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Oxidative Addition to Palladium(0) Made Easy through Photoexcited-State Metal Catalysis: Experiment and Computation

Rajesh Kancherla[†], Krishnamoorthy Muralirajan[†], Bholanath Maity, Chen Zhu, Patricia E. Krach, Luigi Cavallo^{*}, and Magnus Rueping^{*}

Abstract: Visible-light induced, palladium catalyzed alkylations of α , β-unsaturated acids with unactivated alkyl bromides are described. A variety of primary, secondary, and tertiary alkyl bromides are activated by the photoexcited palladium metal catalyst to provide a series of olefins at room temperature under mild reaction conditions. Mechanistic investigations and density functional theory (DFT) studies suggest that a photoinduced inner-sphere mechanism is operative in which a barrierless, single electron oxidative addition of the alkyl halide to Pd(0) is key for the efficient transformation.

Cross-coupling reactions are one of the most important synthesis tools for the formation of C–C or C–heteroatom bonds. Conventionally, three key steps are involved in the crosscoupling reaction: oxidative addition, transmetalation, and reductive elimination. Often challenges arise in the individual steps, depending on the coupling partners, metal catalysts or reaction conditions employed. In recent decades, numerous catalysts, as well as ligands, have been developed in order to reduce the energy barrier in the bond-forming and breaking steps. More recently, it has been shown that visible-light radicals.^[1] cross-couplings employing enhances methodology allows single electron transfer (SET) to replace the conventional two electron transfer process by using photoredox and transition metal dual-catalysis systems, thus significantly reducing the barrier for each step in cross-coupling reactions. [2] Despite developments made in cross-coupling chemistry, the conventional oxidative addition of alkyl electrophiles to Pd(0) remains sluggish, even at elevated temperature, due to the electron-rich nature of the alkyl halide bond.[3] In fact, computational studies have revealed that the alkyl bromide oxidative addition to Pd(0) is endothermic with a high energy barrier of 41.6 kcal/mol. Further, alkyl-palladium(II) species that form via a two-electron transfer are considerably less stable due to the lack of π -electrons interacting with the empty d-orbitals of metal. This results in fast β -hydride (β -H) elimination from σ alkyl-palladium(II) intermediates which outcompetes the required olefin insertion in decarboxylative alkylation cross-coupling reactions.[3, 4]

In contrast to the photoredox dual-catalysis strategy which promotes cross-coupling by lowering the energy barrier, we now report the use of a mechanistically distinct, SET barrierless oxidative addition strategy for alkylation of vinylic acids, using Pd

[*] Dr. R. Kancherla, [+] Dr. K. Muralirajan, [+] Dr. B. Maity, Prof. Dr. L. Cavallo, Prof. Dr. M. Rueping, KAUST Catalysis Center (KCC) King Abdullah University of Science and Technology (KAUST) Thuwal, 23955-6900 (Saudi Arabia) E-mail: magnus.rueping@kaust.edu.sa

C. Zhu, P. Krach, Prof. Dr. M. Rueping, Institute of Organic Chemistry, RWTH Aachen University Landoltweg 1, 52074, Aachen (Germany) E-mail: Magnus.Rueping@rwth-aachen.de complexes as both photosensitizer and cross-coupling catalyst. [5] This allows the oxidative addition barrier to be lowered resulting in an alkyl radical and Pd(I) intermediate (Figure 1) which can add to an α,β -unsaturated acids. Subsequent carbon dioxide elimination provides the akylated olefin and the regenerated catalyst. To the best of our knowledge, a visible light induced palladium catalyzed decarboxylative $C(sp^3)-C(sp^2)$ cross coupling alkylation has not been reported.

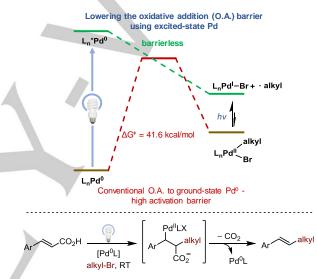


Figure 1. Visible-light assisted decarboxylative alkylation.

Vinylic acids are readily available and stable, which makes them versatile coupling partners in cross-coupling reactions forming C–C bonds. [6] As a result, decarboxylative reactions have been explored. [7] However, the use of pre-activated substrates, stoichiometric amounts of sacrificial reagents, [8] high temperature or the use of high energy UV irradiation may limit their synthetic applicability. [9] Therefore, the development of a cross-coupling alkylation of α,β -unsaturated acids with alkyl halides under mild reaction conditions, without the need for additional metal mediators or photocatalysts, would be a desirable goal.

