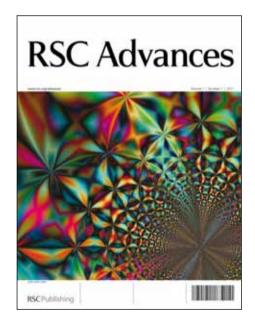
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ARTICLE TYPE

Regioselectivity and Synthetic Mechanism of 1,2-Benzimidazole Squaraines: Combined Experimental and Theoretical **Studies**

Guomin Xia, Zhiwei Wu, Yanli Yuan, and Hongming Wang*

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In this paper, a synthetic method to produce 1,2-benzimidazole squaraines with a yield of up to 89% is developed. It is found that both strong organic and inorganic bases have satisfied catalyzed activity for this reaction. Theoretical studies provide detailed explanations for the 1,2 versus 1,3 condensation 10 regiochemistry of the squaraines. Experimental and theoretical studies agree well with each other, paving a practical way to efficiently synthesize 1,2-squaraines.

Introduction

required.

Squaraine (SQ) dyes are the product of condensation of squaric acid and two equivalents of a suitable electron-rich precursor 15 and be notable for their exceptionally high absorption coefficients extending from the green to the near-infrared region.^{2,3} This has prompted their exploitation in a number of technologically relevant applications, including photoconductivity, data storage, 5 light-emitting field-effect transistors, ⁶ solar cells, ⁷ and fluorescent 20 histological probes. 8 Since the pioneering work of Cohen in 1959,9 SQs have also been used in the fields of bioorganic and medicinal chemistry as well. 10 For example, Xie et al. demonstrated that SQ is an effective pharmacophore for the design of tyrosine phosphatase inhibitors. 11

- $_{25}$ The synthesis of squaraine dyes was first reported in the $1960s.^{12}$ Then numerous reports describing the synthesis and properties of SQs have appeared in recent decades. 13 According to previous investigations, SQs are the condensation product of two electronrich derivatives (activated arenes, 2,4-dimethylpyrrole and 30 benzothiazole) and squaric acid or their esters, which generally affords the 1,3-regioisomer as the main product and the 1,2isomer as a by-product. Modification of the reaction conditions only slightly increases the regioselectivity toward 1,2-SQ; the reaction is regioselective and the major products are still the 1,3-35 isomers. Although some studies indicate that 1,2-SQ can be synthesized through a two-step Friedel-Crafts acylation reaction between squaric acid chloride and bromo-substituted thiophene, its direct synthesis from squaric acid or squarate have seldom been reported. As we all know, 1,2-SQs are considered to be 40 promising as sensitizers in organic photoconducting devices and electron-acceptor components for construction of highperformance D-A-type conjugated photovoltaic polymers, [14] so a facile and high selectivity route to synthesize 1,2-SQs is urgently
- 45 Ramaiah's group achieved the selective synthesis of 1,2- and 1,3-

semisquaraine¹⁵ and identified the 1,3-isomer as the reactive intermediate in squaraine dve formation rather than the neutral 1,2-isomer. Their investigations indicate that the base influences the regioselectivity of 1,2- and 1,3-semisquaraine. Ronchi et al. 50 identified the main electronic factors in this reaction and changed its conditions, increasing the yield of 1,2-SQs up to 66%. 16

It is clear that the synthesis of 1,2-SQs is of significant challenge, and the yield as well as selectivity still remains unsatisfactory. In this paper, we report a synthetic method to 55 produce 1,2-benzimidazole squaraines (3a-b) at a high yield. To explore the reason for the high regioselectivity of 3a-b, the overall reaction mechanisms were studied by density functional theory (DFT) calculations as well. By combining the experimental and computational findings, we are able to propose 60 a method of chemical regulation that changes the regioselectivity of the product. This work sheds light on the factors influencing reaction via the 1,2- versus the 1,3-condensation pathway, which could provide guidelines for the synthesis of desired 1,2-SQs in the future.

