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4 1 **Sugar dehydration to 5-hydroxymethylfurfural in mixtures of**  
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6 2 **water/[Bmim]Cl catalyzed by iron sulfate**  
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45 19 **Abstract:** Ionic liquids (ILs) as an additive was employed in the selective conversion of  
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47 20 bio-based carbohydrates to 5-hydroxymethylfurfural (HMF) in this work. The effect of  
48  
49 21 adding various imidazole-based ionic liquids with different anion structures on the production  
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51 22 of HMF from fructose was firstly investigated in aqueous media. The presence of 15 wt% of  
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53 23 1-butyl-3-methylimidazole chloride ([Bmim]Cl) ionic liquids in water with Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> as the  
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4 1 homogeneous catalyst provided a more effective HMF synthesis than that without [Bmim]Cl  
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6 2 addition. The enhanced HMF yield was mainly attributed to the fact that [Bmim]Cl might  
7  
8 3 play a vital role in stabilizing the HMF molecule, which could thereby suppress side reactions  
9  
10 4 involving HMF (e.g., its rehydration to levulinic acid or/and polymerization to humins).  
11  
12 5 NMR and FTIR analyses suggested that such stabilization effect stemmed from the  
13  
14 6 interaction of [Bmim]Cl with the hydroxyl and aldehyde groups of HMF through hydrogen  
15  
16 7 bond. By operation in a biphasic system (i.e., with biomass-derived THF solvent as the  
17  
18 8 organic phase and the addition of NaCl salt into water for improving HMF partition), the  
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20 9 HMF yield over  $\text{Fe}_2(\text{SO}_4)_3$  catalyst in the presence of [Bmim]Cl additive could be further  
21  
22 10 improved. The catalyst and ionic liquids were quite stable, which could be reused more than  
23  
24 11 five cycles with a consistent activity. Similar enhancement effect of [Bmim]Cl was also  
25  
26 12 demonstrated in HMF synthesis with better yields from other biomass feedstocks or  
27  
28 13 derivatives (including glucose, sucrose, cellobiose, inulin and starch) in aqueous media.  
29  
30 14 **Keywords:** fructose; ionic liquids; 5-hydroxymethylfurfural; stabilization effect; aqueous  
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32 15 media.  
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## 17 1. Introduction

18 Due to the rapid consumption of non-renewable fossil resources and the associated  
19 environmental pollutions, search for alternative renewable feedstocks has aroused great  
20 research attention.<sup>1, 2</sup> Biomass, the only abundant non-fossil carbon resource, is a good  
21 candidate for the sustainable production of liquid fuels, commodity/fine chemicals and  
22 functional materials with minimal environment effects.<sup>3, 4</sup> Bio-based platform compounds

1 represent one key bridge for biomass valorization in such bio-refinery process,<sup>5</sup> where  
2 5-hydroxymethylfurfural (HMF) is considered as one of the most important and versatile  
3 platform building blocks by the US Department of Energy.<sup>6-8</sup> For example, through a series  
4 of aldol-condensation reactions involving HMF some liquid alkane fuels are produced.<sup>9</sup>  
5 Hydrogenation and etherification of HMF lead to fuel additives like 2,5-dimethylfuran and  
6 5-ethoxymethylfurfural.<sup>10</sup> Besides, HMF can be used to synthesize 2,5-furandicarboxylic  
7 acid, a promising monomer for the manufacture of bio-based polyethylene furanoate (with  
8 better mechanical strength and oxygen/CO<sub>2</sub> barrier properties) to replace the petroleum-based  
9 polyethylene terephthalate.<sup>11</sup>

10 In the past decades, numerous research efforts have been devoted to converting bio-based  
11 carbohydrates into HMF in various catalytic reaction systems, such as the use of catalysts  
12 (including among others mineral acid,<sup>12, 13</sup> metal salts<sup>14</sup> and solid acid catalysts like  
13 HfO(PO<sub>4</sub>)<sub>2</sub>,<sup>15</sup> activated carbon,<sup>16</sup> zeolite,<sup>17</sup> TiO<sub>2</sub>,<sup>18</sup> Ta-W oxide<sup>19</sup> and novel mesoporous  
14 carbon<sup>20</sup>), and the use of water or other organic solvents,<sup>21, 22</sup> aqueous-organic biphasic  
15 solvent system<sup>18, 23, 24</sup> as well as sub- or supercritical systems.<sup>25, 26</sup> Fructose, derived from the  
16 hydrolysis of biomass (rich in the cellulosic component),<sup>27, 28</sup> is considered as a preferred  
17 starting feedstock for HMF synthesis since the furanic structures thereof make it easier to be  
18 converted with a higher selectivity towards HMF as compared to raw biomass. HMF  
19 synthesis from fructose has been studied by employing mineral acids or metal salts as the  
20 homogeneous acid catalyst, especially in a biphasic aqueous-organic solvent system that  
21 facilitated in-situ HMF extraction to the organic phase and thus suppressed its further  
22 degradation in aqueous reaction media.<sup>29</sup> Although biphasic system is efficient, the use of

1 organic solvents with toxicity or high boiling points (e.g., MIBK and DMSO) is not favorable  
2 as this increases the environmental pollution risk, and leads to additional costs in the  
3 subsequent separation and purification. In this respect, bio-based organic solvent with low  
4 toxicity and boiling points (e.g., THF) represent a good alternative. In the ideal case, green  
5 and non-toxic water should be directly used as the only solvent, however, monophasic  
6 synthesis in water usually suffers from low HMF yield due to more side reaction occurrence  
7 involving HMF rehydration or polymerization or condensation.<sup>30, 31</sup> Therefore, more efforts  
8 are still needed in order to develop a greener and more effective solvent system for the  
9 high-yield HMF synthesis from fructose.

10 In recent years, ionic liquids with unique properties (e.g., greener and less toxic than  
11 common organic solvents, good chemical and thermal stability, negligible vapor pressure and  
12 nonflammability) as an attractive reaction medium for biomass transformation have attracted  
13 increased research attentions.<sup>32-36</sup> In particular, the dialkylimidazole chloride ionic liquids have  
14 a superior dissolution ability for bio-based carbohydrates due to the formed  
15 hydrogen-bonding network between them, making it an attractive solvent for HMF  
16 synthesis.<sup>8, 37-45</sup> For example, Zhao and co-workers<sup>41</sup> firstly utilized  $\text{CrCl}_2$  as catalyst and  
17 1-ethyl-3-methylimidazolium chloride ([Emim]Cl) as solvent for fructose conversion. An  
18 HMF yield of 83% was obtained at 80 °C in 3 h. Later, Yong et al.<sup>42</sup> reported 96% yield of  
19 HMF from fructose in [Bmim]Cl ionic liquid media under catalysis of NHC-Cr  
20 (NHC=N-heterocyclic carbene) at 100 °C in 6 h. Qi et al.<sup>43</sup> also used [Bmim]Cl ionic liquid  
21 as solvent and ion-exchanged Amberlyst-15 resin as the solid catalyst for fructose  
22 dehydration to produce HMF with a yield of 78.1% in 6 h at room temperature. Furthermore,

1 Moreau et al.<sup>44</sup> employed 1-H-methylimidazolium chloride ([Hmim]Cl) as both solvent and  
2 catalyst, and obtained 90% yield of HMF from fructose at 90 °C in 45 min. Li et al.<sup>46</sup>  
3 conducted the conversion of concentrated fructose (33%) to HMF under microwave  
4 irradiation, and 97% yield of HMF could be achieved in [Bmim]Cl medium without catalyst  
5 addition within 3min. Although good to excellent yields of HMF synthesized from fructose  
6 have been achieved in ionic liquid media, the essential role of ionic liquids in the reaction  
7 network and process involving fructose conversion is still not clear. Moreover, the separation  
8 of HMF from the ionic liquid solvent (e.g., via extraction in the product work-up or biphasic  
9 system operations) represents a barrier to be overcome for the practical application because  
10 of its (strong) intra-molecular interaction with HMF<sup>47, 48</sup> and high viscosity (that slows down  
11 significantly the mass transfer rate of HMF).<sup>49</sup> With regard to the HMF separation in ionic  
12 liquid, Hallett and co-workers recently proposed some different strategies, such as i) using a  
13 non-coordinating ionic liquid (e.g., [Bmim]OTf) as solvent,<sup>50</sup> ii) using hydrophobic  
14 methyltrioctylammonium ionic liquids with less water as media<sup>51</sup> and iii) in situ conversion  
15 of the formed HMF into useful furan chemicals like 2,5-diformylfuran (DFF).<sup>52</sup> Additionally,  
16 Wei et al.<sup>53</sup> studied the vacuum reactive distillation to separate HMF in ionic liquids, and  
17 obtained high isolated yield in short time but high requirements for vacuum conditions. Shi et  
18 al.<sup>25</sup> used supercritical CO<sub>2</sub> to induce a phase separation of miscible ionic liquid with acetone  
19 and thus increasing the partition coefficient, while the harsh conditions were needed. In this  
20 context, another attractive strategy is to use water with the ionic liquid additive as solvent,  
21 which could avoid the negative effect with high viscosity (as the ionic liquid-water mixture  
22 viscosity decreases exponentially with increasing water content<sup>47</sup>) and largely maintain the

1 advantages of ionic liquids for synthesis. The dominant presence of water (as solvent) along  
2 with the ionic liquid (as additive) in the reaction solution is expected to also facilitate the  
3 HMF separation (e.g., to the extracting organic solvent). This strategy would thus benefit the  
4 development of a more economical and effective catalytic system for HMF production from  
5 biomass-derived feedstock.

