Enantioselective Synthesis of 3-Amino-2-azetidinones via the Ester **Enolate-Imine Condensation**

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Three approaches to the enantioselective synthesis of 3-amino-4-substituted-2-azetidinones by condensation of α -amino ester enolates with imines are described: (i) application of chiral ester derivatives of N_iN-diethylglycine; (ii) application of chiral N-(α -methylbenzyl)imines; and (iii) application of chiral imines derived from (2R)-2,3-O-isopropylideneglyceraldehyde. Zinc and aluminum enolates of (-)-menthyl- and (-)-bornyl N.N-diethylglycine esters react with simple imines to selectively afford trans-3-(diethylamino)-2-azetidinones, but with a low chiral induction (ee 0-35%). However, reactions of the metal (Li, Zn, Al) ester enolates of (2,2,5,5-tetramethyl-1aza-2,5-disilacyclopent-1-yl)acetic acid ethyl ester (1c) with N-(α -methylbenzyl)imines yield N-protected 3amino-2-azetidinones in excellent yields and with very high diastereo- and enantioselectivities. The best results are obtained for the zinc-mediated reactions. For example, $trans-(3R,4S)-1(R)-(\alpha-methylbenzyl)-3-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5,5)-(2,2,5)-($ $tetramethyl-1-aza-2,5-disilacyclopentyl)-4-[N-(R)-(\alpha-methylbenzyl) imino]-2-azetidinone (4a), a fully protected and the second second$ key intermediate (having the unnatural C-3 configuration) for the synthesis of known monobactam and bicyclic β -lactam antibiotics, was synthesized in 91% yield with an ee of 91%. Application of chiral imines derived from acetaldehyde and propionaldehyde enable, depending on the solvent, the selective high yielding synthesis (de 60-99%; ee >95%) of any one of the four stereoisomers of 3-amino-4-alkyl-2-azetidinones, which are key intermediates for the synthesis of Aztreonam and related antibiotics. In Et₂O, a weakly polar solvent, the trans isomers are formed, whereas the use of a polar THF/HMPA solvent mixture results in formation of the cis isomers. Reaction of the zinc enolate of 1c with the N-(4-methoxyphenyl)imine derivative 2i of (2R)-2,3-O-isopropylidene glyceraldehyde affords trans-(3R,4S)-3-amino-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (10a) in excellent yield (de 86%; ee >98%), whereas reaction of the lithium enolate of 1c with the N-(trimethylsilyl) imine derivative 21 affords the cis-(3S, 4S) isomer 10d (key intermediate for the synthesis of Carumonam) in good yield (de >90%; >90%). A rationale for the observed stereoselectivities in terms of highly ordered transition states is presented.

Introduction

Since the discovery of the antibiotic activity of penicillin,¹ numerous examples of naturally occurring and synthetic 2-azetidinones have been described in literature.² The latest development has been the discovery of synthetic monocyclic β -lactam antibiotics (monobactams),³ e.g., Nocardicine, Aztreonam, and Carumonam. These compounds combine a relatively high stability and low toxicity with selective antibiotic activity.

Over the last decade, the condensation of metal ester enolates with imines has become one of the major routes by which the 2-azetidinone ring is constructed.⁴ Recently, we reported on a diastereoselective synthesis of 3-amino-2-azetidinones that is characterized by the in situ preparation of α -amino metal ester enolates (the metal being zinc,^{5a-f} aluminum,⁶ or lithium^{5d-f}) and their subsequent reactions with imines. Studies of the influence of substituents and solvents on the stereoselectivity of the condensation step of these reactions, as well as of the properties of the intermediate metal enolates, have provided new insights into how one can control the diastereoselectivity of these reactions; i.e., by a proper choice of metal, solvent(s), and substituents, cis- or trans-3-amino-2-azetidinones can be selectively synthesized in high yields.^{6,7} Since in most cases it is one specific enantiomer of the β -lactam compound that shows antibacteriological activity, we set out to find ways to control the enantioselectivity of our reactions. Preliminary accounts of some experiments have already been presented in two papers.^{5a,d}

Results

Chiral Induction Using Chiral Ester Derivatives of N,N-Diethylglycine. The most obvious solution for an enantioselective synthesis of 2-azetidinones is to start from readily available chiral esters. This approach has the benefit that the chiral auxilliary is not present in the final 2-azetidinone product and can be recycled by acidic workup. Hence, two chiral N,N-diethylglycine esters 1a and 1b were tested as starting materials (eq 1; see Table I).

$$Et_2NCH_2COOR^* \xrightarrow{1. LDA} Et_2NCH_2COOR^* \xrightarrow{1. R^1} (1)$$

$$1 \xrightarrow{3. R^1C(H)=NR^2(2)} \xrightarrow{1. LDA} Et_2N \xrightarrow{H} \xrightarrow{H} \xrightarrow{H} R^1 (1)$$

$$3. R^1C(H)=NR^2(2) \xrightarrow{0. R^2} 3$$

Although the diastereoselectivity of the reactions shown in eq 1 is excellent, only a poor enantioselectivity is observed. Surprisingly, substitution of the bulky bornyl group for the less bulky menthyl group decreases the enantioselectivity (compare entries 1 and 4). Furthermore, with the reactive imine 2b, the chiral induction decreases

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Table I. Enantioselective Synthesis of trans-2-Azetidinones 3 Starting from Chiral $Et_2NCH_2COOR^*$ (1a: R = (-)-I-Menthyl; 1b: $R^* = (-)-I$ -Bornyl)

entry	ester	imine ^a	\mathbb{R}^1	R ²	MX _n	yield ^b (%)	de ^c (%)	ee ^c (%)	
1	1 a	2a	Ph	Me	ZnCl ₂	84	>95 ^d	35	
2	1 a	2 a	Ph	Me	Me ₂ AlCl ^e	92	>95 ^d	0	
3	1 a	2b	Ph	SiMe ₃ /	$ZnCl_2$	93	>95 ^d	<5	
4	1 b	2a	Ph	Me	$ZnCl_2$	81	>95 ^d	10	

^a Imine is $R^1C(H) = NR^2$. ^b Yields of the crude products. ^c Determined by ¹H NMR integration of the characteristic proton signals of the crude products; the ee's were determined by addition of a chiral shift reagent, $Eu(tfc)_3$. ^d The cis isomer could not be detected with ¹H NMR spectroscopy. ^e Using 1.2 equiv, see ref 6. [/]Replaced by a proton upon hydrolysis.

Table II. Enantioselective Synthesis of (3R,4S)-1- $(\alpha$ -Methylbenzyl)-3-(disilylamino)-4-(functional group)-2-azetidinones 4 ($\mathbb{R}^2 = (R)$ - α -Methylbenzyl)

entry	imine ^a	R ¹	MX _n	solvent	yield ^b (%)	de ^c (%)	ee ^c (%)
1	2c	$C(H) = NR^2$	ZnCl ₂	Et ₂ O	65	>98 ^d	>95 ^d
2			•	TĤF	91	>98 ^d	91
3				toluene	75	>98 ^d	>95 ^d
4			Li ^e	Et ₂ O	52 [/]	>95 ^d	≈40
5				TĤF	43 ^g	>95 ^d	≈40
6	2d	2-pyridyl	ZnCl ₂	Et ₂ O	68	>98 ^d	>95 ^{d,h}
7				THF	98	>98 ^d	>95
8			Me _s AlCl ⁱ	Et ₂ O	93	86	90
9			2	THF	97	84	88
10			Li ^e	THF	67	>95 ^d	≈50
11	2e	2-furvl	ZnCl ₂	Et ₂ O	53	>95 ^d	≈30
12		•	ZnCl	THF	82	78 ^j	>95*
13			Me ₂ AlCl ⁱ	Et ₂ O	0		

^a Imine is $R^1C(H) = NR^2$. ^b Yields of the crude products, based upon the amount of ester 1c. ^c Determined by ¹H NMR integration of the characteristic proton signals of the crude products. ^d The other isomers were not detected with ¹H NMR spectroscopy. ^eNo additional metal salt was applied. ^fAn additional 8% of the noncyclized product was isolated. ^gAn additional 22% of the noncyclized product was isolated. ^h The absolute 3*R*,4*S* configuration of 4**b** was confirmed by an X-ray structure determination, see ref 5d. ⁱ Using 1.2 equiv, see ref 6. ^j The cis isomer is produced in excess. ^k The ee, of the trans isomer is ca. 35%.



Figure 1.

considerably. The use of aluminum instead of zinc as the metal center⁸ led to a complete loss of chiral induction (entry 2). It is noteworthy that recently Ojima et al. have obtained good to excellent enantioselectivity from the reactions of lithium enolates of chiral N,N-disilyl-protected glycine esters with imines (see Discussion).⁹

Chiral Induction Using N-(α -Methylbenzyl)-Substituted Imines. Several research groups have applied imines, N-substituted with a chiral auxiliary, in the ester enolate-imine condensation with varying results,^{10a,b} and

many examples of this application in the ketene-imine cycloaddition have been reported.^{10c} The use of chiral imines derived from α -methylbenzylamine is an excellent choice, since both enantiomers of α -methylbenzylamine are relatively cheap and, moreover, the α -methylbenzyl group can be later transformed into a hydrogen atom in the final product (vide infra). Recently, we showed that reactions of the zinc enolate of (2,2,5,5-tetramethyl-1aza-2,5-disilacyclopent-1-yl)acetic acid ethyl ester (1c) with 1-aza-4-hetero-1,3-butadiene systems proceed with excellent diastereoselectivity.^{5f} Therefore, we started our current investigations with reactions of N-(R)- α -methylbenzyl-substituted 1-aza-4-hetero-1,3-butadienes 2c-e, which are easily prepared by condensation of (R)- α methylbenzylamine with the respective aldehydes, with in situ prepared ester enolates (eq 2). Results are gathered in Table II.



In general, the zinc-mediated reactions are far superior to the lithium- or aluminum-mediated ones, since they combine high yields with excellent stereoselectivity. For the lithium-mediated reactions (entries 4, 5, and 10), 2-

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Table III. Enantioselective Synthesis of $1-(\alpha$ -Methylbenzyl)-3-(disilylamino)-4-substituted-2-azetidinones 5 ($\mathbb{R}^2 =$ a-Methylbenzyl)

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entry	imine ^a	R ¹	MX _n	solvent	yield ^b (%)	3R,4S ^c	3S,4R°	3R,4R°	3 <i>S</i> ,4 <i>S</i> ^c	
1	2f ^d	C=CSiMe ₃	ZnCl ₂	Et ₂ O	93	10	2	69	19	
2		-	-	THF	92	25	25	30	20	
3				toluene	60	11	2	70	17	
4			Me ₂ AlCl ^e	Et ₂ O	95	21	9	47	23	
5			Li ^f	TĤF	70	25	25	25	25	
6	2g	Me	\mathbf{ZnCl}_2	Et_2O	91	10	10	80	g	
7			-	Et ₂ O ^h	88	10	10	-	80	
8				THF	97	85	-	11	4	
9				\mathbf{THF}^{i}	96	78	22		-	
10			Li/	THF	25	7	0	3	0	
11	$2\mathbf{h}^{h}$	\mathbf{Et}	$ZnCl_2$	Et ₂ O	92	2.5	2.5	_	95	
12			-	Et ₂ O ⁱ	93	-	70		30	
13				TĤF	92	7	8		85	
14				\mathbf{THF}^{i}	95	-	99		_	

^a Imine is R¹C(H)=NR². ^b Yields of the crude products. ^c Determined by ¹H NMR integration of the characteristic proton signals of the crude products; the absolute configuration of the products is tentatively assigned on basis of the solid-state structure of 4b. d'The imine is a mixture of E- and Z-isomers (E:Z = 70:30; see Experimental Section). Using 1.2 equiv, see ref 6. No additional metal salt was used. ^s Isomer not detected with ¹H NMR spectroscopy. ^h The imine was prepared from (-)-(S)- α -methylbenzylamine. ⁱ Containing 20 vol % of HMPA.

azetidinones 4a and 4b are obtained in modest yields and only a moderate chiral induction is obtained (ee 40-50%). The aluminum-mediated reaction with imine 2d gave good results (entries 8 and 9), but surprisingly, no reaction was observed with imine 2e (entry 13). When the zinc-mediated reactions are performed in weakly polar (Et_2O) or apolar solvents (toluene), lower yields of 2-azetidinones are observed, which is most likely caused by the formation of insoluble complexes of the imine substrates with the inorganic salts present.¹¹ This explanation is substantiated by the fact that after workup no imines or aldehydes are found among the isolated material. The assignment of the 3R,4S configuration of trans-2-azetidinones 4a-c is based on a X-ray structure determination of 4b (see Figure 1).^{5d} The use of the (R)- α -methylbenzyl group results in the unnatural C^3 -configuration, and it is to be expected that when the (S)- α -methylbenzyl group is employed, the 2azetidinones will be formed with the natural 3S configuration (vide infra).

