



Hydroxymethylation of α -substituted nitroacetates

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ABSTRACT

Only 1 mol % of K_3PO_4 is efficient enough to catalyze the hydroxymethylation of α -substituted nitroacetates in good to excellent yield. Both aliphatic and aryl substituted nitroacetates work well under this reaction. The first catalytic asymmetric version of this reaction also reported that 10 mol % of cupreidine could catalyze this reaction up to 71% ee and 89% yield. Paraformaldehyde and formalin could both serve as the hydroxymethylation C1 unit. The synthetic application of products is also demonstrated.

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The efficient construction of tetrasubstituted carbon centers is a very important task in organic chemistry.¹ In this context, the deprotonative activation of carbon nucleophiles, with three disparate carbon substituents, to react with different electrophiles is a fruitful synthetic strategy.^{1,2} For example, α -substituted α -cyanoacetate, β -keto esters,^{2a,b} and 3-substituted oxindoles^{2c,d} had been widely used for the creation of tetrasubstituted carbon centers. In contrast, the functionalization of α -monosubstituted nitroacetates has been less studied judged by reaction type and substrate scope.³ For example, although α -aliphatic substituted nitroacetates had been used in Mannich,^{3a-f} Michael addition,^{3g-m} alkylation,^{3n-r} and aldol reaction,^{3s} α -aryl substituted nitroacetates were rarely employed for reaction development.^{3m}

With our efforts in the preparation of compounds containing a tetrasubstituted carbon center for biological evaluation,⁴ we are interested in the hydroxymethylation⁵ of α -substituted nitroacetates. The corresponding products are versatile synthons for the synthesis of aziridines, amino alcohols, diamines, and quaternary α -substituted α -amino acids.⁶ Surprisingly, this reaction has not been systematically studied. To prepare α -methylene carbonyl compounds, Ono and Kaji found that the hydroxymethylation of α -alkyl nitroacetates and formaldehyde (37% aq) worked well in the presence of 10 mol % of 10% NaOH, with five substrates examined (60–82% yield).^{7a} Recently, Chen et al. found that the use of 5 mol % DBU at 70 °C could facilitate the reaction of α -(3-indolyl)methyl nitroacetates and paraformaldehyde to provide α -hydroxymethylated tryptophan precursors.^{7b} As far as we know, the hydroxymethylation of α -aryl nitroacetates was not reported, and no asymmetric version was reported. Here, we wish to report

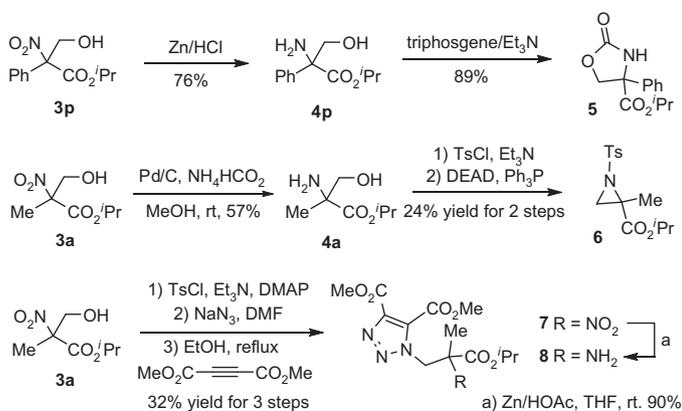
a highly efficient hydroxymethylation of both α -aryl and α -alkyl nitroacetates⁸ catalyzed by K_3PO_4 and the first example of asymmetric version.

The reaction of α -methyl nitroacetate **1a** and *para*-formaldehyde **2** was chosen to evaluate different bases, using CH_2Cl_2 as the solvent at room temperature. Of all the examined organic bases we first examined, DBU and DBACO could both afford the desired product **3a** in 80% yield (entries 1–4, Table 1). Inorganic bases were then examined (entries 5–9), and K_3PO_4 ⁹ was identified as a powerful catalyst for this reaction, which could promote the reaction to finish within 30 min, affording the product in 81% yield (entry 9). The following evaluation of the solvent effects was carried out using 5 mol % of the catalyst (entries 9–13), and Et_2O turned out to be the best (entry 13). Lowering the catalyst loading to only 1 mol %, the reaction could still finish in 4 h and gave product **3a** in 87% yield (entry 15). We also found that the reaction could proceed very slowly in the absence of catalyst, and product **3a** was obtained in 74% yield after 102 h (entry 16). This result suggested that the use of catalyst was necessary. The employment of K_3PO_4 as the catalyst is remarkable, not only for its high efficiency, but for its environmental benign nature. Because K_3PO_4 is a common fertilizer containing K and P, there is no need to worry about the environmental pollution by the remaining catalyst.

Based on the above results, the evaluation of substrate scope was carried out by running the reaction in Et_2O at room temperature, with 1 mol % of K_3PO_4 as the catalyst (Table 2). The ester group of the nitroacetates was first examined. Isopropyl, methyl, and ethyl esters worked well to afford the corresponding product **3a–c** in high yield (entries 1–3), but isopropyl esters **1a** seemed to be more reactive. Bulky *tert*-butyl ester **1d** could also afford the corresponding product **3d** in 69% yield (entry 4), and the reaction proceeded obviously slowly. The different aliphatic

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Scheme 2. Product elaboration.

