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Authors: Marlene Kolter and Konrad Koszinowski

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Formation of Transient Anionic Metal Clusters in Palladium/Diene-Catalyzed Cross-Coupling Reactions

Marlene Kolter and Konrad Koszinowski*^[a]

Abstract: Despite their considerable practical value, palladium/1,3-diene-catalyzed cross-coupling reactions between Grignard reagents RMgCl and alkyl halides AlkylX remain mechanistically poorly understood. Here, we probe the intermediates formed in these reactions by a combination of electrospray-ionization mass spectrometry, UV/Vis spectroscopy, and NMR spectroscopy. According to our results and in line with previous hypotheses (*Chem. Soc. Rev.* **2011**, *40*, 4937), the first step of the catalytic cycle brings about transmetalation to afford organopalladate anions. These organopalladate anions apparently undergo S_N2-type reactions with the AlkylX coupling partner. The resulting neutral complexes then release the cross-coupling products via reductive eliminations. Using gas-phase fragmentation experiments, we could observe the occurrence of reductive eliminations for anionic analogues of the neutral complexes. While the actual catalytic cycle supposedly chiefly involves mononuclear palladium species, we also observed the anionic palladium nanoclusters [Pd_nR(DE)_n]⁻, *n* = 2, 4, 6 (DE = diene). At short reaction times, the dinuclear complexes usually predominated whereas at longer times, the tetra- and hexanuclear clusters became relatively more abundant. In parallel, the formation of palladium black pointed to continued aggregation processes. The present study, thus, directly shows the dynamic behavior of the palladium/diene catalytic system and the degradation of the active catalyst with increasing reaction time.

Introduction

Palladium-catalyzed transformations, such as cross-coupling reactions, are among the most widely used tools in organic synthesis and, as such, have been studied extensively. By now, the mechanisms of numerous reactions mediated by neutral, mononuclear palladium catalysts are thoroughly understood and have become classical textbook knowledge.^[1,2] It is also generally recognized that palladium nanoparticles often exhibit high catalytic activities.^[3-6] The size distribution of these nanoparticles can be reliably determined by microscopic methods. In contrast, far less is known about the intermediate size regime. The formation of nanoparticles from mononuclear precursors must proceed via so-called nanoclusters, which might also be released from nanoparticles in the reverse

process. Thus, highly complex and dynamic mixtures of palladium species result, which have been aptly referred to as cocktail-type systems.^[7,8] Several examples suggest that palladium aggregates display distinct reactivities. For instance, isolated dimeric palladium complexes, such as [Pd₂X₂L₂] (X = Br, I; L = *t*-Bu₃P) have been found to be not only efficient precatalysts in Pd(0)-mediated reactions,^[9-12] but also to react directly with electrophilic substrates, such as aryl iodides.^[13-17] Similarly, isolated tri- and tetranuclear species have been demonstrated to mediate the dehydrogenation of formic acid^[18-21] or C-C-coupling reactions.^[22,23] Clearly, such aggregates are of significant interest.

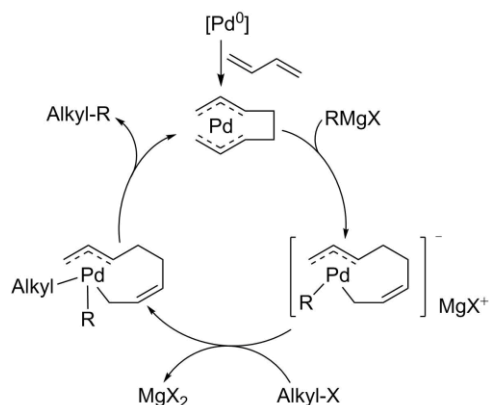
The difficulty in elucidating the behavior of in-situ formed palladium nanoclusters lies in their transient nature and the scarcity of analytical methods capable of establishing their chemical identity. Under typical conditions, the aggregation of mononuclear palladium precursors occurs relatively fast and, thus, limits the lifetime of individual nanoclusters.^[23-26] Moreover, the low-valent character of palladium nanoclusters supposedly renders them susceptible to oxidation reactions. The transient nature of in-situ formed nanoclusters excludes the use of crystallographic or microscopic methods for their characterization. The small size of nascent nanoclusters furthermore prohibits their analysis by dynamic light scattering. While viable, spectroscopic techniques, in turn, promise to afford only limited information on the molecular constitution of palladium nanoclusters.

Here, we report the observation of transient anionic nanoclusters formed in palladium/diene-catalyzed cross-coupling reactions. As Kambe and coworkers have shown, this catalytic system works well for the coupling of alkyl halides with Grignard reagents.^[27-31] The postulated reaction mechanism starts with the in-situ formation of a mononuclear bis-(μ^3 -allyl)-palladium complex (Scheme 1).^[27-31] The transfer of an organyl group from the Grignard reagent onto the palladium center then affords an anionic intermediate, which undergoes oxidative addition of an alkyl halide in an S_N2-type process. The resulting heteroleptic neutral species releases the cross-coupling product in a reductive elimination and regenerates the bis-(μ^3 -allyl)-palladium complex.

Using electrospray-ionization (ESI) mass spectrometry as our chief analytical method, we provide the first experimental evidence of the invoked anionic palladate complexes in the title reactions. Besides the originally proposed mononuclear catalytic intermediate, we also find related palladium nanocluster anions in high signal intensities. ESI mass spectrometry offers the unique advantage of affording direct information on their

[a] M. Kolter, Prof. Dr. K. Koszinowski
Institut für Organische und Biomolekulare Chemie
Universität Göttingen
Tammannstraße 2, 37077 Göttingen (Germany)
E-mail: konrad.koszinowski@chemie.uni-goettingen.de

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Scheme 1. Mechanism of palladium-catalyzed cross-coupling reactions between alkyl halides and Grignard reagents in the presence of buta-1,3-diene as suggested by Kambe and coworkers.^[29,30]

aggregation states. The presence of the observed palladium nanoclusters and their further growth at longer reactions times point to a considerably higher complexity of the catalytic system than previously assumed. We complement our ESI-mass spectrometric studies by gas-phase fragmentation experiments as well as UV/Vis- and NMR- spectroscopic measurements.

Results

Species Formed by Transmetalation

Upon the analysis of a solution of the palladium(0) precatalyst $[\text{Pd}_2(\text{dba})_3]$ (dba = dibenzylideneacetone), $n\text{-BuMgCl}$, and isoprene (DE^{I}) in tetrahydrofuran (THF) by negative-ion mode ESI mass spectrometry, the di- and tetranuclear organopalladate anions $[\text{Pd}_n\text{Bu}(\text{DE}^{\text{I}})_n]^-$, $n=2$ and 4, were detected as main species (Figure 1, Figures S1 and S2, Supporting Information).

