Unexpected Lewis Acid Mediated Reactions of 1-Arylbut-3-en-1-ols with Trimethyl Orthoformate – A New Synthesis of Homoallyl Ethers and Chlorides

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Abstract: 1-Arylbut-3-en-1-ols react with trimethyl orthoformate in the presence of Lewis acids like $InCl_3$, $BiCl_3$, $TiCl_4$, or $BF_3 \cdot OEt_2$ providing homoallyl ethers or homoallyl chlorides in high yields.

Key words: alcohols, ethers, indium, halides, Lewis acids

Relatively little is known about reactions between ortho esters and alcohols.¹ Among the best known transformations of this kind is the acid-catalyzed transesterification of simple ortho esters with an excess of a less volatile alcohol. The resulting products are higher ortho esters with three identical OR groups. More difficult to achieve is the selective access to mixed ortho esters as no general preparation method is known. Albizati et al. could demonstrate that the synthesis of mono-exchanged products can be achieved by reacting an alcohol with a large excess of an ortho ester in the presence of MgCl₂.² This method has also been applied to the synthesis of a mixed ortho ester of a homoallyl alcohol. Using the substrates in almost equimolar amounts leads, of course, to mixtures of products derived from mono-, bis- and tris-exchange.

When the reaction of the homoallyl alcohol with the orthoformate is not performed with $MgCl_2$, but in the presence of other Lewis acids like $SnCl_4$, ^{3a,b} $SnBr_4$, ^{3a,b} $ZnBr_2$, ^{3a,b} MgI_2 ^{3b} or $Mg(CO_2CF_3)_2$, ^{3b} the stereoselective formation of tetrahydropyrans is observed. It can be assumed that the reaction sequence starts with the Lewis acid mediated transformation of the orthoformate **A** into the electrophilic dioxenium cation **B**,⁴ which in turn is attacked by the allyl alcohol **C** as a nucleophile to yield the mixed ortho ester **D**. The latter is transformed into the dioxenium ion **E** – again in a Lewis acid mediated reaction. The sequence is completed by the intramolecular cationic olefin cyclization of **E** to give the tetrahydropyran **F** (Scheme 1).^{3,5}

Such intramolecular Prins reactions have been developed into an efficient method for the synthesis of tetrahydropy-rans.⁶ In particular, allylsilanes and related compounds have been employed as intramolecular traps for oxocarbenium ions which are generated in various ways.⁷

During the course of our studies towards the construction of pyrans and pyrones by means of cationic cyclizations⁸



Scheme 1 Synthesis of tetrahydropyrans by reaction of homoallyl alcohols and orthoformates



Scheme 2 Reaction of 1a and 2 with InCl₃

we focused on the development of a general method for the use of ortho esters as precursors for dioxenium ions in intramolecular olefin cyclizations. For this purpose, we chose the reactions between 1-arylbut-3-en-1-ols and orthoformates. To start with, 1-phenylbut-3-en-1-ol (1a) was prepared in 90% yield by reacting benzaldehyde with allylmagnesium bromide in Et₂O.⁹ Subsequently, one equivalent 1a was reacted with one equivalent trimethyl orthoformate (TMOF, 2) in the presence of one equivalent of InCl₂ at room temperature (Scheme 2). InCl₂ is a reagent that has proved to be an efficient Lewis acid in a number of Prins reactions.¹⁰ The transformation proceeded cleanly and without any side reactions. Surprisingly, instead of the expected tetrahydropyran or a mixed ortho ester we isolated the homoallyl ether 3a with 88% yield upon workup.¹¹

Homoallyl ethers are important and versatile building blocks amenable to further transformations so that several synthetic methods can be found in the literature,¹² most of them based on the allylation of acetals.