65 Results and Discussion

Considering the most of synthesis of 1,3-SQs in previous reports, we planned to produce 1,3-SQ (2a) by reacting benzimidazole salt 1a with 3,4-diethyl squarate in the presence of a frequentlyused base (triethylamine (Et3N)) in EtOH (Scheme 1), however, 70 no products formed after heating under reflux for 12 h, and the 1a didn't react at all. Then, a fairly strong base was been used to catalyze the reaction. As a result, the reaction mixture turned from yellow to deep red instantly when diazabicycloundecene (DBU) was been added, after 3h reflux, a dull-red product was ₇₅ isolate with 68% yield and crystallized by the slow evaporation method. To our surprise, X-ray diffraction analysis of the product showed that the red product was 1,2-SQ 3a (Figure 1) instead of 1,3-SQ 2a. Also, 3a was characterized by various spectroscopic and analytical techniques: 1H and 13C NMR spectroscopy, FT- RSC Advances Accepted Manuscript

IR and elemental analysis.

The results show that we should draw to the thought that the basicity of the base has a large effect towards the products; this prompted us to use a stronger base to verify this inference. 5 Sodium ethoxide (EtONa), as a common organic base, was used to catalyze the condensation reaction between 1a-b and 3,4diethyl squarate. As the way that was expected, red deposits formed immediately as long as EtONa was been added, after 3h reflux, the reactions were completed to produce 3a and 3b in 89% 10 and 86% yield, respectively. Moreover, in these reactions, 1,3-SOs (2a and 2b) were not been observed. However, when squaric acid was reacted with 1a-b in EtOH solvent, no red product 1,2-SQs formed after heating under reflux for 3h (Scheme 2). The weaker base pyridine was also been used to catalyze the 15 condensation reactions between 1a-b and 3,4-diethyl squarate; the mixture was always beige, and no red deposit formed in these reactions, after heating under reflux for 12h, no 1,2- or 1,3-SQs formed, which is similar to the reaction using Et3N as the base.

20 Scheme 1. The reaction of benzimidazole salt with 3,4-diethyl squarate.

Table 1. Reaction of Benzimidazole Salts (1a-b) and 3,4-Diethyl Squarate catalyzed by various bases.

	R	Base	Time (h)	Product	Yield (%)
1	PhCH ₂	pyridine	12	3a	0
2	CH_3	pyridine	12	3b	0
3	$PhCH_2$	Et_3N	12	3a	0
4	CH_3	Et_3N	12	3b	0
5	$PhCH_2$	DBU	3	3a	68
6	CH_3	DBU	3	3b	61
7	PhCH ₂	EtONa	3	3a	89
8	CH_3	EtONa	3	3b	86

25 Based on the results above, we wanted to determine that whether an inorganic base can be used to catalyze these reactions. The yields of the reactions utilizing various inorganic bases are presented in Table 2. It indicated that inorganic bases also can catalyze this condensation reactions and the basicity of the base 30 worked as the same as organic bases: only trace amounts of 3a and 3b were observed (inspected by TLC cromatography) when a weak inorganic bases (NaHCO3, KHCO3, Na2CO3 and K2CO3) was been used after refluxing 12h; However, with 250%mol strong base Cs₂CO₃, NaOH, KOH, the yield of 3a and 3b were 35 dramatically impromed towards to 76% and 73%, 81% and 75%, 85% and 80%, respectively.

Scheme S2. The reaction between benzimidazole salt and squaric 40 acid catalyzed by EtONa.

