View Article Online View Journal

ChemComm

Accepted Manuscript

This article can be cited before page numbers have been issued, to do this please use: L. F. Silva Jr, A. Utaka and L. Calvalcanti, *Chem. Commun.*, 2014, DOI: 10.1039/C4CC00608A.



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about *Accepted Manuscripts* in the **Information for Authors**.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard <u>Terms & Conditions</u> and the <u>Ethical guidelines</u> still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.



www.rsc.org/chemcomm

Cite this: DOI: 10.1039/c0xx00000x

www.rsc.org/xxxxx

Published on 14 February 2014. Downloaded by Lomonosov Moscow State University on 17/02/2014 18:48:37.

ARTICLE TYPE

Electrophilic Alkynylation of Ketones Using Hypervalent Iodine

Aline Utaka^a, Livia N. Cavalcanti^a, and Luiz F. Silva Jr.^{a*}

Received (in XXX, XXX) Xth XXXXXXXX 20XX, Accepted Xth XXXXXXXX 20XX DOI: 10.1039/b000000x

⁵ A new method for the electrophilic α-alkynylation of ketones was developed using hypervalent iodine under mild and metal-free conditions. Carbonyl compounds containing an α-acetylene group were obtained in good to excellent yields for several ketones using 1-[(trimethylsilyl)ethynyl]-1,2-10 benziodoxol-3(1*H*)-one (TMS-EBX) as alkynylation agent in the presence of *t*-BuOK and TBAF in THF as solvent. Under the same conditions, an aldehyde was alkynylated.

The α -functionalization of carbonyl compounds, such as alkylation, acylation, arylation, and alkynylation, is one of the ¹⁵ most important class of reaction in organic synthesis. Among the aforementioned transformations, the α -alkynylation seems to be the less explored. Acetylenes are versatile functional groups in organic chemistry.¹ Their unique properties and reactivity allow formation of complex molecular structures making them very ²⁰ interesting intermediates in synthetic strategies.¹ Therefore, the

development of new methods to add this group in a molecule is a valuable tool for carbon-carbon bond formation and functional group interconversion.

There are two strategies to carry out the α -alkynylation of ²⁵ carbonyl compounds. The most utilized relies on a nucleophilic source of the acetylene component that typically reacts with α -halocarbonyl compounds. Included on this approach are several metal catalyzed reactions where the majority of examples utilizes only esters and amides as the carbonyl partner (Scheme 1, ³⁰ equation a).²

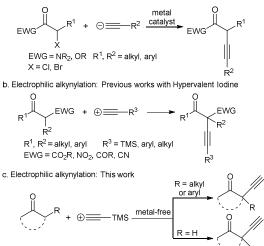
An alternative and much less explored route is using an electrophilic source of an alkyne.³ In this scenario, easy to obtain and user friendly hypervalent iodine reagents⁴ are particularly promising.^{3a,5} Over the years, numerous strategies for the α -³⁵ alkynylation of carbonyl compounds have been described using a variety of hypervalent iodine compounds.⁶ Nonetheless, the scope of the carbonyl compounds is still restricted to β -keto esters (and related methylene-activated compounds) (Scheme 1, equation b).

- There are only two reports regarding the direct α -⁴⁰ alkynylation of non-activated carbonyl compounds. Yamaguchi and co-workers described the α -ethynylation of ketones with chlorosilylethyne using trialkylgallium to generate the enolate.⁷ Kende and his group reported the reaction of ketones with 1chloroalkynes in the presence of LDA/HMPA.⁸ In the latter
- ⁴⁵ method, two steps are necessary to obtain terminal alkynes, using dichloroacetylene as acetylene component. To the best of our knowledge, there are no precedents for the α -alkynylation of aldehydes utilizing either nucleophilic or electrophilic alkynylating reagents. Other research groups have been trying the
- 50 same type of alkynylation without success. For example, the recent work of Huang and co-workers described the reaction of aldehydes with TMS-EBX. However, only allenes were obtained

in the presence of gold and an amine. The alkynation product was a minor component.

