

Asymmetric Synthesis of Axially Chiral 1-(2'-Methyl-3'-indenyl)naphthalenes *via* Prototropic Rearrangements of Stable Rotamers of 1-(2'-Methyl-1'-indenyl)naphthalenes

Robert W. Baker,* Joost N.H. Reek and Brian J. Wallace

School of Chemistry, The University of Sydney, NSW 2006, Australia

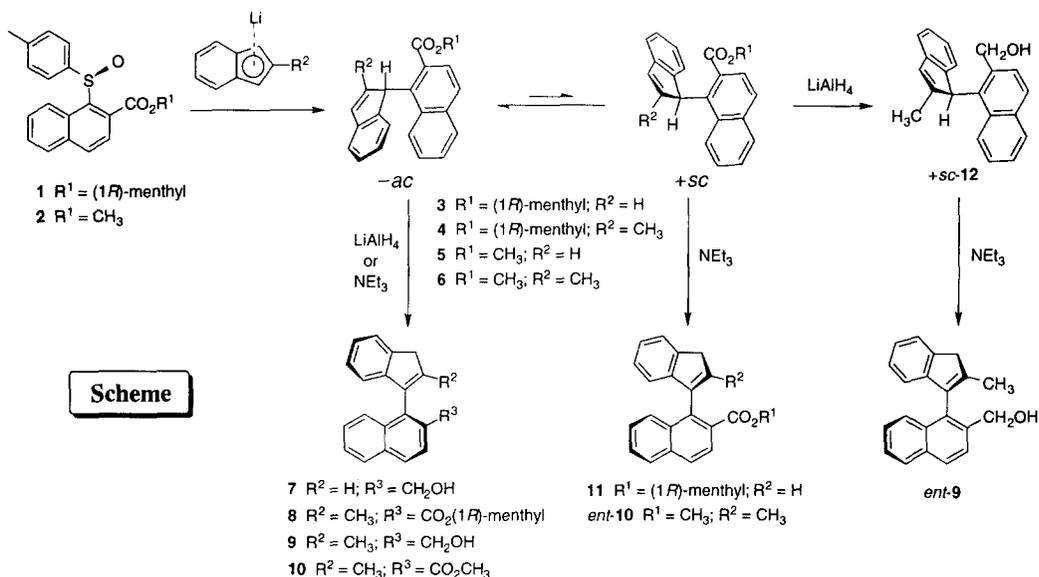
Received 29 May 1998; accepted 30 June 1998

Abstract: Reaction of methyl (*R*)-1-(*p*-tolylsulfinyl)naphthalene-2-carboxylate **2** with 2-methylindenyllithium affords the *-ac* rotamer of methyl (*S*)-1-(2'-methyl-1'-indenyl)naphthalene-2-carboxylate **6** in 63% ee. Heating *-ac-6* at 80 °C leads to the formation of an 18:1 mixture of *-ac*:*+sc-6* rotamers, with a barrier to atropisomerisation of $\Delta G^{\ddagger}_{353} = 28.4 \text{ kcal mol}^{-1}$ (*+sc* to *-ac*). Prototropic rearrangements of the rotamers of 1-(2'-methyl-1'-indenyl)naphthalenes to 1-(2'-methyl-3'-indenyl)naphthalenes occur with retention of the axial stereogenic element.
© 1998 Elsevier Science Ltd. All rights reserved.