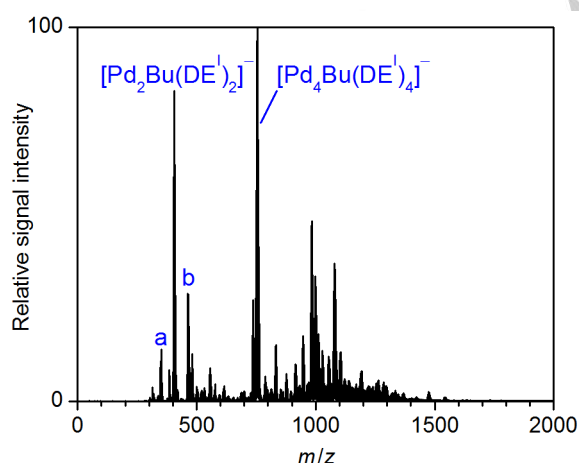


Figure 1. Negative-ion mode ESI mass spectrum of a solution of $\text{Pd}_2(\text{dba})_3$ (1.5 mM), isoprene (DE^{I} , 24 mM), and $n\text{-BuMgCl}$ (12 mM) in THF (a = $[\text{Pd}_2\text{H}(\text{DE}^{\text{I}})_2]^-$, b = $[\text{Pd}_2\text{Bu}_2\text{H}(\text{DE}^{\text{I}})_2]^-$).

Analogous low-valent nanoclusters were also observed when isoprene was exchanged for buta-1,3-diene (DE^{B} , Figures S3-S5) and when PhMgCl or BnMgCl were used as transmetalating agent (Figures S6-S20). In contrast, trinuclear species ($[\text{Pd}_3\text{Bu}(\text{DE}^{\text{B}})_4]^-$, $[\text{Pd}_3\text{H}(\text{DE}^{\text{B}})_4]^-$, and $[\text{Pd}_3\text{Bu}(\text{DE}^{\text{B}})_3]^-$, Figure S3) proved to be less abundant and stable.

In all cases, the relative signal intensities of the palladate ions changed considerably over time (Figure 2 for the reaction of $[\text{Pd}_2(\text{dba})_3]$ with PhMgCl and isoprene). When we probed sample solutions immediately after their preparation, we could observe the low-valent mononuclear complexes $[\text{PdR}(\text{DE}^{\text{I}})_2]^-$, $\text{R} = \text{Bu}$ and Ph , as well as $[\text{PdPh}(\text{DE}^{\text{I}})]^-$ in low signal intensities (Figures S21-S26). The mononuclear complexes were only short-lived and completely disappeared after a few minutes. In marked contrast, the relative signal intensity of the dinuclear and, in particular, of the tetranuclear nanoclusters increased with time. For solutions of $[\text{Pd}_2(\text{dba})_3]$, BnMgCl , and isoprene, even the hexanuclear aggregate $[\text{Pd}_6\text{Bn}(\text{DE}^{\text{I}})_6]^-$ could be detected after longer reaction times (Figures S19 and S20). The absolute signal intensities of the observed palladate ions decreased with time. Besides palladates of the type $[\text{Pd}_n\text{R}(\text{DE}^{\text{I}})_n]^-$, we also observed complexes $[\text{Pd}_n\text{R}_3(\text{DE}^{\text{I}})_n]^-$ with palladium in higher average oxidation states (Figures S6, S10, S16, S24). In addition, hydride-containing species, such as $[\text{Pd}_n\text{H}(\text{DE}^{\text{I}})_n]^-$ and $[\text{Pd}_n\text{R}_x\text{H}_{3-x}(\text{DE}^{\text{I}})_n]^-$, were also present in most cases (Figure 1, Figures S3, S6, S10, S16, S19, S21).

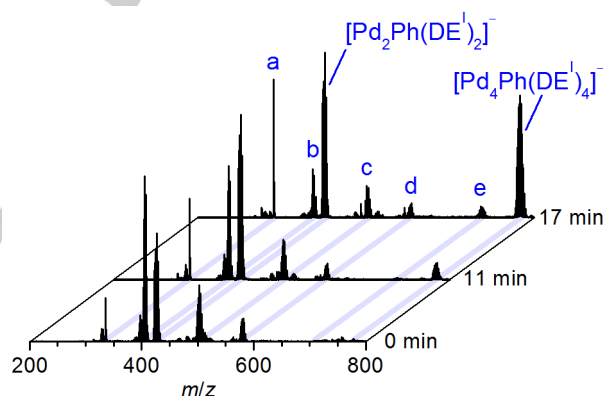


Figure 2. Negative-ion mode ESI mass spectra of a solution of $\text{Pd}_2(\text{dba})_3$ (1.5 mM), isoprene (DE^{I} , 24 mM), and PhMgCl (12 mM) in THF after 0, 11, and 17 minutes after removal from the cooling bath (-78°C , a = $[\text{AlPh}_4]^-$, b = $[\text{PdPh}_3(\text{DE}^{\text{I}})]^-$, c = $[\text{Pd}_2\text{Ph}_2(\text{DE}^{\text{I}})_2\text{-H}]^-$, d = $[\text{Pd}_2\text{Ph}_3(\text{DE}^{\text{I}})_2]^-$, e = $[\text{Pd}_4\text{Ph}(\text{DE}^{\text{I}})_3]^-$). $[\text{AlPh}_4]^-$ stems from aluminum impurities in the used Grignard reagent.

To probe whether the palladate complexes preferentially incorporate isoprene or buta-1,3-diene, we performed competition experiments with the two compounds present in equimolar amounts (together with $\text{Pd}_2(\text{dba})_3$ and $n\text{-BuMgCl}$). In the resulting negative-ion mode ESI mass spectra, the signal intensities of the butadiene-containing palladates exceeded those of the complexes bearing both dienes, whereas hardly any

palladates binding only isoprene were detected (Figures S27-S30).

Control experiments with the palladium(II) precursors PdCl_2 , PdI_2 , or $\text{Pd}(\text{OAc})_2$ combined with dienes and Grignard reagents did not yield any detectable organopalladate complexes. Instead, the resulting negative-ion mode ESI mass spectra were of only rather low absolute signal intensity and were dominated by non-transmetalated Pd^{II} complexes or heterobimetallic adducts, such as $[\text{Pd}_2\text{Cl}_3(\text{DE})]^-$ and $[\text{MgPd}_2\text{Cl}_5(\text{DE})]^-$ (Figure S31). Even with the Pd^0 precursor $\text{Pd}_2(\text{dba})_3$, stable signal intensities could only be achieved when the precatalyst and the diene were allowed to react for at least 30 min before the addition of the Grignard reagent.