Table 2. Reaction of Benzimidazole Salts (1a-b) and 3,4-Diethyl Squarate under Various Inorganic Bases

	R	Base	Time (h)	Product	Yield (%)
1	PhCH ₂	NaHCO ₃	12	3a	trace
2	CH_3	NaHCO ₃	12	3b	trace
3	PhCH ₂	$KHCO_3$	12	3a	trace
4	CH_3	$KHCO_3$	12	3b	trace
5	PhCH ₂	Na_2CO_3	12	3a	trace
6	CH_3	Na_2CO_3	12	3b	trace
7	PhCH ₂	K_2CO_3	12	3a	trace
8	CH_3	K_2CO_3	12	3b	trace
9	PhCH ₂	Cs_2CO_3	3	3a	76
10	CH_3	Cs_2CO_3	3	3b	73
11	PhCH ₂	NaOH	3	3a	81
12	CH_3	NaOH	3	3b	75
13	PhCH ₂	KOH	3	3a	85
14	CH_3	KOH	3	3b	80

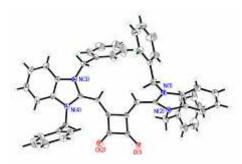


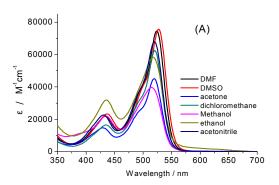
Figure 1. ORTEP diagram of 3a.

Absorption spectra of 3a and 3b in selected solvents are presented in Fig. 2A and 3A (see the ESI). The spectra indicate a solventdependent change in the absorption maxima and intensity of 3a. The absorption maximum changes considerably in methanol 50 compared with in other solvents. The absorption coefficient of 3a in dichloromethane is larger than in any other solvent. Similar results were also observed for 3b. In Fig. 2B, the absorption peak at 524 nm decreases and that at 399 nm increases gradually as the concentration of TFA increases, which implies a strong pH 55 dependence of the visible absorption spectra of 3a. 3a was also investigated by time-dependent density functional theory (TDDFT) calculations. Fig. S5 shows the calculated ground state structures and molecular orbitals of 3a. From TDDFT calculations, the long-wavelength absorption (524 nm) is mainly 60 generated by the HOMO→LUMO transition. The shortwavelength absorption (433 nm) corresponds to the promotion of an electron from the HOMO-2 to the LUMO-1(see Figure. S5).

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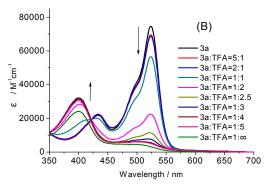


Figure 2. Absorption spectra of the 1,2-SQ 3a in various solvents (A). Absorption spectra change of 3a in acetone upon addition of different ratio of trifluoroacetic acid (TFA) (B).

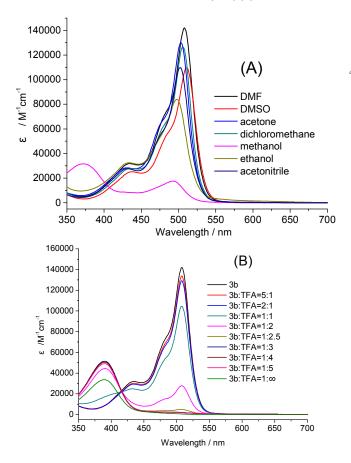
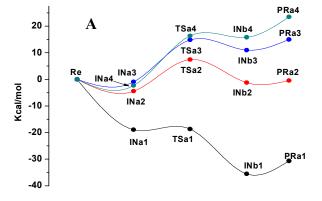


Figure 3. Absorption spectra of the 1,2-SQ 3b in various solvents (A). Absorption spectra change of 3a in acetone upon addition of different ratio of trifluoroacetic acid (TFA) (B).