With these considerations in mind, we started to examine a new visible light induced, palladium catalyzed alkylation by applying different catalysts and alkyl halides (Table 1).[10] The reaction of cinnamic acid (1a) and cyclohexyl bromide (2d) in of Pd(OAc)₂, PPh₃ ligand presence diisopropylethylamine (DIPEA) gave the substituted olefin 3d in 86% (isolated 82%) yield with a stereoselectivity of E/Z = 99:1under irradiation with 34 W blue LEDs (entry 1). Among the other palladium sources examined, Pd(PPh₃)₂Cl₂ and Pd(PPh₃)₄ gave comparable yields of 87% and 76% respectively, whereas PdCl₂ was not reactive (entries 2-4). PPh₃ was found to be a better ligand compared to Xantphos and PCy₃ (entries 5 and 6).

^{[&}lt;sup>†</sup>] These authors contributed equally

Table 1. Optimization of the reaction conditions

Entry	Change in standard reaction conditions	Yield (3d , %) ^[a]
1	none	86 (82)
2	Pd(PPh ₃) ₂ Cl ₂ instead of Pd(OAc) ₂	87
3	Pd(PPh ₃) ₄ instead of Pd(OAc) ₂	76
4	PdCl ₂ instead of Pd(OAc) ₂	0
5	Xantphos (10 mol%) instead of PPh ₃	11
6	Tricyclohexylphosphine (PCy ₃) instead of PPh ₃	0
7	using quinuclidine, DABCO	55, 58
8	using K ₂ CO ₃ , K ₂ HPO ₄ , K ₃ PO ₄	18-72
9	at 100 °C, in the absence of light	traces
10	without irradiation (or) Pd (or) PPh ₃	0
11	without DIPEA	9

[a]Yields determined by GC analysis using an internal standard. DIPEA = *N,N*-diisopropylethylamine, Xantphos = 4,5-Bis(diphenylphosphino)-9,9-dimethylxanthene, DABCO = 1,4-Diazabicyclo[2.2.2]octane.

Table 2. Reaction scope with respect to alkyl bromides. [a]

^[a]Reaction conditions: 1 (0.2 mmol), 2 (0.3 mmol), 34 W blue LEDs, Ar, 24 h. Yields of isolated products. Ratio of stereoisomers was determined by 1 H NMR analysis. Temperature (T = 28 ± 2 $^{\circ}$ C). $^{[b]}$ Pd(PPh₃)₄ (10 mol%, 0.02 mmol) is used instead of Pd(OAc)₂ and PPh₃. See supporting information.

Use of other organic and inorganic bases however did not improve the yield (entries 7 and 8). Only traces of the product was observed when the reaction was carried out at 100 °C without visible light irradiation (entry 9). Control experiments demonstrated that all components are necessary to promote the reaction (entries 10 and 11). Among a range of alkyl electrophiles tested, alkyl bromide was found to be more reactive (Br > I >> CI) when compared to alkyl iodide (56%, due to possible side reactions) and alkyl chloride (traces, difficulty of oxidative addition).

Using the optimized reaction conditions, the scope of the reaction was first evaluated with respect to the alkyl bromide (Table 2). A variety of tertiary, secondary, and primary alkyl bromides were found to react with *E*-stereoselectivity, and a reactivity pattern of $3^{\circ} \geq 2^{\circ} > 1^{\circ}$ was observed. Especially, the reactivity and stereoselectivity of tertiary alkyl bromide with eliminable β -H is noteworthy (3a and 3b). Secondary alkyl bromides with different chain lengths reacted with good selectivity and yields (3c-3o). A range of functional groups such as ether (3n-3p), ester (3r), acetal (3s), and amide (3i and 3j) groups were well tolerated.

Table 3. Reaction scope with respect to vinyl carboxylic acid. [a]

^[a]Reaction conditions: 1 (0.2 mmol), 2 (0.3 mmol), 34 W blue LEDs, Ar, 24 h. Yields of isolated products. Ratio of stereoisomers was determined by 1 H NMR analysis. Temperature (T = 28 ± 2 $^{\circ}$ C). See supporting information.

Although decarboxylative alkylation using tertiary (3a and 3b) and secondary (3c-3o) alkyl bromides gave the alkylated

product in good yield using in situ formed Pd(0) species, primary alkyl bromides displayed poor reactivity due to the predominant ester formation. However, use of Pd(0) source (Pd(PPh $_3$) $_4$) instead of in situ formed Pd(0) species helped to minimize the ester formation resulting in the formation of the olefinated products 3p-3t.