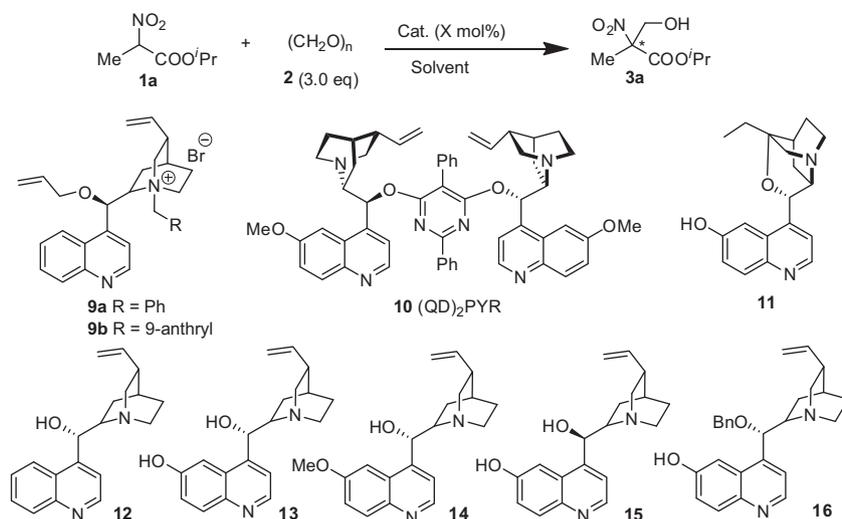
cinchonine **12** afforded product **3a** in 23% ee (entry 5), and quinine provided product **3a** in 26% ee (entry 7). Both results suggested the importance of the phenol group in an enantiofacial control. We next tried cupreidine derived catalyst **16**, and only 23% ee was obtained for product **3a** (entry 9), suggesting the

importance of the alcoholic hydroxyl group. These results were useful for the development of new chiral bifunctional Brønsted acid–base catalysts for further improving the ee. The quinine derived catalyst **15** could give the opposite enantiomer of product **3a** in 37% ee (entry 8). We next used catalyst **13** to examine the solvent effects at $-10\text{ }^{\circ}\text{C}$ (entries 10–15), and toluene turned out to be more suitable which afforded product in 44% ee (entry 15). Improving the catalyst loading to 10 mol % and lowering the temperature to $-25\text{ }^{\circ}\text{C}$, the ee of product **3a** could be improved to 60% (entry 16), but it took 6 days for the reaction to complete.

The substrate scope with respect to different α -substituted nitroacetate was then examined by running the reaction in toluene at $-25\text{ }^{\circ}\text{C}$ in the presence of 10 mol % of **13**. As shown in Table 4, five α -aliphatic substituted nitroacetates worked well to afford the corresponding products in 51–71% ee with good to high yield (entries 1–5). However, when α -phenyl nitroacetate **1p** was used, the enantioselectivity decreased to 25%. Although there is ample room for further improvement in the enantioselectivity, our results represented the first example of this useful hydroxymethylation.

In conclusion, we have developed a highly efficient hydroxymethylation of both α -alkyl and aryl substituted nitroacetates catalyzed by only 1 mol % of K_3PO_4 . Ether paraformaldehyde or formalin can serve as the electrophilic reaction partner. We also

Table 3
Condition optimization for asymmetric version



Entry ^a	Cat.	X	Solvent	T (°C)	Yield (%) ^b	ee (%) ^c
1 ^d	9a K_3PO_4	5	CH_2Cl_2	0	51	0
2 ^d	9b K_3PO_4	5	CH_2Cl_2	0	63	0
3	10	5	CH_2Cl_2	0	74	14
4	11	5	CH_2Cl_2	0	89	2 ^e
5	12	5	CH_2Cl_2	0	92	23 ^e
6	13	5	CH_2Cl_2	0	84	38
7	14	5	CH_2Cl_2	0	89	26
8	15	5	CH_2Cl_2	0	95	37 ^e
9	16	5	CH_2Cl_2	0	79	23
10	13	5	CH_2Cl_2	-10	89	40
11	13	5	$\text{ClCH}_2\text{CH}_2\text{Cl}$	-10	87	18
12	13	5	Et_2O	-10	68	28
13	13	5	$\text{MeOBu-}t$	-10	87	11
14	13	5	THF	-10	Trace	–
15	13	5	Toluene	-10	97	44
16	13	10	Toluene	-25	83	60

^a On a 0.20 mmol scale.

^b Isolated yield.

^c Determined by chiral HPLC analysis.

^d **9a** or **9b** (5 mol %) and K_3PO_4 (5 mol %).

^e Opposite enantiomer.

Table 4
Substrate scope of asymmetric version

Entry ^a	R	3	Time (d)	Yield (%) ^b	ee (%) ^c
1	Me (1a)	3a	6	83	60
2	Et (1e)	3e	6	80	64
3	<i>n</i> -Bu (1f)	3f	6	77	71
4	<i>i</i> -Bu (1g)	3g	6	72	51
5	(1h)	3h	6	89	52
6	Ph (1p)	3p	7	82	25

^a 0.2 mmol scale.

^b Isolated yield.

^c Determined by chiral HPLC analysis.

developed the first example of asymmetric catalytic version, and up to 71% ee was achieved by now. The synthetic versatility of the products was also demonstrated. The development of new chiral bifunctional Brønsted acid–base catalysts to improve both the reactivity and enantioselectivity of this hydroxymethylation reaction is now in progress in our lab.

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Supplementary data

Supplementary data associated (experimental details, IR, MS, and NMR spectra) with this Letter can be found, in the online version, at doi:10.1016/j.tetlet.2011.09.020.

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