- ⁵⁵ Herein, we report a practical, metal-free and efficient electrophilic α -alkynylation of non-activated cyclic ketones using hypervalent iodine reagent. The protocol was also applied to an aldehyde. Additionally, this procedure is an important tool to broaden the scope of available methods to afford quaternary 60 carbon centers (Scheme 1, equation c).
- Scheme 1. Strategies for the α -alkynylation of carbonyl compounds.

a. Nucleophilic alkynylation: Classical Method



We began the optimization of the reaction conditions for the α -65 alkynylation of non-activated ketones using ketone 1a as model substrate. Previous work have shown the superior properties of 1-[(trimethylsilyl)ethynyl]-1,2-benziodoxol-3(1H)-one (TMS-EBX, 2a) over other alkynylating agents^{6g,6h,9} and, consequently, all optimization was made utilizing this electrophilic compound... 70 First, the protocol developed by Waser and co-workers for activated carbonyl compounds was applied.^{6g,6h} Unfortunately, the combination of TMS-EBX as alkynylating reagent and tetrabutylammonium fluoride (TBAF) as base in THF at -78 °C was not efficient to provide the desired α -acetylene product and 75 only starting material was recovered (Table 1, entry 1). Considering the difference in pK_a between β-keto esters and ketones, other bases were tested. No desired product was observed when K₂CO₃ and CsF were utilized (entries 2 and 3). The use of stronger base, such as NaH afforded 3a in only 41% ⁸⁰ along with undesired side products formation (entry 4). Very good yields were achieved using LiHMDS and *t*-BuOK (entries 5) and 6). Using inexpensive and readily available *t*-BuOK, other solvents were tested. The reaction in Et₂O or in CH₂Cl₂ provided

This journal is © The Royal Society of Chemistry [year]

lower yields when compared to THF (entries 7-8). Finally, when the reaction was performed at higher temperatures, undesired side product formation was observed diminishing the efficacy of the method (entries 9 and 10). In summary, the best result (89% 5 yield) was obtained utilizing *t*-BuOK at -78 °C in THF (entry 6). To avoid any decomposition of TMS-EBX in the reaction medium,^{6g} this electrophilic reagent is added after the formation of the enolate from ketone and base. This is another difference when compared to the procedure described by Waser and co-¹⁰ workers.^{6g,6h}

Table 1. Optimization of the α-alkynylation reaction^[a].

TMS-EBX (2a) TBAF, base solvent, temperature				
Entry	Base	Solvent	Temp (°C)	Yield (%) ^[b]
1		THF	-78	0[c]
2	K ₂ CO ₃	THF	-78	0[c]
3	CsF	THF	-78	0 ^[c]
4	NaH	THF	-78	41 ^[d]
5	LiHMDS	THF	-78	79
6	t-BuOK	THF	-78	89
7	t-BuOK	Et ₂ O	-78	73
8	t-BuOK	CH ₂ Cl ₂	-78	50 ^[d]
9	t-BuOK	THF	0	68 ^[d]
10	t-BuOK	THF	rt	30 ^[d]

[a] Reaction conditions: 0.100 mmol of 1a, 0.125 mmol of base, 0.130 mmol of TMS-EBX (2), 0.130 mmol of TBAF, solvent 0.100 M, 6 h. [b]
15 Yield determined by GC using dodecane as internal standard. [c] Starting material recovered. [d] Other products were formed.

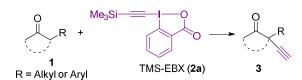
The scope of the α -alkynylation reaction for diverse ketones was then examined, starting with α -substituted substrates. On a 1 ²⁰ mmol scale, **3a** was obtained in 93 % yield after 3 h (Table 2, entry 1). Similar yield was observed using 2phenylcyclohexanone (**1b**), as substrate (entry 2). Good results were obtained with 2-tetralones **1c-d** that bear methyl and ethyl substituents, respectively (entries 3-4). Indane derivative **1e** led to ²⁵ the alkynylated product **3e** in 85% yield.

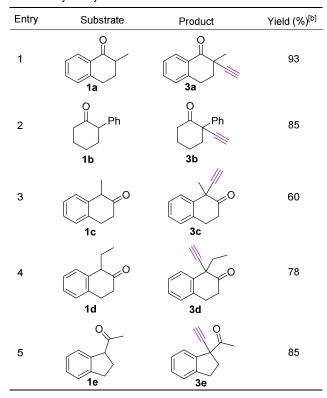
The optimized conditions were applied toward a series of nonsubstituted cyclic ketones, where two alkynyl groups would be introduced. Indanone (**4a**) gave the desired compound **5a** in 69% yield (Table 3, entry 1). Higher yields were observed for 1-³⁰ tetralone (**4b**) and benzosuberone (**4c**) (entries 2-3). The reaction with 2-tetralone (**4d**) gave the desired product in only 42% yield, probably due to the fast decomposition of the substrate (entry 4). The reaction of methyl and methoxy substituted aromatic rings with hypervalent iodine reagents can be problematic.¹⁰