Subsequently, the scope of the reaction with respect to substituted vinyl carboxylic acids was studied (Table 3). Aryl and heteroaryl acrylic acids with both electron-rich and electrondeficient groups at ortho- (4a-4e), meta- (4f-4h), and para- (4i-4m) positions are amenable substrates. Additionally, a variety of functional groups including CF₃- (4c and 4h), HO- (4d, 4g, and 4p), halide- (4e and 4l), amino- (4k), aldehyde- (4m), and acetal groups (4r) were well tolerated. Typically, heterocyclic substrates with nitrogen and sulfur atoms can cause palladium deactivation due to the strong coordination of the heteroatom with a metal catalyst. [11] Notably, pyridinyl and thiophenyl acrylic acids showed good reactivity (4s-4v), even at room temperature. In addition to the 3-arvl acrylic acids, 3,3-diphenylacrylic acid is also an amenable substrate (4x). Reactions with α -substituted cinnamic acid or β-substituted styrenes to give (2.2-disubstituted vinyl)benzene are rare. However, under the optimized reaction conditions, α -fluorocinnamic acid was found to be reactive to give (Z)-(2-cyclohexyl-2-fluorovinyl)benzene ($4\mathbf{w}$).

Next, radical clock and TEMPO trapping experiments were conducted to support the proposed light-mediated SET oxidative Experiments with mechanism. (bromomethyl)cyclopropane and 6-bromohex-1-ene were performed which resulted in the formation of radical rearranged products 4y and 4z exclusively. TEMPO trapping experiments under standard conditions did not yield product 3d; instead, a TEMPO-alkyl adduct was observed also supporting the radical mechanism. [10] Further, to exclude the possibility of a radical chain process, light on-off experiments were carried out. During the light off-cycles no reaction was observed which confirms that light does not initiate a chain-reaction. [10] The competition experiments between primary, secondary and tertiary alkyl bromides and the increased reactivity of secondary and tertiary alkyl bromides compared to primary alkyl bromides, clearly demonstrate that on photoirradiation, alkyl halides give thermodynamically more stable alkyl radicals that will undergo fast oxidative addition via a SET pathway rather than an S_N2 type oxidative addition to a phosphine-ligated Pd(0) complex. [12]

Figure 2. Computed energy profile for the decarboxylative alkylation of α ,β-unsaturated acids. Free energies in solution (in kcal/mol) at the M06(SMD)/SDD/Def2-TZVP//PBE0/SDD/Def2-SVP level are displayed.

In the general context, it is difficult to achieve the alkylation reaction since the majority of alkyl bromides possess lower reduction potentials ($E_{1/2}^{\text{red}} \geq -2.0 \text{ V vs. SCE}$) than *[Pd(PPh₃)₄] (E(M⁺/M*) = -1.72 V vs SCE in THF). Hence, we performed DFT calculations to unravel the mechanism of the newly developed decarboxylative alkylation of α,β -unsaturated acid (1) with cyclopentyl bromide (2c).^[13] The *in-situ* generated Pd⁰(PPh₃)₄ complex **A** is considered to be the reference structure for the calculations. Differently from the oxidative addition of arylhalides within Mizoroki-Heck coupling, ^[14] the catalytically active Pd⁰(PPh₃)₂ species **J** is reluctant to undergo oxidative addition of

the alkyl-bromide 2c, due to the very high energy barrier required to reach transition state J-K ($\Delta G^{\ddagger}=41.6$ kcal/mol, Figure S1). Having excluded the possibility of oxidative addition via a concerted 3-center reaction pathway, we explored a reaction pathway involving photoexcitation of Pd 0 . These calculations allowed us to identify the triplet state B(T₁) of Pd 0 (PPh₃)₃, lying 48.1 kcal/mol higher than A(S₀), as the active catalytic species (Figure S2, Table S1 for detail). The triplet B(T₁) furnishes an open coordination site for the bromoalkane 2c association leading to an inner sphere photoinduced electron transfer (PET) with 2c, a barrierless and exergonic step

according to our computational protocol (Figure S3, Table S2), leading to the Pd¹-complex **C** with the liberation of cyclopentyl radical C_1 . Complex C is in equilibrium with the threecoordinated complex **D** via the slightly endergonic dissociation of a phosphine. Instead, radical C1 can attack cinnamic acid 1a at the C_{α} atom via transition state C_1 - D_1 , with an activation free energy of 12.7 kcal/mol (Figures 2 and S4). The resulting D₁ radical is 12.6 kcal/mol more stable than C1. At this point, the two doublet species \mathbf{D} and \mathbf{D}_1 can collapse into the Pd^{II} intermediate E, with the release of 9.4 kcal/mol. Control calculations indicated that attack of \textbf{C}_1 at the \textbf{C}_8 atom of 1a is unfavored by 2.1 kcal/mol (Figure S5). Further progress in the reaction involves deprotonation of E by DIPEA, which leads to the zwitterionic complex F, lying 2.4 kcal/mol above E and ready to decarboxylate. The decarboxylation step is facilitated by the endergonic rearrangement of DIPEA-H+ from the side of the carboxylate group, near to the Br moiety, structure G. Although intermediate **G** is 10.8 kcal/mol less stable than intermediate **F**. proximity between DIPEA-H+ and the Br atom helps the concerted elimination of CO₂ and of DIPEA-H⁺/Br - via transition state G-H (Figure S4), with a moderate energy barrier of 16.1 kcal/mol from intermediate E. In addition, forward evolution of transition state G-H leads to liberation of product 3c and the Pd⁰(PPh₃)₂ complex. Coordination of two phosphine to Pd⁰(PPh₃)₂ regenerates **A(S₀)** for the next catalytic cycle, with a thermochemistry of the reaction $1a + 2c + DIPEA \rightarrow 3c +$ DIPEA-H⁺/Br⁻ + CO₂ equal to -29.5 kcal/mol. Thus, the reaction can be considered to be composed of three fundamental steps (Figure 2): i) photoexcitation of a Pd⁰ species to an active state promoting SET with the alkyl bromide to generate a Pd¹ species and an alkyl radical; ii) addition of the alkyl radical to the α,β unsaturated acid and coupling with the Pd^I species to generate a Pd^{II} species; iii) decarboxylation of the Pd^{II} species to liberate the product while regenerating the Pd⁰ species.