6 Metal chlorides (e.g.,  $\text{FeCl}_3$ ) as catalyst have already been widely used in ionic liquids for  
7 the fructose or glucose conversion.<sup>41, 54, 55</sup> Zhou et al.<sup>55</sup> directly used  $\text{FeCl}_3$  as catalyst to  
8 convert fructose to HMF in [Bmim]Cl medium, and achieved a high HMF yield of 90.8%  
9 under 100 °C for 4 h. Liu et al.<sup>54</sup> synthesized some Fe-containing ionic liquids catalysts (e.g.,  
10  $[\text{C}_{16}\text{mim}]\text{FeCl}_4$ ) used for fructose conversion to HMF, and 92.8% yield of HMF was  
11 achieved in [Bmim]Cl medium under optimized conditions but its stability was not very well.  
12 Additionally, Zhao et al.<sup>41</sup> tested the performance of  $\text{FeCl}_3$  in glucose conversion but worked  
13 less effective. However, there are relatively few works reported with metal sulfate catalysts.  
14 Meanwhile, as reported, the  $\text{Cl}^-$  ion of chloride salts could act as a nucleophile to facilitate the  
15 transformation of fructose,<sup>56</sup> which was thus likely to influence the results. In order to further  
16 investigate the performance of sulfate catalysts in ionic liquid-based medium, expand the use  
17 of metal salts in ionic liquid media and avoid the interference of chloride ion of metal  
18 chloride catalysts, conversion of fructose to HMF catalyzed by metal sulfates combined with  
19 several types of imidazole ionic liquids as additive has been studied in monophasic water and  
20 biphasic systems. Commercially available metal sulfate catalysts like  $\text{Fe}_2(\text{SO}_4)_3$ , that are  
21 low-cost, environmental benign, stable with good catalytic performance, have been rarely  
22 tested in bio-based carbohydrate dehydration reactions (in ionic liquid media). The effect of

1 reaction temperature and time, metal sulfate catalyst type, the presence of  
2 dialkylimidazol-based ionic liquid and its dosage on the HMF yield was investigated. After  
3 adding a small ratio of [Bmim]Cl into water or NaCl-H<sub>2</sub>O/THF biphasic system, a significant  
4 enhancement in the HMF yield over the selected Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> catalyst was observed owing to  
5 the stabilization effect of [Bmim]Cl. The stabilization effect and mechanism were further  
6 investigated through control experiments (using HMF as the substrate), aided by NMR and  
7 FTIR analyses. The enhancement effect of [Bmim]Cl was also tested in HMF synthesis from  
8 other bio-based feedstocks (including glucose, sucrose, cellobiose, inulin and starch) in  
9 aqueous media. The recyclability of ionic liquids and catalyst was further demonstrated.

## 10 2. Experimental section

### 11 2.1. Materials and experimental methods

12 Fructose (99%), glucose (99%), cellobiose (98%) and inulin (97%) were obtained from  
13 Aladdin Industrial Corporation. Sucrose and starch were purchased from Tianjin Da Mao  
14 chemical reagent factory. Hydroxymethylfurfural (HMF; 95%), levulinic acid (LA; 99%)  
15 and tetrahydrofuran (THF; 99%) were purchased from Aladdin Industrial Corporation. Ionic  
16 liquids including 1-butyl-3-methylimidazole chloride ([Bmim]Cl),  
17 1-butyl-3-methylimidazolium bromide ([Bmim]Br), 1-ethyl-3-methylimidazolium chloride  
18 ([Emim]Cl), 1-butyl-3-methylimidazole hydrogensulfate ([Bmim]HSO<sub>4</sub>),  
19 1-butyl-3-methylimidazolium tetrafluoroborate ([Bmim]BF<sub>4</sub>) and  
20 1-butyl-3-methylimidazolium hexafluorophosphate ([Bmim]PF<sub>6</sub>) were purchased from  
21 Shanghai Macklin Biochemical Co., Ltd. Metal salts including Zr(SO<sub>4</sub>)<sub>2</sub>, Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>,  
22 Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>, MgSO<sub>4</sub>, NiSO<sub>4</sub>, ZnSO<sub>4</sub>, FeSO<sub>4</sub> and NaCl were received from Aladdin Industrial

1 Corporation. All the chemicals mentioned above were used directly without treatment. The  
2 stainless steel autoclave reactor (100 mL) equipped with mechanical stirrer and automatic  
3 temperature control unit was ordered from Anhui Kemi Machinery Technology Co., LTD.

4 A typical experimental procedure was that a mixture of 1.0 g substrate (e.g., fructose,  
5 glucose, sucrose, cellobiose, inulin or starch), 3.0 g ionic liquids (e.g., [Emim]Cl, [Bmim]Cl,  
6 [Bmim]Br, [Bmim]BF<sub>4</sub>, [Bmim]HSO<sub>4</sub> or [Bmim]PF<sub>6</sub>), 0.5 g catalyst (e.g., Zr(SO<sub>4</sub>)<sub>2</sub>,  
7 Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>, Al<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub>, MgSO<sub>4</sub>, NiSO<sub>4</sub>, ZnSO<sub>4</sub> or FeSO<sub>4</sub>) and 20 mL solvent (e.g., deionized  
8 water in the case of monophasic operation or 30wt% NaCl-water/THF in the case of biphasic  
9 operation) were loaded in the 100 mL stainless steel autoclave reactor with a Teflon lining.  
10 After being sealed, air inside the reactor was replaced with high purity nitrogen for at least  
11 three times to avoid the occurrence of possible oxidation reactions. Then, the reaction  
12 mixture was heated to the target temperature (120 °C - 170 °C) and maintained for a certain  
13 reaction time (the moment when the reactor was heated to the target reaction temperature was  
14 considered the starting time), after which the reactor was immersed into water (ca. 28 °C)  
15 quickly in order to quench the reaction. The reaction mixture was subsequently filtrated with  
16 the sand core funnel (G4) to collect the black solid materials (named as insoluble humins)  
17 which were washed with deionized water and then dried overnight before being weighed. The  
18 remaining liquid mixture for monophasic operation was then stored for later HPLC analysis.  
19 For biphasic operation in water-THF system, the two-phase liquid mixture was directly  
20 diluted to 50 mL with deionized water in order to make it a monophasic mixture (given  
21 miscibility of THF and water at room temperature) before further analyses (*vide infra*).

## 22 2.2. Liquid product analysis

1 The quantitative analyses of liquid products were conducted using the high-performance  
2 liquid chromatograph (HPLC Waters e2695) with the Shodex SH1011 sugar column. Prior to  
3 HPLC detection, all collected liquid samples were diluted 10 times with deionized water and  
4 then filtrated with 0.22  $\mu\text{m}$  filter membrane (PES). The products (glucose, fructose, HMF,  
5 LA and FA) were detected by the Waters 410 refractive index detector and Waters 2489 UV  
6 detector. The mobile phase was 5 mM sulfuric acid aqueous solution with a flow rate of 0.5  
7 mL/min and the column temperature was maintained at 50  $^{\circ}\text{C}$ . The substrate conversion, the  
8 yields and selectivities of products, and the yield of insoluble solid humins are calculated  
9 based on the following equations:

$$10 \quad \text{Substrate conversion (mol \%)} = \left( 1 - \frac{\text{moles of final substrate}}{\text{moles of initial substrate}} \right) \times 100\% \quad (1)$$

$$11 \quad \text{Product yield (mol \%)} = \frac{\text{moles of carbon of product obtained}}{\text{moles of carbon of initial substrate}} \times 100\% \quad (2)$$

$$12 \quad \text{Product selectivity (\%)} = \frac{\text{yield of product obtained}}{\text{substrate conversion}} \times 100\% \quad (3)$$

$$13 \quad \text{Insoluble humin yield (wt\%)} = \frac{\text{mass of solid humins obtained}}{\text{mass of initial substrate}} \times 100\% \quad (4)$$

### 14 **2.3. Characterization with $^1\text{H}$ NMR and FTIR**

15 The  $^1\text{H}$  NMR spectra of the standard HMF and [Bmim]Cl ionic liquid samples as well as  
16 their mixtures in  $\text{D}_2\text{O}$  were recorded by liquid NMR spectrometer (400 MHz AVANCE III,  
17 Bruker, Switzerland) at 298 K with 12  $\mu\text{s}$  pulse width, and a total of 32 scans were collected.  
18 The mixture samples were prepared through mixing HMF (20wt%) with [Bmim]Cl and  
19 stirring for 1.5 h at room temperature and 140  $^{\circ}\text{C}$ , respectively. The above samples were  
20 dissolved in  $\text{D}_2\text{O}$  prior to NMR testing. The wavenumber shifts of O-H stretching vibration

1 and C=O stretching vibration in the HMF molecule were recorded by Fourier transformed  
2 infrared (FTIR) spectrum (Brucker TENSOR-27) with an average of 32 scans from 400 to  
3 4000  $\text{cm}^{-1}$  at 4  $\text{cm}^{-1}$  resolution. The samples were prepared by the KBr pellet method.  
4 Typically, the HMF sample was firstly ground into fine particles under the infrared baking  
5 lamp, and then evenly mixed with dry KBr powder at a mass ratio of 1-2:100. Finally, the  
6 mixture above was placed into the mould that was placed on the infrared tablet press (FW-5)  
7 for 1 min to obtain a wafer disc for use in FTIR for testing. With regard to FT-IR testing of  
8 the sample treated at 140 °C, the mixture of HMF and [Bmim]Cl was first heated at 140 °C in  
9 oil bath with magnetic stirring for 1.5 h. After that, the obtained mixture was waited for  
10 FT-IR measurement. For HMF-[Bmim]Cl mixture testing, a drop of sample (liquid form  
11 about 5 mg) was dripped onto the prepared KBr wafer disc for FTIR analysis.