- ³⁵ Fortunately, these groups are well tolerated in the alkynylation. The methyl substituted tetralone **4e** led to **5e** in excellent yield (entry 5). *Bis*-acetylenic compounds **5f-g** were formed in 60 and 75% yield, respectively from the methoxy ketones **4f-g** (entries 6-7). Lower yield (43%) was obtained when the substrate bears a
- ⁴⁰ bromine atom in the aromatic ring (entry 8). The behavior of heterocyclic aromatic rings was also investigated. Furan

derivative **4i** gave the alkynylated product in only 30% yield, whereas the corresponding thiophene compound **4j** led to the desired product in very good yield (entries 9-10). As expected, ⁴⁵ alkynylation on the aromatic ring was not observed. This transformation only takes place in the presence of a metal catalyst.¹¹ The reaction condition was also efficient to alkynylate non-cyclic ketone **4k**, affording the desired product in 74% yield (entry 11). Unfortunately, under the developed set of conditions, ⁵⁰ non-aromatic ketones such as 4-phenylcyclohexanone did not yield the desired alkynylated product and only starting material was recovered. Other bases were tried (e.g. NaH, BuLi, LiHMDS, LDA), however in all cases the α-ethynylated product was not observed.

55 Table 2. Scope of the α-alkynylation of ketones: monoalkynylation.^[a]



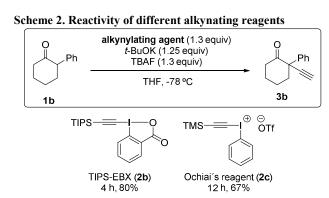


[[]a] Reaction conditions: 1.00 mmol of 1, 1.25 mmol of base, 1.30 mmol of TMS-EBX (2), 1.30 mmol of TBAF, THF, -78 °C, 2-10 h. [b] Isolated ⁶⁰ yield after purification by column chromatography.

The reactivity of other alkynylating compounds was tested, using 2-phenylcyclohexanone (**1b**) as substrate and TIPS-EBX (**2b**)^{6h} and Ochiai's reagent (**2c**)^{6c} as electrophilic component. ⁶⁵ Product **3b** was obtained using these reagents, albeit lower yields and longer reaction time was observed, comparing to the reaction using TMS-EBX, which afford the product in only 2 h (Scheme 2 and Table 2, entry 2).

70

Published on 14 February 2014. Downloaded by Lomonosov Moscow State University on 17/02/2014 18:48:37.



The α -alkynylation of aldehyde **6a** was also investigated. To our delight, this transformation took place smoothly under the same conditions used for the ketones, as verified by TLC, GC-MS, and NMR analysis. However, all attempts to purify the crude product by column chromatography were unsuccessful. Based on 10 our previous experience on related aldehydes,¹² we decided to reduce the aldehyde in situ using NaBH₄. Indeed, the corresponding alkynylated alcohol 7a could be isolated in pure form (Scheme 3). Although the purification of the alkynylated aldehyde was difficult, the crude material can certainly be used 15 on other transformations, as reported for similar compounds.¹³ The non-aromatic cyclohexanecarboxaldehyde did not afford the desired alkynylated product under the developed set of conditions.

20 Scheme 3. One-pot Alkynylation/reduction of aldehyde 6a.

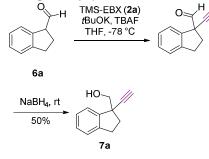
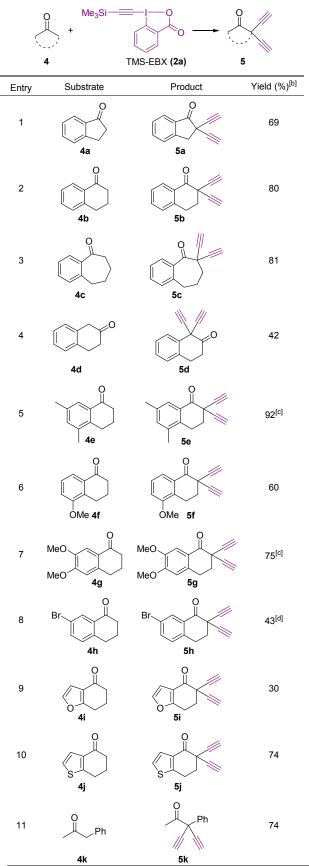


