 mL) at 130 °C. (ii) [Ni/*It*Bu] = 0.06 M; **1a** (0.82 mmol), and *It*Bu (0.12 mmol), mesitylene (2 mL) at 130 °C. (iii) [Ni/*It*Bu] = 0.08 M; **1a** (1.60 mmol), Ni(cod)<sub>2</sub> (0.32 mmol), and *It*Bu (0.32 mmol), mesitylene (4 mL) at 130 °C. All reactions were monitored by GC using pentadecane as an internal standard.



**Scheme 2.** Gibbs free energy surface of the pathways through oxidative cyclization followed by  $\beta$ -hydride elimination. Relative Gibbs free energies [kcal mol<sup>-1</sup>] in mesitylene (IEF-PCM solvation model) with respect to [Ni(0)/ItBu + 1a] (= +0.0) are shown, calculated by DFT at the B3PW91/SDD(f) for Ni and 6-311+G(d,p) for other atoms (403.15 K). Optimized structures of *anti*-C1a, *trans*-TS1, *trans*-C2a, TS2, C3a and TS4 are also given. Hydrogen atoms except H1 and H5 are omitted for clarity. Selected distances [Å] and angles [°]: (*anti*-C1a) O-Ni 1.96, C1–Ni 1.94, C1•••C5 2.74, C5–Ni 2.03, C6–Ni 2.02, C1–O 1.30, C5–C6 1.40, Ni–C7 1.99; (*trans*-TS1) O–Ni 1.96, C1•••Ni 2.47, C6–Ni 1.98, C1–O 1.37, C5–C6 1.51, Ni–C7 1.85, C6-Ni-O 114.5; (*trans*-C2a) O–Ni 1.88, C1–O 1.37, C5–C6 1.51, Ni–C7 1.85, C6–Ni-O 114.5; (*trans*-C2a) O–Ni 1.83, C1•••Ni 2.23, H1••Ni 2.67, C6–Ni 2.07, C6–Ni 1.94, C1–C5 1.57, C5–C6 1.52, Ni–C7 1.93, O-C1-C5 119.7, C6-C5-C1 114.5; (*trans*-C2a) O–Ni 1.83, C1•••Ni 2.22, H1••Ni 1.56, C1•••H1 1.61, C1–O 1.27, C1–C5 1.57, C5–C6 1.52, Ni–C7 1.93, C6-Ni-H1 82.6, C6-Ni-C6 91.0; (TS2) O••Ni 2.36, C1•••Ni 2.22, H1•••Ni 1.56, C1•••H1 1.61, C1–O 1.27, C1–C5 1.57, C5–C6 1.52, C6–Ni 1.93, Ni–C7 1.93, C6-Ni-H1 82.6, C6-Ni-C7 103.6, H1-Ni-C7 163.9; (C3a) H1–Ni 1.46, H1•••C6 2.23, Ni–C6 1.90, C7–Ni 2.01, C6-Ni-C7 111.0, C7-Ni-H1 166.9, H1-Ni-C6 82.2; (TS4) C6••+H1 1.63, H1–Ni 1.43, C6–Ni 1.91, Ni–C7 1.92, C6-Ni-C7 175.1, H1-Ni-C7 126.8, <sup>a</sup> Energy value without BSSE correction (–26.3 kcal mol<sup>-1</sup> with BSSE).

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of  $(\eta^2:\eta^2-o-homoallylbenzaldehyde)Ni(ItBu)$ structure was previously confirmed by X-ray analysis, and the corresponding syn orientation was determined.<sup>[6a]</sup> Oxidative cyclization from anti-C1a produces monomeric oxanickelacycle trans-C2a (-22.3 kcal mol<sup>-1</sup>) through *trans-TS1* (-6.5 kcal mol<sup>-1</sup>), and the activation barrier in this step is thus  $\Delta G^{\dagger} = 23.0 \text{ kcal mol}^{-1}$ . The interatomic distance between C1 and C5 varies from 2.74 Å in anti-C1a to 1.52 Å in trans-C2a through trans-TS1 (C1···C5 1.68 Å), which shows the formation of the C1-C5 bond during this oxidative cyclization process. The monomeric oxanickelacycle complex cis-C2a (-29.5 kcal mol-1) and dimeric cis-(C2a)<sub>2</sub> (-30.6 kcal mol<sup>-1</sup> without BSSE correction; -26.3 kcal mol<sup>-1</sup> with BSSE) are also accessible from syn-C1a through cis-TS1 (-7.6 kcal mol<sup>-1</sup>). Thus, the oxidative cyclization to form *cis*-C2a (and the following dimerization to give cis-(C2a)<sub>2</sub>) could occur easily under the catalytic conditions, which is consistent with the experimental result wherein cis-(C2a)<sub>2</sub> was isolated in 88% vield as a precipitation from toluene solution of C1a after 33 days at room temperature.<sup>[6a]</sup> A rational structure for TS2 (+4.9 kcal mol<sup>-1</sup>) is found in the  $\beta$ -hydride elimination step from *trans*-**C2a** forming nickel hydride intermediate **C3a**  $(-13.5 \text{ kcal mol}^{-1})$ : however, an activation complex in B-hydride elimination from cis-C2a was not found under the present calculation conditions. Thus, trans-C2a is key for the production of 2a. After the formation of **C3a**, isomerization to **C4a** (-19.8 kcal mol<sup>-1</sup>) followed by reductive elimination through **TS4** (-15.9 kcal mol<sup>-1</sup>) affords **C6a**. The overall activation barrier for this reaction mechanism is  $\Delta G^{\ddagger} = 36.4$  kcal mol<sup>-1</sup>, which is calculated using the steps from *syn*-C1a to TS2.