To examine the different reaction activity of various bases and their effect on the regioselectivity of this reaction, DFT calculations were performed to explore the detailed reaction mechanism[17] (Scheme S3). In the first step of this reaction, 1b 15 reacted with different bases (EtONa, DBU, Et3N, and pyridine) to produce PRa complexes. Fig. 4A shows the energy profile for this deprotonation step. The H1 atom is attacked by the bases (EtONa, DBU, Et3N, and pyridine) to produce an INa (INa1, INa2, INa3, and INa4) complex. All of these complexes have 20 lower energies than the reactants (Fig. 2A). Because of the strong electron-donating ability of the lone pairs of electrons of the bases, the H1 atom is then transferred from 1b to the base to afford 1a-base (INb) intermediates via transition states (TSa) (Fig. 4A). Also, Fig. 4A shows that TSa1 has lower energy (-25 18.69 kcal mol-1) than the reactant. However, the energy barriers of TSa2, TSa3 and TSa4 are 7.42, 14.84 and 16.37 kcal mol-1, respectively, which are higher than those of the reactants. Subsequently, the products, PRa1, PRa2, PRa3 and PRa4 are formed from the INb complexes. Fig. 4A reveals that PRa1 and 30 PRa2 are more stable than the reactants by 30.68 and 0.44 kcal mol-1, respectively. However, the energies of PRa3 and Pra4 are 14.10 and 23.47 kcal mol-1 higher than those of the corresponding reactants. Because of the low energy barriers and exothermic characteristics, the reactions catalyze d by EtONa and 35 DBU proceed easily. For Et3N and pyridine, however, both reactions are strongly endothermic with relatively high energy barriers, it is understandable that these two reactions do not proceed readily. In addition, EtONa has the highest activity during all of the bases used. These conclusions are consistent 40 with the experimental results mentioned above where the reaction catalyzed by EtONa gives a high yield of 1,2-SQ (89%).



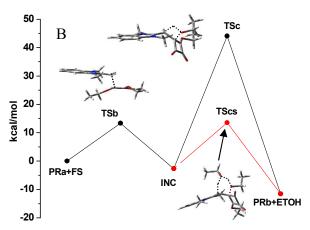


Figure 4. Energy profiles for (A) the deprotonation of benzimidazole salt by base, and (B) the formation of PRb.

After deprotonation, the benzimidazole methylene (PRa) 5 was formed (Scheme S1 and Fig. 4A). Because of nucleophilicity of PRa, it is able to undergo a 1,4-conjugated attack at the squarate (FS) double bond(Fig. 4B). The step involves a transition state (TSb) that has a low active barrier (13.3 kcal mol-1). An intermediate (INc) is then formed with an energy 2.31 kcal 10 mol-1 above that of the reactants. The next step is a 1,5-proton shift between the methylene of PRa and enolate (see Fig. 4B and Fig. S6 in the ESI). Finally, thermally- catalyzed elimination of ethanol gives exclusively PRb. In fact, this step (TSc) has a very high energy barrier (44.01 kcal mol-1). This barrier is too large to 15 enable the reaction to be conducted experimentally at ambient temperature, so heating is required. Because the energy barriers involve proton transfer, we considered that weather EtOH played an important role in this process. When EtOH was introduced as the proton transfer catalyst to assist in forming TScs (six-member 20 ring transition state), the energy barrier was reduced considerably to 16.21 kcal mol-1 (Fig. 4B). This experiment also indicates that the yield and reaction rate would be increased considerably in ethanol compared with that in DMF catalyzed by DBU (Scheme 3)

Scheme 3. Reaction of Benzimidazole Salts (1a-b) and 3,4-Diethyl Squarate catalyzed by DBU in dry DMF.

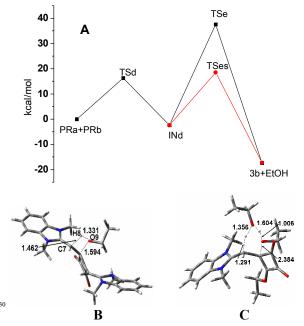
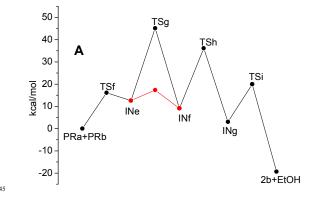


Figure 5. Energy profiles for the formaction of 3b (pathways: I-A) and the structures of transition states(B:TSe, C: TSes).