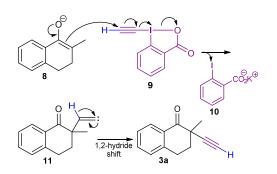
Table 3. Scope of the α-alkynylation of ketones: dialkynylation.^[a]



25 [a] Reaction conditions: 1.0 mmol of 4, 2.5 mmol of t-BuOK, 2.6 mmol of TMS-EBX (2), 2.6 mmol of TBAF, THF, -78 °C, 1-10 h. [b] Isolated yield after purification by column chromatography. [c] 3.0 mmol t-BuOK. [d] 0.3 mmol of 4h.

The proposed mechanism for α -alkynylation is shown in ⁵ Scheme 3 using 1a as example.^{6g,6h} In presence of base, this ketone gives enolate 8. EBX (9) is originated by reaction of TMS-EBX (2) with TBAF. Nucleophilic attack of enolate 8 into the electrophilic α -carbon of 9 furnishes carbene 11.^{6b} Rearrangement of this carbene leads to the isolated product **3a**.^{6c}

10 Scheme 3. Mechanism for the *α*-alkynylation of carbonyl compounds.



In conclusion, a new method for the electrophilic α -15 alkynylation of ketones and aldehydes was developed using TMS-EBX under mild and metal-free conditions. Carbonyl compounds bearing an *a*-acetylene group were obtained in good to excellent yields. The pKa values of the substrates are in the range from at least 17.6 (2-tetralone) to 24.7 (1-tetralone). To the 20 best of our knowledge the examples presented here represent the only effective electrophilic α -alkynylation of ketones and aldehydes employing hypervalent iodine reagent. Additional studies are ongoing on our group to increase the scope of the methodology to non-cyclic aromatic and aliphatic ketones as well 25 as an asymmetric version of the reaction.

Notes and references

^a Instituto de Química, Universidade de São Paulo, CP 26077, CEP 05513-970, São Paulo -SP, Brazil.

Corresponding Author: luizfsjr@iq.usp.br.

- 30 † Electronic Supplementary Information (ESI) available: [Experimental procedures and characterization data]. See DOI: 10.1039/b000000x/
- For selected reviews, see: a) A. Furstner, Angew. Chem. Int. Ed. 2013, 52, 2794-2819; b) S. Toyota, Chem. Rev. 2010, 110, 5398-5424; c) J. Z. Liu, J. W. Y. Lam, B. Z. Tang, Chem. Rev. 2009, 109, 5799-5867; d) P. G. Cozzi, R. Hilgraf, N. Zimmermann, Eur. J. Org.
- Chem. 2004, 4095-4105. 2 For selected examples, see: a) G. A. Molander, K. M. Traister, Org.
- Lett. 2013, 15, 5052-5055; b) W. Shi, C. Liu, Z. Yu, A. W. Lei, Chem. Comm. 2007, 2342-2344; c) A. S. Suarez, G. C. Fu, Angew. Chem. Int. Ed. 2004, 43, 3580-3582.
- 3 For an excellent review, see: a) J. P. Brand, J. Waser, Chem. Soc. Rev. 2012, 41, 4165-4179; For examples, see: b) C. J. Parkinson, T. W. Hambley, J. T. Pinhey, J. Chem. Soc., Perkin Trans. 1 1997, 1465-1468; c) T. B. Poulsen, L. Bernardi, J. Aleman, J. Overgaard, K. A. Jorgensen, J. Am. Chem. Soc. 2007, 129, 441-449.
- 4 For selected reviews on hypervalent iodine, see: a) A. Varvoglis, Hypervalent Iodine in Organic Synthesis, Academic Press, Inc, San Diego, 1997; b) T. Wirth, in Hypervalent Iodine Chemistry: Modern Developments in Organic Synthesis Vol. 224, 2003, pp. 1-4; c) V. V.
- Zhdankin, P. J. Stang, Chem. Rev. 2008, 108, 5299-5358; d) L. F. 50 Silva, Jr, B. Olofsson, Nat. Prod. Rep. 2011, 28, 1722-1754
- 5 For selected reviews related to alkynyl iodonium, see: a) P. J. Stang, Angew. Chem. Int. Ed. 1992, 31, 274-285; b) V. V. Zhdankin, P. J.