In further control experiments, we replaced the Grignard reagent by organolithium and -zinc compounds, respectively. The transmetalation of $[\text{Pd}_2(\text{dba})_3]$ with *n*-BuLi in the presence of isoprene led to the formation of the palladate complexes $[\text{Pd}_n\text{Bu}(\text{DE})_n]^-$, $n = 2, 4, 6$, in high signal intensities. In addition, the mononuclear complexes $[\text{PdBu}(\text{DE})]^-$ and $[\text{PdBu}(\text{DE})_2]^-$ as well as several heterobimetallic complexes, such as $[\text{LiPd}_2\text{Bu}_2(\text{DE})_4]^-$ and $[\text{LiPd}_3\text{Bu}_2(\text{DE})_4]^-$ were observed (Figures S32-S34). Like in the case of the reactions with the Grignard reagents, the mono- and dinuclear palladates rapidly disappeared whereas the tetra- and hexanuclear ones increased in time (Figure S35). After a few minutes, the overall signal intensity strongly decreased. No palladate complexes could be detected when *n*-BuZnCl · LiCl was used as transmetalating agent (Figure S36).

Analysis of the sample solutions by positive-ion mode ESI mass spectrometry showed abundant magnesium-containing cations, but no palladium complexes (Figure S37). For also obtaining insight into possibly formed neutral palladium species, we made use of a charge-tagged diene. In this way, quasi-neutral species incorporating this diene become amenable to ESI-mass spectrometric analysis.^[32,33] Upon the treatment of a solution of $[\text{Pd}_2(\text{dba})_3]$ and (*E*)-buta-1,3-dien-1-yltriphenylphosphonium bromide, $(\text{DE}^{\text{P}}\text{Br})^+$, with *n*-BuMgCl, we detected, among several palladium-free species, the complexes $[\text{PdBu}(\text{DE}^{\text{P}})_2]^-$ and $[\text{PdBuH}(\text{DE}^{\text{P}})(\text{PPh}_3)]$ (Figures S38-S47, note that both of these species bear a total charge of +1 resulting from the positive charge of the DE^{P} units and the anionic or neutral character of the palladium center, as indicated in the given formulae). The former can be considered the cationized analogue of the mononuclear palladate anions discussed above. $[\text{PdBuH}(\text{DE}^{\text{P}})(\text{PPh}_3)]$ corresponded to a true quasi-neutral species containing a free PPh_3 moiety, which presumably originated from the decomposition of the charge-tagged diene. Among the observed palladium-free species, an ion with the sum formula of $\text{C}_{48}\text{H}_{49}\text{P}_2^+$ was of potential interest because its stoichiometry matches that expected for the addition product of two cationic diene molecules and a butyl anion.

UV/Vis spectroscopy showed that the band characteristic of $\text{Pd}_2(\text{dba})_3$ (absorption maximum at $\lambda = 520$ nm) did not change after the addition of isoprene (Figure S48). The treatment with *n*-BuMgCl led to the decrease of this band and to the

appearance of a new absorption maximum at $\lambda = 450$ nm along with a broad absorption at wavelengths between 500 and 700 nm (Figure 3). At longer reaction times, these absorption features decreased in intensity. Moreover, the precipitation of palladium black was observed by the bare eye.

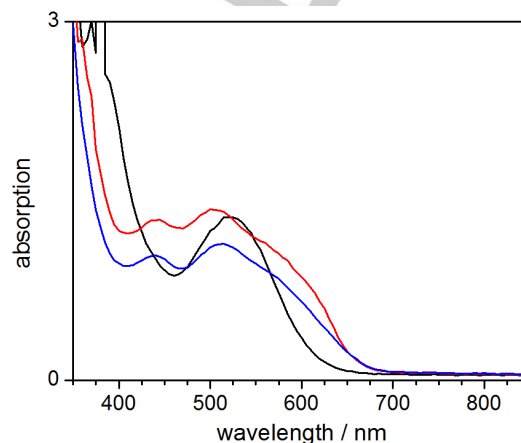


Figure 3. UV/Vis spectra of a solution of $\text{Pd}_2(\text{dba})_3$ (1 mM) and isoprene (16 mM), stirred at 278 K for 50 min, before (black) and after the addition of 8 eq. of *n*-BuMgCl after 1 min (red) and 120 min (blue) reaction time at 278 K.

To gain more information on the binding mode of the diene in the palladate complexes, we conducted NMR-spectroscopic experiments. When isoprene was added to the palladium(0) precursor, the ^1H -NMR signals of the former did not shift and were only slightly broadened (Figure S49). Upon the addition of PhMgBr to the sample solution, an immediate broadening of the isoprene signals could be observed both in the ^1H - and ^{13}C -NMR spectra (Figure 4, Figure S50).

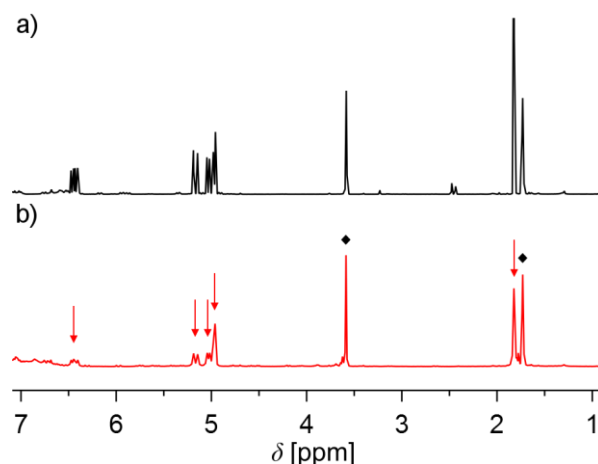
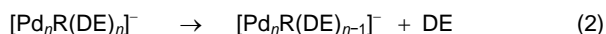
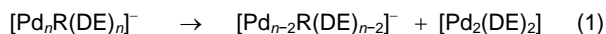


Figure 4. ^1H NMR spectra (400 MHz, THF-d_6) of a mixture of $\text{Pd}_2(\text{dba})_3$ (25 mM) and isoprene (100 mM), (a) before and (b) after the addition of PhMgBr at 298 K. The signals marked with arrows correspond to isoprene, the signals at $\delta = 1.73$ and 3.58 ppm (\blacklozenge) to THF.

Unimolecular Reactivity of Organopalladates Resulting from Transmetalation

Upon fragmentation in the gas phase, the tetra- and hexanuclear $[\text{Pd}_n\text{R}(\text{DE})_n]^-$ nanoclusters preferentially lost neutral $[\text{Pd}_2(\text{DE})_2]$, eq. (1) with $n = 4$ (for DE^{I} and DE^{B}) and 6 (for DE^{I}) (Figures 5, S51-S57 and Table S1). Further prominent fragmentation pathways resulted in the loss of single diene molecules, eq. (2) (Figures S51-S55, S57-S62).