As was previously reported,^{5f} reactions with imines 2c and 2d, which contain a nitrogen functionality, are far more selective than reactions with imine 2e, which contains an oxygen functionality. Interestingly, when the zincmediated reaction with imine 2e is carried out in THF, cis-2-azetidinone 4c is formed in a good yield with reasonable selectivity (entry 12). The same reaction in Et_2O displays an excellent trans stereoselectivity, but a modest enantioselectivity (entry 11). $trans-(3R,4S)-1(R)-(\alpha-$ Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4- $[N-(R)-(\alpha-methylbenzyl)imino]-2-azetidi$ none 4a is the synthetically most interesting product, since it represents a 3-fold protected intermediate for the synthesis of known monobactam and bicyclic β -lactam antibiotics (see eq 3). The 3-disilacyclopentane ring is readily

removed by acid- or base-catalyzed hydrolysis,¹² the $1-\alpha$ methylbenzyl group can be removed under mildly reducing

conditions (vide infra),¹³ and the 4-imino function can be converted by acid-catalyzed hydrolysis into an aldehyde function,¹⁴ which is suitable for further derivatization.¹⁵

In order to test whether the presence of a potentially coordinating heteroatom in the imino-carbon substituent is necessary to obtain a high chiral induction, reactions with imines 2f-h were carried out under the same conditions as those used for the reactions with imines 2c-e(see eq 4; Table III).



Again, the zinc-mediated reactions are far superior to the lithium- or aluminum-mediated ones. Whereas the lithium enolate reacts poorly with $N(\alpha$ -methylbenzyl)substituted imines (entries 5 and 9), the zinc enolate usually provides quantitative conversion of the imines into 2-azetidinones 5. The (trimethylsilyl)ethynyl group at the 4-position in 5a can be easily converted into an acetoxy group,¹⁶ suitable for further derivatization.^{4,17} Unfortunately, 5a is formed with only a modest diastereo- and enantioselectivity (entries 1-3), most likely because the imine 2f is present as a mixture of E- and Z-isomers (70:30), which is detrimental for obtaining a high diastereoselectivity (see Discussion).

With the alkyl-substituted imines 2g and 2h interesting results are obtained. Depending on the polarity of the solvent(s), either the trans- (in weakly polar diethyl ether) or cis-2-azetidinone product (in polar THF/HMPA) is selectively formed. As noted before, the use of the (R)- α -methylbenzyl group results in the unnatural 3*R*-configuration, whereas with (S)- α -methylbenzyl the natural 3S-

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Table IV. Enantioselective Synthesis of 2-Azetidinones 9, Containing a (1R)-1,2-O-Isopropylideneethyl Group (R^1) at the **4-Position**

entry	imine ^a	R ²	solvent	yield ^b (%)	$3R, 4S^{c}$	$3S,4R^{\circ}$	$3R, 4R^{\circ}$	3 <i>S</i> ,4 <i>S</i> °
1 ^d	2i	4-MeOPh	Et ₂ O	81	66	е	17	17
2/			-	96	93	-	3.5	3.5
3 ^d			THF	91	61	-	27	12
4 <i>f s</i>				93	12		18	70
5^d			toluene	50	68	-	26	10
6 ^d	2j	2,4-(MeO) ₂ Bn	Et_2O	90 ^h		trans	$-(R,S)^i$	
7 ^d	2k	Me	Et_2O	30 ^j		trans	$-(R,S)^i$	
8 [*]	21	$SiMe_3 (H)^i$	THF	≈70 ^m		cis-($(R,R)^i$	
9 ^{g,k}		- 1		$\approx 95^m$				≈90

^a Imine is R¹C(H)=NR². ^b Yields of the crude products, determined by ¹H NMR. ^d Determined by ¹H NMR integration of the characteristic signals. ^dImine added neat. ^eNot observed with ¹H NMR spectroscopy. ^fImine added as a 0.5 M solution in Et₂O. ^dReaction performed without addition of ZnCl₂. ^hBased on the amount of pure imine that was present in solution. ⁱMixture of several isomers; the given isomer was present in a large excess in the isolated material. ¹Because of the low conversion, the 2-azetidinones could not be isolated. ⁴Imine 21 was prepared in situ in THF and was directly added to a solution containing the enolate. ¹Upon hydrolysis the trimethylsilyl group is replaced by a hydrogen. ^mBased on the amount of unconverted ester 1c.

configuration results. In principle, all four stereoisomers of 2-azetidinones 5b ($R^1 = Me$) and 5c ($R^1 = Et$) can be selectively obtained (de 60-99%; ee >95%) in high yields.

2-Azetidinone 5b is a protected key intermediate for the synthesis of Aztreonam, 18 and 5c for the 4-ethyl derivative of Aztreonam, which is more stable to β -lactamase, but which has a lower antibiotic activity.¹⁸ The disilyl moiety of 5b and 5c is readily removed by acidic hydrolysis to afford 1-(α -methylbenzyl)-3-amino-4-alkyl-2-azetidinones 6b and 6c in nearly quantitative yields. Reprotection of the amino function as a carbamate 7 and then removal of the α -methylbenzyl group with lithium or sodium in a mixture of liquid ammonia and THF affords 3-[(methoxycarbonyl)amino]-4-alkyl-2-azetidinones 8b and 8c in excellent yields as crystalline solids. These can be readily converted into Aztreonam and its ethyl analogue by known chemistry (see Scheme I).¹⁸

Chiral Induction Using Imines Derived from (2R)-2,3-O-Isopropylideneglyceraldehyde. A third approach to an enantioselective ester enolate-imine route to 2-azetidinones is one where a chiral auxiliary is put into the imino-carbon substituent. However, the synthon must be easily convertible to a useful functionality, e.g., an aldehyde or acetoxy group. Recently, Cainelli et al. have applied chiral imines, derived from (S)-lactic aldehyde, in the synthesis of optically active trans-carbapenems via their condensation with lithium ester enolates.¹⁹ while Evans et al. have applied chiral imines, derived from chiral α,β -epoxyaldehydes, in the synthesis of cis-3-amino-4formyl-2-azetidinone via a ketene-imine cycloaddition reaction.²⁰ For the latter reaction chiral imines, derived from glyceraldehyde,²¹ are more frequently used and several cis-3-amino-2-azetidinones have been obtained with high chiral induction (with the natural 3S-configuration) in good yields.²²

The fact that both enantiomers of 2,3-O-isopropylideneglyceraldehyde are available,²¹ and the use of



its imine derivatives in the enolate-imine condensation has not been reported, prompted us to apply these chiral imine derivatives in our synthetic route to 3-amino-2-azetidinones (eq 5). Successful application would result in 2-azetidi-



none products with a multifunctional substituent at the 4-position. Generally, imines derived from 2,3-O-isopropylideneglyceraldehyde are prepared in situ and used directly in solution for subsequent reactions.^{21,22} Our attempts to obtain the pure imines were hampered because, upon isolation, these imines 2i-l tend to polymerize. Therefore, the reactions displayed in eq 5 were carried out with crude imine 2j and in situ prepared 2l. However, pure 2i could be obtained by crystallization from hexane at -30 °C and imine 2k could be purified by rapid distillation at reduced pressure.

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The best results were obtained with imine 2i that contains the 4-methoxyphenyl group as protective group (entries 1-5). Imine 2k is apparently not reactive enough, and its conversion is low (entry 7). Although imine 2j, which contains the 2,4-dimethoxybenzyl protective group, is completely converted into 2-azetidinone 6c (entry 6), the difficulty to obtain pure 2j prompted us to focus our attention to reactions with 2i. Both the 4-methoxyphenyl and the 2,4-dimethoxybenzyl substituent can be readily removed under mild oxidizing conditions (CAN reduction).²³ Because of the poor stability of the N-(trimethylsilyl)-substituted imine 21, the reactions can only be performed with in situ prepared imine. Since this preparation has to be carried out in THF, only a moderate chiral induction (low diastereoselectivity) is observed for the zinc-mediated reaction. A further complication is that the yields of 2-azetidinones obtained thus far from zincmediated reactions with in situ prepared N-(trimethylsilyl)imines are not very high.

Depending on the reaction conditions, it is possible to selectively obtain trans-(3R,4S)-9a (de 86% and ee > 98%) in a high yield (entry 2) and cis-(3S,4S)-9a but with modest selectivity (de 76% and ee 58%; entry 4). The 3R,4S configuration of *trans*-9a has been determined by its transformation into the 3-phthaloylamido derivative 11 (see Scheme II) and comparison of its optical rotation with that previously reported for the 3S,4R isomer ($[\alpha]^{20}_{D}$ + 11.6°).^{22a} The absolute configuration of both cis isomers of 9a has been tentatively assigned on the basis of ¹H NMR data and correlation thereof with molecular models. The zinc-mediated reaction with imine 21 (entry 8) afforded 2-azetidinone 9d in a reasonable yield, but with a moderate enantioselectivity. The lithium-mediated reactions with in situ prepared N-(trimethylsilyl)imines usually give better results than we have obtained for our zinc-mediated reactions.^{19,24} This observation is illustrated by the enantioselective synthesis of cis-2-azetidinone 9d, a key intermediate in the synthesis of Carumonam, in excellent yield (entry 9).



Discussion

General. The stereochemistry of 3-amino-2-azetidinones is determined during the C-C bond formation between the ester enolate and imine.²⁵ From the structures of the transition states of this reaction, which are dependent on the configuration (E or Z) of both the enolate and imine, one may deduce whether the use of a particular chiral auxiliary will result in chiral induction of the C-C bond formation. Several transition states for aldol-type reactions have been proposed.²⁶ On the basis of the original proposal by Zimmerman and Traxler,^{26d} and the recent structural information of the zinc and aluminum enolates,^{6,7} we have put forward two highly ordered transition states (A and B, Figure 2). These transition states, constructed from (Z)-enolates and (E)-imines, allow a good explanation for the stereoselectivities we have observed thus far in the zinc- and aluminum-mediated reactions of α -amino ester enolates with imines.^{5,6} Since the lithium enolate of 1c has the *E*-configuration,^{7b,10b,27} two more transition states are put forward to rationalize the results of the lithium-mediated reactions (C and D, Figure 2).