Because of its nucleophilicity, PRa can continue to attack 35 the C1 and C2 atoms of PRb through two reaction pathways: I-A and I-B (see Scheme S1). In reaction pathway I-A, the C3 atom of PRa attacks the C1 atom of PRb to produce an intermediate INd. This process involves transition state TSd with an energy barrier of 16.3 kcal mol⁻¹ (figure 5 and Figure. S8). After INd, 40 transition state TSe with an energy 37.58 kcal mol⁻¹ above that of the reactants forms (Figure. S8). TSe involves a proton transfer (H8) between the O9 and C7 atoms, and leads to products 3b and EtOH. When EtOH is introduced as a cocatalyst, the energy barrier (TSe) decreases to 18.49 kcal mol⁻¹.



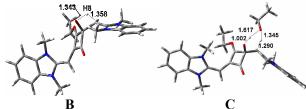


Figure 6. Energy profiles for the formaction of 2b (pathways: I-B) and the 50 structures of transition states(B:TSg;C: TSgs).

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As displayed in the figure 6 and Figure. S9, in reaction pathway I-B, the C3 atom of PRa attacks the para-C2 atom of PRb to produce an intermediate INf via transition state TSf with an energy barrier of 16.12 kcal mol⁻¹. After INf, a transition state 5 TSg involving proton transfer (H8) from PRa to PRb with an energy barrier of 45.12 kcal mol⁻¹ occurs. When EtOH is introduced as a proton transfer catalyst to assist this step, the energy barrier was reduced to 17.32 kcal mol⁻¹. In the next step, an OH group on the C2 atom transfers to the C1 atom. This 10 process proceeds via the transition state TSh, which is 36.1 kcal mol⁻¹ higher in energy than the reactants. After TSh, INg is formed with an energy of 3.07 kcal mol⁻¹ above that of the reactants. The last step for this pathway is proton transfer from the OH group on C1 to O atom of the ethyoxyl. This process 15 results in product 2b via transition state TSi. The computed activation barrier for TSi is 19.97 kcal mol⁻¹. From the analyses above, it can be concluded that pathway I-A is the favorable reaction route, because its highest activation barrier (17.58 kcal mol⁻¹) is lower than that of channel **I-B** (36.1 kcal mol⁻¹). This 20 explains well the high regioselectivity for 1,2-SQs (3a-b) in our experiments.

Conclusions

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In summary, a method to prepare 1,2-benzimidazole squaraines in high yield via one step reaction is developed. The yield of 1,2-25 benzimidazole squaraines is up to 89%. The total reaction mechanism is explored by DFT calculations. By combining experimental and computational findings, we proposed a chemical regulation method to change the regioselectivity of the product. Our experimental and theoretical studies agree well with 30 each other, paying a practical way to synthesize of 1,2-squaraines in high yield. Syntheses of other 1,2-SQ complexes will be reported in the future.

Experimental Section

Calculation detailed

35 Geometrical optimization, including transition-structure searches, was carried out with the standard 6-311G(d,p) basis set by using the three-parameter hybrid functional developed by Becke in the formulation implemented in the Gaussian 09 program¹⁷ (B3LYP), which is slightly different from the original proposed by Becke. 18 40 The transition states are ascertained by vibrational analysis with only one imaginary frequency mode. In the case of TS, the vibration associated with the imaginary frequency was checked to correspond with a movement in the direction of the reaction coordinate. 19 The values of the relative energies, ΔE , have been 45 calculated on the basis of the total energies of the stationary points. The solvent effects have been considered using a relatively simple self-consistent reaction field (SCRF) method, based on the polarizable continuum model (PCM).²⁰ The solvent used in this calculation is ethanol.