Butyl-containing palladates also released butene, eq. (3) (Figures S51, S52, S55, S60, S63-S65).

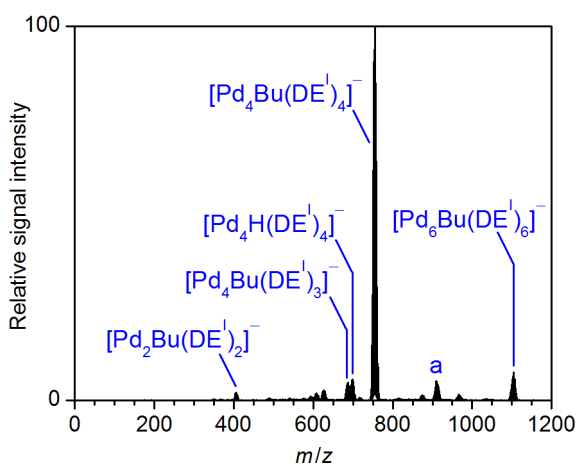
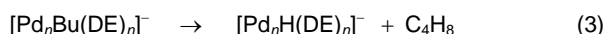


Figure 5. Mass spectrum of mass-selected $[\text{Pd}_6\text{Bu}(\text{DE}^{\text{I}})_6]^-$ (DE^{I} = isoprene) and its fragment ions produced upon collision-induced dissociation ($E_{\text{LAB}} = 10.0$ eV, a = $[\text{Pd}_6\text{H}(\text{DE}^{\text{I}})_6]^-$).

All of these fragmentation processes occurred both as primary and consecutive reactions. In addition, we also observed product ions that showed the incorporation of dioxygen. Obviously, these species did not originate from fragmentation processes, but from ion-molecule reactions with residual traces of O_2 present in the vacuum system of the mass spectrometer.

For the cationized palladate $[\text{PdBu}(\text{DE}^{\text{P}})_2]^-$, the main fragmentation pathway was the loss of butene (Figure S64). In addition, it also afforded several other fragment ions stemming from ligand dissociation and ligand decomposition reactions. In contrast, the formation of the above-mentioned dimerization product $\text{C}_{48}\text{H}_{49}\text{P}_2^+$ was not observed. Other related (DE^{P})-containing species, such as $[\text{PdBuH}(\text{DE}^{\text{P}})(\text{PPh}_3)]^-$, $[\text{PdBu}(\text{DE}^{\text{P}})_2(\text{PPh}_3)]^-$, and $[\text{Pd}(\text{DE}^{\text{P}})(\text{PPh}_3)_2]^-$, also showed the elimination of butene and/or ligand dissociation and decomposition reactions (Figures S65-S67).

Reactivity toward Organyl Halides

We next examined the reactivity of the in-situ formed palladates toward propyl bromide as a prototypical substrate of cross-coupling reactions. When PrBr was added to a solution of $[\text{Pd}_2(\text{dba})_3]$, isoprene, and PhMgCl, $[\text{PdPh}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$ as well as the related hydride-containing complexes $[\text{PdPh}_2\text{H}(\text{DE}^{\text{I}})_2]^-$ and $[\text{PdPh}_2\text{Pr}(\text{DE}^{\text{I}})]^-$ were found (Figure 6). These intermediates apparently resulted from the reaction of $[\text{PdPh}(\text{DE}^{\text{I}})_n]^-$, $n = 1$ and 2, with PrBr. Interestingly, no analogous higher aggregates were observed. At longer reaction times, the propyl-containing palladates vanished and the $[\text{Pd}_n\text{Ph}(\text{DE}^{\text{I}})_n]^-$ nanoclusters, $n = 2, 4,$ and 6, reappeared (Figures S68 and S69).

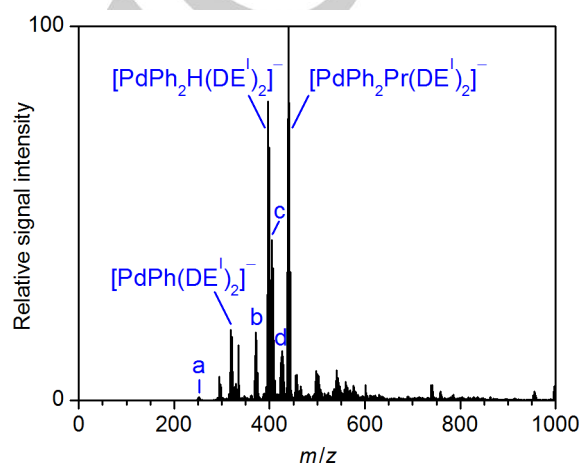


Figure 6. Negative-ion mode ESI mass spectrum of a solution of $\text{Pd}_2(\text{dba})_3$ (1.5 mM), isoprene (DE^{I} , 24 mM), PhMgCl (12 mM), and PrBr (12 mM) in THF (a = $[\text{PdPh}(\text{DE}^{\text{I}})]^-$, b = $[\text{PdPh}_2\text{Pr}(\text{DE}^{\text{I}})]^-$, c = $[\text{PdPh}_3(\text{DE}^{\text{I}})]^-$, d = $[\text{Pd}_2\text{Ph}(\text{DE}^{\text{I}})_2]^-$).

When BnMgCl was used as the transmetalating agent, the reaction with PrBr afforded $[\text{PdBn}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$ and $[\text{PdBn}_2\text{H}(\text{DE}^{\text{I}})_2]^-$, which were analogous to the intermediates formed from the phenyl-containing palladates (Figures S70-S76). In contrast to the former case, the reaction of the benzyl-containing palladates now also yielded small quantities of the dinuclear complex $[\text{Pd}_2\text{Bn}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$, thus pointing to the reactivity of $[\text{Pd}_2\text{Bn}(\text{DE}^{\text{I}})]^-$ toward PrBr. In addition, small amounts of $[\text{PdBn}_2\text{Pr}(\text{DE}^{\text{I}})]^-$ and $[\text{PdBn}_2\text{H}(\text{DE}^{\text{I}})]^-$ were observed. However, similar to the experiments without added PrBr, the obtained ESI mass spectra were dominated by $[\text{PdBn}_3(\text{DE}^{\text{I}})_n]^-$ complexes, $n = 0 - 3$.