### 12 **3. Results and discussion**

#### 13 **3.1. Fructose conversion in water in the presence of ionic liquids**

14 The effect of various dialkylimidazol-based ionic liquids on the fructose conversion to HMF  
15 in water over  $\text{Fe}_2(\text{SO}_4)_3$  catalyst was investigated at 140 °C, as presented in Table 1. In the  
16 absence of ionic liquids, an HMF yield of 39.3% was obtained at 93.1% fructose conversion  
17 along with 8.2% LA yield in 1.5 h (entry 1). Interestingly, when adding 15wt% imidazole  
18 ionic liquids with different structures including [Emim]Cl, [Bmim]Br and [Bmim]Cl, HMF  
19 yields were obviously improved to 48.2%, 50.4% and 54.9% respectively, with the fructose  
20 conversion being almost unchanged (entries 2-4). Simultaneously, the by-product LA yields  
21 were significantly decreased to 2.9%, 3.9% and 2.1%, respectively. These results suggest that  
22 adding a small amount of imidazole ionic liquids with halogen anions was favorable for more

1 selective HMF formation from fructose, by suppressing e.g., its rehydration to LA (and FA).  
 2 Moreover, the addition of ionic liquids like [Bmim]BF<sub>4</sub> and [Bmim]PF<sub>6</sub> had a negative effect  
 3 on improving the HMF yield in this system (entries 5 and 6). The lower or little HMF yield in  
 4 [Bmim]BF<sub>4</sub> and [Bmim]PF<sub>6</sub> media was also observed in the works by Cao et al.<sup>57</sup> and Zhang  
 5 et al.<sup>58</sup> while the reason for this is still unclear. Unfortunately, when using [Bmim]HSO<sub>4</sub> as  
 6 the additive, the HMF yield sharply decreased to 15.1%, accompanied by a significant  
 7 increase of the LA yield to 29.0% (entry 7). The main reason is that the strong acidic HSO<sub>4</sub><sup>-</sup>  
 8 in [Bmim]HSO<sub>4</sub> can further facilitate the rehydration of HMF to produce LA.<sup>59, 60</sup> All the  
 9 above results indicate that dialkylimidazole-based ionic liquids with halogen anions could  
 10 effectively improve the selectivity and yield towards HMF in water media while the others  
 11 (e.g., [Bmim]BF<sub>4</sub>, [Bmim]PF<sub>6</sub> and [Bmim]HSO<sub>4</sub>) exhibited the negative effect.

12 **Table 1** Effect of adding various ionic liquids on the HMF synthesis from fructose in water

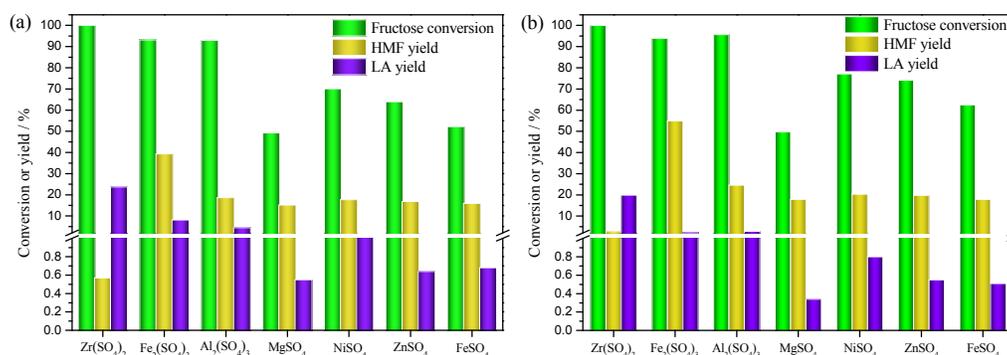
Entry	Ionic liquids	Fructose conversion (%)	HMF selectivity (%)	Mole yield (%)	
				HMF	LA
1	Blank	93.1	41.9	39.3	8.2
2	[Emim]Cl	93.4	51.6	48.2	2.9
3	[Bmim]Br	92.8	54.3	50.4	3.9
4	[Bmim]Cl	93.9	59.0	54.9	2.1
5	[Bmim]BF <sub>4</sub>	92.2	39.6	36.5	2.3
6	[Bmim]PF <sub>6</sub>	99.1	23.4	23.2	13.7
7	[Bmim]HSO <sub>4</sub>	99.6	15.2	15.1	29.0

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4 1 Reaction conditions: 5wt% of fructose, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst, 20 mL deionized water,  
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6 2 15wt% ionic liquid (if present), 140 °C, 1.5 h, nitrogen atmosphere. The fructose  
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8 3 concentration and dosage of ionic liquids are relative to the mass of water (the same for other  
9  
10 4 figures and tables hereafter).

### 5 **3.2. Influence of metal sulfates with and without [Bmim]Cl**

6 The [Bmim]Cl ILs as an additive exhibited the most enhancement of the HMF yield over  
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8 7  $\text{Fe}_2(\text{SO}_4)_3$  catalyst (Table 1). In order to examine whether a similar yield enhancement exists  
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10 8 over other metal sulfate catalysts, the fructose conversion in water was further studied over a  
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12 9 series of metal sulfates in the presence and absence of [Bmim]Cl additive at 140 °C for 1.5 h  
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14 10 (Fig. 1). The main products detected are HMF, LA and FA. In addition, humins were formed  
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16 11 in most cases according to the color change of reaction solutions, possibly from the  
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18 12 condensation or polymerization of HMF, fructose and its intermediates.<sup>61</sup> When comparing  
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20 13 Figs. 1a and 1b, it is intriguing that the addition of [Bmim]Cl (15 wt%) in all cases could  
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22 14 obviously enhance the HMF yield, though to different extent, and the fructose conversion  
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24 15 was roughly unaffected. Among the tested metal sulfates,  $\text{Fe}_2(\text{SO}_4)_3$  exhibited a relative  
25  
26 16 superior HMF yield even in the absence of [Bmim]Cl, which might be closely related to the  
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28 17 inherent catalytic activity of metal ions. As shown in previous reports, the homogeneous or  
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30 18 heterogeneous iron-based catalysts are effective for the fructose dehydration.<sup>62, 63</sup> For  
31  
32 19 instance, Li et al.<sup>63</sup> reported the synthesis of HMF over homogeneous iron-based catalyst  
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34 20 ( $\text{FeCl}_3$ ), and indicated that  $\text{Fe}^{3+}$  as the catalytic active specie was in favor of the fructose  
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36 21 conversion to HMF. Similarly, Kim et al.<sup>62</sup> investigated the effect of heterogeneous  
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38 22 N-heterocyclic carbene catalysts doped with different metal ions (e.g.,  $\text{Fe}^{3+}$ ,  $\text{Fe}^{2+}$ ,  $\text{Al}^{3+}$ ,  $\text{Cr}^{3+}$

1 and  $\text{Sn}^{4+}$ ) on the fructose dehydration to HMF, and found that N-heterocyclic carbin-Fe(III)  
 2 catalyst exhibited the optimal performance. After adding [Bmim]Cl additive, the HMF yield  
 3 over  $\text{Fe}_2(\text{SO}_4)_3$  was increased by far the highest by 15.6%. Therefore,  $\text{Fe}_2(\text{SO}_4)_3$  is chosen as  
 4 catalyst to further investigate the special role of [Bmim]Cl as additive in this reaction.



5 **Fig. 1** Conversion of fructose to HMF over metal sulfate catalysts in aqueous media with (a)  
 6 the absence of [Bmim]Cl and (b) the presence of [Bmim]Cl. Reaction conditions: 5 wt% of  
 7 fructose, 0.5 g of metal sulfate catalyst, 20 mL deionized water, 15wt% [Bmim]Cl (if  
 8 present), 140 °C, 1.5 h, nitrogen atmosphere.

### 10 3.3. Optimization of processing conditions for fructose conversion

11 **3.3.1. Effect of reaction temperature.** To gain insights into the influence of reaction  
 12 temperature on the fructose conversion to HMF in the presence and absence of [Bmim]Cl,  
 13 experiments were conducted in a temperature range from 120 to 160 °C (Table 2). The  
 14 fructose conversion and HMF yield are significantly influenced by the reaction temperature.  
 15 In both cases, the HMF yield increased dramatically upon increasing the temperature from  
 16 120 to ca. 140 °C, followed by its gradual decrease as the reaction temperature further  
 17 increased to 160 °C. Simultaneously, the LA yield gradually increased for both cases. The  
 18 decreased HMF yield at sufficiently higher temperatures was related to its accelerated

1 degradation to by-products (among others LA) under acidic aqueous media.<sup>64, 65</sup> Therefore,  
2 high reaction temperatures not only accelerate the formation of HMF from fructose, but also  
3 lead to more side reactions involving HMF. As expected, the HMF yield in the presence of  
4 [Bmim]Cl was obviously higher than the case without [Bmim]Cl addition, while the LA yield  
5 was obviously lower. These results corroborate that [Bmim]Cl ILs added in the aqueous  
6 system did play a positive role in improving the HMF yield from fructose meanwhile posing  
7 a negative effect on the LA formation.