50 General Remarks

All starting chemicals and solvents were purchased from commercial suppliers and used as received unless explicitly stated. Absorption spectrometry was performed using Gold S54T spectrophotometer of Lengguang Company. Melting points were

55 determined in open capillaries. 1H NMR and 13C NMR spectra were measured on a Bruker AVANCE 400 spectrometer in DMSO-d6 using TMS as an internal standard. The crystals for Xray diffraction analyses were grown in dichloromethane by adding n-hexane to slow diffuse. The X-ray diffraction 60 experiment was carried out using Bruker SMART APEX-II Single-crystal diffractometer.

Synthesis of 2-methyl benzoimidazole (a)

Phenylene diamine (0.3mol, 32.4g) and acetic acid (1mol, 65 60ml) were refluxed for 2h. Ice and KOH was added to PH=8~10 and the light violet solid filtered out, then active carbon was used and recrystallized from water to giving 2-methyl benzoimidazol (a) as light yellow needle crystals (30g, yield 77%), M.p 176~178 °C. 21

Synthesis of 1,3-dibenzyl-2-methyl-benzimidazolium chloride (1a)

To a solution of 2-methylbenzimidazole (1 g, 7.57 mmol) in DMF (20 ml) was added benzyl chloride (2.66 ml, 22.7 mmol), 75 potassium carbonate (1.25 g, 9.08 mmol) and a catalytic amount of tetra-n-butylammonium bromide. The mixture was stirred at room temperature for 24 h. The solution was filtered and the solvent removed under reduced pressure. The residue was recrystallized from ethanol to afford 1,3-dibenzyl-2-methyl-80 benzimidazolium chloride (1a) as colorless amorphous crystals (0.8g, yield 27%). M.p 312~314 °C ²²; 1H NMR (400 MHz, DMSO-d6 δ): 7.92 (d, J = 1.67 Hz, 2H), 7.57 (d, J = 0.76 Hz, 2H), 5.81 (s, 4H), 7.36 (s, 10H), 2.99 (s, 3H); 13C NMR (400 MHz, DMSO-d6 δ): 152.9 (1C), 134.6 (2C), 131.5 (2C) 129.4 (4C) 85 128.8 (4C), 127.49 (2C), 126.8 (2C), 113.8 (2C), 48.8 (2C), 11.5(1C)

Synthesis of 1,2,3-trimethyl benzimidazolium iodide (1b)

In 250ml round bottomed flask, Na (0.04mol 0.9g) was dissolved in 16ml ethanol, after this 2-methyl benzimidazole (0.04mol 90 5.2g), 100ml benzene and MeI (0.12mol 7.5ml) were added successively, the mixtures were refluxed for 18h, then allowed to cool to room temperature, and the solvent was removed under reduced pressure. The crude product was purified by recrystallization from 95% ethanol to afford 1,2,3-trimethyl 95 benzimidazolium iodide (1b) as colorless needle crystals (9.2g, yield 80%). M.p 258~259°C²³; 1H NMR (400 MHz, DMSO-d6 δ): 7.97 (dd, J = 5.97, 2.93 Hz, 2H), 7.62 (dd, J = 6.01, 2.83 Hz, 2H), 3.99 (d, J = 14.04 Hz, 6H), 2.85 (s, 3H); 13C NMR (400MHz, DMSO-d6 δ): 152.7 (1C), 131.8 (2C), 126.2 (2C), 100 113.1(2C), 32.2 (2C), 11.1(1C).

General Procedure for the Synthesis of 1,2-Squaraines

All of the experiments described above were performed according to the following general procedure. A mixture of 105 2mmol of squaric acid in 30ml anhydrous ethanol was refluxed at 100 °C for 3h under a nitrogen atmosphere, then the solvent was removed under reduced pressure and an other 30ml steamed anhydrous ethanol was added, the mixture was refluxed for 30min and the solvent was removed again, repeating this for at least three times in order to get diethyl squarate generated completely. The base (10mmol Organic base: EtONa, DBU,

Et₃N or pyridine; 5mmol Inorganic base: NaHCO₃, KHCO₃, Na₂CO₃, K₂CO₃, Cs₂CO₃, NaOH or KOH), 4mmol of the benzimidazole salt and 15ml anhydrous ethanol was added, the mixture was refluxed for 3h, then allowed to cool to room 5 temperature. The crude reaction mixture is inspected by TLC cromatography. The products were determined by comparison with the analitical samples of the various squaraines obtained by the procedures described in details in the following paragraph.