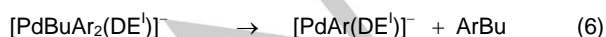
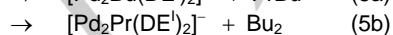
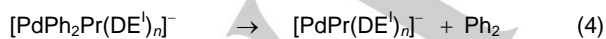
Upon the addition of PrBr to solutions of $[\text{Pd}_2(\text{dba})_3]$, isoprene, and *n*-BuMgCl, the dinuclear complex $[\text{Pd}_2\text{Bu}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$ formed, but exhibited only a short lifetime comparable to that of $[\text{Pd}_2\text{Bu}(\text{DE}^{\text{I}})_2]^-$ (Figures S77-S79). In contrast, the tetranuclear cluster $[\text{Pd}_4\text{Bu}(\text{DE}^{\text{I}})_4]^-$ reached its maximum signal intensity only after the decline of the $[\text{Pd}_2\text{Bu}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$ product complex (Figures S77-S79). The reaction with PrI also furnished $[\text{Pd}_2\text{Bu}_2\text{Pr}(\text{DE}^{\text{I}})_2]^-$ (Figure S80), whereas that with PrCl or the secondary alkyl bromide *i*-PrBr did not.

In a control experiment, we added the aryl iodide ethyl-4-iodobenzoate (ArI) to a solution of $[\text{Pd}_2(\text{dba})_3]$, isoprene, and *n*-BuMgCl. Aryl halides are not prone to undergo $\text{S}_{\text{N}}2$ -type reactions and therefore should not readily react according to the mechanism postulated by Kambe and coworkers (Scheme 1). The control experiment did not show a palladate intermediate containing both a butyl and an aryl group, but instead resulted in the detection of $[\text{PdArI}_2]^-$ and $[\text{PdArI}_2(\text{DE}^1)]^-$, along with $[\text{Pd}_2\text{I}_3(\text{DE}^1)]^-$ and purely inorganic anions (Figure S81). When the amount of ArI was reduced to 0.4 equiv. (relative to *n*-BuMgCl), $[\text{PdArBuH}(\text{DE}^1)_2]^-$ was observed as the main species (Figures S82-S89). Other detectable species included $[\text{PdArBuH}(\text{DE}^1)]^-$, $[\text{PdAr}_2\text{Bu}(\text{DE}^1)]^-$, and $[\text{PdArBu}_2(\text{DE}^1)]^-$ along with $[\text{Pd}_2\text{Bu}(\text{DE}^1)_2]^-$, $[\text{Pd}_2\text{Ar}(\text{DE}^1)_2]^-$, $[\text{PdAr}(\text{DE}^1)_2]^-$, and $[\text{PdAr}(\text{DE}^1)]^-$.

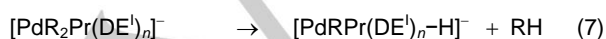
To obtain additional information on possibly formed neutral intermediates, we also probed solutions of $[\text{Pd}_2(\text{dba})_3]$, isoprene, *n*-BuMgCl, and the charge-tagged substrate (6-bromohexyl)triphenylphosphonium bromide ((RBr)Br⁻). The resulting positive-ion mode ESI mass spectra showed [R-H], RBr, (RBr)₂Br⁻, and very small amounts of the cross-coupling product RBu (Figure S90). Increasing the quantity of the Grignard reagent to 3 equiv. (relative to RBr) led to a higher signal intensity of the cross-coupling product (Figure S91). Negative-ion mode ESI afforded $[\text{PdBr}_3\text{R}]^{2-}$ and, at higher concentrations of *n*-BuMgCl, $[\text{PdBrBuPhR}]^{2-}$ and $[\text{PdBu}_2\text{PhR}]^{2-}$ as the only palladium-containing species (Figures S92-S95). The latter two ions apparently originated from a decomposition of the triphenylphosphonium group of the charged tag.

Unimolecular Reactivity of Palladates Resulting from the Reactions with Organyl Halides

Upon gas-phase fragmentation, the palladate complexes formed from reactions with organyl halides preferentially underwent reductive eliminations (Figures S96-S100). $[\text{PdPh}_2\text{Pr}(\text{DE}^1)_2]^-$ and, to a very small extent, $[\text{PdPh}_2\text{Pr}(\text{DE}^1)]^-$ reacted in this manner to give only the homo-coupling product, eq. (4) with $n = 1$ and 2 (Figures S96 and S97). In contrast, the fragmentation of the dinuclear complex $[\text{Pd}_2\text{Bu}_2\text{Pr}(\text{DE}^1)_2]^-$ yielded both the cross- and the homo-coupling products in similar amounts (Figure S98), eq. (5a) and (5b), whereas that of $[\text{PdAr}_2\text{Bu}(\text{DE}^1)]^-$ gave the cross-coupling, eq. (6), but not the homo-coupling product (Figure S99).



Further notable fragmentation reactions released hydrocarbons RH, eq. (7) with R = Ph, Bn, and Bu (Figures S96-S98, S101).



Discussion

Palladates in Different Aggregation States

The mononuclear complexes $[\text{PdR}(\text{DE}^1)_2]^-$, R = Bu and Ph, found in the present study have exactly the same stoichiometry as the reactive intermediates that Kambe and coworkers have proposed to result from the transmetalation of a palladium(II) precursor.^[29,30] Moreover, the corresponding cationized analogue became detectable when the charge-tagged diene was applied. The observation of this type of complex both in its anionic and its cationized form points to its facile formation under the given conditions.

However, our time-dependent measurements show that the mononuclear $[\text{PdR}(\text{DE}^1)_2]^-$ complexes have only rather short lifetimes and readily afford small nanoclusters $[\text{Pd}_n\text{R}(\text{DE}^1)_n]^-$, $n = 2, 4, \text{ and } 6$. The predominance of nanoclusters with even numbers of palladium centers as well as their gas-phase fragmentation behavior implies that these species consist of dimeric subunits. A survey of low-valent palladium clusters reported in the literature reveals diverse structural motifs. Moiseev has shown that tetrameric palladium clusters can feature square, rectangular, or tetrahedral structures.^[34] The geometry of these clusters is controlled both by the ligand environment as well as the formal oxidation state of the involved palladium centers. In addition, linear^[35,36] and butterfly-shaped^[21,37] structures are known as well.

Independent support for the formation of low-valent polynuclear palladium complexes in the sample solutions comes from the observation of a broad absorption band in the visible range. Such an absorption at higher wavelengths is commonly ascribed to the formation of palladium clusters.^[24,25,38] Apparently, the palladium nanoclusters continue to grow beyond $[\text{Pd}_6\text{R}(\text{DE}^1)_6]^-$ and furnish even larger clusters, whose m/z ratio lies outside of the probed range, ultimately affording nanoparticles and metallic palladium. This assumption is fully in line with the observed precipitation of palladium black from the sample solutions.