- Stang, Tetrahedron 1998, 54, 10927-10966; c) M. S. Yusubov, A. V. Maskaev, V. V. Zhdankin, Arkivoc 2011, 370-409; d) J. P. Brand, D. 55 F. Gonzalez, S. Nicolai, J. Waser, Chem. Comm. 2011, 47, 102-115; e) E. A. Merritt, B. Olofsson, Synthesis 2011, 517-538.
- 6 For selected examples, see: a) F. M. Beringer, S. A. Galton, J. Org. Chem. 1965, 30, 1930-1934; b) M. Ochiai, M. Kunishima, Y. Nagao,
- 60 K. Fuji, M. Shiro, E. Fujita, J. Am. Chem. Soc. 1986, 108, 8281-8283; c) M. Ochiai, T. Ito, Y. Takaoka, Y. Masaki, M. Kunishima, S. Tani, Y. Nagao, J. Chem. Soc., Chem. Comm. 1990, 118-119; d) M. D. Bachi, N. Barner, C. M. Crittell, P. J. Stang, B. L. Williamson, J. Org. Chem. 1991, 56, 3912-3915; e) T. Kitamura, K. Nagata, H. Taniguchi, Tetrahedron Lett. 1995, 36, 1081-1084; f) T. Kitamura, T. 65 Fukuoka, L. Zheng, T. Fujimoto, H. Taniguchi, Y. Fujiwara, Bull. Chem. Soc. Jpn. 1996, 69, 2649-2654; g) D. F. Gonzalez, J. P. Brand, J. Waser, Chem. Eur. J. 2010, 16, 9457-9461; h) D. F. Gonzalez, J. P. Brand, R. Mondiere, J. Waser, Adv. Synth. Cat. 2013, 355, 1631-1639; i) M. Kamlar, P. Putaj, J. Vesely, Tetrahedron Lett. 2013, 54, 2097-2100.
 - 7 a) Y. Nishimura, R. Amemiya, M. Yamaguchi, Tetrahedron Lett. 2006, 47, 1839-1843; b) M. Yamaguchi, Y. Nishimura, Chem. Comm. 2008, 35-48.
- A. S. Kende, P. Fludzinski, J. H. Hill, W. Swenson, J. Clardy, J. Am. 75 8 Chem. Soc. 1984, 106, 3551-3562
 - Wang, Z.; Li, X.; Huang, Y. Angew. Chem. Int. Ed. 2013, 52, 14219 9 -14223.
- For examples, see: a) K. Takenaka, Y. D. Dhage, H. Sasai, Chem. 10 Commun. 2013, 49, 11224-11226; b) F. A. Siqueira, E. E. Ishikawa, A. Fogaça, A. T. Faccio, V. M. T. Carneiro, R. R. S. Soares, A. Utaka, I. R. M. Tébeká, M. Bielawski, B. Olofsson, L. F. Silva, Jr., J. Braz. Chem. Soc. 2011, 22, 1795-1807; c) M. Ochiai, A. Yoshimura, K. Miyamoto, Tetrahedron Lett. 2009, 50, 4792; d) K. Miyamoto, Y. Sei, K. Yamaguchi, M. Ochiai, J. Am. Chem. Soc. 2009, 131, 1382.
- 11 a) Y. F. Li, J. Waser, Beilstein J. Org. Chem. 2013, 9, 1763-1767; b) Y. F. Li, J. P. Brand, J. Waser, Angew. Chem. Int. Ed. 2013, 52, 6743-6747; c) J. P. Brand, J. Waser, Angew. Chem. Int. Ed. 2010, 49, 7304-7307
- 90 12 I. R. M. Tebeka, G. B. Longato, M. V. Craveiro, J. E. de Carvalho, A. Ruiz, L. F. Silva, Jr., Chem. Eur. J. 2012, 18, 16890-16901.
- 13 For selected examples, see: a) G. G. Bianco, H. M. C. Ferraz, A. M. Costa, L. V. Costa-Lotufo, C. Pessoa, M. O. de Moraes, M. G. Schrems, A. Pfaltz, L. F. Silva, Jr., J. Org. Chem. 2009, 74, 2561-
- 2566; b) L. F. Silva, Jr., M. V. Craveiro, Org. Lett. 2008, 10, 5417-5420; c) E. Skucas, D. W. C. MacMillan, J. Am. Chem. Soc. 2012, 134, 9090-9093.

Published on 14 February 2014. Downloaded by Lomonosov Moscow State University on 17/02/2014 18:48:37.