Several reagents have been used to effect this transformation. These include TiCl₄,^{13a} AlCl₃,^{13b} BF₃·OEt₂,^{13b} Me₃SiOTf,^{13c} Me₃SiI,^{13d} TrClO₄,^{13e} Ph₂BOTf,^{13e} montmorillonite,^{13f} PbBr₂/Al/AlBr₃,^{13g} TMSN(SO₂F)₂,^{13h} TiCp₂(CF₃SO₃)₂,¹³ⁱ BiBr₃,^{13j} TMSNTf₂,^{13k} Sc(OTf)₃,¹³¹ Bi(OTf)₃,^{13m} and TMSOTf in ionic liquids.¹³ⁿ In addition to the very popular allyltrimethylsilane,^{13a-f} allyl bromide,^{13g} and allylborates¹⁴ have served as allylating re-

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Scheme 3 InCl₃-mediated reaction of homoallyl alcohols 1 with TMOF (2)

 Table 1
 Results of the InCl₃-Mediated Reactions between 1 and 2

Entry	1	R	Time (h)	3	Yield (%)	4	Yield (%)	5	Yield (%)
1	1a	Н	3	3a	88	_	_	_	_
2	1b	2-Me	2	3b	86	-	-	-	-
3	1c	3-Me	2	3c	84	_	-	_	-
4	1d	4-Me	2	3d	92	_	-	_	-
5	1e	2,5-dimethyl	2	3e	90	_	-	-	-
6	1f	4-F	2	3f	72	4f	9	_	-
7	1g	4-C1	3	3g	63	4g	14	-	-
8	1h	4-Br	3	3h	61	4h	14	-	-
9	1i	4-CF ₃	3	3i	-	-	-	5i	82
10	1j	pentafluoro	3	3j	-	_	-	5j	83
11	1k	4-CN	3	3k	-	_	-	5k	88
12	11	4-O ₂ N	3	31	-	_	-	51	67

agents. As the reaction shown in Scheme 2 promised a new approach to homoallyl ethers, which benefits from very cheap and easily available substrates as well as the use of a particular mild Lewis acid under mild reaction conditions, we decided to investigate scope and limitation of the new reaction.

For these studies a number of aryl-substituted homoallyl alcohols **1** were prepared. Apart from compounds having aryl groups with +I, +M-substituents **1b**-e compounds with -I, +M-substituents (**1f**-**h**,**j**) and -I, -M-substituents (**1i**,**k**,**l**) were also synthesized. Most of the homoallyl alcohols were obtained according to the simple method that had already been employed for the preparation of **1a** in high yield. Reaction of the correspondingly substituted aldehydes with allylmagnesium bromide in Et₂O delivered the homoallyl alcohols **1b**-**j** with yields ranging from 70% to 99%. Only the alcohols **1k**,**l** with cyano or nitro groups as substituents on the aromatic ring were prepared according to the method of Baba.¹⁵

Then each of the alcohols 1b-l was reacted with TMOF (2) in the presence of $InCl_3$ in CH_2Cl_2 at room temperature (Scheme 3, Table 1).¹⁶ Progress of the reactions was monitored by TLC. The reactions were stopped by adding water according to the reaction times specified in Table 1. After extractive workup followed by purification of the crude products by means of Kugelrohr distillation (**3b–e**)



Figure 1 Part of ¹H NMR spectrum of **4f** in CDCl₃, displaying the signals for selected protons of the two diastereomers D_1 and D_2



Scheme 4 Reactions of 1a and 2 with different Lewis acids

and flash chromatography (**3f–h**, **4f–h**, **5i–l**), respectively, the products **3–5** were obtained analytically pure. The structures of all products were established unambiguously.

Quite remarkably, the result of the transformations is crucially dependent on the nature of the substituent R of the aryl ring of **1**. In the case of +I, +M-substituents, the homoallyl ethers **3**¹⁷ were isolated exclusively with yields ranging from 84% to 92% (Table 1, entries 2–5). With halogen atoms as the substituents R, the homoallyl ethers **3f**-h (61–72%) were accompanied by bishomoallyl ethers **4f**-h¹⁸ (9–14%) as side products (Table 1, entries 6–8). If the aryl groups of the homoallyl alcohols **1** carry several halogen atoms or a -I, -M-substituent, the homoallyl chlorides **5i**-l¹⁹ were exclusively formed in yields of between 67% and 88% (Table 1, entries 9–12).