As part of a project examining the asymmetric synthesis of planar chiral cyclopentadienylmetal complexes through the use of axially chiral chelating cyclopentadienyl ligands,^{1, 2} we recently described a stereoselective synthesis of axially chiral 1-(3'-indenyl)naphthalenes *via* central to axial chirality transfer during prototropic rearrangements of 1-(1'-indenyl)naphthalenes.³ It was proposed that the sense of chirality transfer in these rearrangements was dependent on the relative reactivities of interconverting rotational isomers about the naphthalene-indene bond. Thus, isomerisation of the (*S*)-1-(1'-indenyl)naphthalene **3** (obtained in 59% de through reaction of the (*R*)-sulfoxide **1** with indenyllithium, Scheme) with triethylamine proceeded preferentially through the *+sc* rotamer, affording the (*S*)-1-(3'-indenyl)naphthalene **11** (46% de), whilst isomerisation during the course of lithium aluminiumhydride (LAH) reduction proceeded through the *-ac* rotamer, affording the (*R*)-1-(3'-indenyl)naphthalene **7** (58% ee). In the former reaction the indene 1-H is presumably more sterically accessible to the base in the *+sc* rotamer, while in the latter reaction it was shown that isomerisation during reduction proceeds through an intramolecular deprotonation reaction, which can only take place through the *-ac* rotamer. Since the 1-(3'-indenyl)naphthalenes **7** and **11** had only low to moderate thermal stability with respect to atropisomerisation, it was decided to raise the barriers to rotation further by introducing a methyl substituent at the indene 2-position. In this Letter we report that the resulting 1-(2'-methyl-1'-indenyl)naphthalene compounds exhibit the rare phenomenon of atropisomerism owing to hindered rotation about an sp³—sp² bond⁴ and that subsequent prototropic rearrangements to the 1-(2'-methyl-3'-indenyl)naphthalenes occurs with retention of the axial stereogenic element.

Reaction of the (*R*)-sulfoxide **1**^{1, 2} (Scheme) with 2-methylindenyllithium (1.2 equiv.) in THF solution during 30 min at 0 °C furnished the (*S*)-1-(2'-methyl-1'-indenyl)naphthalene **4** in 50% yield and 70% de (¹H NMR analysis). Treatment of **4** with triethylamine (1:1 NEt₃/benzene, reflux, 60 h) afforded the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **8** in 97% yield and 65% de (¹H NMR analysis), while treatment of **4** with excess LAH (ether, 0 °C, 20 min) afforded the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **9** in quantitative yield and 70% ee (HPLC analysis, Pirkle Type 1A, Regis), *i.e.* the sense of "chirality transfer" was no longer reversed as previously observed in the case of the 1-(1'-indenyl)naphthalene **3**, and the rearrangements under both conditions appeared to be taking place preferentially *via* the *-ac* rotamer. In order to gain an insight into the reasons for this change in behaviour, calculations of the barriers to rotation in the 1-(1'-indenyl)naphthalene systems were made using the simplified methyl esters (Scheme). The calculations⁵ on the 1-(1'-

indenyl)naphthalene **5** [$\Delta H^\ddagger_{\text{calc}} = 15.0 \text{ kcal mol}^{-1}$ ($-ac$ to $+sc$); $\Delta H^\ddagger_{\text{calc}} = 12.7 \text{ kcal mol}^{-1}$ ($+sc$ to $-ac$)] supported our previous proposal that interconversion of the rotamers should be rapid relative to the rate of rearrangement, while the calculated barriers were considerably higher in the case of the 1-(2'-methyl-1'-indenyl)naphthalene **6** [$\Delta H^\ddagger_{\text{calc}} = 24.4 \text{ kcal mol}^{-1}$ ($-ac$ to $+sc$); $\Delta H^\ddagger_{\text{calc}} = 18.9 \text{ kcal mol}^{-1}$ ($+sc$ to $-ac$)]. Nevertheless, contrary to expectations from the calculations, there was no evidence for hindered rotation in the ^1H NMR spectra of either **3** or **4** in the temperature range -80 to 80 °C, nor were atropisomers evident (^1H NMR and HPLC analysis) after prolonged heating in toluene solution under reflux. The calculations did suggest, however, that there may be a significant thermodynamic bias in favour of the $-ac$ rotamer which might preclude observation of the minor $+sc$ rotamer. Consequently, it was decided to replace the bulky menthyl ester of compounds **3** and **4** with a methyl ester in which the bias in favour of the $-ac$ rotamer may not be as pronounced.