8 **Table 2** Effect of reaction temperature on the fructose conversion in water with and without  
9 [Bmim]Cl addition

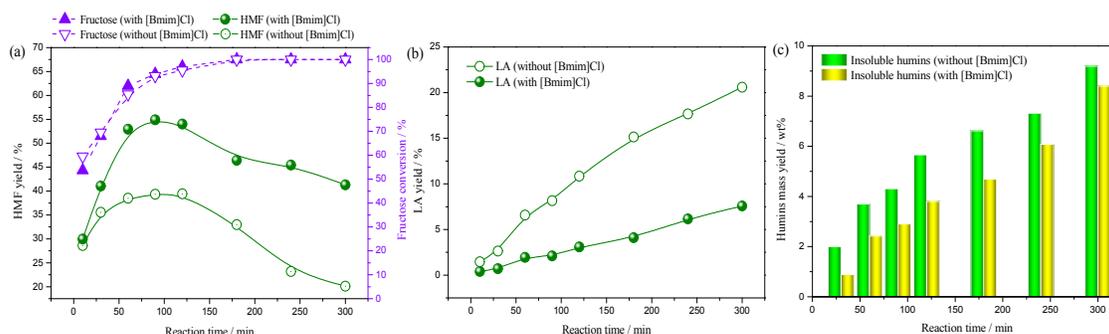
Entry	Temperature (°C)	Fructose		HMF		Mole yield (%)			
		conversion		selectivity		HMF		LA	
		(%)	(%)	(%)	(%)	a	b	a	b
1	120	56.8	55.3	38.2	32.9	21.7	18.2	0	1.1
2	130	77.3	76.9	66.8	41.3	38.6	31.8	0.4	3.1
3	140	96.9	99.4	49.9	38.1	48.3	37.8	2.1	8.9
4	150	99.5	99.6	49.0	20.5	48.8	20.4	4.6	16.1
5	160	99.6	99.5	27.7	8.9	27.6	8.9	9.2	17.8

10 Reaction conditions: 5wt% of fructose, 0.5 g of Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> catalyst, 20 mL deionized water,  
11 15wt% [Bmim]Cl (if present), 2.0 h, nitrogen atmosphere. <sup>a</sup>with [Bmim]Cl addition; <sup>b</sup>without  
12 [Bmim]Cl addition.

13 **3.3.2. Effect of reaction time.** Reaction time is another important factor that influences the  
14 reaction rate and conversion pathway for carbohydrates dehydration.<sup>66</sup> The fructose

1 conversion and the yield of dehydration products and insoluble humins as a function of the  
2 reaction time were investigated under the optimized temperature of 140 °C as identified  
3 above. The fructose conversion in the aqueous system with and without adding [Bmim]Cl  
4 was found essentially the same and both achieved a full conversion when the reaction time  
5 was prolonged to 3 h (Fig. 2a). The corresponding HMF yield increased sharply within the  
6 first 1.5 h, especially for the case of adding 15wt% of [Bmim]Cl. Then, it decreased  
7 gradually, which was mainly attributed to the instability of HMF in acidic aqueous media  
8 towards further rehydration to form among others LA<sup>67, 68</sup> as well as polymerization to form  
9 humins.<sup>69, 70</sup> Notably, the decrease rate of the HMF yield in the presence of [Bmim]Cl was  
10 slower than in the absence of [Bmim]Cl (Fig. 2a). This phenomenon may be explained by the  
11 stabilizing effect of [Bmim]Cl on HMF (*vide infra*). Meanwhile, the yield of LA produced  
12 from the HMF rehydration increased with the reaction time, and was remarkably smaller in  
13 the presence of [Bmim]Cl than that without [Bmim]Cl addition (Fig. 2b). A similar trend in  
14 the insoluble humin formation was found (Fig. 2c). The relatively lower yield of insoluble  
15 humins was obtained with the addition of [Bmim]Cl, compared with the case without adding  
16 [Bmim]Cl even at prolonged reaction time. The results here demonstrate that HMF  
17 synthesized from fructose in aqueous media was somewhat stabilized by the added  
18 [Bmim]Cl, which thus decreased to some extent the formation of LA and insoluble humins  
19 from side reactions involving HMF, and significantly enhanced the HMF selectivity. A  
20 similar work with FeCl<sub>3</sub> as catalyst was conducted in ethanol-[Bmim]Cl solvent system by  
21 Zhou and co-workers,<sup>55</sup> they investigated the effect of ratio of ethanol to [Bmim]Cl on the  
22 conversion of fructose to furan derivatives like HMF and 5-ethoxymethylfurfural (EMF), and

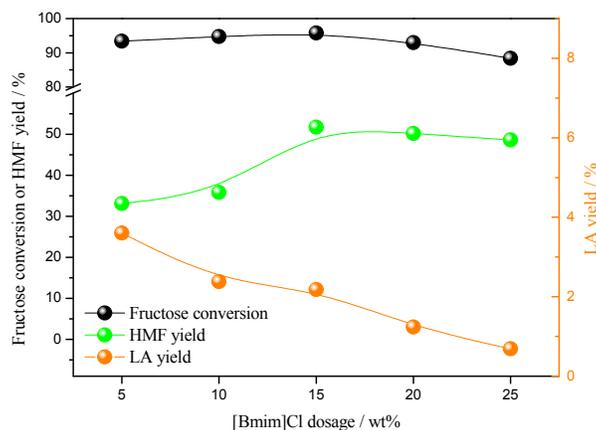
1 revealed that a high yield of EMF could be obtained in single solvent of ethanol while the  
2 addition of [Bmim]Cl could largely suppress the etherification of HMF into EMF possibly  
3 due to its stabilization effect.



**Fig. 2** Effect of reaction time on the HMF synthesis from fructose in the presence and absence of [Bmim]Cl: (a) the fructose conversion and HMF yield, (b) LA yield and (c) insoluble solid humin yield. Reaction conditions: 5wt% of fructose, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst, 20 mL deionized water, 15wt% [Bmim]Cl (if present), 140 °C, nitrogen atmosphere.

**3.3.3. Effect of [Bmim]Cl dosage.** To further examine the effect of adding [Bmim]Cl on enhancing and optimizing the HMF yield, the [Bmim]Cl dosage was varied in the experiments conducted at 140 °C and 1.5 h reaction time (Fig. 3). The fructose conversion was increased first and then slightly decreased when raising the [Bmim]Cl dosage from 5wt% to 25wt% (Fig. 3). A similar trend was observed in the HMF yield. It increased significantly from 33.1% to 51.7% as the [Bmim]Cl dosages increased from 5wt% to 15wt%. After that, further improving the dosage gave a slight decrease in the HMF yield, possibly due to the slightly mass transfer limitations with the increasing content of [Bmim]Cl causing the polymerization of HMF itself or with fructose at the high temperature conditions (e.g., 140 °C).<sup>47, 71</sup> As expected, the LA yield gradually decreased with increasing [Bmim]Cl dosage

(Fig. 3), given less HMF being consumed in the rehydration reaction.<sup>72</sup> The results above further suggest that adding [Bmim]Cl (up to ca. 15wt%) in this system could stabilize HMF so that its further rehydration to LA was suppressed.



**Fig. 3** Fructose conversion and yields of HMF and LA as a function of [Bmim]Cl dosages.

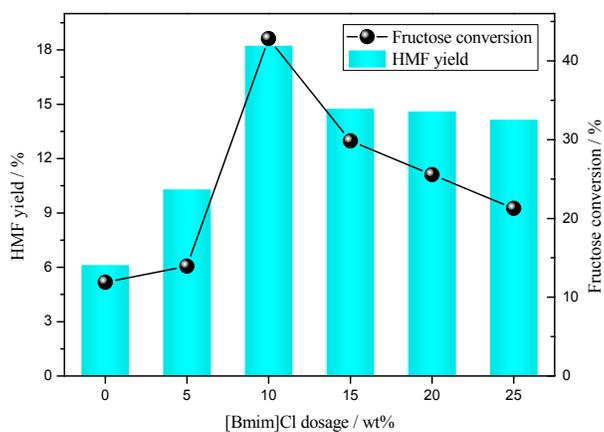
Reaction conditions: 5wt% of fructose, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst, 20 mL deionized water, [Bmim]Cl (5 - 25wt%), 140 °C, 1.5 h, nitrogen atmosphere.

As reported, water had a strong effect on the yield and selectivity of HMF in ionic liquids media.<sup>50, 73</sup> In this work, we mainly focused on the effect of ionic liquid as an additive on the fructose conversion in the dominant solvent of water. The role of water in this system was not further considered since some related research works have been extensively discussed in ionic liquids as the dominant solvent. For example, Li et al.<sup>73</sup> reported a similar system composed of ionic liquid (e.g., [Bmim]Cl) as the dominant solvent and water as co-solvent for fructose dehydration with HCl catalyst, in which they detailedly discussed the effect of water content on the HMF yield, demonstrating that a low water content (below 15.4wt%) in [Bmim]Cl system exhibited a less effect on the HMF yield while a higher one (above 47.5wt%) was extremely detrimental to both reaction rate and HMF yield. Besides, Ghatta et

1 al.<sup>50</sup> further investigated the role of water addition in non-halogenated and non-coordinating  
2 ionic liquids [Bmim]OTf media on improving HMF yield. It demonstrated that the  
3 dehydration of fructose to HMF in these non-coordinating ionic liquids solvents (e.g.,  
4 [Bmim]OTf, [Bmim]BF<sub>4</sub> and [Bmim]PF<sub>6</sub>) without water addition could afford a poor  
5 performance due to the lack of hydrogen bonding between fructose and solvent. Whereas,  
6 this could be successfully achieved in halogen-based ionic liquids.<sup>74</sup> Meanwhile, they  
7 suggested that the addition of a spot of water (e.g., 3.5%) into ionic liquids with  
8 non-coordinating anion could dramatically improve the HMF yield by regulating the acidity  
9 of catalyst and partially suppressing the side reaction involving HMF via stabilization, while  
10 an excessive amount of water could result in an obviously lower efficiency due to the  
11 deactivation of protons as catalyst in the system, such as no reaction being observed at all  
12 when using pure water as solvent.<sup>50</sup>

13 To further examine whether [Bmim]Cl has a catalytic effect in aqueous media, the  
14 fructose conversion without catalyst was performed in the presence of various dosages of  
15 [Bmim]Cl under otherwise the same reaction conditions as shown above. Fig. 4 reveals that  
16 the fructose conversion and HMF yield were much lower (being 11.9% and 6.2%,  
17 respectively) in the case without adding any amount of [Bmim]Cl additive. After adding  
18 [Bmim]Cl, both increased to some extent with the ionic liquid dosage up to ca. 10wt%. This  
19 increase is mainly ascribed to the fact that the added [Bmim]Cl is capable of slightly  
20 catalyzing the dehydration of fructose to produce HMF owing to its weak acidity stemmed  
21 from the acidic H posited on C-2 of imidazole cation<sup>75</sup>. However, further increasing the  
22 dosage to 25wt% led to a slight decrease in the HMF yield and fructose conversion, which

could be also due to the negative effect with high mixture viscosity and slow mass transport therein.<sup>47, 71</sup> Additionally, the HMF yield obtained here in the absence of  $\text{Fe}_2(\text{SO}_4)_3$  was much lower compared with the case with  $\text{Fe}_2(\text{SO}_4)_3$  addition (e.g., Fig. 3), indicating that the catalytic active species in this system was mainly from the  $\text{Fe}_2(\text{SO}_4)_3$  catalyst.