The structures of all products **3–5** were established unambiguously. In the case of the bishomoallyl ethers **4** separate signals can be observed for two diastereomers (Figure 1). For example, the ¹H NMR spectrum of **4f** exhibits two signals for the olefinic proton 3-H at $\delta = 5.67$ ppm and $\delta = 5.77$ ppm in a 1:1 ratio, indicating a 1:1 mixture of two diastereomers D₁ and D₂.²⁰ Complete proton assignment for both diastereomers has been achieved using 1D NMR (¹H, ¹³C) and 2D NMR (gCOSY, decoupling experiments, gHSQC, gHMBC, gHSQCAD, gHM-BCAD).

Table 2Results of the Reactions of 1a and 2 with Different LewisAcids

Entry	Lewis acid	Temp (°C)	Time (h)	Yield of 3a (%)	Yield of 5a (%)
1	InCl ₃	r.t.	3	88	-
2	BiCl ₃	r.t.	2	80	-
3	$BF_3 \cdot OEt_2$	−78 °C	2	86	-
4	TiCl ₄	–78 °C	2	_	92
1 + 2	BF₃•OEt₂ CH₂Cl₂, −7	(1 equiv) 8 °C to r.t. ➤	3 +	4 +	F F 6

Scheme 5 Reactions of 1 and 2 with BF₃·OEt₂

Table 3Results of the BF_3 ·OEt2-Mediated Reactions between 1 and2

Entry	1	R	Time (h)	3	Yield (%)	4	Yield (%)	6	Yield (%)
1	1a	Н	2	3a	86	_	-	_	_
2	1b	2-Me	1	3b	70	_	-	_	_
3	1c	3-Me	1	3c	71	_	-	_	_
4	1d	4-Me	1	3d	70	_	-	_	_
5	1e	2,5-dimethyl	1	3e	71	_	-	_	_
6	1f	4-F	2	3f	77	4f	3	_	_
7	1g	4-C1	2	3g	72	4g	10	_	_
8	1h	4-Br	2	3h	68	4h	12	_	_
9	1j	pentafluoro	6	_	-	_	-	6j	21

In the face of the exceptional dependence of product distribution on the nature of the aryl substituents the influence of the Lewis acid on the reactions was briefly studied (Scheme 4, Table 2). It was found that transformations with BiCl₃ could also be performed at room temperature. The reaction of equimolar amounts of 1a, TMOF (2) and $BiCl_3$ in CH_2Cl_2 delivered the homoallyl ether **3a** in high yield (80%) as expected (Table 2, entry 2). With strong Lewis acids like $BF_3 \cdot OEt_2$ and $TiCl_4$, however, the reactions could be performed at low temperatures. Employing $BF_3 \cdot OEt_2$ as the Lewis acid the homoallyl ether **3a** could again be isolated exclusively and in high yield (86%, Table 2, entry 3), whereas the reaction with $TiCl_4$ provided an unexpected result, namely the exclusive formation of the homoallyl chloride 5a in 92% yield (Table 2, entry 4). These results definitely prove that product distribution can also be controlled by choosing the appropriate Lewis acid.

Compared to $InCl_3$ and $BiCl_3$, $BF_3 \cdot OEt_2$ is a very cheap Lewis acid. This is why the entire range of 1-arylbut-3-en-



Scheme 6 A plausible reaction mechanism for the formation of different products

1-ols 1a-l was reacted with TMOF (2) in the presence of BF₃·OEt₂ in CH₂Cl₂ (Scheme 5). The results summarized in Table 3 clearly demonstrate that the reactions with BF₃·OEt₂ largely resemble the InCl₃-mediated transformations. For example, the transformations of 1a-e also led to the exclusive formation of homoallyl ethers **3a-e** (Table 3, entries 1-5), though with somewhat lower yields. The reactions of 1f-h are also similar to the corresponding InCl₃-mediated transformations. The homoallyl ethers **3f**-h were the main products accompanied by small amounts of the bishomoallyl ethers 4f-h (Table 3, entries 6–8). The BF₃·OEt₂-mediated reactions with 1j-1 were unsatisfactory as in all but one case, a multitude of products of unknown structure was formed. Only with the pentafluorophenyl-substituted butenol 1j as the substrate could the homoallyl fluoride 6j be isolated in analytically pure form (Table 3, entry 9).