The reaction pathways through cleavage of the formyl C-H bond were also evaluated under the same calculation conditions. The results and optimized structures of anti-TS5, anti-C7a, trans-TS6, C8a, and TS7 are shown in Scheme 3, wherein the participation of a ligand-to-ligand hydrogen transfer process through cis- or trans-TS6 is revealed.[8] First, the formation of anti/syn-C1a occurs followed by a change in the coordination mode of the formyl group from  $\eta^2$ -( $\kappa$ -C,O) to  $\eta^2$ -( $\kappa$ -C,H) to give anti-C7a (-19.2 kcal mol<sup>-1</sup>) and syn-C7a (-15.9 kcal mol<sup>-1</sup>). The optimized molecular structures of anti-TS5 and anti-C7a illustrate this change in coordination mode from anti-C1a to anti-C7a through anti-TS5. The interatomic distances between Ni to H1 and Ni to O vary from 2.45 to 1.62 Å and from 1.96 to 2.76 Å, respectively, through anti-TS5 (Ni···H1 1.79 Å, Ni···O 2.59 Å). A rational transition state for the oxidative addition of the formyl C1-H1 bond in anti/syn-C7a to the Ni(0) center affording a nickel hydride intermediate was not found under the present calculation conditions. Alternatively, the pathways were predicted to proceed through the hydrogen transfer from the  $\eta^2$ -



Scheme 3. Gibbs free energy surface of the pathways through cleavage of the formyl C–H bond giving an acyl nickel intermediate. Relative Gibbs free energies [kcal mol<sup>-1</sup>] in mesitylene (IEF-PCM solvation model) with respect to [Ni(0)/ItBu + 1a] (= +0.0) are shown, calculated by DFT at the B3PW91/SDD(f) for Ni and 6-311+G(d,p) for other atoms (403.15 K). Optimized structure of *anti*-TS5, *anti*-C7a, *trans*-TS6, C8a, and TS7 are also given. Hydrogen atoms except H1 and H5 are omitted for clarity. Selected distances [Å] and angles [°]: (*anti*-TS5) O•••Ni 2.59, C1–Ni 2.08, H1•••Ni 1.79, C1–H1 1.15, C1–O 1.24, C5–Ni 1.99, C6–Ni 1.96, Ni-C1-H1 59.2, O-C1-H1 118.2; (*anti*-C7a) O•••Ni 2.76, C1–Ni 2.01, H1–Ni 1.62, C1–H1 1.22, C1–O 1.24, C5–Ni 1.99, Ni-C7 1.96, Ni-C1-H1 53.6, O-C1-H1 118.2; (*anti*-C7a) O•••Ni 2.87, C1–Ni 2.01, H1–Ni 1.62, C1–H1 1.22, C1–O 1.24, C5–Ni 1.97, Ni-C7 1.96, Ni-C1-H1 53.6, O-C1-H1 118.2; (*anti*-C7a) O•••Ni 2.87, C1–Ni 2.01, H1–Ni 1.62, C1–H1 1.22, C5–O 1.24, C5–Ni 1.98, C6–Vi 1.94, C1–Ni 1.96, Ni-C7 1.96, Ni-C1-H1 115.7; (*trans*-TS6) O•••Ni 2.87, C1–Ni 2.01, H1–Ni 1.87, C1–Vi 1.23, C6••·H1 2.25, C5–Ni 1.98, C6••·Ni 2.08, Ni–C7 1.96, Ni-C1-H1 78.5, C6-Ni-C7 127.7, C7-Ni-C1 104.2, C6-Ni-C1 128.0, C5-Ni-C1 87.1; (C8a) C1–Ni 1.84, C5–Ni 1.90, C6••·Ni 2.34, Ni–C7 1.97, C5-Ni-C7 163.9, C7-Ni-C1 109.1, C5-Ni-C1 87.0; (TS7) O•••Ni 2.66, C1–Ni 1.88, C1••·C5 1.74, C5••·Ni 2.16, Ni–C7 1.89, C5-Ni-C7 1.75.2, C7-Ni-C1 127.4, C5-Ni-C1 127.4, C5-Ni-C5 1.74, C5••·Ni 2.16, Ni–C7 1.89, C5-Ni-C7 1.75.2, C7-Ni-C1 127.4, C5-Ni-C1 127.4, C5-Ni-C5 1.74, C5•··Ni 2.16, Ni–C7 1.89, C5-Ni-C7 1.89, C5-Ni-C7 1.75.2, C7-Ni-C1 127.4, C5-Ni-C5 1.74, C5•··Ni 2.16, Ni–C7 1.89, C5-Ni-C7 1.75.2, C7-Ni-C1 127.4, C5-Ni-C5 1.76.5