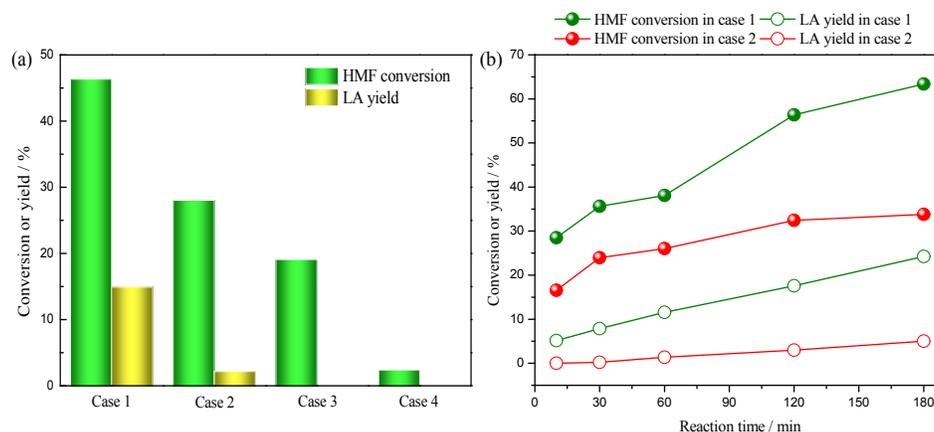


**Fig. 4** Effect of [Bmim]Cl addition on the dehydration of fructose without catalyst. Reaction conditions: 5wt% of fructose, 20 mL deionized water, [Bmim]Cl (0 - 25wt%), 140 °C, 1.5 h, nitrogen atmosphere.

### 3.4. Stabilization effect of [Bmim]Cl on HMF

To investigate the interaction between [Bmim]Cl and HMF, several control experiments with HMF as the substrate were performed in water at 140 °C. Results in aqueous systems are obtained in four cases (Fig. 5): 1) with adding  $\text{Fe}_2(\text{SO}_4)_3$  catalyst; 2) with adding both  $\text{Fe}_2(\text{SO}_4)_3$  catalyst and [Bmim]Cl ILs; 3) without adding  $\text{Fe}_2(\text{SO}_4)_3$  catalyst and [Bmim]Cl; and 4) with adding [Bmim]Cl. It can be seen that HMF in hydrothermal environment was unstable. The HMF conversion reached 19.1% in 1.5 h in the absence of  $\text{Fe}_2(\text{SO}_4)_3$  and [Bmim]Cl while no LA was found (Fig. 5a; case 3), suggesting that the formation of byproduct (humins) is dominant. According to the investigation of humin formation by Patil

1 et al.,<sup>76, 77</sup> the humin growth was primary through the aldol addition/condensation involving  
2 HMF (not LA or FA) and the formed humins still maintained the furan ring group of HMF.  
3 Similar humin formation mechanism was also proposed by Tsilomelekis et al.<sup>31</sup> Interestingly,  
4 after adding 15wt% of [Bmim]Cl additive, the HMF conversion was remarkably lowered to  
5 2.4% under otherwise the same reaction conditions (Fig. 5a; case 4), indicating the possible  
6 interaction of [Bmim]Cl with HMF enhancing its stability. The stability of HMF in water  
7 without the presence of [Bmim]Cl was, however, easily weakened by adding  $\text{Fe}_2(\text{SO}_4)_3$   
8 catalyst, where the HMF conversion in 1.5 h was increased to 46.4% and the corresponding  
9 yield of LA (derived from the HMF rehydration) was up to 14.9% due to catalytic effect (Fig.  
10 5a; case 1). Fortunately, when adding [Bmim]Cl additive into the reaction system, the HMF  
11 conversion dropped to 28.0% over the catalyst with the corresponding yield of LA of only  
12 2.2% (Fig. 5a; case 2). Fig. 5b further shows that in the presence of [Bmim]Cl, the HMF  
13 conversion slightly increased from 16.6% to 33.8% over  $\text{Fe}_2(\text{SO}_4)_3$  catalyst when the reaction  
14 time was extended from 10 min to 180 min (case 2). Meanwhile, the LA yield only increased  
15 from 0% to 5.0%. In contrast, the HMF conversion in the absence of [Bmim]Cl was sharply  
16 improved from 28.5% to 63.4% over the catalyst (case 1). The corresponding LA yield  
17 dramatically increased from 5.2% to 24.2%. These results strongly indicate that [Bmim]Cl  
18 present in the aqueous media could stabilize HMF, preventing it from being consumed in side  
19 reactions like the rehydration to LA to a certain degree.



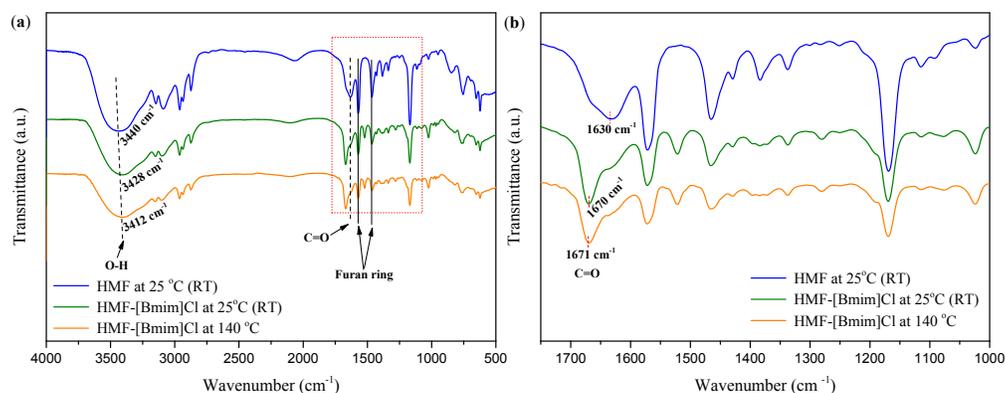
**Fig. 5** Effect of [Bmim]Cl presence on the HMF conversion and LA yield in water (a) under cases 1-4 (with or without the presence of [Bmim]Cl or  $\text{Fe}_2(\text{SO}_4)_3$  catalyst) and 1.5 h reaction time; (b) as a function of the reaction time in the absence (case 1) and presence (case 2) of [Bmim]Cl. Reaction conditions: 0.2 g of HMF, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst (if present), 15wt% of [Bmim]Cl (if present), 20 mL deionized water, 140 °C, nitrogen atmosphere. Case 3 without adding  $\text{Fe}_2(\text{SO}_4)_3$  catalyst and [Bmim]Cl; Case 4 with only adding [Bmim]Cl.

### 3.5. Stabilizing mechanism of [Bmim]Cl on HMF

Enlightened by the experimental results above, a hypothesis is made that HMF stabilized by [Bmim]Cl ionic liquids is primarily ascribed to the special intermolecular interactions between them.<sup>48</sup> To provide some evidences, FT-IR spectra of samples, including HMF as well as mixtures of HMF with [Bmim]Cl stirred for 1.5 h at room temperature or 140 °C, were collected (Fig. 6). The results show that all samples have the same structures and functional groups while the peak intensity is different. The two spectra of the mixtures stirred at room temperature and 140 °C were quite similar. The typical peaks for furan ring were also observed in all cases. It is noteworthy that the peak in the range of 3450-3410  $\text{cm}^{-1}$ , assigned to O-H stretching vibration in the hydroxyl group of HMF, was weakened and decreased in

1 wavenumber after mixing [Bmim]Cl with HMF (Fig. 6a). Additionally, the peaks at 1630  
2  $\text{cm}^{-1}$  representing C=O stretching vibration in the aldehyde group of HMF were observed.  
3 After mixing with [Bmim]Cl, the peak of aldehyde group of HMF shifted from 1630  $\text{cm}^{-1}$  to  
4 1670  $\text{cm}^{-1}$  (Fig. 6b). These phenomena are possibly caused by the interactions between  
5 [Bmim]Cl and HMF (under both room and reaction temperatures). Wang et al.<sup>78</sup> investigated  
6 the FT-IR spectra of pure HMF and mixture of HMF with different amount of  
7 [Bmim][N(CN)<sub>2</sub>], and also found that the O-H peak of HMF in the mixture shifted to low  
8 wavenumber with increasing the amount of ILs due to the hydrogen bond interaction while  
9 the C=O peak of HMF with the increase of [Bmim]BF<sub>4</sub> amount only slightly shifted to high  
10 wavenumber due to the weakest hydrogen bond formation ability of BF<sub>4</sub><sup>-</sup> anion. Moreover,  
11 Ghatta and his co-workers performed the infrared spectra of HMF in various ionic liquids to  
12 analyze the shifts of hydroxyl and carbonyl group, and revealed that the hydroxyl of HMF in  
13 [N(Me)Oct<sub>3</sub>]Cl and [N(Me)Oct<sub>3</sub>]Br exhibited the red shifts due to the higher hydrogen bond  
14 ability of Cl<sup>-</sup> and Br<sup>-</sup> anions, in well line with our results, while it in [N(Me)Oct<sub>3</sub>][NTf<sub>2</sub>]  
15 showed a slight blue shift due to a lower hydrogen bond ability of [NTf<sub>2</sub>]<sup>-</sup>.<sup>51</sup> The carbonyl of  
16 HMF in the three ionic liquids showed a slight red shift, a little different from our  
17 observation. As reported, water molecules could interact with the aldehyde to form geminal  
18 diols especially at a high water content.<sup>79</sup> In the present work, the formation of geminal diols  
19 might be enhanced by the addition of ionic liquids, causing an obvious peak shift of aldehyde  
20 due to a combined interaction with both water and Cl<sup>-</sup> anion<sup>51</sup>.