Mechanistic considerations accounting for the formation of the products **3–5** rely on the carbenium ion **C** as the central intermediate (Scheme 6). If the aryl ring of **C** carries +I,+M- or -I,+M-substituents, an S_N1 process is favored and the formation of the carbenium ion **D**, which is both an allyl cation and a benzyl cation, occurs. If InCl₃, BiCl₃, or BF₃·OEt₂ are used as Lewis acids, the carbenium ion **D** is subsequently trapped with the alcohol ROH as the nucleophile to yield the homoallyl ether **3**. If the homoallyl alcohol **1** itself acts as the nucleophile, the formation of a bishomoallyl ether **4** takes place. If TiCl₄ is used, the alcohol ROH undergoes an irreversible reaction with the Lewis acid, and thus, the carbenium ion **D** reacts with the remaining chloride ion to afford the allyl halide **5**. If the aryl ring of **C** carries several halogen atoms or a -I,-M- substituent, it is supposed that an $S_N 2$ reaction takes place. In this case, C reacts with the chloride ion as the best nucleophile available under the reaction conditions and affords the allyl halide **5**.

In summary, this is the first report on the Lewis acid mediated transformation of 1-arylbut-3-en-1-ols and trimethyl orthoformates into their corresponding homoallyl ethers and homoallyl halides.