The (*R*)-sulfoxide **2** was prepared in 56% yield through reaction of the 2-lithio derivative of (*R*)-1-(*p*-tolylsulfinyl)naphthalene⁶ with methyl chloroformate in THF solution at -78 °C during 5 h. Reaction of **2** (Scheme) with indenyllithium (1.0 equiv.) in THF solution during 5 min at 0 °C furnished the (*S*)-1-(1'-indenyl)naphthalene **5** in 36% yield together with the corresponding 1-(3'-indenyl)naphthalene in 6% yield (longer reaction times eventually leads to complete isomerisation of **5**). The enantiomeric excess of **5** was unable to be determined directly, however, on reduction with excess LAH (ether, 0 °C, 20 min) the (*R*)-1-(3'-indenyl)naphthalene **7** was isolated in quantitative yield and 21% ee (HPLC analysis, Pirkle Type 1A, Regis). Given that LAH reduction of the menthyl ester **3** proceeds with essentially complete retention of stereochemical purity, it follows that the enantiomeric excess of **5** is also 21% [because of the low barrier to rotation anticipated³ for the 1-(3'-indenyl)naphthalene isomer of **5**, no attempt was made to determine its ee]. The ^1H NMR (CDCl_3) spectrum of **5** now revealed the presence of two rotameric forms in a ratio of 9:1. The minor rotamer was assigned as $+sc$ since the signal for the methyl group ($\delta_{\text{H}} 3.08$) was significantly shielded with respect to that of the $-ac$ rotamer ($\delta_{\text{H}} 3.89$) by the magnetic anisotropy of the indene moiety. Conversely, in the $-ac$ rotamer the signal for the naphthalene 8-H ($\delta_{\text{H}} 6.84$) was significantly shielded with respect to that of the $+sc$ rotamer ($\delta_{\text{H}} 8.46$). The barrier to rotation in **5** was determined by saturation transfer experiment⁷ to be $\Delta G^\ddagger_{333} = 19.6 \text{ kcal mol}^{-1}$ ($-ac$ to $+sc$).

Reaction of **2** (Scheme) with 2-methylindenyllithium (1.2 equiv.) in THF solution during 5 min at 0 °C furnished the (*S*)-1-(2'-methyl-1'-indenyl)naphthalene **6** in 47% yield and 63% ee [HPLC analysis, Chiralpak OT(+), Daicel] together with the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **10** in 3% yield (again, longer

reaction times eventually leads to complete isomerisation of **6** to **10**). The enantiomeric excess **10** was unable to be determined directly, however, reduction with excess LAH (ether, 0 °C, 20 min) furnished the (*R*)-1-(2'-methyl-1'-indenyl)naphthalene **9** in quantitative yield and 63% ee. The ¹H NMR spectrum of **6** indicated the presence of only a single rotamer, however, on heating **6** for 2 days in benzene solution under reflux the ¹H NMR (CDCl₃) spectrum revealed that a second minor rotamer had been formed in a ratio of 18:1 (heating for longer periods does not alter this ratio). As in the case of **5**, the minor rotamer was assigned as +*sc* since the signal for the methyl group (δ_{H} 3.19) was significantly shielded with respect to that of the -*ac* rotamer (δ_{H} 4.03), while in the -*ac* rotamer the naphthalene 8-H (δ_{H} 7.06) was significantly shielded with respect to that of the +*sc* rotamer (δ_{H} 8.53). This assignment was confirmed by single-crystal X-ray diffraction analysis of -*ac*-**6**.⁸