Chiral Ester Enolates. We have shown that the zincand aluminum-mediated reactions are chelation-controlled;⁵⁻⁷ i.e., these reactions proceed through either transition state A or B, leading to trans- and cis-2-azetidinones, respectively. It is therefore not surprising that with chiral ester enolates (e.g., R = menthyl, bornyl) low chiral inductions are obtained, since the chiral center is too far away to cause a large energy difference between the two enantiotopic faces of the ester enolate. The lithiummediated reactions, which are non-chelation-controlled, proceed through either transition state C or D. Depending on the relative bulkiness of the \mathbb{R}^3 and \mathbb{R}^4 substituent, these reactions afford cis- or trans-2-azetidinones when bulky chiral ester enolates are employed.⁹ From the transition states given in Figure 2 it can be deduced that

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⁽²⁵⁾ Although the loss of stereochemistry as a result of retro-aldolization cannot be ruled out, previous experiments have shown that the

²ation cannot be ruled out, previous experiments have snown that the occurrence of retro-aldolization in our reactions is not very probable.^{5e} (26) (a) Evans, D. A.; Nelson, J. V.; Taber, T. R. In Topics in Stere-ochemistry; Allinger, N. L., Eliel, E. L., Wilen, S. H., Eds.; Wiley-Inter-science: New York, 1982; Vol. 13, p 1. (b) Heathcock, C. H. In Asym-metric Synthesis; Morrison, J. D., Ed.; Academic Press: New York, 1983; Vol. 3, pp 154-161. (c) Li, Y.; Paddow-Row, M. N.; Houk, K. N. J. Org. Chara 1000 55 (26) (d) Circument H. Ev. Topola M. M. Chem. 1990, 55, 481. (d) Zimmerman, H. E.; Traxler, M. D. J. Am. Chem. Soc. 1957, 79, 1920.

⁽²⁷⁾ Dr. R. O. Duthaler of Ciba-Geigy AG, personal communication.



Figure 3.

the reactions going via transition state C will lead to *cis*-2-azetidinones with a low to moderate enantioselectivity and that the reactions via transition state D will lead to *trans*-2-azetidinones with far higher chiral induction. This is in concordance with the results obtained by Ojima et al.,⁹ who suggest that the *trans*-2-azetidinones are formed by a chelation-controlled reaction, i.e., via transition state A. However, we and others have shown that the lithium enolate of 1c has exclusively the *E*-configuration.^{7b,10b,27}

N-(α -Methylbenzyl)imines. At first glance, the chiral center present in N-(α -methylbenzyl)imines seems to be far from the site where the two new chiral centers are formed (see Figure 2) to cause any chiral induction. However, since the first step of the reaction is coordination of the imino-nitrogen to the metal center,^{5e,f,7} and the mode of coordination determines the stereochemical outcome of the reaction (compare transition states A and B in Figure 2), the chiral center is actually close enough to cause the observed high chiral inductions. Two proposed diastereomeric coordination complexes (based on transition state A) between N-(α -methylbenzyl)imines and zinc ester enolates are shown in Figure 3.

The energy difference between these two complexes is dependent on the steric strain caused by the amino-nitrogen substituents with either a hydrogen (in \mathbf{E}) or a methyl group (in \mathbf{F}). Apparently the energy difference is large enough to cause a good chiral induction (vide supra).

As noted in previous papers,⁵ the polarity of the solvent, and hence coordinating ability, has a large influence on the stereoselectivity of the reactions. Especially for the C-alkyl-substituted imines (see Table III) this effect is striking. Since the polarity of the solvent has almost no effect on the configuration of the α -amino zinc ester enolates,^{7a,b} the shift of diastereoselectivity is caused by (small) changes in the conformation of the respective transition states. This is supported by the fact that for the relatively small methyl group (R³ in A and B) already a small change of solvent polarity has a strong effect on the diastereoselectivity, whereas for the larger ethyl group a large change of solvent polarity is necessary for a complete reversal of the diastereoselectivity.

C-(2,3-O-Isopropylidenepropyl)imines. The chiral center of the C-(2,3-O-isopropylidenepropyl)imines is very close to the site where the two new chiral centers are formed. Therefore, high 1,2-chiral induction is to be expected for the reactions of the ester enolates with imines; i.e., the energy difference between the enantiotopic faces of the ester enolates in transition states A-D is large. An additional feature is that the imine can have two preferred conformations as shown in Figure 4.

For example, when conformation G is combined with transition state A a 3S-configuration of the 2-azetidinone will result, whereas H combined with A will result in a 3R-configuration. Although NOE-difference experiments have been carried out with the N-(2,4-dimethoxybenzyl)-imine 2j,^{22d} these experiments were used only to confirm



Figure 5.

the *E*-configuration of the imine. Unfortunately, no indication about the conformation of the isopropylidene moiety was given. Since we have proven that with the N-(4-methoxyphenyl)imine 21 a 3R, 4S-configuration of the 2-azetidinone results, we assume that in our case the imine reacts primarily in conformation **H**. Therefore, reaction of imine 21 with the lithium enolate of 1c via transition state C should result in a 3S, 4S-configuration of the 2-azetidinone product, which seems to be the case on the basis of NMR spectra.

Concluding Remarks

The application of chiral ester enolates in the synthesis of 3-amino-2-azetidinones is limited to enolates that have an *E*-configuration, since in the transition states for (Z)-enolates the chiral auxiliary is too far away from the site where the two new chiral centers are formed to cause a high chiral induction. Ojima et al. have demonstrated that chiral esters indeed may result in a high enantioselectivity for a lithium enolate that has an *E*-configuration.⁹

The application of imines N-substituted with a chiral α -methylbenzyl group is limited to strong Lewis-acidic metal enolates, e.g., zinc and aluminum enolates, because the lithium enolates are not reactive enough. α -Amino zinc enolates (and to a lesser extent aluminum enolates) react with chiral 1,4-diaza-1,3-butadiene systems to afford trans-3-amino-2-azetidinones in excellent yields with a high enantioselectivity. When C-(alkyl)imines are employed, the stereoselectivity of the zinc-mediated reactions can be tuned by changing the polarity of the solvent(s). The use of (highly) polar solvents results in enantioselective syntheses of cis-3-amino-4-alkyl-2-azetidinones, whereas in apolar or weakly polar solvents the trans isomers are formed with a high enantioselectivity.

The application of imines, C-substituted with the chiral 2,3-O-isopropylidene group, is more general, provided that the imino-nitrogen is substituted with an electron-with-drawing group. Hence, employing our zinc-mediated route, useful *trans*-3-amino-2-azetidinones are obtained enantioselectively, whereas via the lithium-mediated route the cis-isomers are obtained with a high enantioselectivity.

Experimental Section

General Data. All manipulations with air-sensitive reagents were carried out under a dry, oxygen-free, nitrogen atmosphere using standard Schlenk techniques. Solvents were dried and distilled from sodium/benzophenone prior to use. The N,Ndiethylglycine esters 1a and 1b were prepared by condensation of *l*-menthol (1a) or *l*-borneol (1b) with bromoacetyl bromide and subsequent condensation of the bromo acetates with diethylamine as described previously.^{7b} (2,2,5,5-Tetramethyl-1-aza-2,5-disilacyclopent-1-yl)acetic acid ethyl ester (1c) was prepared according to a literature procedure.¹² Imines 2a and 2b were prepared by standard methods.²⁸ 2,3-O-Isopropylidene-D-glyceraldehyde was prepared from D-mannitol according to literature procedures.²⁹ (R)- and (S)- α -methylbenzylamine with an optical purity of 98+% were purchased from Janssen Chimica and amines with an optical purity of 99+% were purchased from Fluka Chemika. Absolutely dry ZnCl₂ was prepared as described previously.^{5e} ¹H and ¹³C NMR spectra were recorded on a Bruker AC-200 spectrometer in chloroform-d, benzene-d₆, or acetone-d₆ using TMS as an external standard (0.0 ppm). All coupling constants are presented in hertz (Hz). Boiling and melting points are uncorrected. Preparative HPLC was performed on a Philips-4100 system using a Supelcosil PLC-18 column. Optical rotations were determined using a Perkin-Elmer-241 polarimeter. Elemental analyses were performed by the Institute of Applied Chemistry (TNO), Zeist, The Netherlands.

Synthesis of N-(α -Methylbenzyl)imines 2. Imine 2c was prepared according to a modified literature procedure.^{28c} Imines 2g and 2h were prepared according to a modified procedure described for N-ethylidenebenzylamine.³⁰ Imines 2d-f were prepared according to the standard procedure given for 2d: To a stirred and cooled (0 °C) solution containing 10.7 g (0.1 mol) of freshly distilled 2-pyridinecarbaldehyde in 100 mL of Et₂O was added 12.1 g (0.1 mol) of freshly distilled (+)-(R)- or (-)-(S)- α methylbenzylamine and subsequently 5 g of Na₂SO₄. Stirring was continued for 2 h at 0 °C, and then the solid was removed by filtration. After removal of the volatile material in vacuo at ambient temperature, the product was purified by distillation in vacuo.

N-(*R*)-(α-Methylbenzyl)(2-pyridyl)formaldimine (2d). Pale yellow liquid. Yield: 20.0 g (95%). Bp 121 °C/0.5 mmHg. $[\alpha]^{20}_{D}$ -62.19° (c 1.3, ethanol). ¹H NMR (CDCl₃): δ 8.62 (m, 1 H, pyrH), 8.46 (s, 1 H, CH=N), 8.04, 7.71 (m, 1 H, pyrH), 7.47-7.19 (m, 6 H, pyrH and ArH), 4.64 (q, 1 H, C(H)(CH₃)Ph), 1.62 (d, 3 H, C(H)(CH₃)Ph).

N-(**R**)-(α-Methylbenzyl)(2-furyl)formaldimine (2e). Colorless liquid. Yield: 89%. Bp 110 °C/0.5 mmHg. $[α]^{20}_{\rm D}$ -90.20° (c 2.0, ethanol). ¹H NMR (CDCl₃): δ 8.12 (s, 1 H, CH=N), 7.51-7.21 (m, 6 H, ArH and furH), 6.73, 6.45 (m, 1 H, furH), 4.52 (q, 1 H, C(H)(CH₃)Ph), 1.65 (d, 3 H, C(H)(CH₃)Ph).

N-(**R**)-(α-Methylbenzyl)-3-(trimethylsilyl)prop-2-ynaldimine (2f). Pale yellow liquid. Yield: 92%. Bp 95-100 °C/0.5 mmHg. [α]²⁰_D+104.35° (c 1.4, ethanol). The ¹H NMR spectrum revealed that the product was a mixture of two isomers (*E* to *Z* ratio = 70:30). ¹H NMR (CDCl₃): *E*, δ 7.51 (d, 1 H, *J* = 0.4, CH=N), 7.40-7.20 (m, 5 H, ArH), 4.36 (q, 1 H, C(H)(CH₃)Ph), 1.53 (d, 3 H, C(H)(CH₃)Ph), 0.23 (s, 9 H, Si(CH₃)₃); *Z*, δ 7.53 (d, 1 H, *J* = 0.4, CH=N), 5.16 (q, 1 H, C(H)(CH₃)Ph), 0.27 (s, 9 H, Si(CH₃)₃). ¹³C NMR (CDCl₃): *E*, δ 143.66 (CH=N), 141.18, 128.57, 127.23, 126.72 (ArC), 101.68 (C=CSiMe₃), 98.09 (C=CSiMe₃), 70.69 (C(H)(CH₃)Ph), 24.37 (C(H)(CH₃)Ph), -0.41 (Si(CH₃)₃); *Z*, δ 144.60 (CH=N), 141.18, 128.46, 127.01, 126.83 (ArC), 104.18 (C=CSiMe₃), 96.64 (C=CSiMe₃), 64.47 (C(H)(CH₃)Ph), 23.82 (C(H)(CH₃)Ph), -0.41 (Si(CH₃)₃).

N-Ethylidene-(*R* or *S*)- α -methylbenzylamine (2g). Colorless liquid. Yield: 83%. Bp 32 °C/0.1 mmHg. ¹H NMR (CDCl₃): δ 7.80 (q, 1 H, *J* = 5.1, CH—N), 7.35–7.15 (m, 5 H, ArH), 4.28 (q, 1 H, *J* = 7.4 C(H)(CH₃)Ph, 1.98 (d, 3 H, *J* = 5.1, CH₃C-(H)—N), 1.50 (d, 3 H, *J* = 7.4, C(H)(CH₃)Ph).