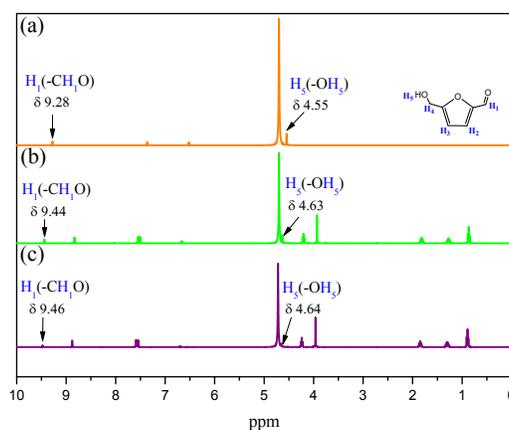
21



**Fig. 6** FT-IR spectra of samples including HMF, mixture of HMF with [Bmim]Cl stirred at room temperature (RT) for 1.5 h and mixture of HMF with [Bmim]Cl stirred at 140 °C for 1.5 h. Fig. 6b is a partial enlargement of Fig. 6a.

To further confirm the interactions between [Bmim]Cl and HMF,  $^1\text{H}$  NMR spectra of HMF and [Bmim]Cl samples as well as their mixtures were measured. As shown in Fig. 7a, the chemical shift peaks of hydrogen atom in the aldehyde and hydroxyl groups of HMF appeared at  $\delta$  9.28 ppm and  $\delta$  4.55 ppm, respectively. In the mixture of HMF and [Bmim]Cl (after being stirred at room temperature or 140 °C for 1.5 h), the corresponding chemical shift peak changed, respectively, to  $\delta$  9.44 ppm or  $\delta$  9.46 ppm for hydrogen atom in the aldehyde groups of HMF, and to  $\delta$  4.63 ppm or  $\delta$  4.64 ppm for hydroxyl groups of HMF (Figs. 7b and c). These results indicate that [Bmim]Cl interacted with the aldehyde and hydroxyl groups of HMF, which caused the increase in chemical shift of H-1 and H-5 on HMF. This interaction might lead to the formation of an HMF-[Bmim]Cl intermediate through hydrogen bond that protected the HMF molecule. Lungwitz et al.<sup>80</sup> demonstrated that the hydrogen bond accepting ability of 1-alkyl-3-methylimidazolium-based ionic liquids is mainly determined by anions. Moreover, Singh and Kumar<sup>81</sup> suggested that the  $\text{Cl}^-$  anion in [Bmim]Cl, with strong electronegativity, is a strong hydrogen bond acceptor. The  $\text{Cl}^-$  anion could thus bind with the

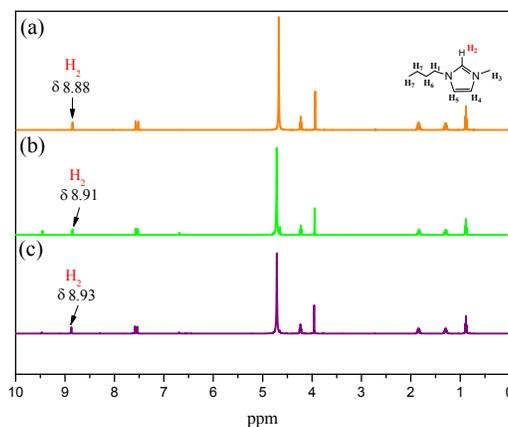
1 hydrogen atom of aldehyde and hydroxyl groups of HMF through -Cl...H- hydrogen bond.  
 2 The larger the chemical shifts changed for hydrogen atom, the stronger the hydrogen bond  
 3 formed.<sup>82</sup> The results obtained by <sup>1</sup>H NMR analysis are in well accordance with FTIR  
 4 analysis.



**Fig. 7** Typical <sup>1</sup>H NMR spectra for samples in D<sub>2</sub>O: (a) HMF, (b) mixture of HMF and [Bmim]Cl stirred at room temperature for 1.5 h and (c) mixture of HMF and [Bmim]Cl stirred at 140 °C for 1.5 h.

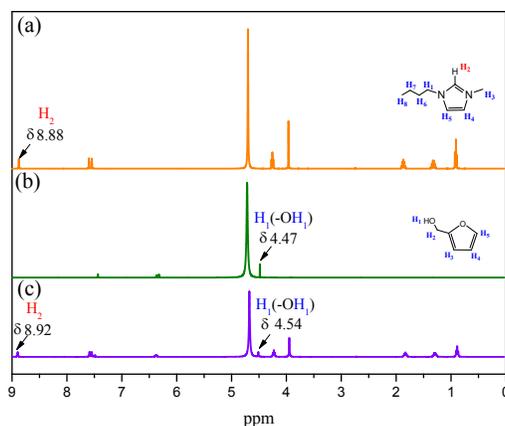
In addition, the chemical shift of H-2 atom posited on C-2 atom of imidazole cations in [Bmim]Cl was found at δ 8.88 ppm (Fig. 8a). However, when [Bmim]Cl was mixed with HMF, and stirred at room temperature and 140 °C for 1.5 h, its chemical shifts changed to δ 8.91 ppm and δ 8.93 ppm, respectively (Figs. 8b and c), suggesting the existence of weak hydrogen-bonding force that has affected the H-2 atom of imidazole cations. The H-2 atom is more active than H-4 or H-5 one owing to its location between two electronegative nitrogen atoms.<sup>82</sup> The hydrogen-bonding donating ability of imidazole cations is primarily determined by H-2 atom at C-2 position.<sup>75</sup> As known, the carbonyl group in HMF has a great polarity so that the oxygen atom with a partial negative charge displays electronegative. Similarly, the oxygen atom in the hydroxyl group of HMF also possesses a pair of free electrons.

1 Consequently, the H-2 atom, as a hydrogen-bonding donor, may interact with oxygen atoms  
2 in the aldehyde and hydroxyl groups of HMF via hydrogen bond.

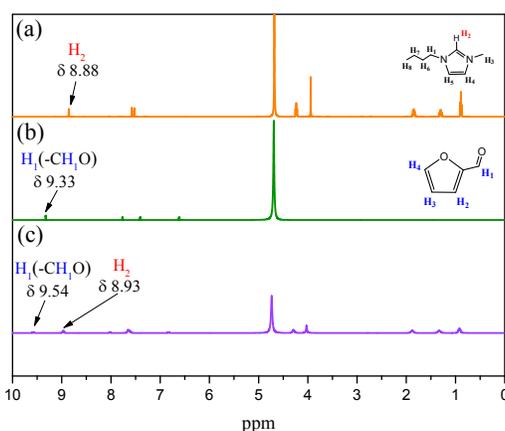


3  
4 **Fig. 8** Typical <sup>1</sup>H NMR spectra for samples in D<sub>2</sub>O: (a) [Bmim]<sup>+</sup> ILs, (b) mixture of HMF  
5 and [Bmim]<sup>+</sup> stirred at room temperature for 1.5 h and (c) mixture of HMF and [Bmim]<sup>+</sup>  
6 stirred at 140 °C for 1.5 h.

7 Some extra <sup>1</sup>H NMR characterizations were also conducted for samples of furfuryl  
8 alcohol and furfural that possess similar groups to HMF. According to <sup>1</sup>H NMR analysis, the  
9 same results as that of HMF could be obtained from the case of furfuryl alcohol and furfural  
10 as presented in Figs. 9 and 10. After mixing with [Bmim]<sup>+</sup>, the chemical shifts of hydrogen  
11 in the aldehyde group of furfural and hydroxyl group of furfuryl alcohol both became larger,  
12 and that of H-2 in imidazole cations increased as well. These results provide some additional  
13 support for the existence of interactions of [Bmim]<sup>+</sup> with the aldehyde and hydroxyl group  
14 of HMF.



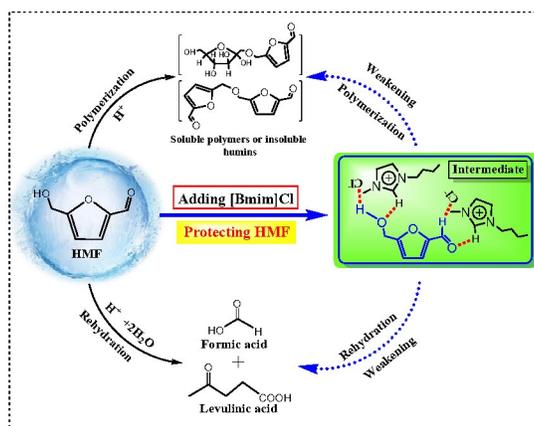
**Fig. 9** Typical  $^1\text{H}$  NMR spectra for samples in  $\text{D}_2\text{O}$ : (a) [Bmim]Cl, (b) furfuryl alcohol and (c) the mixture of furfuryl alcohol and [Bmim]Cl stirred at room temperature for 1.5h.



**Fig. 10** Typical  $^1\text{H}$  NMR spectra for samples in  $\text{D}_2\text{O}$ : (a) [Bmim]Cl ILs, (b) furfural and (c) the mixture of furfural and [Bmim]Cl stirred at room temperature for 1.5 h.