Binding Mode of the Diene

The ESI-mass spectrometric detection of palladate complexes containing just one diene unit as well as the loss of a single diene molecule upon the gas-phase fragmentation of the $[\text{Pd}_n\text{R}(\text{DE}^1)_n]^-$ ions is at odds with the dimerization of the diene and the formation of bis-allylic complexes postulated by Kambe and coworkers (Scheme 1). The signal broadening observed in the NMR spectra indicates a fast exchange between palladium-bound and free isoprene, but, again, does not give any evidence of an allylic binding mode of the diene or its dimerization. We also considered the possibility that the observed ion with the sum formula of $\text{C}_{48}\text{H}_{49}\text{P}_2^+$ could have resulted from the coupling of a charge-tagged diene dimer and a butyl group brought about by a reductive elimination (Scheme S1, left). However, the failure of the cationized palladate $[\text{PdBu}(\text{DE}^1)_2]^-$ to release $\text{C}_{48}\text{H}_{49}\text{P}_2^+$ upon gas-phase fragmentation provides strong evidence against this hypothesis. Instead, $\text{C}_{48}\text{H}_{49}\text{P}_2^+$ presumably originated from the reaction of a butyl anion with one diene

molecule followed by a consecutive addition to another diene unit (Scheme S1, right). Thus, our experiments do not give any indication for a palladium-mediated diene dimerization under the present conditions. If such a dimerization takes place, it affects only a small fraction of the employed diene.

The competition experiments show that the palladate complexes with buta-1,3-diene are more stable than those with isoprene. According to the results of Kambe and coworkers, the use of buta-1,3-diene affords significantly better yields in cross-coupling reactions than that of isoprene.^[28] Apparently, the more stable diene palladate complexes are catalytically more active.

Decomposition Processes

The formation of hydride-containing complexes can be easily rationalized for the use of *n*-BuMgCl as transmetallating agent because the palladium complexes resulting from the transfer of a butyl group can undergo β -hydride elimination (in the sample solution or during the ESI process). Our gas-phase fragmentation experiments directly showed the occurrence of these reactions. Indeed, the propensity of alkylpalladium complexes to undergo β -hydride eliminations is well known.^[1] However, the observation of $[\text{Pd}_n\text{Ph}_2\text{H}(\text{DE})_m]^-$ ($n, m = 1, 2$) and $[\text{Pd}_n\text{Bn}_2\text{H}(\text{DE})_m]^-$ ($n, m = 1-3$) in the reactions with PhMgCl and BnMgCl, respectively, suggests the operation of alternative pathways that afford hydride-containing palladates. A possible mechanism furnishing these products consists of an insertion of the diene into an organyl-metal bond followed by the elimination of a $[(\text{DE})_n\text{R-H}]$ diene, in which one hydrogen atom has been substituted by the organyl group R. Such a mechanism has recently been proposed to explain the presence of similar metal-hydride complexes observed in cobalt/diene-catalyzed cross-coupling reactions.^[39]

Another type of decomposition process appears to be involved in the formation of $[\text{Pd}_n\text{R}_x\text{H}_{3-x}(\text{DE})_n]^-$ complexes, whose average oxidation states are increased relative to $[\text{Pd}_n\text{R}(\text{DE})_n]^-$. Possibly, they resulted from the latter by the oxidation by residual traces of oxygen present in the sample solution and/or the mass spectrometer and consecutive transmetallation. The occurrence of such processes would point to the high susceptibility of the electron-rich diene-palladate complexes to oxidation reactions. Alternatively, the complexes in higher oxidation states could also originate from the reaction of the low-valent palladates with remaining traces of RCl in the used Grignard reagents.^[40]

Influence of Palladium Precursor and Transmetallating Agent

The inability of the palladium(II) precursors PdCl₂, PdI₂, or Pd(OAc)₂ to afford detectable organopalladate complexes upon treatment with a diene and a Grignard reagent suggests that the diene molecules do not react with the nascent Pd⁰ centers fast enough to prevent the occurrence of competing processes under the present conditions.^[41] The need for relatively long incubation times of the Pd₂(dba)₃ precatalyst and the diene (before the

addition of the Grignard reagent) also points to slow exchange reactions between the dba and diene ligands.

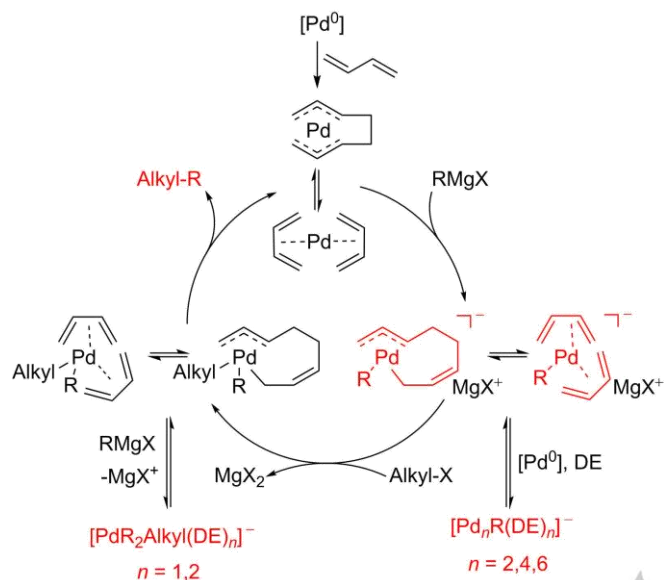
Among the different transmetallating reagents tested, *n*-BuMgCl and *n*-BuLi show a similar performance whereas *n*-BuZnCl · LiCl fails to produce detectable organopalladates. Presumably, this difference reflects the lower reactivity of organozinc reagents. Given that the latter are known to undergo highly efficient transmetallation reactions with Pd^{II} centers in Pd-catalyzed Negishi cross-coupling reactions,^[42-45] the non-occurrence of analogous processes in the present case points to a diminished Lewis acidity of the palladium center. Such a diminished Lewis acidity is to be expected for palladium in an oxidation state of 0.

Catalytic Cycle and Role of Palladium Nanoclusters

The observation of a manifold of anionic palladate complexes in the present study suggests that anions are also involved in the catalytic cycle, as proposed by Kambe and coworkers. While we were not able to confirm the postulated formation of neutral palladium bis-allyl species, we did detect the anions supposedly resulting from these species by transmetallation (Scheme 2). Our results strongly indicate that these anions contain not only dimerized, but also – and presumably predominantly – separate diene units (see above). As our experiments cannot directly distinguish between the actual catalytically active intermediates and the resting states of the catalyst, it could well be the case that complexes containing dimerized dienes correspond to the active species, but do not accumulate in significant concentrations due to their higher reactivity. The anionic intermediate then reacts with the alkyl halide in an S_N2-type process to afford a neutral complex, for which again the binding of both dimerized and separate diene units has to be considered. Although we could not observe this neutral complex directly, we detected anionic analogues resulting from a further transmetallation reaction, i.e., $[\text{PdR}_2\text{Alkyl}(\text{DE})_n]^-$. In contrast, the $[\text{PdAr}_2(\text{DE})_n]^-$ species found in control experiments with ArI substrates presumably originate from conventional oxidative additions, which correspond to the typical reactions between (neutral) Pd⁰ complexes and aryl halides.