N-Propylidene-(R or S)- α -methylbenzylamine (2h). Colorless liquid. Yield: 81%. Bp 61 °C/0.6 mmHg. ¹H NMR (CDCl₃): δ 7.75 (t, 1 H, J = 5.0, CH—N), 7.40–7.15 (m, 5 H, ArH), 4.28 (q, 1 H, J = 7.4, C(H)(CH₃)Ph, 2.29 (dq, 2 H, J = 5.0 and 7.0, CH₃CH₂C(H)—N), 1.50 (d, 3 H, J = 7.4, C(H)(CH₃)Ph), 1.10 (t, 3 H, J = 7.0, CH₃CH₂C(H)—N).

(1S)-1-[N-(4-Methoxyphenyl)imino]-1,2-O-isopropylideneethane (2i). Colorless oil. Yield: 95%. The impurities were removed by crystallization at -30 °C in hexane. ¹H NMR (CDCl₃): δ 7.81 (d, 1 H, J = 5.0, CH=N), 7.06 (m, 2 H, ArH), 6.83 (m, 2 H, ArH), 4.71 (ddd, 1 H, J = 6.8, 6.1 and 5.0, -C(O)HCH_aH_bO), 4.23 (dd, 1 H, J = 8.3 and 6.8, -C(O)HCH_aH_bO), 4.02 (dd, 1 H, J = 8.3 and 6.8, -C(O)HCH_aH_bO), 3.74 (s, 3 H, OCH₃), 1.45, 1.40 (s, 3 H, C(CH₃)₂). ¹³C NMR (CDCl₃): δ 161.05 (C=N), 158.52, 143.47, 122.01, 114.26 (ArC), 110.33 (C(CH₃)₂), 77.44 (-C(O)HCH₂O), 67.33 (-C(O)HCH₂O), 55.28 (OCH₃), 26.52, 25.41 (C(CH₃)₂).

(1S)-1-(N-Methylimino)-1,2-O-isopropylideneethane (2k). Colorless liquid. Yield: 73%. Bp 53 °C/12 mmHg. ¹H NMR (CDCl₃): δ 7.51 (br d, 1 H, CH=N), 4.52 (br q, 1 H, J = 6.7, -C(O)HCH_aH_bO), 4.14 (dd, 1 H, J = 7.9 and 6.7, -C(O)HCH_aH_bO), 3.86 (dd, 1 H, J = 7.9 and 6.7, -C(O)HCH_aH_bO), 3.37 (s, 3 H, NCH₃), 1.40, 1.33 (s, 3 H, C(CH₃)₂).

Enantioselective Synthesis of trans-3-(Diethylamino)-2azetidinones 3a and 3b. The reactions shown in eq 1 were carried out according to a standard procedure as described previously.⁵ The contents of the crude materials were analyzed by NMR spectroscopy and determined by comparison of the NMR spectra of authentic samples.⁵ The enantiomeric excess was determined by ¹H NMR integration of the characteristic proton signals in the presence of a chiral shift reagent (tris[3-((trifluoromethyl)hydroxymethylene)-d-camphorato]Eu(III); Eu(tfc)₃).

General Procedure for the (One-Pot) Synthesis of 3-(2,2,5,5-Tetramethyl-1-aza-2,5-disilacyclopentyl)-2-azetidinones. To a stirred solution containing *i*-Pr₂NH (1.01 g, 10 mmol) in 30 mL of solvent (Et₂O, toluene, or THF), at -78 °C was added 10 mmol of n-BuLi (6.67 mL of a 1.5 M solution in hexanes). The solution was stirred for 10 min at -78 °C, and then glycine ester 1c (2.45 g, 10 mmol) was added. The reaction mixture was stirred for an additional 15 min at -78 °C, and then 10 mmol of ZnCl₂ (10.0 mL of a 1.0 M solution in Et₂O) was added, and after stirring for 30 min 10 mmol of an appropriate imine 2 was added at -78 °C. Then the reaction mixture was stirred for 1 h at -78 °C, after which the reaction mixture was allowed to warm to room temperature and quenched with 20 mL of a saturated aqueous NH₄Cl solution. The precipitated salts were filtered off through a sintered-glass fritt. The aqueous layer was separated and extracted with two portions of Et₂O. The combined organic extracts were dried over Na_2SO_4 and concentrated in vacuo to afford the crude 2-azetidinone products. The contents of these crude products were examined with ¹H NMR before performing any purification step. Whenever possible,³¹ the products were purified by recrystallization, flash chromatography, or HPLC techniques.

 $trans - (3R, 4S) - 1(R) - (\alpha - Methylbenzyl) - 3 - (2, 2, 5, 5 - tetra$ methyl-1-aza-2,5-disilacyclopentyl)-4- $[N-(R)-(\alpha-methyl$ benzyl)imino]-2-azetidinone (4a). Pale yellow oil. Yield: 4.22 g (91%). The ¹H NMR spectrum revealed that the product was a mixture of two diastereomeric trans isomers, comprising the 3R,4S isomer in an excess of 91%. ¹H NMR (CDCl₃): δ 7.53 (d, 1 H, J = 7.6, CH=N), 7.41-7.13 (m, 10 H, ArH), 4.85 (q, 1 H, $J = 7.2, C(H)(CH_3)Ph), 4.34 (q, 1 H, J = 6.6, C(H)(CH_3)Ph), 4.18$ (d, 1 H, J = 1.9, NCHCHCH=N), 3.67 (dd, 1 H, J = 7.6 and 1.9,NCHCHCH=N), 1.50 (d, 3 H, $J = 6.6 C(H)(CH_3)Ph$), 1.39 (d, $3 H, J = 7.2, C(H)(CH_3)Ph), 0.76-0.52 (m, 4 H, SiCH_2CH_2Si), 0.05,$ -0.05 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 168.74 (C=O), 161.10 (C=N), 143.81, 139.84, 128.70, 128.54, 127.87, 127.37, 127.22, 126.63 (ArC), 69.82, 66.16 (C(H)(CH₃)Ph), 65.56 (NCHCHCH= N), 52.47 (NCHCHCH=N), 24.18, 19.88 (C(H)(CH₃)Ph), 7.94 $(SiCH_2CH_2Si)$, 0.66, -0.09 $(Si(CH_3)_2)$. $[\alpha]^{20}D + 4.17^{\circ}$ (c 0.7, ethanol). Anal. Calcd for C₂₆H₃₇N₃OSi₂: C, 67.48; H, 7.84; N, 9.08. Found: C, 66.44; H, 7.86; N, 8.65.

trans $(3R,4S)-1(R)-(\alpha$ -Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-(2-pyridyl)-2-azetidinone (4b). Pale yellow solid. Yield: 4.02 g (98%). The ¹H NMR spectrum revealed that the product was a mixture of two diastereomeric trans isomers, comprising the 3R,4S isomer in an

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⁽³¹⁾ Because the protecting disilyl moiety is very susceptible to hydrolysis, the separation by chromatographic techniques was usually accompanied by partial deprotection of the amine function. Therefore, it was not always possible to obtain analytically pure samples. Furthermore, elemental analyses of 3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-2-azetidinones proved difficult because of partial hydrolysis during sampling.

Synthesis of 3-Amino-2-azetidinones

excess of more than 95%. ¹H NMR (CDCl₃): δ 8.62–8.59 (m, 1 H, pyrH), 7.67–7.58 (m, 1 H, pyrH), 7.32–7.09 (m, 7 H, ArH and pyrH), 5.01 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.36 (d, 1 H, J = 2.0, NCHCH-pyr), 4.04 (d, 1 H, J = 2.0, NCHCH-pyr), 1.26 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 0.70–0.56 (m, 4 H, SiCH₂CH₂Si), -0.09, -0.13 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 170.14 (C=O), 158.24, 149.74, 139.76, 136.42, 128.56, 127.78, 127.52, 122.97, 121.94 (ArC and pyrC), 69.89 (C(H)(CH₃)Ph), 66.90 (NCHCH-pyr), 52.27 (NCHCH-pyr), 18.79 (C(H)(CH₃)Ph), 7.93 (SiCH₂CH₂Si), 0.43, -0.04 (Si(CH₃)₂). The enantiomerically pure trans-(3*R*,4S) isomer was obtained as colorless crystals after one crystallization from Et₂O/pentane (1:1 v/v), mp 132 °C. $[\alpha]^{20}_{D}$ +53.36° (c 0.33, ethanol). Anal. Calcd for C₂₂H₃₁N₃OSi₂: C, 64.50; H, 7.63; N, 10.26; Si, 13.71. Found: C, 64.13; H, 7.28; N, 10.26; Si, 13.54.

trans $(3R, 4S) - 1(R) - (\alpha$ -Methylbenzyl)-3-(2, 2, 5, 5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-(2-furyl)-2-azetidinone (4c). Yellow oil. Yield: 2.11 g (53%). The ¹H NMR spectrum revealed that the product was a mixture of two diastereomeric trans isomers, comprising the 3R, 4S isomer in 30% excess. ¹H NMR (CDCl₃): trans-(3R, 4S), δ 7.39–7.19 (m, 6 H, ArH and furH), 6.34–6.31 (m, 1 H, furH), 6.20–6.18 (m, 1 H, furH), 4.98 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.42 (d, 1 H, J = 2.2, NCHCH-fur), 3.88 (d, 1 H, J = 2.2, NCHCH-fur), 1.25 (d, 3 H, J = 7.2 C(H)(CH₃)Ph), 0.77–0.51 (m, 4 H, SiCH₂CH₂Si), -0.88, -0.10 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 169.19 (C=O), 150.98, 142.71, 139.55, 128.55, 127.74, 127.47, 110.62, 109.17 (ArC and furC), 67.25 (C(H)-(CH₃)Ph), 58.72 (NCHCH-fur), 51.33 (NCHCH-fur), 17.76 (C-(H)(CH₃)Ph), 7.92 (SiCH₂CH₂Si), 0.47, -0.06 (Si(CH₃)₂).

¹H NMR (CDCl₃): trans-(3*S*,4*R*), δ 7.38–7.20 (m, 6 H, ArH and furH), 6.23–6.21 (m, 1 H, furH), 6.11–6.09 (m, 1 H, furH), 4.37 (d, 1 H, *J* = 2.0 NCHCH-fur), 3.99 (d, 1 H, *J* = 2.0 NCHCH-fur), 3.60 (q, 1 H, *J* = 7.2, C(H)(CH₃)Ph), 1.65 (d, 3 H, *J* = 7.2, C-(H)(CH₃)Ph), 0.68–0.45 (m, 4 H, SiCH₂CH₂Si), 0.38, 0.08 (Si(C-H₃)₂). The enantiomerically pure trans-(3*R*,4*S*) isomer 4c was obtained as colorless crystals after one crystallization from hexane, mp 92 °C. [α]²⁰_D +37.95° (c 0.26, ethanol). Anal. Calcd for C₂₁H₃₀N₂O₂Si₂: C, 63.27; H, 7.59; N, 7.03. Found: C, 63.28; H, 7.43; N, 7.18.

cis -(3R,4R)-1(R)-(α -Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-(2-furyl)-2-azetidinone (4c). The reaction was carried out according to the standard procedure in THF. Pale yellow oil. Yield: 3.28 g (82%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric compounds: cis-(3R,4R), trans-(3R,4S), and trans-(3S,4R) in a ratio of 89:7:4. ¹H NMR (CDCl₃): cis, δ 7.30-7.17 (m, 6 H, ArH and furH), 6.28-6.26 (m, 1 H, furH), 6.15-6.13 (m, 1 H, furH), 4.59 (d, 1 H, J = 4.6, NCHCH-fur), 4.46 (d, 1 H, J = 4.6, NCHCH-fur), 4.38 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 1.72 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 0.68-0.47 (m, 4 H, SiCH₂CH₂Si), 0.12, -0.13 (s, 6 H, Si(CH₃)₂). Attempts to obtain enantiomerically pure *cis*-2c by recrystallization and chromatographic separation were unsuccessful.³¹