Based on the experimental data and characterization results from  $^1\text{H}$  NMR and FTIR, a plausible mechanism for the stabilization effect of [Bmim]Cl on HMF is proposed (Scheme 1). Generally, the consumption of HMF in acid-catalyzed conversion of carbohydrates mainly undergoes two patterns in conventional reaction media.<sup>83</sup> One is the rehydration of HMF with two water molecules to form LA and FA, the other is the self- and cross-polymerization of HMF with sugars and/or its intermediates to produce the soluble or insoluble humins. Yang et

1 al.<sup>84</sup> demonstrated that the reaction process for fructose conversion to LA involved the  
 2 formation of HMF, of which the hydroxyl and aldehyde groups were facilely protonated  
 3 through the attack of acidic protons  $H^+$  in the aqueous solution, and then converted to the  
 4 activated intermediates. These activated intermediates might be further transformed into LA  
 5 as well as polymerized with HMF or/and fructose to produce polymers such as humins  
 6 because of the parallel relationship between the rehydration and polymerization reactions.<sup>84</sup>  
 7 Besides, Galkin et al.<sup>85</sup> studied the process of HMF aging in detail, revealed that HMF  
 8 molecules in solution in the presence of acidic impurities could be further degraded to form  
 9 the dimers and oligomers through the intermolecular etherification involving the active  
 10 hydroxyl groups of HMF.



11  
12 **Scheme 1** Possible mechanism for the stabilizing effect of [Bmim]Cl on HMF.

13 When a certain amount of [Bmim]Cl was added to the acidic aqueous reaction system, the  
 14 hydrogen and oxygen atoms in the hydroxyl and aldehyde groups of HMF could form the  
 15 hydrogen bond via the respective interaction with  $Cl^-$  anions of [Bmim]Cl and H-2 atoms on  
 16 imidazole cations. Such interactions between [Bmim]Cl and HMF might lead to the  
 17 formation of the [Bmim]Cl-HMF intermediate (Scheme 1). Then, the hydroxyl and aldehyde  
 18 groups of HMF were protected by [Bmim]Cl so as to hinder the attack from acidic protons

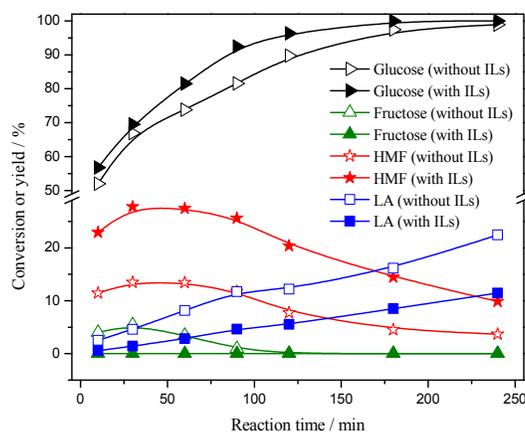
1 H<sup>+</sup>, subsequently stabilizing the HMF molecule. As a result, the rehydration reaction of HMF  
could be effectively suppressed even though lots of water existed in the reaction system (as  
seen in Figs. 2b and 5). Similarly, the humin production from side reactions involving HMF  
was also suppressed to some extent (Fig. 2c). The results here are in line with the work of  
Guo et al.<sup>86</sup> who suggested that [C<sub>4</sub>mim]HSO<sub>4</sub> ionic liquids could stabilize HMF through  
hydrogen bonding.

### 3.6. Effect of [Bmim]Cl on other carbohydrate conversion

Other carbohydrates (including glucose, disaccharide and polysaccharide) have been also  
studied as feedstock for the HMF synthesis over Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> catalyst in water with the presence  
of [Bmim]Cl to investigate whether a similar yield promotion effect exists.

**3.6.1. Effect on glucose conversion.** Fig. 11 depicts the product distribution for glucose  
conversion with increasing reaction time in the presence and absence of [Bmim]Cl in  
aqueous media. A higher reaction temperature of 170 °C is used to account for the lower  
reactivity of glucose than fructose towards HMF. With increasing reaction time, the HMF  
yield reached a clear maximum while that of the by-product LA continued to rise in both  
cases. The HMF yield in the case with [Bmim]Cl addition appeared always higher than that  
without [Bmim]Cl while the LA yield was lower in the former case due to the stabilization  
effect of [Bmim]Cl on HMF. The best yield of HMF in the presence of [Bmim]Cl was 27.8%  
within 30 min. These yield trends were similar to the cases with fructose as the substrate (Fig.  
2). In addition, it seems that the glucose conversion with [Bmim]Cl addition was slightly  
higher than that without [Bmim]Cl. The yield of fructose isomerized from glucose in the  
presence of [Bmim]Cl was found negligibly small while it was present (though in a small

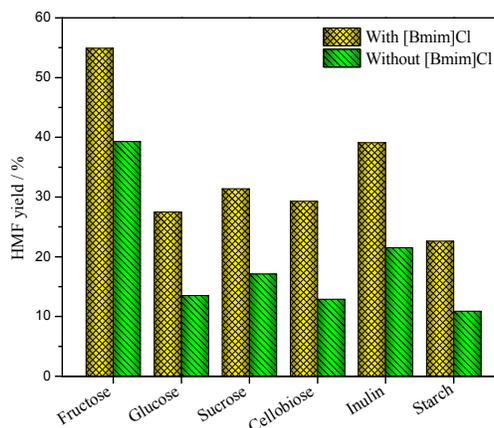
1 amount) without [Bmim]Cl addition during the initial 140 min. These phenomena possibly  
 2 suggest that [Bmim]Cl could promote the conversion of glucose and (subsequently the  
 3 formed) fructose to some extent. Lai et al.<sup>87</sup> conducted a DFT calculation on the fructose  
 4 dehydration in [Bmim]Cl to explore the energy profile of reaction. They found that the  
 5 [Bmim]Cl media could turn the fructose dehydration from a thermodynamically unfavorable  
 6 into a thermodynamically favorable reaction, thus implying that [Bmim]Cl is likely to play a  
 7 role in activating fructose to facilitate its conversion.



8 **Fig. 11** Glucose conversion as a function of the reaction time in the presence or absence of  
 9 [Bmim]Cl in water. Reaction conditions: 5wt% of glucose, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$ , 15wt%  
 10 [Bmim]Cl (if present), 20 mL deionized water, 170 °C, nitrogen atmosphere.

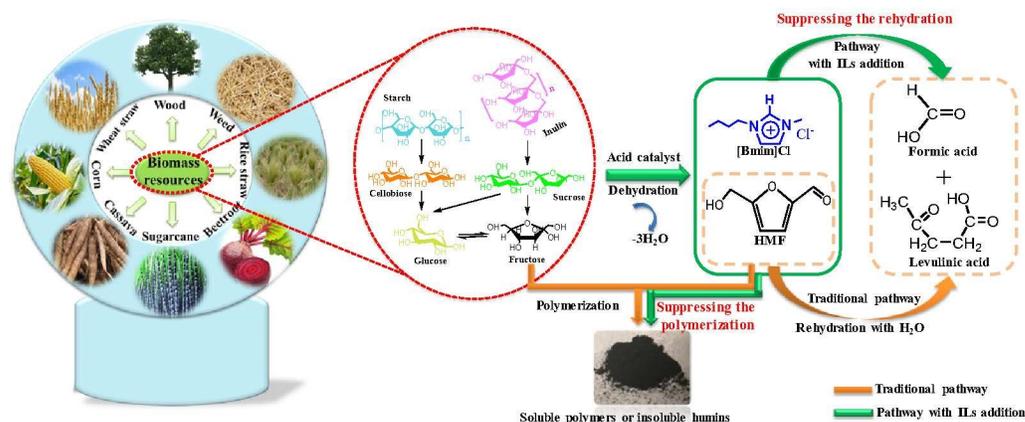
11 **3.6.2. Effect on disaccharide and polysaccharide conversion.** Due to the positive  
 12 effect of [Bmim]Cl as an additive on the fructose and glucose conversion towards HMF, the  
 13 HMF synthesis from more abundant carbohydrates (including sucrose, cellobiose, inulin and  
 14 starch) were studied in aqueous media under similar reaction conditions in the presence and  
 15 absence of [Bmim]Cl. It is seen from Fig. 12 that a similar promotion of the HMF yield by  
 16 [Bmim]Cl did exist for all biomass-derived C6 carbohydrates investigated as a result of the  
 17 stabilization effect of [Bmim]Cl on HMF. Although the HMF yield obtained from glucose,  
 18

1 disaccharides and polysaccharides was not outstanding given that  $\text{Fe}_2(\text{SO}_4)_3$  might not be the  
2 best catalyst for different carbohydrates, the proof-of-principle results here highlight the  
3 promising strategy of using [Bmim]Cl as an additive for enhanced HMF selectivity and yield.



4 **Fig. 12** Conversion of various biomass carbohydrates in the presence and absence of  
5 [Bmim]Cl in water. Reaction conditions: 5wt% of substrates, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst, 20  
6 mL deionized water, 15wt% of [Bmim]Cl (if present), nitrogen atmosphere. Fructose was  
7 reacted at 140 °C for 1.5 h. Glucose, cellobiose and starch were reacted at 170 °C for 1.0 h.  
8 Sucrose was reacted at 150 °C for 1.5 h. Inulin was reacted at 150 °C for 2.0 h.

9  
10 Based on all the above discussion and analysis, the catalytic reaction network for  
11 bio-based carbohydrate conversion to HMF in water in the presence and absence of  
12 [Bmim]Cl additive is illustrated in Scheme 2. HMF (synthesized from biomass-based  
13 feedstocks or derivatives) in conventional water system can be facilely consumed through  
14 side reactions involving HMF (e.g., HMF rehydration to LA and polymerization to form  
15 humins) under catalysis of acid catalysts. However, when adding a small ratio of [Bmim]Cl  
16 additive into the water system, the rehydration and polymerization reactions can be  
17 effectively suppressed to some extent due to the stabilization effect of [Bmim]Cl, leading to  
18 enhanced HMF yield and selectivity.