The rotamers of **6** were chromatographically separable and the rate of conversion of +*sc*-**6** back to an equilibrium mixture in refluxing benzene solution was determined (HPLC analysis), providing a barrier to rotation $\Delta G^{\ddagger}_{353} = 28.4 \text{ kcal mol}^{-1}$ (+*sc* to -*ac*). Treatment of -*ac*-**6** (63% ee) with triethylamine (1:1 NEt₃/benzene, 25 °C, 10 days) furnished the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **10** in 96% yield which, following LAH reduction, quantitatively afforded the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **9** in 63% ee. Treatment of -*ac*-**6** with excess LAH (ether, 0 °C, 20 min) also afforded the (*R*)-1-(2'-methyl-3'-indenyl)naphthalene **9** in quantitative yield and in 63% ee. Treatment of +*sc*-**6** (presumably also of 63% ee; the ee could not be determined directly) with triethylamine (1:1 NEt₃/benzene, 25 °C, 24 h) afforded the (*S*)-1-(2'-methyl-3'-indenyl)naphthalene *ent*-**10** in 96% yield which, following LAH reduction, quantitatively afforded the (*S*)-1-(2'-methyl-3'-indenyl)naphthalene *ent*-**9** in 63% ee. When +*sc*-**6** was treated with excess LAH (ether, 0 °C, 20 min) reduction was not accompanied by rearrangement and the (*S*)-1-(2'-methyl-1'-indenyl)naphthalene +*sc*-**12** was obtained in 98% yield. The enantiomeric excess of +*sc*-**12** was unable to be determined directly, however, on treatment with triethylamine (1:1 NEt₃/benzene, 25 °C, 3 days) the (*S*)-1-(2'-methyl-3'-indenyl)naphthalene *ent*-**9** was isolated in quantitative yield and 63% ee. The formation of +*sc*-**12** verifies our previous proposal³ that isomerisation during reduction proceeds through an intramolecular deprotonation reaction, generating a chelated (indenyl)aluminate intermediate, and this can only take place through the -*ac* rotamer.

The differences in behaviour noted above for the rearrangement reactions of **3** and **4** can now be accounted for. Thus, while existing almost exclusively as the -*ac* rotamer (as evident from the ¹H NMR chemical shifts for the menthyl 1-H and naphthalene 8-H), facile rotation about the naphthalene-indene bond in **3** allows access to the more reactive +*sc* rotamer during the triethylamine catalysed rearrangement. However, in the triethylamine catalysed rearrangement of **4** (which is synthesised exclusively as the -*ac* rotamer), rotation about the naphthalene-indene bond does not occur, or is at least considerably slower than the rate of rearrangement of the -*ac* rotamer, leading to the substantial retention of the axial stereogenic element. The stereochemical outcome of the rearrangements of the rotamers of 1-(2'-methyl-1'-indenyl)naphthalenes is analogous to the retention of the axial stereogenic element in fluorenyl carbanions derived from the rotamers of asymmetrically substituted 9-(1'-naphthyl)fluorenes which we have recently reported.^{1, 2}

As expected, the 1-(2'-methyl-3'-indenyl)naphthalenes have considerably higher thermal stability with respect to atropisomerisation than the 1-(3'-indenyl)naphthalenes described previously.³ Although we have yet to determine the barriers to rotation, heating of the 1-(2'-methyl-3'-indenyl)naphthalene **4** in toluene solution under reflux for 24 h did not result in any detectable epimerisation. The absolute configurations of the 2-methylindene compounds prepared have been determined by CD spectroscopy. Thus, comparison of the CD spectrum of (*S*)-1-(3'-indenyl)-2-naphthalenemethanol *ent*-**7** (the absolute configuration having been previously determined³) with that of 1-(2'-methyl-3'-indenyl)-2-naphthalenemethanol **9** (Figure 1), indicates that **9** has the *R* absolute configuration, both compounds displaying Cotton effects at similar wavelengths (*ca.* 200, 230, 240 and 270 nm) but with opposite signs. The CD spectra of the rotamers -*ac*-**6** and +*sc*-**6** (Figure 2) also show Cotton effects at similar wavelengths (*ca.* 205, 230 and 255 nm) but with opposite signs, *i.e.* the CD spectra largely reflect the axial rather than the central stereogenic element of the compounds. Comparison of the signs of the Cotton effects of the rotamer -*ac*-**6** with those of the three lowest wavelength Cotton effects of **9** suggests an absolute configuration which is entirely consistent with the assignment of configuration based on the intramolecular deprotonation mechanism for rearrangement accompanying LAH reduction. Having established that 1-(2'-methyl-3'-indenyl)naphthalenes display high configurational stability, we are currently

exploring the preparation of a range of chelating ligands based on this system and examining their application to the asymmetric synthesis of planar chiral cyclopentadienylmetal complexes.