 $1(\mathbf{R})$ -(α -Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5disilacyclopentyl)-4-[1-(trimethylsilyl)ethynyl]-2-azetidinone (5a). Yellow oil. Yield: 3.99 g (93%). The ¹H NMR spectrum revealed that the product was a mixture of four diastereomeric products: cis-(3R,4S), cis-(3S,4R), trans-(3R,4R), and trans- $(3S, 4\hat{S})$, in a ratio of 10:2:69:19. ¹H NMR (CDCl₃): trans-(3R,4S), δ 7.52 (m, 5 H, ArH), 5.07 (q, 1 H, J = 6.9, C- $(H)(CH_3)Ph)$, 4.25 (d, 1 H, J = 2.0 NCHCHC=C), 3.61 (d, 1 H, J = 2.0 NCHCHC=C), 1.70 (d, 3 H, J = 6.9, C(H)(CH₃)Ph), 0.95-0.55 (m, 4 H, SiCH₂CH₂Si), 0.21-0.00 (s, 21 H, Si(CH₃)₂ and Si(CH₃)₃); trans-(3S,4S), δ 5.03 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.25 (d, 1 H, J = 2.0 NCHCHC==C), 3.43 (d, 1 H, J = 2.0NCHCHC=C), 1.73 (d, 3 H, J = 7.2, C(H)(CH₃)Ph); cis-(3R,4S), δ 4.73 (q, 1 H, J = 6.7, C(H)(CH₃)Ph), 4.48 (d, 1 H, J = 4.7 NCHCHC=C), 4.13 (d, 1 H, J = 4.7, NCHCHC=C), 1.69 (d, 3 H, J = 6.7, C(H)(CH₃)Ph); cis-(3S,4R), δ 4.69 (q, 1 H, J = 6.7, $C(H)(CH_3)Ph)$, 4.40 (d, 1 H, J = 4.7, NCHCHC=C), 3.92 (d, 1 H, J = 4.7, NCHCHC=C), 3.92 (d, 1 H, J = 4.7, NCHCHC=C), 1.69 (d, 3 H, J = 6.7, $C(H)(CH_3)Ph)$. The diastereomers could not be separated by crystallization or chromatography.³¹

trans - $(3\hat{R}, 4\hat{R})$ -1(\hat{R})- $(\alpha$ -Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-methyl-2-azetidinone (5b). The reaction was performed according to the standard procedure in Et₂O, and after the reaction mixture was warmed up to room temperature, Et₂O was replaced by THF and refluxed for 1 h to complete the cyclization reaction. After being cooled to room temperature and the standard workup procedure, 3.16 g (91%) of the crude product was isolated as a yellow oil. The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3*R*,4*S*), cis-(3*S*,4*R*), and trans-(3*R*,4*R*) in a ratio of 10:10:80. ¹H NMR (CDCl₃): trans, δ 7.40-7.10 (m, 5 H, ArH), 4.87 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 3.69 (d, 1 H, J = 1.9, NCHCHCH₃), 3.18 (dq, 1 H, J = 6.3 and 1.9, NCHCHCH₃), 1.63 (d, 3 H, J = 7.2 C(H)(CH₃)Ph), 1.24 (d, 3 H, J = 6.3, NCHCHCH₃), 0.76-0.52 (m, 4 H, SiCH₂CH₂Si), 0.05 , -0.05 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): trans, δ 168.87 (C=O), 139.98, 128.66, 127.65, 126.96 (ArC), 61.45 (C(H)(CH₃)Ph), 58.72 (NCHCHCH₃), 51.99 (NCHCHCH₃), 19.56 (C(H)(CH₃)Ph), 14.05 (NCHCHCHC₃), 8.88 (SiCH₂CH₂Si), 0.28, -0.72 (Si(CH₃)₂). The trans-(3*S*,4*S*) enantiomer of 5b was isolated in 88% yield (de 60%; ee >95%) using imine **2g** prepared from (S)- α -methylbenzylamine.

cis -(3R, 4S)-1(R)-(α -Methylbenzyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-methyl-2-azetidinone (5b). The reaction was carried out following the standard procedure in THF. Yellow oil. Yield: 3.36 g (97%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereometic products: cis-(3R,4S), trans-(3R,4R), and trans-(3S,4S) in a ratio of 85:11:4. ¹H NMR (CDCl₃): cis, δ 7.40-7.10 (m, 5 H, ArH), 4.62 (q, 1 H, J = 7.3, C(H)(CH₃)Ph), 4.35 (d, 1 H, J = 4.9, NCHCHCH₃), 3.50 (dq, 1 H, J = 6.3 and 4.9, NCHCHCH₃), 1.69 (d, 3 H, J = 7.3, C(H)(CH₃)Ph), 0.88 (d, 3 H, J = 6.3, NCHCHCH₃), 0.76-0.52 (m, 4 H, SiCH₂CH₂Si), 0.05, -0.05 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): cis, δ 168.98 (C=O), 141.53, 128.59, 127.52, 126.88 (ArC), 62.52 (C(H)(CH₃)Ph), 53.70 (NCH-CHCH₃), 52.22 (NCHCHCH₃), 18.96 (C(H)(CH₃)Ph), 13.79 (NCHCHCH₃), 7.99 (SiCH₂CH₂Si), 1.20, 0.36 (Si(CH₃)₂).

 $trans - (3S, 4S) - 1(S) - (\alpha - Methylbenzyl) - 3 - (2, 2, 5, 5 - tetra$ methyl-1-aza-2,5-disilacyclopentyl)-4-ethyl-2-azetidinone (5c). The reaction was performed according to the standard procedure in Et₂O, and after the reaction mixture was warmed to room temperature, Et₂O was replaced by THF and refluxed for 1 h to complete the cyclization reaction. After the mixture was cooled to room temperature and the standard workup procedure, 3.32 g (92%) of the crude product was isolated as a yellow oil. The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R,4S), cis-(3S,4R), and trans-(3S,4S) in a ratio of 2.5:2.5:95. ¹H NMR (CDCl₃): trans, δ 7.40-7.10 (m, 5 H, ArH), 4.77 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), $3.75 (d, 1 H, J = 2.3, NCHCHCH_aH_bCH_3), 3.18 (ddd, 1 H, J =$ 8.5, 3.8, and 2.3, NCHCHCH_aH_bCH₃), 1.61 (d, 3 H, J = 7.2, $C(H)(CH_3)Ph)$, 1.80–1.20 (m, 2 H, NCHCHCH_aH_bCH₃), 0.82 (t, 3 H, J = 7.4, NCHCHCH_aH_bCH₃), 0.73-0.67 (m, 4 H, SiCH₂CH₂Si), 0.02, -0.03 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): trans, δ 170.31 (C=O), 140.82, 128.74, 127.68, 127.23 (ArC), 65.32 (NCHCHCH₂CH₃), 63.71 (C(H)(CH₃)Ph), 52.53 (NCHCHCH₂C-H₃), 26.42 (NCHCHCH₂CH₃), 19.90 (C(H)(CH₃)Ph), 9.51 (NCH-CHCH₂CH₃), 8.04 (SiCH₂CH₂Si), 1.03, 0.24 (Si(CH₃)₂).

cis $(3S, 4R) - 1(S) - (\alpha$ -Methylbenzyl) -3 (2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl) -4-ethyl-2-azetidinone (5c). The reaction was performed according to the standard procedure in a mixture of THF/HMPA (5:1, v/v). Yellow oil. Yield: 3.43 g (95%). The ¹H NMR spectrum showed resonances of the cis-(3S, 4R) isomer only. ¹H NMR (CDCl₃): cis, δ 7.40–7.10 (m, 5 H, ArH), 4.60 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.28 (d, 1 H, J = 4.9, NCHCHCH₄H_bCH₃), 3.21 (ddd, 1 H, J = 8.9, 4.9 and 4.0, NCHCHCH₄H_bCH₃), 1.68 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 1.80–1.30 (m, 2 H, NCHCHCHCH₄H_bCH₃), 0.76 (t, 3 H, J = 7.5, NCHCHCH₄H_bCH₃), 0.75–0.60 (m, 4 H, SiCH₂CH₂Si), 0.07, 0.03 (s, 6 H, Si(CH₃)₂). ¹³C NMR (CDCl₃): cis δ 169.81 (C=O), 141.72, 128.42, 127.31, 126.66 (ArC), 62.33 (C(H)(CH₃)Ph), 60.62 (NCH-CHCH₂CH₃), 53.31 (NCHCHCH₂CH₃), 22.12 (NCHCHCH₂CH₃), 19.04 (C(H)(CH₃)Ph), 12.02 (NCHCHCH₂CH₃), 7.94 (SiCH₂C-H₂Si), 0.83, 0.30 (Si(CH₃)₂).

General Procedure for the Removal of the Disilacyclopentyl Group in Azetidinones 5. Because the 2-azetidinones 5 could not be purified by crystallization or chromatographic separation these compounds were in the first instance converted to their 3-amino derivatives 6. The crude 2-azetidinones 5 were dissolved in 25 mL of THF. To the solution was added excess aqueous HCl (20 mL of a 1.0 M solution). The solution was stirred for 1 h at room temperature. After addition of 25 mL of Et₂O, the water layer was separated, washed with 25 mL of Et₂O, and then basicified with ammonia (25% wt solution in water). The water layer was extracted three times with 25 mL of CH₂Cl₂. The combined extracts were dried over Na₂SO₄ and concentrated in vacuo to afford the 3-amino-2-azetidinones 6.

trans - (3R, 4R) - 1(R) - $(\alpha$ -Methylbenzyl)-3-amino-4methyl-2-azetidinone (6b). Yellow oil. Yield: 1.88 g (91%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R, 4S), cis-(3S, 4R), and trans-(3R, 4R) in a ratio of 10:10:80. ¹H NMR (CDCl₃): trans, δ 7.40-7.10 (m, 5 H, ArH), 4.86 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 3.53 (d, 1 H, J = 2.0, NCHCHCH₃), 3.13 (dq, 1 H, J = 6.2 and 2.0, NCHCHCH₃), 1.58 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 1.50 (br s, 2 H, NH₂), 1.22 (d, 3 H, J = 6.2 NCHCHCH₃).

cis -(3R,4S)-1(R)-(α -Methylbenzyl)-3-amino-4-methyl-2azetidinone (6b). Yellow oil. Yield: 1.96 g (95%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R,4S), trans-(3R,4R), and trans-(3S,4S), in a ratio of 85:11:4. ¹H NMR (CDCl₃): cis, δ 7.40–7.10 (m, 5 H, ArH), 4.65 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.09 (d, 1 H, J = 5.0, NCHCHCH₃), 3.66 (dq, 1 H, J = 6.2 and 5.0, NCHCHCH₃), 1.67 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 1.50 (br s, 2 H, NH₂), 0.94 (d, 3 H, J = 6.2, NCHCHCH₃). ¹³C NMR (CDCl₃): cis, δ 170.16 (C=0), 141.15, 128.53, 127.48, 126.66 (ArC), 60.68 (C(H)(CH₃)Ph), 52.68 (NCHCHCH₃), 51.84 (NCHCHCH₃), 18.82 (C(H)(CH₃)Ph), 13.79 (NCHCHCH₃).