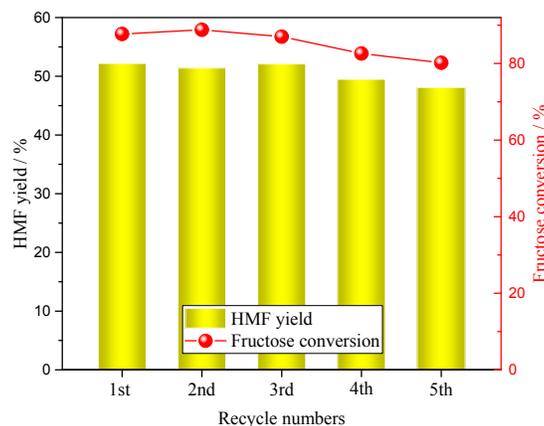


**Scheme 2** Catalytic reaction route for the conversion of carbohydrates into HMF with and without [Bmim]Cl addition in aqueous media.

### 3.7. Stability of catalyst and [Bmim]Cl

The stability of catalyst and ionic liquids is of great importance for the technical and economic aspects of practical applications. Here, the recyclability of Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> catalyst and [Bmim]Cl ionic liquid additive was tested in the fructose conversion to HMF with water as solvent. After each cycle, the reaction mixture was adequately extracted by ethyl acetate to remove the HMF product. Then, the remaining reaction mixture was dried in a vacuum oven at 60 °C overnight to remove the residual ethyl acetate and water. Finally, the recovered mixtures containing the used Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> and [Bmim]Cl or/and the residual humins were directly employed in the next cycle after adding fresh fructose. Note that under the current reaction conditions (140 °C), the Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> catalyst (0.5 g in 20 mL water) seemed to be well dissolved and thus functioned as a homogeneous catalyst. The reusability of catalyst and ionic liquids was tested for five consecutive cycles (Fig. 13). The fructose conversion and HMF yield exhibited a negligible decrease after five consecutive runs, possibly due to the slight loss of catalyst during the extraction process. Thus, the performance of Fe<sub>2</sub>(SO<sub>4</sub>)<sub>3</sub> and

[Bmim]Cl is considered stable for converting fructose into HMF in water, which provides a new strategy for the utilization of ionic liquids in the transformation of biomass resources to value-added chemicals.



**Fig. 13** Recyclability of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst and [Bmim]Cl ionic liquids for converting fructose to HMF in water. Reaction conditions: 5wt% of fructose, 0.5 g of  $\text{Fe}_2(\text{SO}_4)_3$  catalyst, 15wt% of [Bmim]Cl, 20 mL deionized water, 140 °C, 1.5 h, nitrogen atmosphere.

### 3.8. Promotion effect of [Bmim]Cl in biphasic system

As known, the biphasic system is recognized as a common and effective solvent system for enhancing the HMF synthesis from bio-based carbohydrates.<sup>88</sup> To test the promotion effect of [Bmim]Cl additive on the HMF yield in biphasic system, the conversion of fructose to HMF over  $\text{Fe}_2(\text{SO}_4)_3$  catalyst in the presence and absence of [Bmim]Cl was conducted in NaCl-H<sub>2</sub>O/THF biphasic system, based on the further considerations that THF is a renewable bio-based solvent<sup>89</sup> with a low boiling point (60 °C) and the addition of salts (e.g., NaCl) in water further enhances the partition of HMF towards the organic phase. It can be seen from Table 3 that an HMF yield of 39.3% was obtained in monophasic aqueous media over  $\text{Fe}_2(\text{SO}_4)_3$  catalyst at 140 °C in 90 min in the absence of [Bmim]Cl and NaCl (entry 1), while it could be improved to 54.9% in the presence of [Bmim]Cl (entry 2). Under otherwise the

1 same reaction conditions, the biphasic system operation (at a water/THF volume ratio of 1:1)  
2 led to a better HMF yield, being 44.7% in the absence of [Bmim]Cl and NaCl (entry 3) and  
3 58.2% with [Bmim]Cl addition (entry 4). This indicates that the additional extraction of HMF  
4 into THF improved the HMF yield to some extent. And more importantly, the [Bmim]Cl  
5 additive plays a positive role in somewhat significantly enhancing the HMF yield in biphasic  
6 system as well. A further increase of the HMF yield in biphasic system could be realized via  
7 the addition of NaCl in water (entry 5) combined with the increase of the organic to aqueous  
8 volume ratio (entries 6-8), in order to improve the HMF extraction. At a water/THF volume  
9 ratio of 1:3, an HMF yield of 65.8% was found when both [Bmim]Cl and NaCl were present  
10 in the system (entry 7). After that, a further increase of the water/THF volume ratio seems not  
11 very beneficial since the HMF yield was almost unchanged (entry 8), with the additional  
12 consideration that the excessive use of organic solvents would increase the cost in the  
13 downstream product work-up and solvent recovery.

14 The above results demonstrate that the addition of [Bmim]Cl into biphasic system is a  
15 useful strategy for further enhancing the HMF yield. Besides, it seems that the stabilization  
16 effect of [Bmim]Cl on HMF worked properly in biphasic system. In other words, the  
17 intramolecular interaction [Bmim]Cl on HMF seems not so strong that the extraction of HMF  
18 to the organic phase is hindered, which can thus facilitate the HMF separation and the  
19 recycling of ILs as well. It is envisaged that the current strategy using additives of ILs in  
20 monophasic water or biphasic solvent systems also holds for (homogeneous or  
21 heterogeneous) catalysts that are more effective for HMF synthesis from among other  
22 fructose, which would lead to even further promising results.

**Table 3** Dehydration of fructose to HMF in monophasic water or water/THF biphasic system

Entry	Volume of water (mL)	Volume of THF (mL)	Volume ratio of water to THF (-)	Fructose conversion (%)	Mole yield (%)	
					HMF	LA
1	20	0	-	93.1	39.3	8.2
2 <sup>a</sup>	20	0	-	93.9	54.9	2.1
3	10	10	1:1	97.5	44.7	1.7
4 <sup>a</sup>	10	10	1:1	98.1	58.2	0.4
5 <sup>b</sup>	10	10	1:1	98.5	61.5	0.1
6 <sup>b</sup>	10	20	1:2	98.3	64.6	0.1
7 <sup>b</sup>	10	30	1:3	97.8	65.8	0
8 <sup>b</sup>	10	40	1:4	98.0	65.6	0

Reaction conditions: 5wt% of fructose,  $\text{Fe}_2(\text{SO}_4)_3$  catalyst (0.5 g for the case of 20 mL water (entries 1-2) and 0.25 g for the case of 10 mL water (entries 3-8)), 15wt% of [Bmim]Cl (if present), 30wt% NaCl (if present), 140 °C, 1.5 h, nitrogen atmosphere. The fructose concentration, dosage of ionic liquids and NaCl are relative to the mass of water.

<sup>a</sup> with adding [Bmim]Cl.

<sup>b</sup> with adding [Bmim]Cl and NaCl.

#### 4. Conclusions

A sustainable and feasible catalytic system was developed for the transformation of carbohydrates into HMF with ionic liquids as an additive in aqueous media. Adding a small

1 ratio of [Bmim]Cl into the reaction system was found effective for promoting the HMF yield.  
2 A best HMF yield of 54.9% in water was achieved at 140 °C in 90 min with [Bmim]Cl  
3 addition, compared with 39.3% HMF yield in the absence of [Bmim]Cl. The positive role of  
4 [Bmim]Cl additive was primarily ascribed to its stabilizing effect on HMF. As revealed by  
5 FTIR and <sup>1</sup>H NMR characterization, the possible mechanism is that [Bmim]Cl could interact  
6 with HMF through hydrogen bond to form the [Bmim]Cl-HMF intermediate. This  
7 intermediate was capable of protecting the hydroxyl and aldehyde groups in HMF from the  
8 attack of acidic protons so as to stabilize HMF molecules, effectively suppressing the  
9 rehydration and polymerization reactions involving HMF. Similar enhancement effect of  
10 [Bmim]Cl was also demonstrated in HMF synthesis with better yields from other biomass  
11 feedstocks or derivatives (e.g., glucose, sucrose, cellobiose, inulin and starch) in aqueous  
12 media. The catalyst and ionic liquids still retained a stable activity after five consecutive  
13 cycles. The HMF yield in the presence of [Bmim]Cl could be further improved to 65.8% at  
14 140 °C within 90 min via operation in biphasic NaCl-H<sub>2</sub>O/THF system. The current strategy  
15 using additives of ILs in monophasic water or biphasic solvent systems also holds for  
16 (homogeneous or heterogeneous) catalysts that are more effective for HMF synthesis from  
17 among others fructose, which would lead to even further promising results. This work  
18 provides a new strategy for the utilization of ionic liquids in the transformation of biomass  
19 resources to value-added chemicals.

#### 20 **Declaration of competing interest**

21 There are no conflicts of interest to declare.

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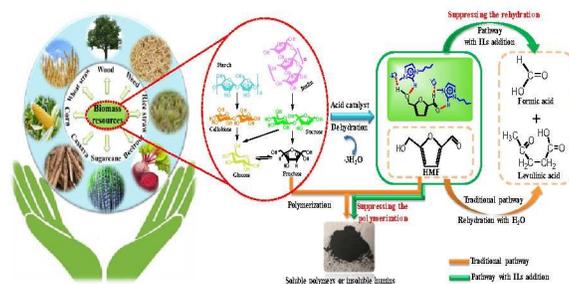
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## Graphical abstract



Stabilization effect of [Bmim]Cl on HMF is demonstrated, which can suppress the rehydration and polymerization side-reactions and enhance HMF yield.

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