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- (16) General Procedure for InCl₃-Mediated Reactions of Homoallyl Alcohols 1 with TMOF (2) InCl₃ (3 mmol) was added to a stirred solution of homoallyl alcohol 1 (3 mmol) and TMOF (2, 3 mmol) in CH₂Cl₂ (25 mL). The reaction mixture was stirred at r.t. under Ar until 1 had been completely consumed (TLC). Then H₂O (25 mL) was added and the resulting mixture was extracted with CH₂Cl₂ (75 mL). The extracts were washed with brine (25 mL), dried over MgSO₄, filtered and concentrated in vacuo. The products were purified by Kugelrohr distillation or flash chromatography on silica gel.
- (17) Selected data for **3e**: $R_f = 0.20$ (PE–CH₂Cl₂, 7:3). UV/Vis (MeCN): $\lambda_{max} (\log \epsilon) = 269 (3.17), 277 (3.18) \text{ nm. IR}$ (ATR): 3090, 2927, 2819, 1641 (C=C), 1500, 1448, 1357, 1189, 1156, 1108 (C-O-C), 1091, 974, 912, 808, 729 cm⁻¹. ¹H NMR (300 MHz, CDCl₃): $\delta = 2.33$ (s, 3 H, 2'-CH₃), 2.38 (s, 3 H, 5'-CH₃), 2.44 (br ddd, 1 H, ${}^{2}J_{gem} = 14.5$ Hz, ${}^{3}J = ca.$ 7.4 Hz, ${}^{3}J = \text{ca. } 7.4 \text{ Hz}, 2\text{-H}$), 2.53 (br ddd, 1 H, ${}^{2}J_{gem} = 14.5$ Hz, ${}^{3}J = ca. 7.0$ Hz, ${}^{3}J = ca. 7.0$ Hz, 2-H), 3.27 (s, 3 H, 1- OCH_3), 4.48 (br dd, ${}^{3}J = 7.9$ Hz, ${}^{3}J = 8.0$ Hz, 1 H, 1-H), 5.11 (ddt, 1 H, ${}^{3}J_{cis}$ = 9.8 Hz, ${}^{2}J$ = 1.3 Hz, 4-H), 5.17 (dq, 1 H, ${}^{3}J_{trans} = 17.2 \text{ Hz}, {}^{2}J = 1.3 \text{ Hz}, 4\text{-H}), 5.87 \text{ (dddd, 1 H,}$ ${}^{3}J_{cis} = 10.1, {}^{3}J_{trans} = 17.1 \text{ Hz}, {}^{3}J = \text{ca. } 7.0 \text{ Hz}, {}^{3}J = \text{ca. } 7.0 \text{ Hz},$ 3-H), 7.04 (br d, ${}^{3}J$ = 7.5 Hz, 1 H, 3'-H), 7.08 (br d, ${}^{3}J$ = 7.8 Hz, 1 H, 4'-H), 7.24 (s, 1 H, 6-H). ¹³C NMR (75 MHz, CDCl₃): δ = 18.7 (2'-CH₃), 21.1 (5'-CH₃), 41.6 (C-2), 56.6 (1-OCH₃), 80.0 (C-1), 116.6 (C-4), 126.4 (C-6'), 127.8 (C-4'), 130.2 (C-3'), 132.2 (C-1'), 135.2 (C-3), 135.6 (C-5'), 139.5 (C-1'). MS (EI, 70 eV): m/z (%) = 149 (100) [M⁺ -41], 143 (12), 133 (45), 119 (59), 105 (65), 103 (15), 91 (31), 77 (32), 65 (8), 51 (10). HRMS (EI): *m/z* calcd for C₁₃H₁₈O: 190.1357; found: 190.1316 [M⁺]. Anal. Calcd for C₁₃H₁₈O: C, 82.06; H, 9.53. Found: C, 82.05; H, 9.58.
- (18) Selected data for **4f**: $R_f = 0.62$ (PE–CH₂Cl₂, 7:3). UV/Vis (MeCN): λ_{max} (log ε) = 271 (3.01), 265 (3.05) nm. IR (ATR): 3077, 2980, 2905, 1641 (C=C), 1604, 1508, 1431, 1343, 1295, 1220, 1156, 1073 (C–O–C), 1013, 991, 915, 831, 785, 722 cm⁻¹. MS (EI, 70 eV): m/z (%) = 273 (4) [M⁺ – 41], 150 (20), 149 (100), 109 (35), 95 (3), 53 (2). HRMS (EI): m/z calcd for the fragment [C₁₀H₁₀F⁺]: 149.0761; found: 149.0750.
- (19) Selected data for **5j**: $R_f = 0.50$ (PE). UV/Vis (MeCN): λ_{max} (log ε) = 266 (1.97) nm. IR (ATR): 3080, 2980, 1654 (C=C), 1523, 1501, 1449, 1424, 1359, 1316, 1148, 1130, 1042, 991, 955, 928, 802, 761, 741, 684 cm⁻¹. ¹H NMR (500 MHz, CDCl₃): δ = 2.96 (br ddd, 1 H, ²J = 14.3 Hz, ³J = ca. 7.8 Hz, ³J = ca. 7.8 Hz, 2-H), 3.05 (br ddd, 1 H, ²J = 14.3 Hz, ³J = ca. 7.1 Hz, ³J = ca. 7.1 Hz, 2-H), 5.15 (ddt, 1 H, ³J = 10.1 Hz, ²J = 1.4 Hz, ⁴J = 1.2 Hz, 4-H), 5.19 (dq, 1 H, ²J = 1.4 Hz, ³J = ca. 8.0 Hz, 1-H), 5.73 (dddd, 1 H, ³J = ca. 7.0 Hz, ³J = ca. 8.0 Hz, ³J = ca. 7.0 Hz,

3'and C-5'), 144.75 (d, C-2'and C-6'). MS (EI, 70 eV): m/z(%) = 256 (33) [M⁺], 215 (100) [M⁺ - 41], 207 (57), 194 (35), 181 (45), 151 (12), 143 (11), 123 (7), 105 (3), 99 (6), 75 (5), 51 (5). HRMS (EI): m/z calcd for C₁₀H₆ClF₅: 256.0078; found: 256.0076 [M⁺].