trans-(3S,4S)-1(S)-(α -Methylbenzyl)-3-amino-4-ethyl-2azetidinone (6c). Yellow oil. Yield: 1.94 g (88%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R,4S), cis-(3S,4R), and trans-(3S,4S) in a ratio of 2.5:2.5:95. ¹H NMR (CDCl₃): trans, δ 7.40-7.10 (m, 5 H, ArH), 4.85 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 3.63 (d, 1 H, J = 2.0, NCHCHCH₄H_bCH₃), 3.00 (ddd, 1 H, J = 9.3, 3.8, and 2.0, NCHCHCH₄H_bCH₃), 1.59 (d, 3 H, J = 7.2, C(H)(CH₃)Ph), 1.80-1.20 (m, 4 H, NCHCHCH₄H_bCH₃ and NH₂), 0.85 (t, 3 H), J = 7.4, NCHCHCH₄H_bCH₃). ¹³C NMR (CDCl₃): trans, δ 170.02 (C=O), 140.31, 128.74, 127.68, 127.03 (ArC), 64.54 (NCHCHCH₂CH₂)Ph), 51.73 (NCHCHCH₂CH₃), 26.22 (NCHCHCH₂CH₃), 19.57 (C(H)(CH₃)Ph), 9.51 (NCHCHCH₂C-H₃).

cis-(3S,4R)-1(S)-(α-Methylbenzyl)-3-amino-4-ethyl-2-azetidinone (6c). Yellow oil. Yield: 2.09 g (95%). ¹H NMR (CDCl₃): cis, δ 7.35–7.15 (m, 5 H, ArH), 4.58 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.08 (d, 1 H, J = 5.1, NCHCHCH₄H₅CH₃), 3.34 (m, 1 H, NCHCHCH₄H₅CH₃), 1.61 (d, 3 H, J = 7.2, C(H)-(CH₃)Ph), 1.70–1.20 (m, 4 H, NCHCHCH₄H₅CH₃ and NH₂), 0.73 (t, 3 H, J = 7.4, NCHCHCH₄H₅CH₃). ¹³C NMR (CDCl₃): cis, δ 170.50 (C=O), 141.47, 128.61, 127.55, 126.63 (ArC), 60.74 (NCHCHCH₂CH₃), 58.70 (C(H)(CH₃)Ph), 52.33 (NCHCHCH₂C-H₃), 21.48 (NCHCHCH₂CH₃), 19.01 (C(H)(CH₃)Ph), 10.51 (NC-HCHCH₂CH₃).

General Procedure for the Conversion of 3-Amino-2-azetidinones 6 to 3-Carbamates 7. Unfortunately, the 3-amino-2-azetidinones could not be purified by crystallization or chromatographic separation and were therefore converted into their carbamates 7. The crude 2-azetidinones 6 were dissolved in 25 mL of benzene. To the solution was added an equimolar amount of methyl chloroformate, and subsequently 2 mol equiv of Et_3N were added slowly at room temperature. Immediately a white solid (Et_3N .HCl) started to precipitate. The suspension was stirred for 1 h, and then all volatiles were removed in vacuo. The solid residue was extracted with 75 mL of Et_2O . Concentration of the extracts in vacuo afforded the crude 3-carbamates 7.

trans $(3R, 4R) - 1(R) - (\alpha$ -Methylbenzyl) -3-[(methoxycarbonyl)amino]-4-methyl-2-azetidinone (7b). Off-white solid. Yield: 2.57 g (98%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R,4S), cis-(3S,4R), and trans-(3R,4R) in a ratio of 10:10:80. ¹H NMR (CDCl₃): trans, δ 7.34-7.24 (m, 5 H, ArH), 5.81 (br d, 1 H, J = 6.4, NH), 4.88 (q, 1 H, J = 7.2, $C(H)(CH_3)$ Ph), 4.20 (dd, 1 H, J = 6.4 and 1.9 NCHCHCH₃), 3.62 (s, 3 H, OCH₃), 3.39 (dq, 1 H, J = 6.2 and 1.9, NCHCHCH₃), 1.61 (d, 3 H, J = 7.2, C-(H)(CH₃)Ph), 1.26 (d, 3 H, J = 6.2, NCHCHCH₃). ¹³C NMR (CDCl₃): trans, δ 165.82 (C=O), 155.58 (C(O)OCH₃), 139.71, 128.67, 127.84, 127.02 (ArC), 63.42 (C(H)(CH₃)Ph), 57.81 (NCH- CHCH₃), 52.43 (NCHCHCH₃), 51.92 (OCH₃), 19.50 (C(H)-(CH₃)Ph), 18.89 (NCHCHCH₃). The enantiomerically pure trans isomer was obtained as colorless crystals after one recrystallization from Et₂O/pentane (6:1 v/v) at -30 °C, mp 135 °C. $[\alpha]^{23}_D$ +52.6° (c 0.4, chloroform). Anal. Calcd for C₁₄H₁₈N₂O₃: C, 64.11; H, 6.92; N, 10.68; O, 18.30. Found: C, 63.55; H, 6.81; N, 10.61; O, 18.77.

The trans-(3S,4S) enantiomer of 7b was prepared in a similar way. $[\alpha]^{23}_{D} - 51.6^{\circ}$ (c 1.0, chloroform).

 $cis \cdot (3R, 4S) - 1(R) - (\alpha - Methylbenzyl) - 3 - [(methoxy$ carbonyl)amino]-4-methyl-2-azetidinone (7b). Off-white solid. Yield: 2.53 g (97%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric products: cis-(3R,4S), trans-(3R,4R), and trans-(3S,4S) in a ratio of 85:11:4. ¹H NMR (CDCl₂): cis, δ 7.31–7.24 (m, 5 H, ArH), 5.84 (br d, 1 H, J = 8.0, NH), 4.92 (dd, 1 H, J = 8.0 and 4.9, NCHCHCH₃), 4.65 $(q, 1 H, J = 7.1, C(H)(CH_3)Ph), 3.76 (dq, 1 H, J = 6.3 and 4.9$ NCHCHCH₃), 3.64 (s, 3 H, OCH₃), 1.67 (d, 3 H, J = 7.2, C-(H)(CH₃)Ph), 0.93 (d, 3 H, J = 6.2, NCHCHCH₃). ¹³C NMR (CDCl₃): cis, δ 166.43 (C=O), 156.83 (C(O)OCH₃), 140.70, 128.76, 127.82, 127.60 (ArC), 59.24 (C(H)(CH₃)Ph), 53.07 (NCHCHCH₂), 52.51 (NCHCHCH₃ and OCH₃), 19.08 (C(H)(CH₃)Ph), 14.10 $(NCHCHCH_3)$. The enantiomerically pure cis isomer was obtained as colorless crystals after one recrystallization from Et₂O/pentane (6:1 v/v) at -30 °C, mp 102 °C. $[\alpha]^{25}$ -8.1° (c 0.9, chloroform).

trans $-(3S, 4S) - 1(S) - (\alpha - Methylbenzyl) - 3 - [(methoxy$ carbonyl)amino]-4-ethyl-2-azetidinone (7c). Yellow oil. Yield: 2.56 g (93%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereometic products: cis-(3R,4S), cis-(3S,4R), and trans-(3S,4S) in a ratio of 2.5:2.5:95. ¹H NMR (CDCl₃): trans, δ 7.40–7.20 (m, 5, H, ArH), 5.29 (br d, 1 H, J = 7.1, NH), 4.87 (q, 1 H, J = 7.2, C(H)(CH₃)Ph), 4.30 (dd, 1 H, J= 7.4 and 2.1, NCHCHCH₄H_bCH₃), 3.65 (s, 3 H, OCH₃), 3.25 (ddd, 1 H, J = 9.2, 3.7, and 2.1, NCHCHCH₄H_bCH₃), 1.63 (d, 3 H, J= 7.2, $C(H)(CH_3)Ph$), 1.80–1.20 (m, 2 H, NCHCHCH_aH_bCH₃), 0.88 (t, 3 H, J = 7.4 NCHCHCH_aH_bCH₃). ¹³C NMR (CDCl₃): trans, δ 166.11 (C=O), 156.14 (C(O)OCH₃), 139.92, 128.78, 127.78, 127.03 (ArC), 63.41 (C(H)(CH₃)Ph), 61.62 (NCHCHCH₂CH₃), 52.53 (NCHCHCH2CH3), 52.11 (OCH3), 25.94 (NCHCHCH2CH2), 19.70 (C(H)(CH₃)Ph), 9.31 (NCHCHCH₂CH₃). The enantiomerically pure trans isomer was obtained as off-white crystals after one recrystallization from Et₂O/pentane (6:1 v/v) at -30 °C, mp 82 °C. $[\alpha]^{23}_{D}$ -27.1° (c 1.0, chloroform). Anal. Calcd for $C_{15}H_{20}N_2O_3$: C, 65.20; H, 7.30, N, 10.14. Found: C, 65.08; H, 7.37; N, 10.13.

 $cis - (3S, 4R) - 1(S) - (\alpha - Methylbenzyl) - 3 - [(methoxy$ carbonyl)amino]-4-ethyl-2-azetidinone (7c). Yellow oil. Yield: 2.56 g (93%). ¹H NMR (CDCl₃): cis, δ 7.40-7.20 (m, 5 H, ArH), 5.32 (br d, 1 H, J = 8.4, NH), 5.03 (dd, 1 H, J = 8.4 and 4.9, NCHCHCH_aH_bCH₃), 4.69 (q, 1 H, J = 7.1, C(H)(CH₃)Ph), 3.66 (s, 3 H, OCH_3), 3.53 (ddd, 1 H, J = 8.9, 4.9, and 4.4, NCHCHCH_aH_bCH₃), 1.69 (d, 3 H, J = 7.1, C(H)(CH₃)Ph), 1.44 $(ddq, 1 H, J = 14.0, 7.4, and 4.4, NCHCHCH_aH_bCH_3), 1.28 (ddq, 1 H, J = 14.0, 7.4, and 4.4, NCHCHCH_aH_bCH_3)$ 1 H, $J = 14.0, 8.9, \text{ and } 7.4, \text{ NCHCHCH}_{a}H_{b}CH_{3}$, 0.72 (t, 3 H, J = 7.4, NCHCHCH_aH_bCH₃). ¹³C NMR (CDCl₃): trans, δ 166.60 (C=0), 156.62 $(C(0)OCH_3)$, 140.92, 128.78, 127.78, 126.58 (ArC), 59.13 (C(H)(CH₂)Ph), 58.67 (NCHCHCH₂CH₂), 52.79 (NCHCH-CH₂CH₃), 52.61 (OCH₃), 22.24 (NCHCHCH₂CH₃), 19.10 (C-(H)(CH₃)Ph), 9.99 (NCHCHCH₂CH₃). The enantiomerically pure cis isomer was obtained as colorless crystals after one recrystallization from Et_2O /pentane (6:1 v/v) at -30 °C, mp 103 °C. $[\alpha]^{23}_{D}$ -8.0° (c 0.7, chloroform). Anal. Calcd for C₁₅H₂₀N₂O₃: C, 65.20; H, 7.30; N, 10.14. Found: C, 65.10; H, 7.29; N, 10.00.

General Procedure for the Removal of the α -Methylbenzyl Group. Pure 2-azetidinones 7 were dissolved in 20 mL of THF. After addition of 40 mL of liquid NH₃, small pieces of sodium metal were added until the blue color persisted. Then the NH₃ was evaporated and the residue was quenched with 20 mL of a saturated aqueous NH₄Cl solution. The aqueous layer was extracted twice with 20 mL of CH₂Cl₂. The combined extracts were dried over Na₂SO₄ and concentrated in vacuo to afford the almost pure 2-azetidinones 8.

trans-(3R,4R)-3-[(Methoxycarbonyl)amino]-4-methyl-2azetidinone (8b). Off-white solid. Yield: 0.71 g (90%). ¹H NMR (acetone- d_6): δ 7.22 (br s, 1 H, C(O)NH), 6.96 (br d, 1 H, NH), 4.25 (dd, 1 H, J = 8.6 and 2.2, NCHCHCH₃), 3.66 (dq, 1 H, J = 6.2 and 2.2, NCHCHCH₃), 3.61 (s, 3 H, OCH₃), 1.35 (d, 3 H, J = 6.2, NCHCHCH₃). ¹³C NMR (acetone- d_6): δ 167.09 (C=O), 157.11 (C(O)OCH₃), 66.03 (NCHCHCHC₃), 53.35 (NCHCHCHCH₃), 52.22 (OCH₃), 19.63 (NCHCHCHC₃). The enantiomerically pure trans isomer was obtained as white crystals after one recrystallization from CHCl₃/pentane (6:1, v/v) at -30 °C, mp 124 °C. Anal. Calcd for C₆H₁₀N₂O₅: C, 45.57; H, 6.37; N, 17.71. Found: C, 45.63; H, 6.59; N, 17.77.