The decrease of the signal intensity of the $[\text{PdR}_2\text{Alkyl}(\text{DE})_n]^-$ intermediates at longer reaction times points to their consumption in consecutive reactions, as expected for a catalytic process. As our gas-phase fragmentation experiments directly demonstrate, these consecutive reactions correspond to reductive eliminations. The presence of two identical organyl groups R in the anionic complexes implies that the reductive elimination can furnish both the desired cross-coupling and the undesired homo-coupling product. Apparently, the branching between the cross- and homo-coupling channels is controlled by rather subtle effects and does not depend mainly on the electronic properties of the organyl substituents, as had been found for the reductive eliminations from other transition-metal complexes.^[46-49] This selectivity problem is avoided for reductive eliminations from the neutral complexes, which only bear a single R group. The high yields of the cross-coupling product obtained with palladium/diene catalysts in synthetic studies

suggest that under typical conditions the reductive elimination occurs mainly at the stage of the neutral complexes. Indeed, neutral transition-metal complexes in general are known to undergo reductive eliminations more easily than the corresponding anionic ones.^[46,48]



Scheme 2. Simplified catalytic cycle of a palladium-catalyzed cross-coupling reaction between an alkyl halide and a Grignard reagent in the presence of buta-1,3-diene. Species observed by ESI mass spectrometry (as shown or as isoprene-containing analogues) are highlighted. Note that the present experiments do not allow an unambiguous differentiation between different isomers.

The full catalytic system is significantly more complex than the shown catalytic cycle based on mononuclear palladium complexes. In particular, the role of the observed anionic nanoclusters has to be clarified. Apart from the dinuclear complexes $[\text{Pd}_2\text{R}_2\text{Pr}(\text{DE}')_2]^-$, $\text{R} = \text{Bn}$ and Bu , no aggregate incorporating an organyl substituent stemming from the organyl halide could be detected. This finding points to lower catalytic activities of the nanoclusters in comparison with the mononuclear complexes. For the palladium/diene-catalyzed reaction between BuMgCl and PrBr , the time profiles of the different anions detected by ESI mass spectrometry exclude the direct participation of palladates $[\text{Pd}_n\text{R}(\text{DE})_n]^-$ with $n > 2$ in the catalytic process. Therefore, the main role of the palladium nanoclusters seems to be the provision of a reservoir for the reactive mononuclear complexes. The facile dissociation of the small clusters upon gas-phase fragmentation suggests the reversibility of the aggregation process. However, if the cluster growth leads up to the formation of larger nanoparticles and, eventually, palladium black, a deterioration of the catalyst performance is to be expected. Thus, a highly dynamic nature of the catalytic system results.

Comparison to Nickel/Diene and Cobalt/Diene Catalysts

As Kambe and coworkers have demonstrated, not only palladium/diene, but also nickel/diene^[27] and cobalt/diene catalysts^[50] are effective in mediating alkyl-alkyl cross-coupling reactions between alkyl halides and Grignard reagents. Particularly the nickel-catalyzed reactions have been extensively investigated. In the course of these investigations, Kambe and coworkers isolated the anionic nickelate complex $\text{PhNi}(\eta^1, \eta^3\text{-C}_8\text{H}_{12})^-$ from a solution of $\text{Ni}(\text{cod})_2$ ($\text{cod} = \text{cycloocta-1,5-diene}$), PhLi , buta-1,3-diene, and 12-crown-4 in high yields.^[50] This complex contains two dimerized diene units and has a structure analogous to that of the palladate anion shown in Scheme 1. The apparently high tendency of nickel to mediate the dimerization of the diene contrasts the behavior of the palladates, which moreover showed only a lowered binding affinity to the diene. This lowered binding affinity gives rise to coordinately unsaturated complexes, which are prone to aggregation reactions and, ultimately, the formation of palladium black. In contrast, the mononuclear nickelate complexes apparently are much more stable. Presumably, this higher stability is one reason why cross-coupling reactions catalyzed by nickel/diene have been proven synthetically more useful than those catalyzed by palladium/diene.

While no intermediate from cobalt/diene-catalyzed reactions has been isolated and structurally characterized, several in-situ formed cobaltate anions have been identified by ESI mass spectrometry under conditions very similar to those of the present study, thus allowing for a direct comparison of the obtained results. In the presence of a diene and a Grignard reagent RMgCl , CoCl_2 mainly afforded $[\text{CoH}_x\text{R}_{2-x}(\text{DE})_n]^-$ anions ($x = 0, 1$, $n = 2-4$).^[39] These species differ from the palladate anions in several aspects:

- The $[\text{CoH}_x\text{R}_{2-x}(\text{DE})_n]^-$ complexes bear two organyl (or hydride) substituents, whereas the $[\text{Pd}_n\text{R}(\text{DE})_n]^-$ complexes contain only one. This difference reflects the fact that cobalt has one valence electron less than palladium.
- The cobaltate complexes incorporate a higher number of diene units than their palladate counterparts. This difference can be ascribed to a higher binding affinity of the diene to cobalt than to palladium and/or a higher tendency of the dienes to insert into Co-C than into Pd-C bonds.
- In contrast to the palladates, the cobaltates do not form low-valent nanoclusters. The higher stability of the mononuclear cobaltates is in accordance with their supposedly higher binding affinity to the diene and their reluctance to form coordinately unsaturated species, which then would aggregate.

Although palladium, nickel, and cobalt, thus, all give organometallate anions upon their treatment with a Grignard reagent and a diene, the exact nature of these complexes differs considerably.

Conclusions

ESI mass spectrometry revealed the formation of anionic ate complexes in palladium/diene-catalyzed cross-coupling reactions. The transmetalation of $[\text{Pd}_2(\text{dba})_3]$ with a Grignard reagent RMgCl or an organolithium compound RLi in the presence of a diene furnished $[\text{Pd}_n\text{R}(\text{DE})_n]^-$ species, $n = 1, 2, 4,$ and 6 . These complexes resemble a mononuclear palladate anion containing a dimerized diene moiety, which Kambe and coworkers had postulated in analogy to a known intermediate from nickel/diene-catalyzed reactions. However, the observed palladates show a lower tendency to bind dienes and to mediate the dimerization of the latter. The reduced diene affinity of the palladates and, accordingly, their on average lower degree of coordinative saturation explain why they undergo aggregation reactions, which first afford nanoclusters and, eventually, palladium black. While the preponderance of even-numbered nanoclusters points to their formation from dinuclear subunits, the present results do not permit a more definite determination of their structures.