10 Synthesis of 3,4-bis((1,3-dibenzyl-1H-benzo[d] imidazol-2(3H)-ylidene)methyl) cyclobut-3-ene-1,2-dione (3a)

A mixture of squaric acid (2mmol, 228mg) in 30ml anhydrous ethanol was refluxed at 100 °C for 3 h under a nitrogen atmosphere, then the solvent was removed under reduced 15 pressure and an other 30ml steamed anhydrous ethanol was added, the mixture was refluxed for 30min and the solvent was removed again, repeating this for at least three times in order to made diethyl squarate generated as much as possible. In the meantime Na (230mg, 10mmol) was dissolved in 10ml 20 anhydrous ethanol, then the solution of sodium ethoxide, 1a (4mmol, 1.39g) and 15ml anhydrous ethanol was added, the mixtures turned to deep red at once and then was refluxed for 3h. the solvent was removed under reduced pressure and 15ml isopropanol was added to separate garnet solid out, the solution 25 was filtered and the residue was washed by isopropanol, ether, petroleum ether alternately to give 3a as a garnet solid (1.25g, yield 89%). M.p 217~218°C; 1H NMR (400 MHz, DMSO-d6δ): 7.35 (dd, J = 4.88, 2.57 Hz, 4H), 7.17 (t, J = 6.53 Hz, 12H), 7.11-7.07 (m, 4H), 7.01 (d, J = 6.91 Hz, 8H), 5.42 (s, 8H), 4.70 (s, 30 2H); 13C NMR (400 MHz, DMSO-d68): 189.4 (2C), 170.9 (2C), 151.1 (2C), 136.6 (4C), 133.3 (4C), 129.1 (8C), 128.0 (8C), 127.2 (4C), 123.3 (4C), 110.3 (4C), 65.9 (2C), 47.8 (4C); Anal. Calcd for 3a: C, 81.95; H, 5.41; N, 7.96. Found: C, 81.73; H, 5.87; N, 7.67.

Synthesis of 3,4-bis ((1,3-dimethyl-1H-benzo[d] imidaz ol-2(3H)-ylidene)methyl) cyclobut-3- ene - 1,2-dione (3b).

A mixture of squaric acid (2mmol, 228mg) in anhydrous ethanol was reacted as the same as the steps described above. In the 40 meantime Na (230mg, 10mmol) was dissolved in 10ml anhydrous ethanol. The solution of sodium ethoxide, 1b (4mmol, 1.15g) and 15ml anhydrous ethanol was added, the mixture turned from yellow to blood red immediately and was refluxed for 3h, then allowed to cool to room temperature, the 45 solution was filtered and the residue was washed by ethanol. ether, petroleum ether in turn to give a pure bright red solid product 3b (684mg, yield 86%). M.P>300°C; 1H NMR (400 MHz, DMSO-d6δ): 7.39-7.34 (m,4H), 7.22-7.18 (m, 4H),4.75-4.72 (m, 2H), 3.67 (s, 12H); Anal. Calcd for 3b: C, 72.36; H, 50 5.53; N, 14.07. Found: C, 72.25; H, 5.64; N, 14.03.

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Notes and references

Institute for Advanced Study, Nanchang University, Department of Chemistry, Nanchang University, Nanchang, China, 330031. Fax: 0086-791-3969552 Tel: 0086-791-3969552 E-mail:

60 Hongmingwang@ncu.edu.cn

- † Electronic Supplementary Information (ESI) available: Cartesian coordinatesand calculated energies for all structures used in the quantum chemical calculations. See DOI: 10.1039/b000000x/
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