The trans-(3S,4S) enantiomer of 8b was prepared in 90% yield. $[\alpha]^{23}_{D}$ -73.7° (c 1.0, methanol).

cis-(3R,4S)-3-[(Methoxycarbonyl)amino]-4-methyl-2-azetidinone (8b). Off-white solid. Yield: 0.74 g (93%). ¹H NMR (acetone-d₆): δ 7.31 (br s, 1 H, C(O)NH), 7.02 (br d, 1 H, NH), 4.94 (dd, 1 H, J = 9.5 and 5.3, NCHCHCH₃), 3.89 (dq, 1 H, J = 6.1 and 5.3, NCHCHCH₃), 3.62 (s, 3 H, OCH₃), 1.20 (d, 3 H, J = 6.1, NCHCHCH₃). ¹³C NMR (acetone-d₆): δ 167.73 (C=O), 157.45 (C(O)OCH₃), 61.75 (NCHCHCH₃), 52.33 (OCH₃), 50.30 (NCHCHCH₂), 16.21 (NCHCHCH₃). The enantiomerically pure cis isomer was obtained as white crystals after one recrystallization from CHCl₃/pentane (6:1, v/v) at -30 °C, mp 184 °C. $[\alpha]^{23}_{D}$ +34.0° (c 0.2, acetone).

trans -(3S,4S)-3-[(Methoxycarbonyl)amino]-4-ethyl-2azetidinone (8c). Yellow oil. Yield: 0.82 g (95%). ¹H NMR (acetone- d_6): δ 7.36 (br s, 1 H, C(O)NH, 6.99 (br d, 1 H, NH), 4.32 (dd, 1 H, J = 8.8 and 2.4, NCHCHCH₂CH₃), 3.61 (s, 3 H, OCH₃), 3.48 (dt, 1 H, J = 6.7 and 2.4, NCHCHCH₂CH₃), 3.61 (s, 3 H, OCH₃), 3.48 (dt, 1 H, J = 6.7 and 2.4, NCHCHCH₂CH₃), 1.67 (dq, 2 H, J = 7.4 and 6.7, NCHCHCH₂CH₃), 0.97 (t, 3 H, J = 7.4, NCHCHCH₂CH₃). ¹³C NMR (acetone- d_6): δ 167.39 (C=O), 157.04 (C(O)OCH₃), 64.53 (NCHCHCH₂CH₃), 59.18 (NCHCHCH-H₂CH₃), 52.20 (OCH₃), 27.82 (NCHCHCH₂CH₃), 10.51 (NCHC-HCH₂CH₃). The enantiomerically pure trans isomer was obtained as white crystals after one recrystallization from CHCl₃/pentane (6:1, v/v) at -30 °C, mp 134 °C. [a]²³_D -43.6° (c 1.0, methanol). Anal. Calcd for C₇H₁₂N₂O₃: C, 48.83; H, 7.02; N, 16.27. Found: C, 48.65; H, 7.20; N, 16.15.

cis-(3S,4R)-3-[(Methoxycarbonyl)amino]-4-ethyl-2-azetidinone (8c). Yellow oil. Yield: 0.82 g (95%). ¹H NMR (acetone- d_6): δ 7.50 (br s, 1 H, C(O)NH, 7.02 (br d, 1 H, NH), 4.98 (ddd, 1 H, $J = 9.6, 5.1, \text{ and } 1.4 \text{ NCHCHCH}_2\text{CH}_3$), 3.65 (dt, 1 H, J = 6.7 and 5.1, NCHCHCH $_2\text{CH}_3$), 3.62 (s, 3 H, OCH $_3$), 1.67 (dq, 2 H, J = 7.4 and 6.7, NCHCHCH $_2\text{CH}_3$), 0.92 (t, 3 H, J = 7.4, NCHCHCH $_2\text{CH}_3$), 0.92 (t, 3 H, J = 7.4, NCHCHCH $_2\text{CH}_3$), 61.39 (NCHCHCH $_2\text{CH}_3$), 56.44 (NCHCHCH $_2\text{CH}_3$), 52.30 (OCH $_3$), 24.62 (NCHCHCH $_2\text{CH}_3$), 10.53 (NCHC-HCH $_2\text{CH}_3$), 52.30 (OCH $_3$), 24.62 (NCHCHCH $_2\text{CH}_3$), 10.53 (NCHC-HCH $_2\text{CH}_3$). The enantiomerically pure is isomer was obtained as white crystals after one recrystallization from CHCl $_3$ /pentane (6:1, v/v) at -30 °C, mp 206 °C. $[\alpha]^{23}$ p+57.8° (c 0.8, methanol). Anal. Calcd for C $_7\text{H}_{12}\text{N}_2\text{O}_3$: C, 48.83; H, 7.02; N, 16.27. Found: C, 48.42; H, 7.07; N, 15.92.

trans-(3R,4S)-1-(4-Methoxyphenyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (9a). The optimum result (selectivity, yield) was obtained when the reaction was carried out with low concentrations of reactants: i.e., [c] enolate ≈ 0.25 M and the imine 2i was added dropwise as a 0.5 M solution in Et₂O. Pale brown solid. Yield: 4.18 g (96%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric compounds: cis-(3S,4S), cis-(3R,4R), and trans-(3R,4S) in a ratio of 3.5:3.5:93. ¹H NMR (CDCl₃): δ 7.36 (d, 2 H, J = 9.0, ArH), 6.86 (d, 2 H, J = 9.0, ArH), 4.52 (ddd, 1 H, J = 6.9, 6.8, and 3.2, $-C(O)HCH_{e}H_{b}O)$, 4.31 (d, 1 H, J = 2.3, NCHCHR*), 4.06 $(dd, 1 H, J = 8.2 and 6.9, -C(O)HCH_aH_bO), 3.99 (dd, 1 H, J =$ 3.2 and 2.3, NCHCHR*), 3.78 (s, 3 H, OCH₃), 3.75 (dd, 1 H, J = 8.2 and 6.9, $-C(O)HCH_2H_bO$, 1.37, 1.31 (s, 3 H, $C(CH_3)_2$), 0.76 (s, 4 H, SiCH₂CH₂Si), 0.16, 0.13 (Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 167.74 (C=O), 156.54, 130.52, 119.88, 114.40 (ArC), 109.81 (C- $(CH_3)_2$, 73.45 (-C(0)HCH₂O), 65.68 (NCHCHR^{*}), 63.00 (NCHCHR*), 62.39 (-C(O)HCH2O), 55.45 (OCH3), 26.08, 24.81 $(C(CH_3)_2)$, 7.98 $(SiCH_2CH_2Si)$, 0.93, 0.03 $(Si(CH_3)_2)$. The enantiomerically pure trans isomer was obtained as white crystals after recrystallization from Et₂O in 85% yield, mp 164 °C. $[\alpha]_{D}^{20} +9.21^{\circ}$ (c 0.9, benzene). Anal. Calcd for C₂₁H₃₄N₂O₄Si₂: C, 58.03; H, 7.88; N, 6.44; Si, 12.92. Found: C, 57.49; H, 7.85; N, 6.44; Si, 13.01.

cis-(3S,4S)-1-(4-Methoxyphenyl)-3-(2,2,5,5-tetramethyl-1-aza-2,5-disilacyclopentyl)-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (9a). The reaction was carried out according to the standard procedure in THF, but the addition of $ZnCl_2$ was skipped. Red/orange oil. Yield: 3.95 g (91%). The ¹H NMR spectrum revealed that the product was a mixture of three diastereomeric compounds: cis-(3S,4S), cis-(3R,4R), and trans-(3R,4S) in a ratio of 70:18:12. These diastereomers were separated by preparative HPLC techniques (90:10 MeOH/H₂O, LC-18 reversed-phase column, 8 mL/min). However, this separation was complicated by the fact that part of the sample (especially the trans isomer) was hydrolyzed to the 3-amino-2-azetidinone (10a). The stereoisomers of 10a could not be separated using reversed-phase techniques as all three isomers do have the same retention time ($R_f = 216$ s). Despite the hydrolysis, samples of both cis isomers with a purity of more than 90% were obtained as colorless oils that solidified upon standing in air.

Cis-(3*R*,4*R*). $R_f = 524$ s. ¹H NMR (CDCl₃): δ 7.62 (d, 2 H, J = 9.2, ArH), 6.84 (d, 2 H, J = 9.2, ArH), 4.55 (d, 1 H, J = 5.4, NCHCHR*), 4.32 (ddd, 1 H, J = 8.5, 7.4, and 6.4, -C(O)-HCH_aH_bO), 4.16 (dd, 1 H, J = 8.4 and 6.4, -C(O)HCH_aH_bO), 4.06 (dd, 1 H, J = 8.5 and 5.4, NCHCHR*), 3.78 (s, 3 H, OCH₃), 3.65 (dd, 1 H, J = 8.4 and 7.4, -C(O)HCH_aH_bO), 1.46, 1.32 (s, 3 H, C(CH₃)₂), 0.78 (s, 4 H, SiCH₂CH₂Si), 0.24, 0.20 (Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 166.70 (C=O), 156.56, 131.34, 119.77, 114.12 (ArC), 108.42 (C(CH₃)₂), 73.97 (-C(O)HCH₂O), 65.73 (NCHCHR*), 62.52 (NCHCHR*), 60.19 (-C(O)HCH₂O), 55.46 (OCH₃), 26.45, 25.23 (C(CH₃)₂), 8.01 (SiCH₂CH₂Si), 1.08, 0.26 (Si(CH₃)₂).

Cis-(3S,4S). $R_f = 663$ s. ¹H NMR (CDCl₃): δ 7.45 (d, ²2 H, J = 9.1, ArH), 6.84 (d, 2 H, J = 9.1, ArH), 4.68 (d, 1 H, J = 5.1, NCHCHR*), 4.40 (ddd, 1 H, J = 7.5, 6.1, and 4.0, -C(0)-HCH₄H_bO), 4.33 (dd, 1 H, J = 5.1 and 4.0, NCHCHR*), 3.88 (dd, 1 H, J = 8.3 and 6.1, -C(0)HCH₄H_bO), 3.77 (s, 3 H, OCH₃), 3.72 (dd, 1 H, J = 8.3 and 7.5, -C(0)HCH₄H_bO), 1.33, 1.32 (s, 3 H, C(CH₃)₂), 0.75 (m, 4 H, SiCH₂CH₂Si), 0.24, 0.19 (Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 167.50 (C=O), 156.21, 137.16, 119.64, 113.84 (ArC), 109.21 (C(CH₃)₂), 76.86 (-C(0)HCH₃2O), 67.22 (NCHCHR*), 63.26 (NCHCHR*), 62.26 (-C(0)HCH₂O), 55.46 (OCH₃), 26.56, 25.37 (C(CH₃)₂), 7.88 (SiCH₂CH₂Si), 0.79, 0.64 (Si(CH₃)₂).