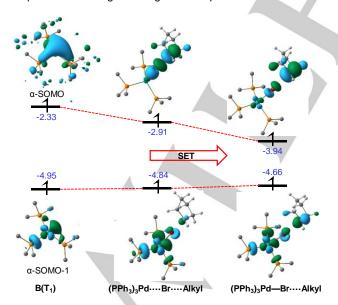


Figure 3. Molecular orbitals diagram showing the interaction between B(T₁) and 2c during the SET step. Orbital energies are in eV. Phenyl groups are omitted for clarity.

Having clarified the reaction mechanism, we focused on the origin of the substantially barrierless SET between $B(T_1)$ and 2c. The molecular orbitals diagram of Figure 3 shows that the SOMO of B(T₁) corresponds to a singly occupied MO mainly delocalized on the PPh3 ligands with a minor Pd contribution, while the LUMO of **2c** corresponds to the σ^* orbital of the Br–C bond. Analysis of an intermediate structure halfway along the PES connecting B(T₁) + 2c to C + C₁ indicates that during SET the SOMO of B(T₁) localizes on Pd and gets stabilized by mixing with the LUMO of 2c (Figures 3 and S6), driving the reaction towards the formation of the Pd-Br bond and the rupture of the Br-C bond. The SOMO-1, which corresponds to a Pd centered MO (Figure 3), is not involved in the SET and its energy is marginally modified during the reaction. The energy difference ΔE^{\ddagger} between this intermediate structure and free reactants, the putative activation barrier, was decomposed into $\Delta E^{\ddagger} = \Delta E_{\text{strain}} +$ ΔE_{int} , where ΔE_{strain} is the energy required to deform the free reactants to the geometry they have in the intermediate structure, and ΔE_{int} is the interaction energy between the deformed reactants in the intermediate structure. [17] The calculated ΔE_{strain} and $\Delta E_{\rm int}$ of 6.0 and -10.6 kcal/mol indicate that even at early stages of the reaction the interaction between the Pd SOMO and the Br-C bond σ^* orbital is strong enough to overcome the positive deformation energy and result in a negative (barrierless) ΔE^{\dagger} of -4.6 kcal/mol.

In summary, by overcoming the challenges associated with the reactivity of ground-state palladium for the stereoselective decarboxylative alkylation of α,β-unsaturated acids, discovered a low energy pathway using photo-excitation of palladium that allows a wide variety of primary, secondary, and tertiary alkyl halides to be applied in the decarboxylation reaction. In agreement with the experimental evidence, DFT calculations show that the oxidative addition of the alkyl-bromide to ground state Pd° is energy demanding. However, the PdL₃ species in its triplet state, generated by photoexcitation, can easily react with the alkyl-bromide via SET. The formed alkyl radical attacks the double bond of the α,β -unsaturated acid, leading to decarboxylation and product formation via a neutral pathway. In contrast to the outer sphere mechanisms observed in Ir and Ru based polypyridyl photocatalysts, the decarboxylative alkylation operates via a photoinduced inner sphere mechanism in which a barrierless, single electron oxidative addition of the alkyl halide to the metal is key for an efficient transformation.

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Keywords: Palladium • Alkyl halide • Decarboxylation • Excited-state • Barrierless • DFT

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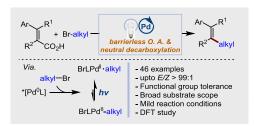
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Entry for the Table of Contents

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Excited-state palladium catalyzed decarboxylative alkylation of α,β -unsaturated acids is achieved by a barrierless approach under visible light irradiation at room temperature with good stereoselectivity. Detailed DFT calculations with experimental evidence suggests a neutral decarboxylative pathway.



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