The observation of palladate anions bearing organyl substituents stemming from the RMgCl or RLi reagents shows that for the given catalytic cycle, as inferred by Kambe and coworkers, the transmetalation step precedes that of the oxidative addition of alkyl halides AlkylX . The latter apparently operates in an $\text{S}_{\text{N}}2$ -type fashion and furnishes neutral intermediates, such as $[\text{PdRAlkyl}(\text{DE})_n]$, which could not be detected in the present experiments. However, the formation of these species is suggested by the observation of related complexes $[\text{PdR}_2\text{Alkyl}(\text{DE})_n]^-$, which are anionized by further transmetalation reactions. Upon gas-phase fragmentation, these anionic complexes predominantly undergo reductive eliminations. Besides the desired cross-coupling products RAlkyl , the reductive eliminations also yield homo-coupling products R_2 . Such unwanted competing reactions are not to be expected for the actual catalytic cycle because the putative neutral intermediates $[\text{PdRAlkyl}(\text{DE})_n]$ cannot afford the homo-coupling product.

The palladium nanoclusters have a lower tendency to react with organyl halides than their mononuclear congeners. Hence, they most likely do not correspond to the active catalytic intermediates themselves, but affect the catalytic cycle indirectly by tying up palladium atoms. The growth of the nanoclusters at the expense of the mononuclear complexes renders the whole catalytic system highly dynamic. Thus, the present case constitutes another example of aggregation processes raising the complexity of palladium catalysis to a formidable level.

Experimental Section

General

To exclude moisture and oxygen, all experiments were carried out under standard Schlenk conditions. THF was dried over sodium/benzophenone and freshly distilled before use. PhMgBr in $\text{THF-}d_6$ and BnMgCl were synthesized according to literature procedures (see the Supporting

Information). All other organometallic reagents were purchased. The concentrations of the organometallic reagents were determined by iodometric titration.^[51] (*E*-Buta-1,3-dien-1-yltriphenylphosphonium bromide and (6-bromohexyl)triphenylphosphonium bromide were synthesized in analogy to procedures reported in the literature.^[52–54]

Sample solutions were prepared by dissolving $\text{Pd}_2(\text{dba})_3$ in dry THF and the addition of the diene followed by stirring at room temperature for 45 min. The solutions were then cooled down to 195 K before the organometallic reagent and, in some of the experiments, the organyl halide was added. The resulting solutions were analyzed immediately.

ESI Mass Spectrometry

Sample solutions were transferred into the ESI source of a micrOTOF-Q II mass spectrometer (Bruker Daltonik) via gas-tight syringes at typical flow rates of $8 \mu\text{L min}^{-1}$. In the experiment probing the reaction of $[\text{Pd}_2(\text{dba})_3]$, isoprene and *n*-BuMgCl with PrI, the sample solution was kept in the cooled flask and transferred into the inlet line leading into the ESI source by applying a slight overpressure of Ar to enable the detection of the short-lived complex $[\text{Pd}_2\text{Bu}_2\text{Pr}(\text{DE})_2]^-$.^[55,56] The ESI source was operated at a voltage of 3500 V and with N_2 as nebulizer gas (flow rate of 5 L min^{-1}) and drying gas (0.7 bar backing pressure, temperature of 333 K). In simple MS^1 experiments, all generated ions with $50 \leq m/z \leq 3000$ were allowed to pass the quadrupole mass filter of the instrument. In MS^2 fragmentation experiments, ions of interest were mass-selected in the quadrupole-mass filter, accelerated to a kinetic energy E_{LAB} , and allowed to collide with N_2 gas. Residual parent ions and resulting fragment ions were then detected after passage through the TOF analyzer. In most cases, accuracies of the measured m/z ratios of ≤ 25 ppm were obtained with an external calibration with a mixture of CF_3COOH and phosphazenes in $\text{H}_2\text{O}/\text{CH}_3\text{CN}$. In some cases, an internal calibration proved necessary. To this end, the m/z scale was adjusted to match the theoretical m/z ratio of an ion, whose identity could be independently established with certainty (indicated for each experiment). Theoretical exact m/z ratios and isotope patterns were calculated with the DataAnalysis software package (Bruker Daltonik).

UV/Vis Spectroscopy

Time-dependent UV/Vis-spectroscopic experiments were carried out with a Cary60 instrument (Agilent Technologies). Sample solutions were prepared and analyzed under argon atmosphere at 278 K in dry THF using an Excalibur immersion probe (Hellma Analytics). Spectra were recorded over a wavelength range of 350–1100 nm with a resolution of 5 nm.

NMR Spectroscopy

NMR spectra were recorded with a Bruker Avance III 400 instrument operating at 400 MHz (^1H) or 100 MHz (^{13}C) or a Bruker Avance III HD 300 instrument at 298 K. The chemical shifts were calibrated relative to the solvent signals ($\text{THF-}d_6$: 1.73 ppm (^1H), 67.6 ppm (^{13}C)).

Acknowledgements

We thank Dr. Friedrich Kreyenschmidt for the synthesis of (*E*-buta-1,3-dien-1-yltriphenylphosphonium bromide and (6-bromohexyl)triphenylphosphonium bromide and gratefully acknowledge funding from the CaSuS (Catalysis for Sustainable Synthesis) program (scholarship for M.K.).

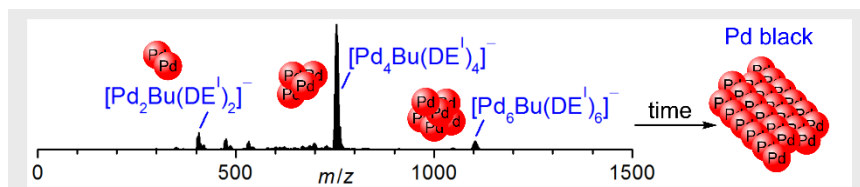
Keywords: Cluster compounds • Cross-coupling • Mass spectrometry • Palladium • Reactive intermediates

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Entry for the Table of Contents (Please choose one layout)

Layout 2:

FULL PAPER



M. Kolter, K. Koszinowski*

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Formation of Transient Anionic Metal Clusters in Palladium/Diene-Catalyzed Cross-Coupling Reactions

A combination of ESI mass spectrometry, gas-phase fragmentation experiments, UV/Vis and NMR spectroscopy found anionic nanoclusters in palladium-catalyzed cross-coupling reactions with dienes as additives.

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