cis-(3S,4S)-3-(2,2,5,5-Tetramethyl-1-aza-2,5-disilacyclopentyl)-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (9d). The reaction was carried according to the standard procedure in THF, with the modification that a cooled (-78 °C) solution containing 10 mmol of the in situ prepared lithium enolate of ester 1c was added slowly to a cooled solution (-78 °C) containing 10 mmol of the in situ prepared imine 21 in 50 mL of THF.³² Yellow oil. Yield: 3.12 g (95%). The ¹H NMR spectrum revealed that the product was a mixture of at least two compounds: cis-9d and its partially desilylated form cis-10d along with some minor impurities (<5%). Purification of the compounds by flash-chromatography (neutral Al₂O₃, chloroform) afforded cis-(3S,4S)-9d with a reasonable purity (>90%) as a pale yellow oil. Attempts to obtain analytically pure samples unfortunately were not successful.³¹ ¹H NMR (\dot{CDCl}_3): δ 6.33 (br s, 1 H, NH), 4.44 (d, 1 H, J = 4.9, NCHCHR*), 4.12 (ddd, 1 H, J = 6.9, 6.2, and 5.0, $-C(O)HCH_aH_bO$, 3.98 (dd, 1 H, J = 8.2 and 6.2, C-(O)HCH_aH_bO), 3.52 (m, 2 H, NCHCHR* and $-C(O)HCH_{a}H_{b}O)$, 1.35, 1.32 (s, 3 H, C(CH₃)₂), 0.70 (s, 4 H, SiCH₂CH₂Si), 0.11 (m, 12 H, $2 \times$ Si(CH₃)₂). ¹³C NMR (CDCl₃): δ 170.39 (C=O), 108.87 (C(CH₃)₂), 76.42 (-C(O)HCH₂O), 66.98 (NCHCHR*), 63.69 (NCHCHR*), 58.69 (-C(O)HCH2O), 26.75, 25.46 (C(CH3)2), 7.84 (SiCH₂CH₂Si), 0.84, 0.61 (Si(CH₃)₂).

trans (3R, 4S)-1-(4-methoxyphenyl)-3-amino-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (10a). To a solution of 1.50 g (3.4 mmol) of optically pure trans-9a in 50 mL of THF was added 40 mL of a 3.0 M aqueous NH₄Cl solution. The reaction mixture was stirred vigorously for 48 h at room temperature and then extracted with three 30-mL portions of Et₂O. The organic extracts were dried over Na₂SO₄ and concentrated in vacuo affording 1.3 g of an off-white solid. This was washed twice with 10 mL of cold (-30 °C) Et₂O and dried in vacuo, yielding 0.91 g (91%) of pure 2-azetidinone 10a as a white solid, mp 162 °C. ¹H NMR (CDCl₃): δ 7.32 (d, 2 H, J = 9.0, ArH), 6.85

⁽³²⁾ The N-(trimethylsilyl)imine 21 was prepared in situ from the chiral aldehyde and LiHMDS according to a procedure reported by Hart et al.^{28b}

(d, 2 H, J = 9.0, ArH), 4.57 (ddd, 1 H, J = 6.8, 6.8, and 3.0, -C(O)HCH_aH_bO), 4.18 (d, 1 H, J = 2.3, NCHCHR*), 4.11 (dd, 1 H, J = 8.4 and 6.8, -C(O)HCH_aH_bO), 3.90 (dd, 1 H, J = 3.0 and 2.3, NCHCHR*), 3.83 (dd, 1 H, J = 8.4 and 6.8, -C(O)HCH_aH_bO), 3.77 (s, 3 H, OCH₃), 2.08 (br s, 2 H, NH₂), 1.38, 1.30 (s, 3 H, C(CH₃)₂). ¹³C NMR (CDCl₃): δ 167.25 (C=O), 156.66, 130.17, 119.83, 114.39 (ArC), 110.02 (C(CH₃)₂), 72.64 (-C(O)HCH₂O), 65.83 (NCHCHR*), 63.46 (NCHCHR*), 60.60 (-C(O)HCH₂O), 55.49 (OCH₃), 26.06, 24.79 (C(CH₃)₂). This product was converted without purification to 2-azetidinone 11 for comparison of the optical rotation (vide supra).

cis -(3S,4S)-3-Amino-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (10d). Following the same procedure as described above for 10a, crude 9d was deprotected to afford 1.47 g (93%) of 10d as a pale yellow oil. ¹H NMR (CDCl₃): δ 5.77 (br s, 1 H, NH), 4.23 (m, 3 H, NCHCHR*, -C(O)HCH_aH_bO, and C(O)HCH_aH_bO), 3.75 (dd, 1 H, J = 7.9 and 4.6, NCHCHR*), 3.68 (m, 1 H, -C(O)HCH_aH_bO), 2.15 (br s, 2 H, NH₂), 1.42, 1.33 (s, 3 H, C(CH₃)₂). ¹³C NMR (CDCl₃): δ 172.60 (C=O), 109.94 (C(CH₃)₂), 74.93 (-C(O)HCH₂O), 66.70 (NCHCHR*), 62.35 (NCHCHR*), 56.71 (-C(O)HCH₂O), 26.21, 25.24 (C(CH₃)₂).

trans-(3R,4S)-1-(4-Methoxyphenyl)-3-phthalimido-4-[(1'S)-1',2'-O-isopropylideneethyl]-2-azetidinone (11). To a solution of 0.88 g (3.0 mmol) of pure trans-10a in 50 mL of THF was added 10 mL of a saturated aqueous Na_2CO_3 solution and subsequently 1.10 g (5.0 mmol) of Nefkens reagent.³³ The mixture was stirred vigorously for 1 h at room temperature and then extracted three times with 30 mL of EtOAc. The organic extracts were dried over Na₂SO₄ and concentrated in vacuo affording 1.6 g of an off-white solid. This was washed twice with 20 mL of cold (0 °C) Et₂O and dried in vacuo, yielding 1.17 g (92%) of pure 11 as a white solid, mp 154 °C, dec. $[\alpha]^{20}_D$ +5.97 (c 0.7, methanol). ¹H NMR (CDCl₃): δ 7.87-7.81, 7.78-7.72 (m, 2 H, ArH of phthalim), 7.39 (d, 2 H, J = 8.9, ArH of anisyl), 6.91 (d, 2 H, J = 8.9, ArH of anisyl), 5.58 (d, 1 H, J = 2.6 NCHCHR*), 4.64 (ddd, 1 H, $J = 7.0, 6.5, \text{ and } 2.3, -C(O)HCH_aH_bO), 4.50 (dd, 1 H, <math>J =$ 2.6 and 2.3, NCHCHR*), 4.13 (dd, 1 H, J = 8.4 and 6.5, -C(O)- $HCH_{a}H_{b}O$), 3.80 (s, 3 H, OCH_{3}), 3.64 (dd, 1 H, J = 8.4 and 7.0, -C(O)HCH_H_0), 1.54, 1.36 (s, 3 H, C(CH_3)2). ¹³C NMR (CDCl₂): δ 166.87, 161.55 (C=O), 157.19, 134.53, 131.72, 123.74, 120.73, 114.55 (ArC), 110.63 (C(CH₃)₂), 71.96 (-C(O)HCH₂O), 66.15 (NCHCHR*), 59.37 (-C(O)HCH₂O), 55.52 (OCH₃), 54.16

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(NCHCHR*), 26.11, 25.43 (C(CH₃)₂). Anal. Calcd for $C_{23}H_{22}N_2O_6$: C, 65.39; H, 5.25; N, 6.63. Found: C, 64.84; H, 5.45; N, 6.53.

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Registry No. 1a, 81983-63-3; 1b, 141039-95-4; 1c, 78605-23-9; 2a, 622-29-7; 2b, 17599-61-0; 2c, 129171-89-7; 2d, 125875-26-5; 2e, 141039-96-5; (E)-2f, 141039-97-6; (Z)-2f, 141039-98-7; 2g, 141039-99-8; 2h, 104973-19-5; 2i, 103239-04-9; 2j, 86299-28-7; 2k, 140874-23-3; 2l, 140874-24-4; 3a, 113830-78-7; 3b, 140874-25-5; trans-(3R,4S)-4a, 129086-49-3; trans-(3S,4R)-4a, 141040-00-8; trans-(3R,4S)-4b, 129086-50-6; trans-(3S,4R)-4b, 141040-01-9; cis-(3R,4R)-4c, 141040-03-1; trans-(3S,4R)-4c, 141040-02-0; trans-(3R,4S)-4c, 140874-26-6; cis-(3R,4S)-5a, 140874-27-7; cis-(3S,4R)-5a, 141040-04-2; trans-(3R,4R)-5a, 141040-05-3; trans-(3S,4S)-5a, 141040-06-4; cis-(3R,4S)-5b, 133774-21-7; cis-(3S,4R)-5b, 141040-07-5; trans-(3R,4R)-5b, 133693-71-7; trans-(3S,4S)-5b, 141040-08-6; cis-(3R,4S)-5c, 141040-09-7; cis-(3S,4R)-5c, 133774-22-8; trans-(3S,4S)-5c, 133693-73-9; cis-(3R,4S)-6b, 133774-25-1; cis-(3S,4R)-6b, 141040-10-0; trans-(3R,4R)-6b, 133693-72-8; trans-(3S,4S)-6b, 141040-11-1; cis-(3R,4S)-6c, 141040-12-2; cis-(3S,4R)-6c, 141040-13-3; trans-(3S,4S)-6c, 133693-76-2; cis-(3R,4S)-7b, 133774-23-9; cis-(3S,4R)-7b, 141040-14-4; trans-(3R,4R)-7b, 133693-74-0; trans-(3S,4S)-7b, 141040-15-5; cis-(3R,4S)-7c, 141040-16-6; cis-(3S,4R)-7c, 141040-17-7; trans-(3S,4S)-7c, 133693-77-3; cis-(3R,4S)-8b, 133774-24-0; trans-(3R,4R)-8b, 133693-75-1; trans-(3S,4S)-8b, 141040-18-8; cis-(3S,4R)-8c, 141040-19-9; trans-(3S,4S)-8c, 133693-78-4; cis-(3S,4S)-9a, 141040-20-2; cis-(3R,4R)-9a, 141040-21-3; trans-(3R,4S)-9a, 133693-70-6; cis-(3S,4S)-9d, 140874-28-8; trans-(3R,4S)-10a, 141040-22-4; cis-(3S,4S)-10d, 140874-29-9; 11, 141040-23-5; 2-pyridylcarbaldehyde, 1121-60-4; (+)-R-α-methylbenzylamine, 3886-69-9; 2-furancarboxaldehyde, 98-01-1; 3-(trimethylsilyl)-2-propynal, 2975-46-4.

Supplementary Material Available: ¹H and ¹³C NMR spectra of some of the new compounds (42 pages). This material is contained in many libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

The Reaction of Glyoxylic Acid with Ammonia Revisited

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Upon addition of ammonia or an alkylamine to glyoxylic acid an ammonium derivative of glyoxylic acid precipitates quantitatively. With the use of solid-state ¹³C and ¹⁵N NMR spectroscopy, it is shown that adducts of glyoxylic acid and ammonia or the alkylamine are obtained. These compounds are not stable in aqueous solution. The compositions of the aqueous solutions have been investigated by ¹H, ¹³C, ¹⁵N, and ¹⁷O NMR. Under basic conditions hexahydro-s-triazine-2,4,6-tricarboxylate is the predominant species in a solution of the adduct of ammonia and glyoxylic acid, whereas upon acidification (pH < 6) glyoxylate is the only organic species. In a basic solution of the adduct of ethylamine and glyoxylic acid N-ethyliminoacetate is the only species. The N-methyl adduct shows an intermediate behavior: both the hexahydrotriazine and the imine are observed. Under acidic conditions deamination to glyoxylate always occurs. Intermediates in the reaction of glyoxylic acid and ammonia could be detected with ¹H NMR, when the reaction was performed with an excess of ammonia. The mechanism of these reactions is discussed.

Introduction

Glycine and hydroxyglycine units are occurring in various pharmacologically important compounds, such as amoxicillin- and cephalosporin-type antibiotics. Ammonium derivatives of glyoxylic acid have been proposed as intumescent fire-retarding and heat-insulating materials.¹ Furthermore, iminoacetic acid is thought to be an inter-

⁽¹⁾ Masciantonio, P. X.; Mihelic, E. L. U.S. Pat. 3 668 121; Chem. Abstr. 1972, 77, 76845.