(20) Compound **4f** (D₁): ¹H NMR (500 MHz, CDCl₃): $\delta = 2.34$ (dddq, 1 H, ²*J* = 13.9 Hz, ³*J* = ca. 6.5 Hz, ³*J* = ca. 6.5 Hz, ⁴*J* = 1.1 Hz, 2-H), 2.55 (br dddt, 1 H, ²*J* = 14.0 Hz, ³*J* = ca. 7.2 Hz, ³*J* = ca. 7.2 Hz, ⁴*J* = 1.3 Hz, 2-H), 4.08 (dd, 1 H, ³*J* = 7.3 Hz, ³*J* = 6.6 Hz, 1-H), 4.96 (dddd, 1 H, ³*J*_{trans} = 17.2 Hz, ²*J* = ca. 1.6 Hz, ⁴*J* = ca. 1.6 Hz, ⁴*J* = ca. 1.6 Hz, 4-H), 4.98 (br d, 1 H, ³*J*_{cis} = 10.4 Hz, 4-H), 5.67 (dddd, 2 H, ³*J*_{trans} = 17.1 Hz, ³*J*_{cis} = 10.2 Hz, ³*J* = ca. 6.9 Hz, ³*J* = ca. 6.9 Hz, 3'-H and 5'-H), 7.23 (br dd, 2 H, ³*J* = 8.7 Hz, ⁴*J*_{H-F} = 5.5 Hz, 2'-H and 6'-H). ¹³C NMR (125 MHz, CDCl₃): δ = 43.05 (C-2), 77.85 (C-1), 115.44 (d, ²*J*_{C-F} = 21.4 Hz, C-3' and C-5'), 117.28 (C-4), 128.88 (d, ³*J*_{C-F} = 8.5 Hz, C-2' and C-6'),

134.7 (C-3), 137.70 (d, ${}^{4}J_{C-F} = 2.9$ Hz, C-1'), 162.5 (d, ${}^{1}J_{C-F} = 245.5 \text{ Hz}, \text{ C-4'}$). Compound **4f** (D₂): ¹H NMR (500 MHz, CDCl₃): δ = 2.47 $(dddq, 1 H, {}^{2}J = 14.1 Hz, {}^{3}J = ca. 7.1 Hz, {}^{3}J = ca. 7.1 Hz,$ ${}^{4}J = 1.1$ Hz, 2-H), 2.62 (dddt, 1 H, ${}^{2}J = 14.1$ Hz, ${}^{3}J = ca. 7.1$ Hz, ${}^{3}J = ca. 7.1$ Hz, ${}^{4}J = 1.2$ Hz, 2-H), 4.39 (dd, 1 H, ${}^{3}J = ca.$ 6.4 Hz, ${}^{3}J = ca. 6.4$ Hz, 1-H), 5.04 (br d, 1 H, ${}^{3}J_{cis} = 10.0$ Hz, 4-H), 5.05 (dddd, 1 H, ${}^{3}J_{trans} = 17.5$ Hz, ${}^{2}J = ca. 1.6$ Hz, ${}^{4}J =$ ca. 1.6 Hz, ${}^{2}J$ = ca. 1.6 Hz, 4-H), 5.77 (dddd, 2 H, ${}^{3}J_{trans} = 16.9 \text{ Hz}, {}^{3}J_{cis} = 10.5 \text{ Hz}, {}^{3}J = \text{ca. } 7.2 \text{ Hz}, {}^{3}J = \text{ca. } 7.2$ Hz, 3-H), 6.94 (br dd, 2 H, ${}^{3}J = ca. 8.8$ Hz, ${}^{3}J_{H-F} = ca. 8.8$ Hz, 3'-H and 5'-H), 7.14 (br dd, 2 H, ${}^{3}J = 8.6$ Hz, ${}^{4}J_{H-F} = 5.5$ Hz, 2'-H and 6'-H). ¹³C NMR (125 MHz, CDCl₃): δ = 41.96 (C-2), 79.4 (C-1), 115.06 (d, ${}^{2}J_{C-F}$ = 21.3 Hz, C-3'and C-5'), 117.54 (C-4), 128.51 (d, ${}^{3}J_{C-F} = 7.5$ Hz, C-2' and C-6'), 134.5 (C-3), 137.19 (d, ${}^{4}J_{C-F} = 3.7$ Hz, C-1'), 162.20 (d, ${}^{1}J_{C-F} = 244.7 \text{ Hz}, \text{ C-4'}$).