#### ( $\kappa$ -C,H)-formyl ligand in syn- or anti-C7a to the $\eta^2$ -alkene ligand



through *cis*- (+13.0 kcal mol<sup>-1</sup>) or *trans*-**TS6** (+10.4 kcal mol<sup>-1</sup>), respectively. As a result, an acyl nickel intermediate **C8a** (–28.8 kcal mol<sup>-1</sup>) is formed. In the optimized structure of *trans*-**TS6**, the C1–H1 bond is cleaved (C1•••H1 2.73 Å) to form a Ni–H1 bond (Ni–H1 1.37 Å), and then the covalent bonds between C6 and H1, C5 and Ni, and C1 and Ni form simultaneously. From **C8a**, reductive elimination through **TS7** (–14.0 kcal mol<sup>-1</sup>) furnishes the product **C6a**. Thus, the overall activation barrier is  $\Delta G^{+} = 41.9$  kcal mol<sup>-1</sup>, which is given by the steps from *syn*-C1a to *trans*-**TS6**.

Given the lower overall activation barrier of 36.4 kcal mol<sup>-1</sup> for the former vs. 41.9 kcal mol<sup>-1</sup> for the latter, it is likely that the former pathways proceed through the oxidative cyclization depicted in Scheme 2. Furthermore, this former reaction mechanism is consistent with the following experimental results: (i) the transformation of **1a** to **2a** showed the zeroth- and first-order dependence upon the concentrations of **1a** and Ni/I*B*u, respectively; (ii) the isolation of *cis*-(*C2a*)<sub>2</sub> and its conversion into *C2a* took place under both stoichiometric and catalytic conditions; and, (iii) no decarbonylation was observed under the optimized catalytic conditions.<sup>[6a]</sup>

Details of the catalytic cycle are summarized in Scheme 4. First, the coordination of **1a** to Ni(0)/I*t*Bu gives **anti-C1a** and/or **syn-C1a**, in which **1a** coordinates to the Ni(0) center in an  $\eta^2$ -alkene and an  $\eta^2$ -formyl fashion. Under the present calculation conditions, **syn-C1a** is predicted to be energetically more stable. The oxanickelacycles **trans-C2a** and **cis-C2a** are generated from **anti-C1a** and **syn-C1a**, respectively, through oxidative cyclization processes. The dimeric complex **cis-(C2a)**<sub>2</sub> was previously experimentally isolated;<sup>[6a]</sup> however, the present calculations show that **trans-C2a** is the key intermediate for the production of **2a**.  $\beta$ -Hydride elimination occurs from **trans-C2a** to afford nickel hydride intermediate **C3a**. The transition state with the highest relative Gibbs free energy is given in this  $\beta$ -hydride elimination process. Thus, the reaction rate is determined by the pathways from **syn-C1a** to **C3a**. Isomerization to **C4a**, reductive elimination, and coordination of **1a** result in a regeneration of **anti-C1a** and/or **syn-C1a**.

In summary, a combined experimental and theoretical study on the Ni(0)/ItBu-catalyzed intramolecular alkene hydroacylation was performed. Two plausible reaction mechanisms were fully evaluated by DFT calculation. The mechanism proceeding through (i) an oxanickelacycle intermediate given by the oxidative cyclization of  $\eta^2$ -alkene and  $\eta^2$ -formyl ligands on Ni(0) is likely to be compared to the mechanism proceeding through (ii) an acyl nickel intermediate given by a ligand-to-ligand hydrogen transfer from  $\eta^2$ -( $\kappa$ -C,H)-formyl to  $\eta^2$ -alkene ligands. Kinetic experiments of the reaction showed the zeroth- and firstorder dependence on the concentrations of the substrate and the Ni/ItBu catalyst, respectively. All theoretical predictions and experimental results are well consistent with the conclusion that the Ni(0)/ItBu-catalyzed intramolecular hydroacylation of oallylbenzaldehyde proceeds through the oxanickelacycle key intermediate.

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### COMMUNICATION



**Reaching**  $\beta$ **-H by twisting**: A monomeric and *trans*-nickelacycle intermediate was found to be key for occurrence of the rate-limiting  $\beta$ -H elimination in Ni(0)/I*t*Bucatalyzed intramolecular alkene hydroacylation, which was revealed by a combined kinetic and theoretical study.

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