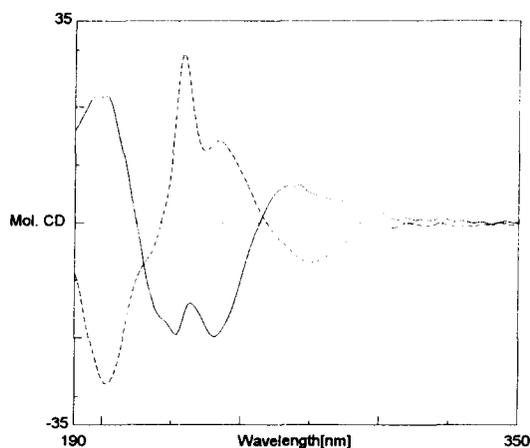


Fig. 1 CD spectra (acetone) corrected to enantiomeric purity of *ent*-7 (—) and **9** (---)

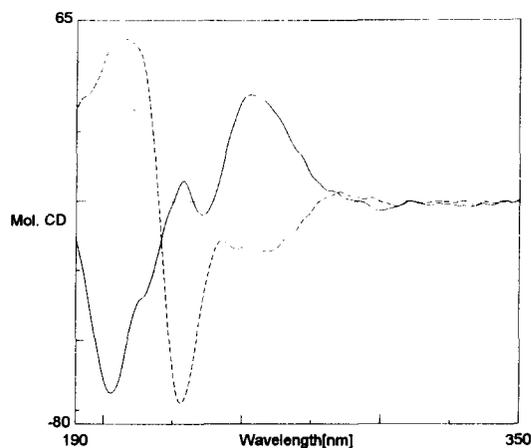


Fig. 2 CD spectra (acetone) corrected to enantiomeric purity of *-ac*-6 (—) and *+sc*-6 (---)

References and Notes

1. Baker, R.W., Hambley, T.W., and Turner, P., *J. Chem. Soc., Chem. Commun.*, 1995, 2509.
2. Baker, R.W., Foulkes, M.A., and Taylor, J.A., *J. Chem. Soc., Perkin Trans. 1*, 1998, 1047.
3. Baker, R.W., Hambley, T.W., Turner, P., and Wallace, B.J., *Chem. Commun.*, 1996, 2571 (Corrigendum, 1997, 506).
4. For other examples of atropisomerism about an sp^3 — sp^2 bond see: Ōki, M., *Top. Stereochem.*, 1983, **14**, 1, and references therein; Ōki, M., *The Chemistry of Rotational Isomers*, Springer-Verlag, Berlin, 1993, and references therein.
5. Structures were generated using SPARTAN 4.0 (Wavefunction, Inc, Irvine, CA, USA, 1995) and rotational barriers calculated by constraining the C2-C1-C1'-C2' dihedral angle at 10° increments around 360° . Structures at each step were initially minimised using the Sybyl force field and then optimised using AM1. The obtained structures with a minimum energy were then further optimised with AM1 without constraints, and the energy barriers calculated by subtracting the minimum from the maximum energy structures. For both **5** and **6** the minimum energy pathway for interconversion of the rotamers involved passage of the naphthalene ester substituent over the indene 7-position, with the barriers for the alternative pathway (ester over indene 2-position) being 3.7 and 2.4 kcal mol⁻¹, respectively, higher in energy.
6. Baker, R.W., Hockless, D.C.R., Pocock, G.R., Sargent, M.V., Skelton, B.W., Sobolev, A.N., Twiss, E., and White, A.H., *J. Chem. Soc., Perkin Trans. 1*, 1995, 2615.
7. Martin, M.L., Delpuech, J.-J., and Martin, G.J., *Practical NMR Spectroscopy*, Heyden, London, Philadelphia, Rheine, 1980, p. 315.
8. The single-crystal X-ray diffraction analysis of *-ac*-6 will be reported elsewhere.